

# ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

# Guangdong Hongjin New Material Group Co., Ltd.

CERTIFICATE NUMBER  
**459**

ASI STANDARD  
**PERFORMANCE  
STANDARD  
(V3 2022)**

DATE OF ISSUE  
**22 AUGUST 2025**

CERTIFICATION  
LEVEL  
**FULL  
CERTIFICATION**

DATE OF EXPIRY  
**21 AUGUST 2028**

ASI ACCREDITED  
AUDITING FIRM  
**SGS-CSTC STANDARDS  
TECHNICAL SERVICES**

CERTIFIED SINCE  
**22 AUGUST 2025**

## AUTHORISED BY

A stylized signature in black ink, likely representing the Aluminium Stewardship Initiative.

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*Validity of this Certificate is subject to  
continued conformance with the  
applicable ASI Standard and can be  
verified at*  
**[www.aluminium-stewardship.org](http://www.aluminium-stewardship.org)**

## CERTIFICATION SCOPE

Manufacture of Aluminium alloy  
ingots and Aluminium alloy liquids  
at Guangdong Hongjin New  
Material Group Co., Ltd.  
(Headquarters), including Foshan  
Nanhai Chuangli Non-Ferrous Metal  
Products Co., Ltd., Guangdong  
Hongbang Metal and Aluminum  
Co., Ltd., Guangdong Hongjin Metal  
Aluminum Co., Ltd., Guangdong  
Huajin Metal Profile Co., Ltd.,  
Nantong Hongjin Metal Aluminum  
Co., Ltd., Wuhan Hongjin Metal  
Aluminum Co., Ltd.

# AUDIT REPORT

## PERFORMANCE

## STANDARD

### OVERVIEW

MEMBER NAME	Guangdong Hongjin New Material Group Co., Ltd.
ENTITY NAME	Guangdong Hongjin New Material Group Co., Ltd.
CERTIFICATION SCOPE	Manufacture of Aluminium alloy ingots and Aluminium alloy liquids at Guangdong Hongjin New Material Group Co., Ltd. (Headquarters), including Foshan Nanhai Chuangli Non-Ferrous Metal Products Co., Ltd., Guangdong Hongbang Metal and Aluminum Co., Ltd., Guangdong Hongjin Metal Aluminum Co., Ltd., Guangdong Huajin Metal Profile Co., Ltd., Nantong Hongjin Metal Aluminum Co., Ltd., Wuhan Hongjin Metal Aluminum Co., Ltd.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none"><li>Aluminium Re-melting/Refining</li><li>Casthouses</li></ul>
ASI STANDARD	<ul style="list-style-type: none"><li>Performance Standard V3</li></ul>
AUDIT TYPE	<ul style="list-style-type: none"><li>Initial Certification Audit</li></ul>
AUDIT FIRM	SGS-CSTC Standards Technical Services
AUDIT DATE	<ul style="list-style-type: none"><li>12 December 2024 – 23 January 2025</li></ul>
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none"><li>10 March 2025</li></ul>
AUDIT SCOPE	<p>The Audit Scope included the manufacture of Aluminium alloy ingots and Aluminium alloy liquids at Guangdong Hongjin New Material Group Co., Ltd. (Headquarters), including Foshan Nanhai Chuangli Non-Ferrous Metal Products Co., Ltd., Guangdong Hongbang Metal and Aluminum Co., Ltd., Guangdong Huajin Metal Profile Co., Ltd. Nantong Hongjin Metal Aluminum Co., Ltd.</p> <p>The Entity used the ASI multi-site sampling approach and the Guangdong Hongjin Metal Aluminum Co., Ltd. And Wuhan Hongjin Metal Aluminum Co., Ltd. sites were not audited.</p> <p>Supply Chain Activities included in the Audit Scope:</p> <ul style="list-style-type: none"><li>Aluminium Re-melting/Refining</li><li>Casthouses</li></ul> <p>All relevant criteria in the ASI Performance Standard were included in the Audit Scope.</p>
AUDIT OUTCOME	<ul style="list-style-type: none"><li>Certification</li></ul>
AUDIT METHODOLOGY DECLARATION	<p>The Auditors confirm that:</p> <ul style="list-style-type: none"><li><input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.</li></ul>

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- ☑ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
  - ☑ The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
  - ☑ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
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CERTIFICATION PERIOD	22 August 2025 – 21 August 2028
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NEXT AUDIT TYPE	Surveillance Audit
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NEXT AUDIT DATE	21 February 2027
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CERTIFICATE NUMBER	459
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If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

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## ENTITY OVERVIEW

Guangdong Hongjin New Material Group Co., Ltd. (the 'Entity') was established in August 1996 and operates six production subsidiaries, including Foshan Nanhai Chuangli Non-Ferrous Metal Products Co., Ltd., Guangdong Hongbang Metal and Aluminum Co., Ltd., Guangdong Hongjin Metal Aluminum Co., Ltd., Guangdong Huajin Metal Profile Co., Ltd., Nantong Hongjin Metal Aluminum Co., Ltd. and Wuhan Hongjin Metal Aluminum Co., Ltd. The Entity specialises in the production of Aluminium alloy ingots and Aluminium alloy liquids.

Located in a Government-designated industrial zone, the Entity is not in proximity to sensitive areas such as Indigenous lands, schools, scenic spots or drinking water source areas. Its main production processes include Aluminium Scrap remelting, refining, ingot casting and direct supply of molten Aluminium. In 2024, the Entity's total production was approximately 743,300 tonnes, including 506,600 tonnes of molten Aluminium and 236,700 tonnes of Aluminium ingots.

The Entity includes recreational amenities, such as sports fields, for the leisure of its employees. Key Stakeholders of the Entity include shareholders, customers, partners, downstream supply chains, and relevant Government agencies.

## MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	Medium	Medium	Medium	MEDIUM
RISKS	Medium	Medium	Medium	MEDIUM
PERFORMANCE	Medium	Medium	Medium	MEDIUM
OVERALL	MEDIUM			

## FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	The Entity has established a collection and control procedure for laws, regulations and other requirements, which stipulate responsibilities as well as the method, timing and frequency for identifying and evaluating Compliance of laws and regulations related to environment, social and governance requirements. The Entity has prepared a written Compliance evaluation report. The related information available at <a href="https://www.creditchina.gov.cn/">https://www.creditchina.gov.cn/</a> has been reviewed, and no violations have been identified.
1.2 Anti-Corruption	Conformance	<p>The Entity has assessed high-risk areas for commercial Bribery and established an anti-Corruption and anti-Bribery procedure, which strictly prohibits Corruption and Bribery in all Business practices and transactions. These Policies and procedures have been communicated to, and are understood by, employees and all relevant third parties acting on behalf of the Entity. Its ASI Policies, including the anti-Corruption and anti-Bribery Policy, is available at: <a href="http://www.hjxc.com.cn/uploads/index/20241108/12b3938cbeb6c56b06c504b4e28e41cf.pdf">http://www.hjxc.com.cn/uploads/index/20241108/12b3938cbeb6c56b06c504b4e28e41cf.pdf</a></p> <p>The Entity has also established internal and external communication channels for reporting and inquiries, available at: <a href="http://www.hjxc.com.cn/uploads/index/20241222/c544b31a13eef1e8620471a562dca48b.pdf">http://www.hjxc.com.cn/uploads/index/20241222/c544b31a13eef1e8620471a562dca48b.pdf</a></p>
1.3a-e Code of Conduct	Conformance	<p>The Entity has published the ASI Code of Conduct on its website at: <a href="http://hjxc.com.cn/uploads/index/20241108/12b3938cbeb6c56b06c504b4e28e41cf.pdf">http://hjxc.com.cn/uploads/index/20241108/12b3938cbeb6c56b06c504b4e28e41cf.pdf</a></p> <p>It has also developed supporting documents, including the ASI Governance Manual and Employee Handbook. Internal Audits and management reviews are conducted at least annually to assess Compliance with the ASI Performance Standard, including the Entity's Code of Conduct.</p> <p>The Entity's ASI Governance Manual stipulates that if significant changes in Environmental, Social, or Governance (ESG) risks occur, or if control gaps are identified, the Policy must be reviewed or revised. Since the Code of Conduct was established, no Major Changes or significant control gaps have been identified.</p>
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Conformance	<p>The Entity has Implemented and maintained integrated Policies in Compliance with ESG practices. These Policies have been approved by the Group Chairman, and the Entity supports implementation by providing appropriate resources.</p> <p>In 2023, the Entity established an ASI Management System and defined relevant requirements, which remain valid for less than five years. Therefore, no Policy review is currently required.</p> <p>The Entity's ESG Policies have been posted internally and also publicly available at: <a href="http://www.hjxc.com.cn/uploads/index/20241108/fc0fb8e7eb601389afa431ff7dfbbd5c.pdf">http://www.hjxc.com.cn/uploads/index/20241108/fc0fb8e7eb601389afa431ff7dfbbd5c.pdf</a></p>

CRITERION	RATING	COMMENT
2.2a-c Leadership	Conformance	The Entity has appointed a senior Management Representative to lead the implementation and communication of the ESG Policy. This representative is also responsible for ensuring that adequate resources are provided to establish, implement, maintain and improve the Management Systems required by the ASI Performance Standard. The responsibilities and authorities of each department and key roles have been defined to support the implementation.
2.3a Environmental and Social Management Systems – Environmental	Conformance	<p>The Entity has documented and implemented an Environmental Management System in Compliance with ISO 14001:2015. The Entity's Head Office oversees the Environmental Management Systems of its subsidiaries, including planning and coordination activities.</p> <p>Internal Audits and management reviews have been conducted on schedule, with no open major or minor Corrective Action Requests (CARs) at Head Office or any subsidiary. The most recent external audit report of the subsidiary, reviewed on-site, also confirmed no major or minor CARs. There have been no fines or CARs from Government agencies or other Stakeholders.</p>
2.3b Environmental and Social Management Systems – Social	Conformance	The Entity has documented and implemented a Social Management System. No fines and CARs have been issued by Government agencies or other Stakeholders relating to social aspects and potential impacts of the Entity.
2.4a-e Responsible Sourcing	Conformance	<p>The Entity has established a Responsible Sourcing Policy, with related requirements defined in the ASI Management Manual, available at: <a href="http://www.hjxc.com.cn/uploads/index/20241108/33c2410b022eeef028ec5017bfab7df.pdf">http://www.hjxc.com.cn/uploads/index/20241108/33c2410b022eeef028ec5017bfab7df.pdf</a></p> <p>The Responsible Sourcing Policy, which incorporates ESG aspects in Compliance with the ASI Performance Standard, has been communicated to all suppliers and contractors.</p>
2.5a-g Environmental and Social Impact Assessments	Not Applicable	This Criterion is not applicable, as there have been no New Projects or Major Changes since the Entity joined ASI.
2.6a-h Human Rights Impact Assessment	Not Applicable	This Criterion is not applicable, as there have been no New Projects or Major Changes since the Entity joined ASI.
2.7a-f Emergency Response Plan	Conformance	<p>The Entity has established an emergency response control procedure developed in collaboration with Workers, Affected Populations and Organisations. The procedure includes reviewing emergency response plans after any changes to the Business that affect the nature or scale of emergency risks.</p> <p>The emergency response plans were developed by a qualified third-party body and approved by the local Emergency Management Department. The Entity has conducted comprehensive environmental and safety drills to test these plans and has provided training accordingly.</p> <p>The latest version of the emergency response plans are available at: Foshan Nanhai Emergency Plan for Sudden Environmental Incidents: <a href="http://www.hjxc.com.cn/uploads/index/20241230/024fdaed405e25197996f93f50c085fa.pdf">http://www.hjxc.com.cn/uploads/index/20241230/024fdaed405e25197996f93f50c085fa.pdf</a></p> <p>Foshan Nanhai Emergency Plan for Production Safety Accident:</p>

CRITERION	RATING	COMMENT
		<a href="http://www.hjxc.com.cn/uploads/index/20241230/5da71182b0013e823c946da44b1c1b5c.pdf">http://www.hjxc.com.cn/uploads/index/20241230/5da71182b0013e823c946da44b1c1b5c.pdf</a> Guangdong Hongbang Emergency Plan for Sudden Environmental Incidents: <a href="http://www.hjxc.com.cn/uploads/index/20250121/49f5f4febf201ade58d6a0d12980e2bb.pdf">http://www.hjxc.com.cn/uploads/index/20250121/49f5f4febf201ade58d6a0d12980e2bb.pdf</a> Guangdong Hongbang Emergency Plan for Production Safety Accident: <a href="http://www.hjxc.com.cn/uploads/index/20241108/4f2e7d910c7dc8bc3cd4368acfb47096.pdf">http://www.hjxc.com.cn/uploads/index/20241108/4f2e7d910c7dc8bc3cd4368acfb47096.pdf</a> Guangdong Huajin Emergency Plan for Sudden Environmental Incidents: <a href="http://www.hjxc.com.cn/uploads/index/20250103/f484b305e99957af8526b980fb98056a.pdf">http://www.hjxc.com.cn/uploads/index/20250103/f484b305e99957af8526b980fb98056a.pdf</a> Guangdong Huajin Emergency Plan for Production Safety Accident: <a href="http://www.hjxc.com.cn/uploads/index/20241111/b91e7755e1be12f97134212db324186d.pdf">http://www.hjxc.com.cn/uploads/index/20241111/b91e7755e1be12f97134212db324186d.pdf</a> Nantong Hongjin Emergency Plan for Sudden Environmental Incidents: <a href="http://www.hjxc.com.cn/uploads/index/20250103/22433a161f33071f2f18c2bfe51ef8b2.pdf">http://www.hjxc.com.cn/uploads/index/20250103/22433a161f33071f2f18c2bfe51ef8b2.pdf</a> Nantong Hongjin Emergency Plan for Production Safety Accident: <a href="http://www.hjxc.com.cn/uploads/index/20241227/c1a1826464cc88c853971886af958703.pdf">http://www.hjxc.com.cn/uploads/index/20241227/c1a1826464cc88c853971886af958703.pdf</a>
2.8a-d Suspended Operations	Conformance	<p>The Entity has established a Business Resilience Plan, which includes measures to address potential Suspension or Major Changes to operations due to factors beyond its control. The Plan considers Material adverse ESG impacts.</p> <p>The Business Resilience Plan is reviewed every five years, or earlier if there is any indication of a control gap or significant changes to the Business that affect the nature or scale of ESG risks. To date, no significant changes have occurred, and no review has been required.</p>
2.9a-b Mergers and Acquisitions	Conformance	The Entity has established a procedure for Mergers and Acquisitions, including Due Diligence. No Mergers or Acquisitions have occurred.
2.10a-b Closure, Decommissioning and Divestment	Conformance	A procedure for Closure, Decommissioning and Divestment has been established in Compliance with the requirements of the ASI Performance Standard. No such instance has occurred in the previous year.
<b>3. TRANSPARENCY</b>		
3.1a-b Sustainability Reporting	Conformance	<p>The Entity has developed a Sustainability Report, which provides information on key areas of governance (including Stakeholder communication and Compliance management) and performance (environment and energy, labour and Human Rights, working conditions, Occupational Health and Safety, responsible sourcing, community engagement and public charity). The Report is available at:</p> <a href="http://www.hjxc.com.cn/uploads/index/20250303/f099213d694645e51fcbd07d728e66e8.pdf">http://www.hjxc.com.cn/uploads/index/20250303/f099213d694645e51fcbd07d728e66e8.pdf</a>
3.2 Non-compliance and Liabilities	Minor Non-Conformance	The official websites of relevant Government agencies and Non-Governmental Organisations (NGOs) indicate that no cases on the Entity were raised by Government authorities in 2023. There were no

CRITERION	RATING	COMMENT
		<p>significant fines, judgments, penalties, or non-monetary sanctions for non-Compliance with applicable law received. The Entity has publicly disclosed that no violations in 2023 were received, available at: <a href="http://www.hjxc.com.cn/uploads/index/20241108/432a681bfd8e7c607a6fc468fb889beb.pdf">http://www.hjxc.com.cn/uploads/index/20241108/432a681bfd8e7c607a6fc468fb889beb.pdf</a></p> <p>In July 2024, the Wuhan Housing Fund Management Centre took administrative action against Wuhan Hongjin Metal Aluminum Co., Ltd. for failing to make full housing provident fund contributions. Additionally, in January 2025, Wuhan Hongjin was listed in a court ruling. The Entity has reconfirmed the administrative handling of both cases involving Wuhan Hongjin, and both matters have since been closed.</p> <p>The Entity however has not publicly disclosed this information.</p>
3.3a-c Payments to Governments	Conformance	<p>The Entity has established a management process for payments to Governments. These payments include taxes, water and electricity fees, employee insurance premiums. The Entity has completed all payments to Governments in Compliance with legal and contractual obligations. The payment information is available at: <a href="http://www.hjxc.com.cn/uploads/index/20241108/7b9793dab5db7ffe4c3f9f92906b5ae.pdf">http://www.hjxc.com.cn/uploads/index/20241108/7b9793dab5db7ffe4c3f9f92906b5ae.pdf</a>  <a href="http://www.hjxc.com.cn/uploads/index/20241108/ac0082ab8fe57e1702af8eb6856d2471.pdf">http://www.hjxc.com.cn/uploads/index/20241108/ac0082ab8fe57e1702af8eb6856d2471.pdf</a>  <a href="http://www.hjxc.com.cn/uploads/index/20241109/47e89a25bc704cd79aef507643341ad3.pdf">http://www.hjxc.com.cn/uploads/index/20241109/47e89a25bc704cd79aef507643341ad3.pdf</a>  <a href="http://www.hjxc.com.cn/uploads/index/20241109/cf817c76a45780fb0f9ec104d787f709.pdf">http://www.hjxc.com.cn/uploads/index/20241109/cf817c76a45780fb0f9ec104d787f709.pdf</a>  <a href="http://www.hjxc.com.cn/uploads/index/20241109/ae94b743c64c5a227a9891dcd6ab2a12.pdf">http://www.hjxc.com.cn/uploads/index/20241109/ae94b743c64c5a227a9891dcd6ab2a12.pdf</a></p>
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	<p>The Entity has developed an accessible Complaints Resolution Mechanism, available at: <a href="http://www.hjxc.com.cn/uploads/index/20241213/d47c1fccbf1603fe3a32c0fc84d687a1.pdf">http://www.hjxc.com.cn/uploads/index/20241213/d47c1fccbf1603fe3a32c0fc84d687a1.pdf</a></p>
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Minor Non-Conformance	<p>The Entity has established Life Cycle Assessment (LCA) Report, available at: <a href="http://www.hjxc.com.cn/uploads/index/20250730/b08c69b04557a7204bfb0804601118c7.pdf">http://www.hjxc.com.cn/uploads/index/20250730/b08c69b04557a7204bfb0804601118c7.pdf</a></p> <p>Some results however appear inconsistent and specified emission factors are absent.</p>
4.1b-c Environmental Life Cycle Assessment - Disclosure	Minor Non-Conformance	<p>The Entity has obtained ISO 14001:2015 Certification, available at: <a href="http://cx.cnca.cn/CertECloud/result/skipResultList">http://cx.cnca.cn/CertECloud/result/skipResultList</a></p> <p>A review of the environmental aspect identification and evaluation process demonstrated that the Entity has considered the product life cycle in its assessments. It has collected life cycle data from 'cradle to gate' and developed its first Life Cycle Assessment (LCA) Report, available at: <a href="http://www.hjxc.com.cn/uploads/index/20250730/b08c69b04557a7204bfb0804601118c7.pdf">http://www.hjxc.com.cn/uploads/index/20250730/b08c69b04557a7204bfb0804601118c7.pdf</a></p> <p>Some results however appear inconsistent and specified emission factors are absent.</p>



CRITERION	RATING	COMMENT
4.2 Product Design	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3a-b Aluminium Process Scrap	Conformance	<p>The Entity has established a 100% recycling rate as its goal for Process Scrap generated during Aluminium Product manufacturing and regularly evaluates progress toward this target. It has implemented a systematic protocol for classifying and registering procured Aluminium Scrap.</p> <p>Through strategic collaboration with upstream suppliers and downstream clients, the Entity has established a circular Aluminium resource utilisation platform and has established dedicated recycling channels for Aluminium Scrap.</p>
4.4a-c Collection and Recycling of Products at End of Life – Material Conversion and other Manufacturing	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.4d Collection and Recycling of Products at End of Life	Conformance	<p>Client-generated Waste and nonconforming Products are one of the recycling sources identified in the Entity's Aluminium Waste recycling strategy. As local Aluminium collection and recycling systems are still lacking in Guangdong and Jiangsu provinces, the Entity ensures that client Waste is 100% recycled. The specific recycling method is implemented according to contractual agreements between both parties.</p> <p>The Entity actively engages in industry initiatives to support accurate recycling measurement and promote higher recycling rates for Aluminium-containing Products, including through the co-hosting of and participating in various industry conferences.</p>
<b>5. GREENHOUSE GAS EMISSIONS</b>		
5.1a-b Disclosure of GHG Emissions and Energy Use	Minor Non-Conformance	<p>The Entity has published a Greenhouse Gas (GHG) Emissions Report incorporating Scope 1, 2 and 3 emissions, which has been verified by an independent body. The GHG Verification Statement is available at: <a href="http://www.hjxc.com.cn/uploads/index/20250123/a477fb9f4eff84a87e110676b8be350d.pdf">http://www.hjxc.com.cn/uploads/index/20250123/a477fb9f4eff84a87e110676b8be350d.pdf</a></p> <p>The Entity has annually accounted for and disclosed Material energy use and GHG emissions by source. The GHG Verification Report is available at: <a href="http://www.hjxc.com.cn/uploads/index/20250730/fb687cf8688def5a3181094285f152a4.pdf">http://www.hjxc.com.cn/uploads/index/20250730/fb687cf8688def5a3181094285f152a4.pdf</a></p> <p>The emission factors used in the report however are unclear and inconsistencies have been identified.</p>
5.2a Aluminium Smelter GHG Emissions Intensity – Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity – In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
5.3a-e GHG Emissions Reduction Plans	Minor Non-Conformance	<p>The Entity has published GHG emissions reduction plans, with targets covering direct and indirect emissions for Scope 1, 2 and 3. Targets are publicly available at:</p> <p><a href="http://www.hjxc.com.cn/uploads/index/20250730/2d48820304f9fc80d06d3a3a1b37e59c.pdf">http://www.hjxc.com.cn/uploads/index/20250730/2d48820304f9fc80d06d3a3a1b37e59c.pdf</a></p> <p><a href="http://www.hjxc.com.cn/uploads/index/20250730/928a9685621a76d9d42360d1eb617b28.pdf">http://www.hjxc.com.cn/uploads/index/20250730/928a9685621a76d9d42360d1eb617b28.pdf</a></p> <p><a href="http://www.hjxc.com.cn/uploads/index/20250730/cea92af8ff26dc661ee3660ac9e829e9.pdf">http://www.hjxc.com.cn/uploads/index/20250730/cea92af8ff26dc661ee3660ac9e829e9.pdf</a></p> <p><a href="http://www.hjxc.com.cn/uploads/index/20250730/49fc414da74dee3fe9201a53b8839457.pdf">http://www.hjxc.com.cn/uploads/index/20250730/49fc414da74dee3fe9201a53b8839457.pdf</a></p> <p><a href="http://www.hjxc.com.cn/uploads/index/20250730/1547fd6f367ec753c437e5b92c8aede4.pdf">http://www.hjxc.com.cn/uploads/index/20250730/1547fd6f367ec753c437e5b92c8aede4.pdf</a></p> <p><a href="http://www.hjxc.com.cn/uploads/index/20250730/36eaf62848a9190a05dd7c156ffef1f5.pdf">http://www.hjxc.com.cn/uploads/index/20250730/36eaf62848a9190a05dd7c156ffef1f5.pdf</a></p> <p>The Entity has also established a GHG Control and Management Procedure, which requires an annual management review of the GHG inventory. The GHG emissions reduction plan and pathway are reviewed whenever there are changes to the Business.</p> <p>GHG emissions are generally managed in Compliance with the established procedures. The referenced decarbonisation pathway is based on the ASI tool.</p> <p>There are deficiencies however in the calculation of carbon emission intensities.</p>
5.4 GHG Emissions Management	Minor Non-Conformance	<p>The Entity has established a GHG inventory procedure outlining the identification of GHG sources and sinks, quantification of emissions and removals, and the setting of reduction targets. Measurement and monitoring instrumentation used for the development of this inventory has been calibrated. The Entity has also developed a GHG reduction plan and regularly discloses its performance publicly.</p> <p>Deficiencies in the overall management of GHG emissions have been identified however.</p>
6. EMISSIONS, EFFLUENTS AND WASTE		
6.1a-f Emissions to Air	Conformance	<p>The Entity has publicly disclosed its exhaust gas emissions and reduction plans, available at:</p> <p><a href="http://www.hjxc.com.cn/uploads/index/20241202/f803640ef027843d3321a82a7f708f60.pdf">http://www.hjxc.com.cn/uploads/index/20241202/f803640ef027843d3321a82a7f708f60.pdf</a></p> <p><a href="http://www.hjxc.com.cn/uploads/index/20241202/cf8007d0a4f3d32427b7ed43bc16f759.pdf">http://www.hjxc.com.cn/uploads/index/20241202/cf8007d0a4f3d32427b7ed43bc16f759.pdf</a></p> <p><a href="http://www.hjxc.com.cn/uploads/index/20241202/8be428f8f3e39a4bc33d223579bb8143.pdf">http://www.hjxc.com.cn/uploads/index/20241202/8be428f8f3e39a4bc33d223579bb8143.pdf</a></p> <p><a href="http://www.hjxc.com.cn/uploads/index/20241202/1483c9d1bc3a999b315de2d8670bde69.pdf">http://www.hjxc.com.cn/uploads/index/20241202/1483c9d1bc3a999b315de2d8670bde69.pdf</a></p> <p>All disclosed data are accessible and traceable. The Entity has established regulations for Waste gas treatment management, requiring annual reviews of the air pollutant reduction plan and additional reviews following any changes that may increase pollution risk. The Entity also reviews its environmental targets, including Waste gas reduction goals.</p>
6.2a-g Discharges to Water	Conformance	<p>The Entity has publicly disclosed its 2023 wastewater discharge data and its reduction plans for 2024 and 2025 on its website at:</p> <p><a href="http://www.hjxc.com.cn/uploads/index/20241202/f803640ef027843d33">http://www.hjxc.com.cn/uploads/index/20241202/f803640ef027843d33</a></p>

CRITERION	RATING	COMMENT
		<a href="#">21a82a7f708f60.pdf</a> <a href="http://www.hjxc.com.cn/uploads/index/20241202/cf8007d0a4f3d32427b7ed43bc16f759.pdf">http://www.hjxc.com.cn/uploads/index/20241202/cf8007d0a4f3d32427b7ed43bc16f759.pdf</a> <a href="http://www.hjxc.com.cn/uploads/index/20241202/1483c9d1bc3a999b315de2d8670bde69.pdf">http://www.hjxc.com.cn/uploads/index/20241202/1483c9d1bc3a999b315de2d8670bde69.pdf</a> <a href="http://www.hjxc.com.cn/uploads/index/20241202/8be428f8f3e39a4bc33d223579bb8143.pdf">http://www.hjxc.com.cn/uploads/index/20241202/8be428f8f3e39a4bc33d223579bb8143.pdf</a> The reduction plans for water discharges are reviewed every five years. If any discharge exceeds internal or external limits, or if a control gap is identified, the plan will be reviewed immediately.
6.3a-g Assessment and Management of Spills and Leakages	Conformance	The Entity has assessed major operational risk areas related to Spills and Leakages that could contaminate air, water, or soil. The latest management plan is available on the website at: <a href="http://www.hjxc.com.cn/uploads/index/20241230/c28ceec8be8a0b67fb3304d5175d9e04.pdf">http://www.hjxc.com.cn/uploads/index/20241230/c28ceec8be8a0b67fb3304d5175d9e04.pdf</a> <a href="http://www.hjxc.com.cn/uploads/index/20241226/80f7ea6d15baca0151aa3be3c4283da2.pdf">http://www.hjxc.com.cn/uploads/index/20241226/80f7ea6d15baca0151aa3be3c4283da2.pdf</a> <a href="http://www.hjxc.com.cn/uploads/index/20241219/742a26f683c38d7835c4176b316bc0d9.pdf">http://www.hjxc.com.cn/uploads/index/20241219/742a26f683c38d7835c4176b316bc0d9.pdf</a> <a href="http://www.hjxc.com.cn/uploads/index/20241213/014e34712242c355df1a34d010d33269.pdf">http://www.hjxc.com.cn/uploads/index/20241213/014e34712242c355df1a34d010d33269.pdf</a> The Entity will review the management plan at least every five years, or immediately following any Spill or Leakage incident, significant Business changes affecting Spill and Leakage risks, or any indication of a control gap.
6.4a-b Public Disclosure of Spills and Leakages	Not Applicable	This Criterion is not applicable to the Entity as no Spills or Leakages have occurred since the Entity joined ASI.
6.5a-c Waste Management and Reporting	Conformance	The Entity is subject to Waste management regulations, which require the separate collection and storage of Waste. The Waste reduction target is reviewed at the end of each year. In 2023, the Entity publicly disclosed its solid Waste data and its solid Waste management strategy optimisation programme on its website, available at: <a href="http://www.hjxc.com.cn/uploads/index/20241109/74f385fa50302ae1822667dbf75f0ee1.pdf">http://www.hjxc.com.cn/uploads/index/20241109/74f385fa50302ae1822667dbf75f0ee1.pdf</a> <a href="http://www.hjxc.com.cn/uploads/index/20241109/d75b23df6830ed5b72f95510e57dbe75.pdf">http://www.hjxc.com.cn/uploads/index/20241109/d75b23df6830ed5b72f95510e57dbe75.pdf</a> <a href="http://www.hjxc.com.cn/uploads/index/20241108/b677028251f769caf5d0b429eae53d54.pdf">http://www.hjxc.com.cn/uploads/index/20241108/b677028251f769caf5d0b429eae53d54.pdf</a> <a href="http://www.hjxc.com.cn/uploads/index/20241109/6099ae4999c89fe8478a601150f6ab20.pdf">http://www.hjxc.com.cn/uploads/index/20241109/6099ae4999c89fe8478a601150f6ab20.pdf</a> An Environmental Impact Assessment (EIA) was completed prior to the construction of the Entity. The EIA concluded that there would be no Material impact on human well-being or the environment, as the Entity is located within an industrial zone.
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Conformance	The Entity has publicly disclosed its solid Waste data and solid Waste management strategy optimisation program in 2023 on its website, available at:

CRITERION	RATING	COMMENT
		<a href="http://www.hjxc.com.cn/uploads/index/20241109/74f385fa50302ae1822667dbf75f0ee1.pdf">http://www.hjxc.com.cn/uploads/index/20241109/74f385fa50302ae1822667dbf75f0ee1.pdf</a> <a href="http://www.hjxc.com.cn/uploads/index/20241109/d75b23df6830ed5b72f95510e57dbe75.pdf">http://www.hjxc.com.cn/uploads/index/20241109/d75b23df6830ed5b72f95510e57dbe75.pdf</a> <a href="http://www.hjxc.com.cn/uploads/index/20241108/b677028251f769caf5d0b429eae53d54.pdf">http://www.hjxc.com.cn/uploads/index/20241108/b677028251f769caf5d0b429eae53d54.pdf</a> <a href="http://www.hjxc.com.cn/uploads/index/20241109/6099ae4999c89fe8478a601150f6ab20.pdf">http://www.hjxc.com.cn/uploads/index/20241109/6099ae4999c89fe8478a601150f6ab20.pdf</a> The Waste reduction target is reviewed annually. The Dross storage area is equipped with pollution prevention measures and Facilities, including anti-Leakage, sun and rain protection, complying with the requirements of the Standard for Pollution Control on Hazardous Waste Storage (GB18597-2023).  The Entity monitors the weight of reused Dross and tracks the reuse rate, which reached 100 percent in 2023. Additionally, a prevention and controlling Waste pollution procedure is in place, which mandates an annual review of alternative options to landfilling Dross residues.
<b>7. WATER STEWARDSHIP</b>		
7.1a-b Water Assessment and Disclosure	Conformance	The Entity has established an energy and resource conservation management procedure. All water used by the Entity is supplied by the local municipal water supply provider, with usage tracked and documented. The level of water-related risks is assessed as low. The Entity has publicly disclosed its water resource management information on its website at: <a href="http://www.hjxc.com.cn/uploads/index/20241109/c249bc9626a42f29dd7adc4e1e49769e.pdf">http://www.hjxc.com.cn/uploads/index/20241109/c249bc9626a42f29dd7adc4e1e49769e.pdf</a> <a href="http://www.hjxc.com.cn/uploads/index/20241109/7ec028cbc7380bc9cfb9cd544c81bd49.pdf">http://www.hjxc.com.cn/uploads/index/20241109/7ec028cbc7380bc9cfb9cd544c81bd49.pdf</a> <a href="http://www.hjxc.com.cn/uploads/index/20241223/438002d67f356583f29e4fbdcbf46e82.pdf">http://www.hjxc.com.cn/uploads/index/20241223/438002d67f356583f29e4fbdcbf46e82.pdf</a> <a href="http://www.hjxc.com.cn/uploads/index/20241109/0e9f52817cae408cfcb8f2a88cd9360c.pdf">http://www.hjxc.com.cn/uploads/index/20241109/0e9f52817cae408cfcb8f2a88cd9360c.pdf</a>
7.2a-e Water Management	Not Applicable	This Criterion is not applicable to the Entity, as the water-related risk assessment is rated as low.
<b>8. BIODIVERSITY AND ECOSYSTEM SERVICES</b>		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Conformance	The Entity has established a Biodiversity management procedure and conducted a Biodiversity assessment. It is located in an industrial park developed by the local Government. The environmental impact assessment report indicates that there are no protected flora or fauna present in the Entity's Area of Influence. Risks and potential impacts on Biodiversity and Ecosystem Services are considered low.
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity as the impacts on Biodiversity and Ecosystem Service are assessed as low.
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable to the Entity as the impacts on Biodiversity are assessed as low.

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8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity as the impacts on Biodiversity and Ecosystem Service are assessed as low.
8.4 Alien Species	Conformance	<p>The Entity has established an Alien Species management mechanism to proactively prevent the accidental or intentional introduction of Alien Species that could negatively impact Biodiversity.</p> <p>To minimise risks, the Entity does not introduce any Alien Species. No international shipping activities are undertaken, reducing the likelihood of unintentional species transfer. In Compliance with customs regulations, all wooden packaging materials are required to be fumigated before entering China. Additionally, garden suppliers are instructed to use only common ornamental plants that are locally accepted and present no risks to flora and fauna.</p> <p>The Entity also ensures that relevant Stakeholders are aware of its Alien Species management requirements. Communication is conducted through various channels, including email, public announcements, and social media, targeting suppliers, employees, visitors, and other related parties.</p>
8.5a-b Commitment to 'No Go' in World Heritage Properties	Not Applicable	This Criterion is not applicable to the Entity as it is located in an industrial park established by the local Government. No World Heritage properties are located within the Entity's Area of Influence.
8.6a-d Protected Areas	Not Applicable	This Criterion is not applicable to the Entity as it is located in an industrial park established by the local Government. No Protected Areas are located within the Entity's Area of Influence.
8.6e Protected Areas – Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
<b>9. HUMAN RIGHTS</b>		
9.1a-d Human Rights Due Diligence	Conformance	<p>The Entity has developed its ASI Code of Conduct Management Procedure, available at:  <a href="http://hjxc.com.cn/uploads/index/20241108/12b3938cbeb6c56b06c504b4e28e41cf.pdf">http://hjxc.com.cn/uploads/index/20241108/12b3938cbeb6c56b06c504b4e28e41cf.pdf</a></p> <p>No Human Rights violations have been reported within the Entity. The Entity has established a Due Diligence process to cover the entire supply chain, ensuring responsible sourcing and Compliance with Human Rights standards.</p> <p>Additionally, the Entity has implemented and published a Complaints and Grievance mechanism to allow Stakeholders to raise concerns, available at:  <a href="http://www.hjxc.com.cn/uploads/index/20241213/d47c1fccbf1603fe3a32c0fc84d687a1.pdf">http://www.hjxc.com.cn/uploads/index/20241213/d47c1fccbf1603fe3a32c0fc84d687a1.pdf</a></p>
9.2a-e Gender Equity and Women's Empowerment	Conformance	<p>The Entity respects women's rights and interests. Legal rights for women have been identified, and control measures are in place to ensure Compliance.</p> <p>The Entity has established the Regulations on Labor Protection and Protection of Rights and Interests of Female Employees, which guarantee equal pay for equal work and equality in promotion,</p>

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		<p>professional appraisal, skills assessment, training opportunities, and welfare benefits for both men and women. Special labour protections for female employees are ensured, and their welfare rights are upheld equally to those of male employees.</p> <p>All female employees receive job training before commencing employment, with equal training opportunities provided to both female and male employees. All employees undergo a probationary period for learning and development before passing a regularisation assessment to work independently.</p> <p>The Labour Union includes a Female Workers' Committee dedicated to protecting the rights and welfare of female employees. Additionally, a confidential hotline is available for female employees to report unfair treatment or to seek assistance.</p> <p>The Entity's Sustainable Development Report, which includes coverage of women's rights and interests protection, is available at:  <a href="http://www.hjxc.com.cn/uploads/index/20250303/f099213d694645e51fcbd07d728e66e8.pdf">http://www.hjxc.com.cn/uploads/index/20250303/f099213d694645e51fcbd07d728e66e8.pdf</a></p> <p>The Entity's Human Rights Due Diligence Reports, including the effectiveness of measures taken to promote gender equality, are available at:  Guangdong Hongjin New Material Group Co., Ltd.  <a href="http://www.hjxc.com.cn/uploads/index/20250620/ac53a1f75597a4d4b292db6f7c52bee3.pdf">http://www.hjxc.com.cn/uploads/index/20250620/ac53a1f75597a4d4b292db6f7c52bee3.pdf</a>  Foshan Nanhai Chuangli Non-Ferrous Metal Products Co., Ltd.  <a href="http://www.hjxc.com.cn/uploads/index/20250620/2bd27b89f030190db71ec9654d019724.pdf">http://www.hjxc.com.cn/uploads/index/20250620/2bd27b89f030190db71ec9654d019724.pdf</a>  Nantong Hongjin Metal Aluminum Co., Ltd.  <a href="http://www.hjxc.com.cn/uploads/index/20250620/0e479666b45bb00a2c8757b7241e427a.pdf">http://www.hjxc.com.cn/uploads/index/20250620/0e479666b45bb00a2c8757b7241e427a.pdf</a>  Wuhan Hongjin Metal Aluminum Co., Ltd.  <a href="http://www.hjxc.com.cn/uploads/index/20250620/e43563c25860c15f8e991b47blacd613.pdf">http://www.hjxc.com.cn/uploads/index/20250620/e43563c25860c15f8e991b47blacd613.pdf</a>  Guangdong Huajin Metal Profile Co., Ltd.  <a href="http://www.hjxc.com.cn/uploads/index/20250620/9082658aee179e988a3970797f15b89d.pdf">http://www.hjxc.com.cn/uploads/index/20250620/9082658aee179e988a3970797f15b89d.pdf</a>  Guangdong Hongbang Metal and Aluminum Co., Ltd.  <a href="http://www.hjxc.com.cn/uploads/index/20250620/4425f0239c98f2dd4f32e3301910b4bb.pdf">http://www.hjxc.com.cn/uploads/index/20250620/4425f0239c98f2dd4f32e3301910b4bb.pdf</a></p>
9.3a-i Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity as there are no Indigenous Peoples within the Entity's Area of Influence.
9.4a Free, Prior, and Informed Consent (FPIC) - New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity as there are no Indigenous Peoples within the Entity's Area of Influence.
9.4b Free, Prior, and Informed Consent (FPIC) - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity as there are no Indigenous Peoples within the Entity's Area of Influence.
9.4c Free, Prior, and Informed Consent (FPIC) - Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity as there are no Indigenous Peoples within the Entity's Area of Influence.

CRITERION	RATING	COMMENT
9.5a Cultural and Sacred Heritage - Identification	Not Applicable	This Criterion is not applicable to the Entity, as it is located in an industrial park which is planned by the local Government and there are no Cultural and Sacred Heritage sites located within the Entity's Area of Influence.
9.5b Cultural and Sacred Heritage - Impacts	Not Applicable	This Criterion is not applicable to the Entity as it is located in a Government-planned industrial park with no Cultural or Sacred Heritage sites located within its Area of Influence.
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity, as it is located in an industrial park established by the local Government. Before the Entity was built, the Government relocated the original inhabitants. The Entity has no New Projects that could cause any physical or economic Displacement.
9.7a-h Affected Populations and Organisations	Conformance	<p>The Entity's Indigenous People protection management procedure states that it shall respect the legal and customary rights of local communities regarding their lands, livelihoods, and natural resource use. The Entity is located in a Government-planned industrial park with no nearby local communities. Stakeholder contact information is available for anyone needing to reach the Entity, available at: <a href="http://www.hjxc.com.cn/uploads/index/20241213/d47c1fccbf1603fe3a32c0fc84d687a1.pdf">http://www.hjxc.com.cn/uploads/index/20241213/d47c1fccbf1603fe3a32c0fc84d687a1.pdf</a></p> <p>To date, no Complaints have been received from local communities.</p>
9.8a Conflict-Affected and High-Risk Areas - Strong Management Systems	Conformance	<p>The Entity has established a Conflict-Affected and High-Risk Areas (CAHRAs) management procedure and implements a Responsible Sourcing Policy addressing environmental, social, and governance issues, available at: <a href="http://www.hjxc.com.cn/uploads/index/20241108/33c2410b022eeefe028ec5017bfab7df.pdf">http://www.hjxc.com.cn/uploads/index/20241108/33c2410b022eeefe028ec5017bfab7df.pdf</a></p> <p>Based on this procedure, the Entity has conducted Due Diligence on suppliers. The Entity's Business and purchasing are not impacted by CAHRAs.</p>
9.8b Conflict-Affected and High-Risk Areas - Identify and assess risks	Conformance	The Entity has established a sourcing management procedure, including CAHRAs management. Under this procedure, the purchasing Policy, covering environmental, social, and governance aspects, is communicated to all suppliers and contractors. The Entity has also identified and assessed risks within its supply chain.
9.8c Conflict-Affected and High-Risk Areas - Strategy to respond to risks	Not Applicable	This Criterion is not applicable to the Entity as no actual or potential risks were identified.
9.8d Conflict-Affected and High-Risk Areas - Audit of due diligence	Conformance	The Entity's Due Diligence processes were included in this ASI Performance Standard Certification Audit, which addresses this requirement.
9.8e Conflict-Affected and High-Risk Areas - Report annually	Conformance	The Entity has established a sourcing management procedure, including CAHRAs management. Under this procedure, the Entity conducts Due Diligence on its suppliers and reports supply chain Due Diligence annually.

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9.9 Security practice	Conformance	<p>The Entity has implemented security practices that respect Human Rights. A Guard Security Management Procedure and an Employee Handbook are implemented, both of which require security providers to uphold Human Rights. Additionally, the Entity's ASI Code of Conduct Management Procedure include a commitment to respect Human Rights and is publicly available on the Entity's website at: <a href="http://www.hjxc.com.cn/uploads/index/20241108/fc0fb8e7eb601389afa431ff7dfbbd5c.pdf">http://www.hjxc.com.cn/uploads/index/20241108/fc0fb8e7eb601389afa431ff7dfbbd5c.pdf</a></p> <p>All security guards have been trained on Human Rights requirements. On-duty security guards were interviewed during the Audit and confirmed having received this training.</p> <p>The Entity has also established and published a Complaints and Grievance channel for Stakeholders. Contact information for the Entity is available at: <a href="http://www.hjxc.com.cn/uploads/index/20241213/d47c1fccbf1603fe3a32c0fc84d687a1.pdf">http://www.hjxc.com.cn/uploads/index/20241213/d47c1fccbf1603fe3a32c0fc84d687a1.pdf</a></p>
10. LABOUR RIGHTS		
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	This Criterion is not applicable to the Entity, as the right to Freedom of Association and Collective Bargaining is addressed in accordance with Chinese Applicable Law.
10.1d Freedom of Association and Right to Collective Bargaining - Alternative means in context of Applicable Law	Conformance	The Entity has established a Labour Union as a branch of the local Government Labour Union to facilitate communication and negotiation on behalf of employees regarding their rights and interests.
10.2a Child Labour	Conformance	The Entity has established a Personnel Management System in Compliance with ILO Conventions C138 and C182 on Child Labour. It prohibits the employment of individuals under 16 and the assignment of underage Workers to hazardous work. During the Audit, a review of the staff list provided by the HR Department confirmed that no Child Labour was identified, in Compliance with the relevant standards.
10.3a-c Forced Labour	Conformance	<p>The Entity has established an employee management procedure that prohibits Forced Labour and has publicly disclosed its Modern Slavery Statement and Anti-Trafficking in Persons Statement on its official website, available at: <a href="http://www.hjxc.com.cn/uploads/index/20241108/8dae288adee02cf8ecc9de15143a85.pdf">http://www.hjxc.com.cn/uploads/index/20241108/8dae288adee02cf8ecc9de15143a85.pdf</a></p> <p>Interviews with employees, including managers and operators, confirmed that no one is forced to work. The Entity is not involved in any form of Forced Labour. It does not retain employees' personal documents, nor does it require deposits or security payments.</p>
10.4a-c Non-Discrimination	Conformance	The Entity has established an anti-Discrimination management procedure, which ensures that hiring is free from restrictions based on ethnicity, nationality, political affiliation, gender, race, sexual orientation, marital status, family responsibilities, age, or any other discriminatory factor. Employees have received training on social responsibility. There have been no instances of Worker dismissal and all departures have been voluntary.



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10.5 Communication and engagement	Conformance	The Entity has issued a communication and engagement procedure that establishes open lines of communication for employees and their representatives. It includes a clear commitment to protect whistleblowers from retaliation, intimidation, or Harassment. The employee suggestion box was inspected, and the 'whistleblower' hotline was tested during the Audit and both were found to be functional and valid.
10.6a-g Violence and Harassment	Conformance	<p>The Entity has publicly disclosed its latest Policy on the elimination of Violence and Harassment, available at: <a href="http://www.hjxc.com.cn/uploads/index/20241108/68eb8c0f105d900b4a46d01210b3424a.pdf">http://www.hjxc.com.cn/uploads/index/20241108/68eb8c0f105d900b4a46d01210b3424a.pdf</a></p> <p>The Entity has also established an anti-Harassment control procedure requiring annual reviews and additional reviews following significant changes or incidents. Interviews with staff representatives, female operational staff, women's Labour Union representatives, and supplier employees confirmed that no incidents of Violence or Harassment have occurred within the Entity.</p>
10.7a-c Remuneration	Conformance	The Entity has established a working hours procedure and an Employee Handbook, which ensure wages are paid on time, in legal tender, and fully documented. Overtime compensation is calculated in Compliance with regulations. Employee interviews undertaken during the Audit indicated satisfaction with their salaries.
10.8a-c Working Time	Minor Non-Conformance	<p>The Entity has established rules for the control of working hours and management of voluntary Overtime work, specifying working hours, holidays, and paid annual leave in Compliance with the National Labour Law.</p> <p>However, a review of sampled Overtime records found whilst most were compliant, one employee was identified who worked 42 hours of Overtime in October 2024. Although the Overtime was voluntary, this exceeded the legal limit of 36 hours per month.</p>
10.9a-b Informing Workers of Rights	Conformance	The Entity communicates employee rights through labour contracts and the Staff Handbook. Social responsibility training, which includes lawful Freedom of Association, is provided to employees.
<b>11. OCCUPATIONAL HEALTH AND SAFETY</b>		
11.1a Occupational Health and Safety (OH&S) Management System	Conformance	The Entity has established an Occupational Health and Safety (OH&S) Management System, which includes a management manual and procedural documents. The Entity also conducts internal Audits and management reviews annually. Guangdong Hongbang Metal and Aluminum Co., Ltd. and Nantong Hongjin Metal Aluminum Co., Ltd. both hold ISO 45001:2018 Certification, available at: <a href="http://cx.cnca.cn/CertECloud/result/skipResultList">http://cx.cnca.cn/CertECloud/result/skipResultList</a>
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Conformance	The Entity has established an OH&S Management System with a management manual and procedural documents, conducting annual internal Audits and management reviews. It publicly discloses its performance of objectives on its website. Leading indicators established include zero incidents of fire and explosion, while lagging indicators track occupational accidents. The Entity also publishes comparative analyses of its OH&S performance against peer Businesses and leading practices, available at:

CRITERION	RATING	COMMENT
		<a href="http://www.hjxc.com.cn/uploads/index/20250303/6ec5bc3077828f96a5e9541c891eb48a.pdf">http://www.hjxc.com.cn/uploads/index/20250303/6ec5bc3077828f96a5e9541c891eb48a.pdf</a> <a href="http://www.hjxc.com.cn/uploads/index/20250102/92b805dff738ea75e772f65093a7390a.pdf">http://www.hjxc.com.cn/uploads/index/20250102/92b805dff738ea75e772f65093a7390a.pdf</a> <a href="http://www.hjxc.com.cn/uploads/index/20241109/7a54a06fffeb410fed3c7f3d6c37db99.pdf">http://www.hjxc.com.cn/uploads/index/20241109/7a54a06fffeb410fed3c7f3d6c37db99.pdf</a> <a href="http://www.hjxc.com.cn/uploads/index/20241108/9a21e6d7764baf8d5a2bbd5e8f0fff80.pdf">http://www.hjxc.com.cn/uploads/index/20241108/9a21e6d7764baf8d5a2bbd5e8f0fff80.pdf</a> <a href="http://www.hjxc.com.cn/uploads/index/20241226/ffd415ec31aff506eea9e1acd8bd81fa.pdf">http://www.hjxc.com.cn/uploads/index/20241226/ffd415ec31aff506eea9e1acd8bd81fa.pdf</a> <a href="http://www.hjxc.com.cn/uploads/index/20241109/ea8d3a20ebc83a1837aa16ad8a89d85d.pdf">http://www.hjxc.com.cn/uploads/index/20241109/ea8d3a20ebc83a1837aa16ad8a89d85d.pdf</a>
11.2 Employee engagement on Health and Safety	Conformance	<p>The Entity has established a Production Occupational Health and Safety Committee and a Labour Union. The Safety Committee is responsible for developing and revising the production safety Management System, conducting regular safety inspections, and maintaining records. It also conducts annual employee satisfaction surveys, collates feedback on OH&amp;S issues and performance and then communicates the results.</p> <p>Both the Labour Union and Safety Committee investigate and analyse workplace safety incidents, develop improvement measures, and oversee their implementation annually. The Entity has implemented a hazard identification mechanism where shift-level employees report potential OH&amp;S risks weekly through self-inspections. The Safety Committee then develops corrective actions and verifies their effectiveness.</p>

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#### DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	22 August 2025	Certification Audit – Full Certification