

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

Gerhardi Alutechnik

CERTIFICATE NUMBER

217

ASI STANDARD

PERFORMANCE
STANDARD
(V3.1 2023)

CERTIFICATION LEVEL

FULL
CERTIFICATION

ASI ACCREDITED
AUDITING FIRM

SZI GmbH

DATE OF ISSUE

25 JULY 2025

DATE OF EXPIRY

31 MAY 2027

CERTIFIED SINCE

25 JULY 2022

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H.', with a long horizontal line extending to the right.

CERTIFICATION SCOPE

Production and sale of semi-finished Aluminium products in Luedenscheid, Germany.

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
info@aluminium-stewardship.org

Validity of this Certificate is subject to continued conformance with the applicable ASI Standard and can be verified at
www.aluminium-stewardship.org

AUDIT REPORT PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	Gerhardi Alutechnik GmbH
ENTITY NAME	Gerhardi Alutechnik GmbH
CERTIFICATION SCOPE	Production and sale of semi-finished Aluminium products in Luedenscheid, Germany.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">Material Conversion – Principles 1 to 4 (transition)
ASI STANDARD	Performance Standard V3.1
AUDIT TYPE	<ul style="list-style-type: none">Initial Certification Audit (19 May 2022)Re-Certification Audit and Scope Change (1 – 2 July 2025)
AUDIT FIRM	<ul style="list-style-type: none">SZI GmbH
AUDIT DATE	<ul style="list-style-type: none">19 May 2022 (Initial Certification Audit)1 – 2 July 2025 (Re-Certification Audit and Scope Change)
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">29 June 2022 (Initial Certification Audit)7 August 2025 (Re-Certification Audit and Scope Change)
AUDIT SCOPE	<p><u>Initial Certification Audit (19 May 2022)</u></p> <p>The Audit Scope covers the production and sale of semi-finished Aluminium products in Luedenscheid, Germany.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">Material Conversion (Industrial User) <p>All Criteria from Principle 4 Material Stewardship in the ASI Performance Standard were included in the Audit Scope.</p> <p><u>Re-Certification Audit and Scope Change (1 – 2 July 2025)</u></p> <p>The Audit Scope covers the production and sale of semi-finished Aluminium products in Luedenscheid, Germany.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">Material Conversion – Principles 1 to 4 (transition) <p>All Criteria from Principles 1 to 4 in the ASI Performance Standard were included in the Audit Scope.</p>
AUDIT OUTCOME	<ul style="list-style-type: none">Certification
AUDIT METHODOLOGY DECLARATION	<p>The Auditors confirm that:</p> <ul style="list-style-type: none"><input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.

-
- ☑ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
 - ☑ The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the company's defined Certification Scope.
 - ☑ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
-

CERTIFICATION PERIOD	25 July 2025 – 31 May 2027
----------------------	----------------------------

NEXT AUDIT TYPE	Surveillance Audit
-----------------	--------------------

NEXT AUDIT DATE	25 December 2026
-----------------	------------------

CERTIFICATE NUMBER	217
--------------------	-----



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

The Gerhardi company (the ‘Entity’) has been a family-owned enterprise for more than 200 years and combining tradition with innovation at its Facilities, located in Lüdenscheid, Germany. Originally, a manufacturer of brass and copper buttons and buckles, it underwent a transformation in 1945, evolving into a modern Aluminium extrusion plant that now produces profiles for various applications.

The Entity produces highly-specialised componentry for customers from the automotive, construction, electronics, mechanical engineering, medical, furniture and lighting. The entire manufacturing process is IATF 16949 compliant and subject to strict quality controls. The Entity is located within an industrial precinct in Lüdenscheid and occupies a site of approximately three hectares and currently employs 80 persons. The nearest residents are located approximately 400 metres from the Entity, and the operational area is surrounded to the north and east by both woodland and adjoining businesses.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	Medium	Medium	Medium	MEDIUM
RISKS	Medium	Medium	Medium	MEDIUM
PERFORMANCE	High	Medium	High	HIGH
OVERALL	MEDIUM			

FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	The Entity has implemented a legal register to ensure Compliance with Applicable Law. To ensure Compliance, the Entity uses the 'Umwelt-Online' system which identifies all relevant legislation, including any relevant and relevant updates.
1.2 Anti-Corruption	Conformance	The Entity has developed and implemented an Anti-Corruption Policy related which is accessible for all Workers via its Management System. The Entity's Code of Conduct also contains also anti-Corruption requirements and is disclosed at: https://www.gerhardi-alu.de/verhaltenskodex
1.3a-e Code of Conduct	Conformance	The Entity's has developed and implemented a Code of Conduct which is updated on an annual basis. It is disclosed at: https://www.gerhardi-alu.de/verhaltenskodex
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Conformance	The Gerhardt Alutechnik, Health, Safety and Quality (EHSQ) Policy is communicated both internally and externally, and is available at: https://www.gerhardi-alu.de/qualitaetspolitik The Entity has senior management endorsement and support through the provision of resources and regularly reviews the Policies. The Entity currently holds IATF 16949, ISO 14001, ISO 9001 and ISO 50001 certifications.
2.2a-c Leadership	Conformance	The Entity has allocated appropriate responsibilities and authorities to ensure Conformance with the ASI Performance Standard. These responsibilities and authorities have been documented in the Entity's Management System.
2.3a Environmental and Social Management Systems – Environmental	Conformance	The Entity has documented and implemented an Integrated Management System according to IATF 16949 and includes certification to ISO 9001, ISO 14001 and ISO 50001 Standards. All these systems are certified by an accredited certification body. The Entity's ISO 14001 Certificate is available at: https://www.gerhardi-alu.de/din-en-iso-14001-2015
2.3b Environmental and Social Management Systems – Social	Conformance	The Entity has documented an Environmental Management System that has been certified according to ISO 14001:2015. Furthermore, the Entity has assessed their Management System to consider social aspects, in accordance with ISO 26000. Internal compliance audits are undertaken regularly.
2.4a-e Responsible Sourcing	Conformance	The Entity has developed and implemented both a Supplier Code of and a Responsible Sourcing Policy that addresses environmental, social and governance issues. Both documents are available at: https://www.gerhardi-alu.de/beschaffungspolitik
2.5a-g Environmental and Social Impact Assessments	Not Applicable	This Criterion is not applicable to the Entity, as there have been no New Projects or Major Changes to the existing Facility since ASI membership, and none are currently planned.

CRITERION	RATING	COMMENT
2.6a-h Human Rights Impact Assessment	Not Applicable	This Criterion is not applicable to the Entity, as there have been no New Projects or Major Changes to the existing Facility since ASI membership, and none are currently planned.
2.7a-f Emergency Response Plan	Minor Non-Conformance	The Entity has developed and implemented an Emergency Response Plan. Appropriate training has been provided to relevant personnel. External Stakeholders including the community and relevant emergency authorities were involved in the development of the Plan. The Plan however is not available for disclosure, whether through the Entity's internet, or through request.
2.8a-d Suspended Operations	Conformance	The Entity has implemented a Business Resilience Plan, and all relevant personnel have received appropriate training for application in the event of suspended operations.
2.9a-b Mergers and Acquisitions	Conformance	The Entity's parent company has developed a Due Diligence process which is supported by external specialists. This process reflects environmental, social and governance issues in the event of any merger or acquisition activities.
2.10a-b Closure, Decommissioning and Divestment	Conformance	The Entity systematically reviews environmental, social, and governance issues as part of its planning process. Closure, decommissioning and divestment are managed by the Entity's parent company. There have been no closure, decommissioning or divestment activities since the Entity joined ASI.
3. TRANSPARENCY		
3.1a-b Sustainability Reporting	Minor Non-Conformance	The Entity has provided some environmental, social and Health & Safety data on its webpage (https://www.gerhardi-alu.de), however, many relevant environmental, social, labour and Health & Safety data are not currently disclosed, and there is no consolidation of data in a Sustainability Report, or equivalent.
3.2 Non-compliance and Liabilities	Minor Non-Conformance	<p>Entity-specific information on significant fines, judgments, penalties and non-monetary sanctions is published by the District Government. Public access is ensured via the EU Directive 2003/4/EC executed as the Environmental Information Act (UIG) in Germany.</p> <p>The Entity however, has not disclosed Material fines, judgments, penalties and non-monetary sanctions.</p>
3.3a-c Payments to Governments	Minor Non-Conformance	<p>The Entity complies with strict local legislation and has implemented Policies and procedures regarding payments to Governments. To prevent Corruption, detailed behaviour expectations are described in the Entity's Code of Conduct, and includes requirements where payments are made to authorities. Payments to Governments are not allowed, and every employee is trained on the Code of Conduct annually.</p> <p>The Entity however, has not disclosed information relating to payments to Governments.</p>
3.4a-f Stakeholder Complaints, Grievances	Conformance	The Entity is certified against ISO 9001, ISO 14001 and ISO 50001 standards and has implemented a process for managing complaints.

CRITERION	RATING	COMMENT
and Requests for Information		A 'whistleblowing' hotline has been established and complaints can be submitted online at: https://wg.speakup.report/gerhardi-al
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Conformance	In cooperation with the Association of the Aluminium Industry (Gesamtverband der Aluminiumindustrie), an Environmental Product Declaration (EPD) has been issued for the major Products. The Entity has evaluated the life cycle impacts of its major Product lines for which Aluminium is used by preparing a Life Cycle Assessment (LCA) according to ISO 14064 and supported by the 'ecocockpit' software.
4.1b-c Environmental Life Cycle Assessment - Disclosure	Conformance	<p>Upon customer request, the Entity provides adequate 'cradle-to-gate' Life Cycle Assessment (LCA) information according to ISO 14025 and EN 15804 on its products. Environmental Product Declarations (EPDs) are available from the Institute for Building and Environment (Institut für Bauen und Umwelt, IBU): https://www.ibu-epd.com</p> <p>Life Cycle Assessment (LCA) information and its underlying assumptions, including system boundaries are included in the Environmental Product Declarations (EPDs), which are under the supervision of the program holder, Institute for Building and Environment (Institut für Bauen und Umwelt, IBU). EPDs for typical products are available at: https://ibu-epd.com/en/published-epd</p>
4.2 Product Design	Not Applicable	This Criterion is not applicable to the Entity, as it does not undertake any design or development of Products. All design and material composition are developed and owned by the Entity's customers. The Entity produces profiles according to customer specifications.
4.3a-b Aluminium Process Scrap	Conformance	The Entity has implemented effective Aluminium Scrap management. 100% of Aluminium Process Scrap is currently recycled by the Entity. Aluminium alloys and grades are recorded separately and recycled according to type.
4.4a-c Collection and Recycling of Products at End of Life - Material Conversion and other Manufacturing	Conformance	The Entity has implemented an Aluminium recycling strategy which captures each Product from the beginning to 'end-of-life'. The Entity is a member of the 'Alu Deutschland' Association which is fully contributing to a sustainable recycling strategy.
4.4d Collection and Recycling of Products at End of Life	Not Applicable	This Criterion is not applicable to the Entity as whilst it has implemented an Aluminium recycling strategy, the Entity currently has no infrastructure for recycling available.
5. GREENHOUSE GAS EMISSIONS		
5.1a-b Disclosure of GHG Emissions and Energy Use	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2a Aluminium Smelter GHG Emissions Intensity - Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
5.2b Aluminium Smelter GHG Emissions Intensity – In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a GHG Emissions Reduction Plans	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3b-e GHG Emissions Reduction Plans – Targets, review and disclosure	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.4 GHG Emissions Management	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6. EMISSIONS, EFFLUENTS AND WASTE		
6.1a-f Emissions to Air	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.2a-g Discharges to Water	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.3a-g Assessment and Management of Spills and Leakages	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.4a-b Public Disclosure of Spills and Leakages	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5a-c Waste Management and Reporting	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7. WATER STEWARDSHIP		
7.1a-b Water Assessment and Disclosure	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7.2a-e Water Management	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8. BIODIVERSITY AND ECOSYSTEM SERVICES		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.4 Alien Species	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.5a-b Commitment to "No Go" in World Heritage Properties	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.6a-d Protected Areas	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.6e Protected Areas - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9. HUMAN RIGHTS		
9.1a-d Human Rights Due Diligence	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.2a-e Gender Equity and Women's Empowerment	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.3a-l Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4a Free, Prior, and Informed Consent (FPIC)-- New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4b Free, Prior, and Informed Consent (FPIC)-- Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4c Free, Prior, and Informed Consent (FPIC)-- Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.5a Cultural and Sacred Heritage-- Identification	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.5b Cultural and Sacred Heritage - Impacts	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.7a-h Affected Populations and Organisations	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8a Conflict-Affected and High-Risk Areas - Strong management systems	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8b Conflict-Affected and High-Risk Areas - Identify and assess risks	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8c Conflict-Affected and High-Risk Areas - Strategy to respond to risks	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8d Conflict-Affected and High-Risk Areas - Audit of due diligence	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8e Conflict-Affected and High-Risk Areas - Report annually	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.9 Security practice	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10. LABOUR RIGHTS		
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.1d Freedom of Association and Right to Collective Bargaining - Alternative means in context of Applicable Law	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.2a-c Child Labour	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.3a-c Forced Labour	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.4a-c Non-Discrimination	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.5 Communication and engagement	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.6a-g Violence and Harassment	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
10.7a-d Remuneration	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.8a-c Working Time	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.9a-b Informing Workers of Rights	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
11. OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Management System	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
11.1b-e Occupational Health and Safety (OH&S) Management System – Reviews and disclosure	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
11.2 Employee engagement on Health and Safety	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable law, or will avoid any Breach from occurring.

DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	25 July 2022	Initial Certification Audit – Full Certification
1	25 July 2025	Re-Certification Audit and Scope Change – Scope Change to apply Performance Standard V3.1, Principles 1 to 4 (transition).