

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

ZHONG YI FENG JINYI (SUZHOU) TECHNOLOGY CO., LTD.

CERTIFICATE NUMBER
461

ASI STANDARD
PERFORMANCE
STANDARD
(V3.1 2023)

CERTIFICATION LEVEL
FULL
CERTIFICATION

ASI ACCREDITED
AUDITING FIRM
BUREAU VERITAS
CERTIFICATION

DATE OF ISSUE
4 AUGUST 2025

DATE OF EXPIRY
3 AUGUST 2028

CERTIFIED SINCE
4 AUGUST 2025

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H.', with a long horizontal line extending to the right.

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
info@aluminium-stewardship.org

*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at
www.aluminium-stewardship.org*

CERTIFICATION SCOPE

The manufacture of Aluminium alloy profiles at the ZHONG YI FENG JINYI (SUZHOU) TECHNOLOGY CO., LTD. facility in Xiangcheng District, Suzhou, Jiangsu Province, China.

AUDIT REPORT PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	ZHONG YI FENG JINYI (SUZHOU) TECHNOLOGY CO., LTD.
ENTITY NAME	ZHONG YI FENG JINYI (SUZHOU) TECHNOLOGY CO., LTD.
CERTIFICATION SCOPE	The manufacture of Aluminium alloy profiles at the ZHONG YI FENG JINYI (SUZHOU) TECHNOLOGY CO., LTD. facility in Xiangcheng District, Suzhou, Jiangsu Province, China.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">Semi-FabricationMaterial Conversion
ASI STANDARD	Performance Standard V3.1
AUDIT TYPE	<ul style="list-style-type: none">Initial Certification Audit
AUDIT FIRM	Bureau Veritas Certification
AUDIT DATE	<ul style="list-style-type: none">13 – 16 January 2025
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">12 March 2025
AUDIT SCOPE	<p>The Audit Scope included the manufacture of Aluminium alloy profiles at the ZHONG YI FENG JINYI (SUZHOU) TECHNOLOGY CO., LTD. facility in Xiangcheng District, Suzhou, Jiangsu Province, China.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">Semi-FabricationMaterial Conversion <p>All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.</p>
AUDIT OUTCOME	Certification
AUDIT METHODOLOGY DECLARATION	<p>The Auditors confirm that:</p> <ul style="list-style-type: none"><input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.<input checked="" type="checkbox"/> The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.<input checked="" type="checkbox"/> The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.<input checked="" type="checkbox"/> The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

CERTIFICATION PERIOD 4 August 2025 – 3 August 2028

NEXT AUDIT TYPE Surveillance Audit

NEXT AUDIT DATE 3 February 2027

CERTIFICATE NUMBER 461



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

ZHONG YI FENG JINYI (SUZHOU) TECHNOLOGY CO., LTD. ('the Entity') is a wholly owned subsidiary of Zhongyi Fengjin Holding Group, formerly known as Suzhou Mingde Aluminum Industry. Founded in 1993, the Entity is primarily engaged in the development, production and sale of diversified high-quality industrial Aluminium Products. The Entity has capabilities in Aluminium rod casting, mould manufacturing, precision extrusion, intelligent processing, ultra-precision forging, cold extrusion forming, oxidation electrophoresis and other whole of industry supply chain services. The Entity's Products are widely used in energy storage electronics, lightweight automotives, mechanical equipment, industrial automation, medical equipment, aerospace, rail transit and other fields.

The Entity's current annual output is approximately seven million auto parts sets, and approximately three million sets of photovoltaic, wind and other new energy parts. The Entity's annual output value is approximately 250 million yuan. The Entity employs approximately 210 Workers.

The Entity maintains a Management System certified to ISO9001, IATF16949, ISO14001, ISO45001 and ISO50001 standards.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of Systems, Residual Risk and Performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	Medium	Medium	Medium	MEDIUM
RISKS	Medium	Medium	Medium	MEDIUM
PERFORMANCE	Medium	Medium	Medium	MEDIUM
OVERALL	MEDIUM			

FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	The Entity has established a Laws and Regulations Control Procedure and complies with National and International laws. The Entity has detailed procedures for the conduct of internal audits and maintains a list of Applicable Laws. The Entity's Environmental Health and Safety (EH&S) Department and Administration Department are responsible for the assessment of Compliance with Applicable Law. The compliance assessment occurs at least annually.
1.2 Anti-Corruption	Minor Non-Conformance	<p>The Entity has established an Anti-Corruption and Anti-Bribery Management Procedure. The Entity has established an anti-Bribery management team, that has undertaken regular internal assessments. A Bribery risk assessment level standard has been established and employees are regularly trained on this Standard. The Entity evaluates the implementation of the anti-Bribery activities undertaken on an annual basis to determine their effectiveness and to revise the Entity's risk status.</p> <p>Despite these measures, the Entity's approach to Corruption is not comprehensive and has not identified and assessed all Corruption risks. In particular, the Entity has not effectively addressed risks associated with sales and some management activities, among others.</p>
1.3a-e Code of Conduct	Conformance	<p>The Entity has formulated a Code of Conduct which addresses Environmental, Social and Governance (ESG) principles. The Entity has conducted an annual internal audit and management review of the Code of Conduct. The Code of Conduct is revised upon any change in Applicable Law and business trends. Staff awareness of the Code of Conduct is achieved through various measures including meetings and training. The Code of Conduct is available at:</p> <p>https://alidocs.dingtalk.com/i/nodes/7NkDwLNg8ZvMK3kdu0qRMBG68KMEvZBY?utm_scene=person_space</p>
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Conformance	<p>The Entity has established an Environmental, Social and Governance (ESG) Policy which has been approved by the plant General Manager and is available at:</p> <p>https://alidocs.dingtalk.com/i/nodes/Y1OQX0akWmb3lgwOio2kbkQpVGIDd3mE?utm_scene=person_space</p> <p>The Entity has established an ASI Performance Standard Management Manual, which includes relevant Policies and implementation procedures.</p>
2.2a-c Leadership	Conformance	A senior Management Representative has been appointed by the Entity, with responsibility and authority clearly defined. The Management Representative role has overall responsibility and authority for ensuring conformance with the ASI Performance Standard, implementation and communication of the relevant Policies, and providing the necessary resources to establish, implement, maintain and improve the Management Systems required throughout the ASI Performance Standard.

CRITERION	RATING	COMMENT
2.3a Environmental and Social Management Systems – Environmental	Conformance	The Entity has implemented and documented an Environmental Management System and holds a valid ISO 14001:2015 certificate.
2.3b Environmental and Social Management Systems – Social	Conformance	The Entity has developed and implement an integrated Management System and has obtained ISO 14001:2015 and ISO 45001:2018 certification. The Entity has established a supporting ASI Management System and internal audits, and management reviews are conducted annually to ensure the effectiveness of the ASI Management System.
2.4a-e Responsible Sourcing	Conformance	The Entity has developed and implemented Policies, systems, procedures and processes regarding responsible sourcing. The Entity's Responsible Procurement Policy is reviewed at least every five years or following any changes to the Business or any change in risk. The Entity conducts supplier assessments prior to sourcing and undertakes annual supplier assessments. The Entity's Responsible Procurement Policy is incorporated on page four of the ASI Environmental Policy, available at: https://alidocs.dingtalk.com/i/nodes/Y1OQX0akWmb3lgwOio2kbKQpVGIId3mE?utm_scene=person_space
2.5a-g Environmental and Social Impact Assessments	Not Applicable	This Criterion is not applicable to the Entity, as no New Projects or Major Changes have been conducted since the Entity joined as an ASI Member.
2.6a-h Human Rights Impact Assessment	Not Applicable	This Criterion is not applicable to the Entity, as no New Projects or Major Changes have been conducted since the Entity joined as an ASI Member.
2.7a-f Emergency Response Plan	Conformance	The Entity has developed and implemented Emergency Response Plans (ERPs). The ERPs are reviewed every five years, after any changes to the Business, or changes in the nature or scale of emergency incident risk in accordance with Chinese legal requirements. Personnel are trained and drill records are maintained. The Entity holds valid ISO 14001 and ISO 45001 certifications. The Emergency Preparedness and Response Plan is available at: https://alidocs.dingtalk.com/i/nodes/MND0Bb60Vlar9OPLtwKGyK0XJleMrZQ3?utm_scene=person_space The Production Safety Accident Emergency Plan is available at: https://alidocs.dingtalk.com/i/nodes/N7dx2rn0JbXZn9nvugQz7BOGWMGjLRb3?utm_scene=person_space The Emergency Plan for Sudden Environmental Events is available at: https://alidocs.dingtalk.com/i/nodes/MND0Bb60Vlar9OPLtwKRX57yJleMrZQ3?utm_scene=person_space
2.8a-d Suspended Operations	Conformance	The Entity has established an Emergency Management Plan and Control Procedures to deal with situations where the business is suspended or significantly altered. Relevant personnel were interviewed during this Audit to understand the relevant requirements. No suspension of operations has occurred since the Entity joined ASI as a Member.
2.9a-b Mergers and Acquisitions	Conformance	The Entity has formulated management measures for corporate mergers and acquisitions and requires Due Diligence regarding ESG

CRITERION	RATING	COMMENT
		<p>aspects to ensure compliance with the Entity's internal requirements as well as relevant laws and regulations.</p> <p>No merger or acquisition events have occurred since the Entity joined ASI as a Member.</p>
2.10a-b Closure, Decommissioning and Divestment	Conformance	<p>Any closure, decommissioning and divestment activities will be managed by Corporate functional teams, who have developed and made available appropriate management methods.</p> <p>No closure, decommissioning or divestment events are planned in the near future, and no such events have occurred since the Entity joined ASI as a Member.</p>
3. TRANSPARENCY		
3.1a-b Sustainability Reporting	Minor Non-Conformance	<p>The Entity has developed an annual Sustainability Report that describes their sustainability approach and summarises its environmental and social impacts. The Sustainability Report is accessible through the 'AliDocs' platform at: https://alidocs.dingtalk.com/i/nodes/G1DKw2zgV2mRyX4ICokNed9nWB5r9YAn?utm_scene=person_space</p> <p>Documents hosted on the AliDocs platform are accessible from the Entity's website at: https://www.zyf-jinyi.com/news</p> <p>However, the Entity has settings in place on the AliDocs platform so these documents cannot be downloaded, reducing document accessibility.</p> <p>Further, the Sustainability Report published is at the parent company level for the Zhongyifeng Holding Group. The content of the Report is not sufficiently specific to the Entity and the data do not adequately demonstrate the Entity's ESG performance.</p>
3.2 Non-compliance and Liabilities	Conformance	<p>There have been no Material violations of Applicable Law by the Entity during the reporting period. This was confirmed against government websites and non-governmental organisation websites that report publicly on non-compliances with Applicable Law.</p>
3.3a-c Payments to Governments	Conformance	<p>The Entity has only made, or has made on its behalf, payments to governments on a legal and/or contractual basis. Payments to governments are transparently reported in their Annual Financial Audit Report, also with tax payment certificates available.</p> <p>The Entity has disclosed their payments to government in the form of taxes at: https://alidocs.dingtalk.com/i/nodes/QG53mjyd80ZRyjI6TEMpe4aXV6zbX04v?utm_scene=person_space</p>
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	<p>The Entity has established and implemented a Stakeholder Complaints, Grievances and Requests Procedure. The Procedure communicates the Entity's telephone number, email address, and suggestion box and other information to Stakeholders. The Entity accepts information inquiries, complaints and appeals from all Stakeholders. The Entity's Department of General Management monitors Stakeholders' requests and complaints and has an appropriate resolution mechanism. The Stakeholder Complaints, Grievances and Requests Procedure is available at:</p>

CRITERION	RATING	COMMENT
		https://alidocs.dingtalk.com/i/nodes/P7QG4Yx2Jpy73NlwFoivEaIl89dEq3XD?utm_scene=person_space
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Minor Non-Conformance	<p>The Entity has established a Life Cycle Assessment (LCA) Management Procedure and has developed an LCA Report for its Aluminium Products.</p> <p>The LCA Report however does not provide a complete life cycle evaluation of the Product. The LCA Report does not include information on the raw material from the resource extraction stage.</p>
4.1b-c Environmental Life Cycle Assessment - Disclosure	Conformance	The Entity has developed an LCA Report for its Aluminium Products that focuses on environmental life cycle impacts. The LCA studies Report is available upon customer request.
4.2 Product Design	Conformance	The Entity integrates relevant objectives into the design and development process for Products to enhance sustainability, including the environmental life cycle impacts of end products.
4.3a-b Aluminium Process Scrap	Conformance	The Entity has minimised the generation of Aluminium Process Scrap within its operations and targets 100% of Scrap for collection, recycling and/or re-use.
4.4a-c Collection and Recycling of Products at End of Life - Material Conversion and other Manufacturing	Minor Non-Conformance	<p>The Entity has developed and disclosed a clear recycling target and plan for the 2024 to 2030 period, including specific schedules, activities and targets. The Entity's Recycled Materials Strategic Plan is disclosed at:</p> <p>https://alidocs.dingtalk.com/i/nodes/7NkDwLng8ZvMK3kdu0e57Q4Z8KMEVZBY?utm_scene=person_space</p> <p>However, the Entity's Aluminium recycling strategy does not consider the Post-Consumer Aluminium recycling chain.</p>
4.4d Collection and Recycling of Products at End of Life	Conformance	<p>The Entity has developed a recycling strategy that includes specific timelines, activities and targets.</p> <p>There are no complete local, regional or national collection and recycling systems for Aluminium Scrap in China. The Entity's Aluminium production waste is entrusted to a local company for remelting and recycling. The Entity is working with their customers on how to improve the recycling rate of Products at End of Life. Recycling contracts are established with customers to collect Products at End of Life and related recycling records are kept. The Entity's Recycled Materials Strategic Plan is disclosed at:</p> <p>https://alidocs.dingtalk.com/i/nodes/7NkDwLng8ZvMK3kdu0e57Q4Z8KMEVZBY?utm_scene=person_space</p>
5. GREENHOUSE GAS EMISSIONS		
5.1a-b Disclosure of GHG Emissions and Energy Use	Minor Non-Conformance	The Entity has accounted for its Material Greenhouse Gas (GHG) emissions and energy use by source and has publicly disclosed its Energy Performance data including the Energy, Resources and Raw Material Usage Table. GHG emissions data are checked by a third-party and a written verification statement by the independent third

CRITERION	RATING	COMMENT
		<p>party was available. However, the Entity has not disclosed a complete GHG accounting report.</p> <p>The Entity's energy performance data are disclosed at: https://alidocs.dingtalk.com/i/nodes/NZQYprEoWo3ebrqYso0nNMOeWIwaOeDk?utm_scene=person_space</p> <p>The verification statement of the Entity's GHG emissions is disclosed at: https://alidocs.dingtalk.com/i/nodes/YIQX0akWmb3lgwOioAB0DqVGIDd3mE?utm_scene=person_space</p>
5.2a Aluminium Smelter GHG Emissions Intensity - Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity - In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a GHG Emissions Reduction Plans	Minor Non-Conformance	<p>The Entity has established a GHG Emissions Reduction Plan that includes both short and medium term GHG emissions reduction targets. The Plan has been developed using the ASI GHG Pathways Method and Calculation Tool and includes process and procurement pathways, using 2023 as the baseline year.</p> <p>The GHG Emissions Reduction Plan is disclosed at: https://alidocs.dingtalk.com/i/nodes/EpGBa2Lm8akzjvm4UZvBNg0bVgN7R35y?utm_scene=person_space</p> <p>However, the carbon emission intensity of primary Aluminium used in the Entity's publicly disclosed GHG Emissions Reduction Plan does not correspond with specific carbon emissions data disclosed by the energy supplier.</p>
5.3b-e GHG Emissions Reduction Plans - Targets, review and disclosure	Conformance	<p>The Entity has established a GHG Emissions Reduction Plan that includes both short and medium term GHG emissions reduction targets. The Plan has been developed using the ASI GHG Pathways Method and Calculation Tool and includes process and procurement pathways, using 2023 as the baseline year.</p> <p>The GHG Emissions Reduction Plan is disclosed at: https://alidocs.dingtalk.com/i/nodes/EpGBa2Lm8akzjvm4UZvBNg0bVgN7R35y?utm_scene=person_space</p>
5.4 GHG Emissions Management	Conformance	The Entity has established energy and resource management and carbon emission management procedures. Energy, resource use and GHG emissions are monitored and are subject to regular external verification. The Entity's GHG Emissions Reduction Plan considers technological transformation to reduce electricity consumption during electrolysis, elimination of backward production lines, procurement of renewable energy, and selection of raw material suppliers with low GHG emissions profiles.
6. EMISSIONS, EFFLUENTS AND WASTE		
6.1a-f Emissions to Air	Conformance	The Entity measures and discloses its Material Emissions to Air.

CRITERION	RATING	COMMENT
		<p>Emission levels are monitored monthly, and local legal emission limits are met. The Entity has plans in place to minimise exposure to, and impacts from, Emissions to Air.</p> <p>The Entity's discloses its Emissions to Air in its Inspection and Test Reports, Parts A and B, disclosed at:</p> <p>https://alidocs.dingtalk.com/i/nodes/ZgpG2NdyVXKraOj7TOynIOgkWMwvDqPk?utm_scene=person_space</p> <p>https://alidocs.dingtalk.com/i/nodes/Gl6Pm2Db8Dr3emR5T7jD4mZyVxLq0Ee4?utm_scene=person_space</p>
6.2a-g Discharges to Water	Conformance	<p>The Entity's has implemented an Environmental Management System that addresses and manages Discharges to Water. The Entity has established water reduction targets and a plan to minimize adverse impacts. The Entity monitors wastewater quality, and the monitoring results for the major pollutants in wastewater comply with local legal discharge limits.</p> <p>The Entity's Wastewater testing reports are available at:</p> <p>https://alidocs.dingtalk.com/i/nodes/GlDKw2zgv2mRyX4lCoR50Gd2WB5r9YAn?utm_scene=person_space</p> <p>https://alidocs.dingtalk.com/i/nodes/2Amq4vjg893geqN9sO25XPmoV3kdP0wQ?utm_scene=person_space</p> <p>The Entity's Water Balance Report is available at:</p> <p>https://alidocs.dingtalk.com/i/nodes/gwva2dxOW4rKyp9qTE7Dx4k0Jbkz3BRL?utm_scene=person_space</p>
6.3a-g Assessment and Management of Spills and Leakages	Conformance	<p>The Entity conducts regular Spills and Leakages risk assessments and no high-risk situations have been identified. The Entity has taken preventive action or implemented improvement programs for the residual risks identified. The assessment and management of Spills and Leakages, including the control measures based on spill risk factors, is defined in the Entity's Environmental Management System. Major Spills and Leakages are managed and communicated by the Entity's Emergency Response Team.</p> <p>The Entity's Leakage and Seepage Factor Management Plan and Measures is reviewed at least annually and is accessible at:</p> <p>https://alidocs.dingtalk.com/i/nodes/2Amq4vjg893geqN9sOgJdpZOV3kdP0wQ?utm_scene=person_space</p>
6.4a-b Public Disclosure of Spills and Leakages	Conformance	<p>The Entity has established an Emergency Response Plan and Response Guide that addresses the management of and the reporting of Spills. Spill drills are conducted annually to ensure the process is up to date. No spill has occurred at the Entity in recent years. The Entity's Leakage and Seepage Factor Management Plan and Measures define the requirement for the Entity to report any Spills and Leakages.</p>
6.5a-c Waste Management and Reporting	Minor Non-Conformance	<p>The Entity has implemented an ISO 14001 certified Environmental Management System, which includes a Waste Management Procedure that defines the processes to collect and dispose of all Waste. The Entity has established continual improvement targets to reduce Waste generation. The targets are reviewed quarterly by the management team.</p>

CRITERION	RATING	COMMENT
		<p>The Entity discloses their annual quantity of Hazardous Waste at: https://alidocs.dingtalk.com/i/nodes/XPwkYGxZV3nRyXvqc49MEXK4WAgozOKL?utm_scene=person_space</p> <p>The Entity discloses their annual quantity of non-Hazardous Waste at: https://alidocs.dingtalk.com/i/nodes/m9bn7RYPWd2IXgRDTQKnOZeRjZdlwyk0?utm_scene=person_space</p> <p>During the Audit it was observed however that there were two barrels of waste oil temporarily stored in the workshop without appropriate Hazardous Waste signage.</p>
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7. WATER STEWARDSHIP		
7.1a-b Water Assessment and Disclosure	Conformance	<p>The Entity has undertaken a water-related risk assessment and developed a detailed water balance to determine and map the source and type of water it withdraws and uses. The Entity has developed a water balance statistical data table for 2023, water resources management goals and plans, and a water balance chart. The water risk assessment considered the industrial park setting of the Entity and nearby lands and waterways at risk in their Area of Influence. Due to the nature of the Entity's Products and production processes, and that most of the Entity's processes include a closed loop water management system, the level of water-related risk was determined as low.</p> <p>The Entity's Water Balance Test Report dated June 2024 is disclosed at: https://alidocs.dingtalk.com/i/nodes/gwva2dxOW4rKyp9qTE7Dx4k0Jbkz3BRL?utm_scene=person_space</p>
7.2a-e Water Management	Not Applicable	This Criterion is not applicable to the Entity, as no Material water related risks were identified.
8. BIODIVERSITY AND ECOSYSTEM SERVICES		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Conformance	The Entity has prepared a Biodiversity Assessment Report, which determined there is no significant risk to or impact on Biodiversity. The Entity is located in an industrial park developed by the local government, and there is no protected flora or wildlife present in this area. Despite the inherent low risk, the Entity has established procedures for the protection of Biodiversity.
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable as the Entity has prepared a Biodiversity Assessment Report which determined there is no significant risk to, or impact on Biodiversity.

CRITERION	RATING	COMMENT
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable as the Entity has prepared a Biodiversity Assessment Report which determined there is no significant risk to, or impact on Biodiversity.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable as the Entity has prepared a Biodiversity Assessment Report which determined there is no significant risk to, or impact on Biodiversity.
8.4 Alien Species	Conformance	The Entity has developed and implemented relevant requirements and procedures relating to Alien Species regarding the protection of Biodiversity, including evaluating and controlling risks that Alien Species may be accidentally introduced by the Entity through its operational activities. The Biodiversity risk assessment identified wooden pallets as the only potential source of Alien Species., All pallets are fumigated before use to mitigate this risk.
8.5a-b Commitment to “No Go” in World Heritage Properties	Conformance	The Entity has committed to not explore or develop New Projects in World Heritage Properties in its ASI Performance Assurance Manual. The Entity is located in an industrial park that was developed by the local government. The industrial park is not within a World Heritage Property.
8.6a-d Protected Areas	Conformance	The Entity is located in an industrial park that was developed by the local government, and there are no Protected Areas in this area. The Entity has however, prescribed relevant requirements and has established a Protected Areas Management Procedure.
8.6e Protected Areas - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9. HUMAN RIGHTS		
9.1a-d Human Rights Due Diligence	Conformance	<p>The Entity has established a Social and Environmental Governance Policy which includes a commitment to respect Human Rights and employees' civil rights and to eliminate Discrimination. The Policies are reviewed at least every five years, or in response to the annual HSE and social risk assessment. Employees are provided with training on the Policy. The Entity's ESG Policy is publicly available at: https://alidocs.dingtalk.com/i/nodes/Y1OQX0akWmb3lgwOio2kbKQpVGIdd3mE?utm_scene=person_space</p> <p>The Entity has established a Human Rights Due Diligence procedure, conducted Human Rights Due Diligence and issued a Human Rights Due Diligence Report, which determined that no adverse Human Rights impacts have been reported since its establishment. The scope of the Entity's Human Rights Due Diligence process addresses the Entity's entire supply chain. The Entity's Human Rights Risk Identification and Assessment Form is available at: https://alidocs.dingtalk.com/i/nodes/AR4GpnMqJzjMYLkgsplzD5qwJKeOxjE3?utm_scene=person_space</p>

CRITERION	RATING	COMMENT
9.2a-e Gender Equity and Women's Empowerment	Conformance	The Entity has implemented a Women's Protection Management Procedure for the purpose of protecting women's rights and interests and has established control measures to ensure performance such that rights are protected. The Entity has implemented a Gender Equality Project Implementation Plan, which is reviewed annually. The Entity's Gender Equality Project Implementation Plan is available at: https://alidocs.dingtalk.com/i/nodes/2Amq4vjg893geqN9srmGgI5jV3kdP0wQ?utm_scene=person_space
9.3a-i Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity as no Indigenous Peoples are present in the Entity's Area of Influence.
9.4a Free, Prior, and Informed Consent (FPIC) - New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity, as no New Projects or Major Changes have been conducted since the Entity joined as an ASI Member.
9.4b Free, Prior, and Informed Consent (FPIC) - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4c Free, Prior, and Informed Consent (FPIC) - Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity as no Indigenous Peoples are present in the Entity's Area of Influence.
9.5a Cultural and Sacred Heritage – Identification	Conformance	The Entity has implemented a Cultural and Sacred Heritage Protection Management Procedure. Based on the Construction Project Environmental Impact Report, and that the Entity is located in an industrial park, there are no cultural or sacred heritage sites or values present.
9.5b Cultural and Sacred Heritage – Impacts	Not Applicable	This Criterion is not applicable to the Entity as no Material impacts to cultural or sacred heritage were identified.
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity as no displacement has taken place since the Entity commenced operations.
9.7a-h Affected Populations and Organisations	Conformance	<p>The Entity has implemented an Affected Populations and Organisations Management Procedure to commit to respect the legal and customary rights and interests of Affected Populations and Organisations and their lands, livelihoods and use of natural resources, while exploring opportunities to respect and support community livelihoods with the Industrial Economic Zone. There have been no complaints received from Local Communities to date.</p> <p>The Entity is in close contact with surrounding communities and the majority of Workers are from the local area. The Entity has installed environmental protection devices to reduce the impact to the surrounding communities caused by air emissions and boundary noise, which were considered in the Environmental Impact Assessment Report. The Entity supports local charities, participates in community public welfare activities and has established the Participation in Community Activities Plan to support the surrounding communities.</p> <p>The Entity's Community Management System and Implementation Plan is disclosed at:</p>

CRITERION	RATING	COMMENT
		https://alidocs.dingtalk.com/i/nodes/20eMKjyp81aRONKqtE2YZmww8xAZBIGv?utm_scene=person_space
9.8a Conflict-Affected and High-Risk Areas - Strong management systems	Conformance	The Entity has established a responsible sourcing Management System that includes a supply chain Policy, responsibilities and resources, information gathering and supplier engagement. The Entity's Responsible Procurement Policy is included on page four of the ASI Environmental Policy, available at: https://alidocs.dingtalk.com/i/nodes/Y1OQX0akWmb3lgwOio2kbKQpVGIDd3mE?utm_scene=person_space
9.8b Conflict-Affected and High-Risk Areas - Identify and assess risks	Conformance	The Entity has conducted risk-based Due Diligence throughout its Aluminium supply chain, with the results embedded in the integrated Management System and in the purchasing procedures. The Due Diligence processes did not identify any actual or potential risks in the supply chain.
9.8c Conflict-Affected and High-Risk Areas - Strategy to respond to risks	Conformance	A risk-based Due Diligence process undertaken throughout the Entity's Aluminium supply chain did not identify any actual or potential risks. The Due Diligence process is embedded in the Integrated Management System and in the Entity's purchasing procedures.
9.8d Conflict-Affected and High-Risk Areas - Audit of due diligence	Conformance	The Entity has conducted risk-based Due Diligence throughout its Aluminium supply chain and suppliers are reviewed annually. The Entity's Due Diligence practices were audited as part of this ASI Audit.
9.8e Conflict-Affected and High-Risk Areas - Report annually	Conformance	The Entity has reported on its supply chain Due Diligence in accordance with the requirements in its Conflict Minerals Management Measures and supplier sustainability approach. The Entity's 2024 Supplier Due Diligence Report is available at: https://alidocs.dingtalk.com/i/nodes/QG53mjyd80ZRyjI6TEMpe4aXV6zbX04v?utm_scene=person_space
9.9 Security practice	Conformance	The Entity has implemented a Security Service Management Procedure and has defined the primary role of security guards to protect people, property and/or assets, and to respect Human Rights. All security guards have been trained in Human Rights requirements. To date, no security-related Human Rights violations have occurred.
10. LABOUR RIGHTS		
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	This Criterion is not applicable to the Entity as it complies with the Applicable Laws regarding Freedom of Association and Collective Bargaining in China.
10.1d Freedom of Association and Right to Collective Bargaining - Alternative means in context of Applicable Law	Conformance	The Entity has implemented a Freedom of Association and Collective Consultation Procedure to respect the Workers' right to Freedom of Association and Collective Bargaining. A Labour Union and Association for Workers has been established in accordance with Applicable Laws, and addresses Workers' rights on remuneration, working hours, rest and vacation, Health and Safety, vocational training, insurance and welfare. The Entity has Collective Bargaining Agreements in place, which have been developed in accordance with Applicable Laws.

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10.2a-c Child Labour	Conformance	The Entity has implemented a Child Labour Policy. Young Workers receive special protection under the Labour Standards Law and are not allowed to work in hazardous working conditions. The Entity has established processes to verify the age of the candidates through interview and checking ID cards. There is no Child Labour or any young Workers in the Entity, and the youngest Worker on site at the time of the Audit was 19 years old. The Entity communicates their requirements to its Workers and suppliers to ensure the legal requirements on Child Labour and young Workers are adhered to both within the Entity and throughout its supply chain.
10.3a-c Forced Labour	Conformance	<p>The Entity has established and implemented a Prevention of Involuntary Labour Procedure, and commits to, and expects its suppliers to comply with the prohibition of Forced Labour, Slavery and Human Trafficking. Based on Worker interviews, management interviews and a document review, no indications of Forced Labour were identified. The Entity does not require employees to provide any form of deposit or recruitment fee, there is no restriction on the Workers' freedom of movement at the site or at on-site accommodation, no form of loans are provided to Workers, no indications of Debt Bondage were identified in contracts, and no illegal deductions identified in the Workers' pay slips. There are no foreign Migrant Workers employed at the Entity.</p> <p>The Entity has disclosed a Modern Slavery Statement, available at: https://alidocs.dingtalk.com/i/nodes/amweZ92PV60vyZgKuEPZMwjX8xEKBD6p?utm_scene=person_space</p>
10.4a-c Non-Discrimination	Conformance	<p>The Entity has implemented an anti-Discrimination, Harassment and Abuse Procedure that ensures equal opportunities. The Entity does not engage in or support Discrimination in hiring, remuneration, promotion, training, advancement opportunities or termination of any Worker.</p> <p>The Non-Discrimination Policy is included in employee training during on boarding and annual re-calibration training. Worker interviews and the results of the annual business conduct and conflict assessment confirmed the workplace provides equal opportunities and is free of Discrimination.</p>
10.5 Communication and engagement	Minor Non-Conformance	<p>The Entity has implemented an Employee Complaints Management Procedure. There are regular meetings between the Trade Union and senior management, a grievance and complaints hotline and email, and operating procedures that ensure open communication and direct engagement with Workers and their representatives regarding working conditions and resolution of workplace and compensation issues, without threat of reprisal, intimidation or Harassment.</p> <p>The employee survey collected employees' opinions which identified issues such as insufficient Labour insurance. The Entity did not however provide appropriate evidence that these deficiencies were being appropriately considered or addressed, for instance by reporting the issues to the relevant provider.</p>
10.6a-g Violence and Harassment	Conformance	The Entity has implemented a corporate Policy that prohibits Harassment and Violence in the workplace. The Entity provides training on the Policy regarding the prevention of Harassment, and countering Harassment and Discrimination. The Entity has established an Ethics and Compliance Integrity Line that is available in all

CRITERION	RATING	COMMENT
		languages, and employees can anonymously report any case of Violence or Harassment. The Entity's Code of Conduct is available at: https://alidocs.dingtalk.com/i/nodes/7NkDwLng8ZvMK3kdu0qRMbG68KMEVZBY?utm_scene=person_space
10.7a-c Remuneration	Conformance	The Entity's remuneration payments are documented and promptly paid to all Workers by bank transfer on 20 th of every month. Employees receive additional remuneration for working Overtime, on public holidays, on rest days and at night. Payslips with information on wages, calculations and deductions such as taxes, are provided for all employees. Employees are paid wages higher than both the fixed living wage and the minimum legal wage in China.
10.8a-c Working Time	Minor Non-Conformance	The Entity has implemented an attendance and working hours system. As confirmed by Worker interviews and a review of attendance records, working hours are monitored and comply with Chinese Labour Law. Overtime is voluntary, and Workers can refuse to work Overtime. A random check of employee attendance records undertaken during the Audit however, demonstrated that some employees had worked more than 36 hours of Overtime a month over the previous six months.
10.9a-b Informing Workers of Rights	Conformance	The Entity has informed Workers of their rights through local information systems including electronic notice boards, public bulletin boards located in every workshop, the employee manual, training, personal consultations and meetings.
11. OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Management System	Conformance	The Entity has implemented an Occupational Health and Safety (OH&S) Management System for which it holds a valid ISO45001:2018 Certificate. The Entity has established formal OH&S Policies which are posted in the internal public areas.
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Minor Non-Conformance	The Entity has implemented an OH&S Management System for which it holds a valid ISO45001:2018 certificate. The Entity has established performance indicators, and a comparative analysis of performance with peer Businesses and leading practice is published in the Entity's annual Sustainability Report, available at: https://alidocs.dingtalk.com/i/nodes/G1DKw2zgV2mRyX4lCokNed9nWB5r9YAn?utm_scene=person_space The Entity's OH&S Management System Effectiveness is further disclosed at: https://alidocs.dingtalk.com/i/nodes/2Amq4vjg893geqN9sO25nqAxV3kdP0wQ?utm_scene=person_space During the Audit it was observed however that no evacuation instructions were posted in the CNC workshop.
11.2 Employee engagement on Health and Safety	Conformance	The Entity has established adequate and effective procedures for engaging employees on OH&S control systems, including Safety Committee meetings, a suggestion box and periodic Worker interviews.

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DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	4 August 2025	Initial Certification Audit – Full Certification
