

# ASI CERTIFICATION CHAIN OF CUSTODY STANDARD



PRESENTED TO

# Press Metal Sarawak Sdn Bhd

CERTIFICATE NUMBER

496

ASI STANDARD

CHAIN OF CUSTODY  
STANDARD  
(V2 2022)

DATE OF ISSUE

3 SEPTEMBER 2025

CERTIFICATION LEVEL

FULL  
CERTIFICATION

DATE OF EXPIRY

2 SEPTEMBER 2028

ASI ACCREDITED  
AUDITING FIRM

DNV BUSINESS ASSURANCE  
SERVICES UK LTD.

CERTIFIED SINCE

3 SEPTEMBER 2025

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'Jha' followed by a long horizontal stroke.

Aluminium Stewardship Initiative Ltd  
ACN 606 661 125, Australia  
[info@aluminium-stewardship.org](mailto:info@aluminium-stewardship.org)

*Validity of this Certificate is subject to  
continued conformance with the  
applicable ASI Standard and can be  
verified at  
[www.aluminium-stewardship.org](http://www.aluminium-stewardship.org)*

CERTIFICATION SCOPE

Aluminium Smelting, Aluminium Re-  
melting/Refining, Casthouses and  
Aluminium Dross Internal Recovery  
at Press Metal Sarawak Sdn Bhd  
(Sarawak, Malaysia).

# AUDIT REPORT

## CHAIN OF CUSTODY

## STANDARD

### OVERVIEW

MEMBER NAME	Press Metal
ENTITY NAME	Press Metal Sarawak Sdn Bhd
CERTIFICATION SCOPE	Aluminium Smelting, Aluminium Re-melting/Refining, Casthouses and Aluminium Dross Internal Recovery at Press Metal Sarawak Sdn Bhd. (Sarawak, Malaysia).
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none"><li>Aluminium Smelting</li><li>Aluminium Re-melting/Refining</li><li>Casthouses</li></ul>
ASI STANDARD	<ul style="list-style-type: none"><li>Chain of Custody Standard V2</li></ul>
AUDIT TYPE	<ul style="list-style-type: none"><li>Initial Certification Audit</li></ul>
AUDIT FIRM	DNV Business Assurance Services UK Ltd.
AUDIT DATE	<ul style="list-style-type: none"><li>26 May 2025</li></ul>
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none"><li>2 August 2025</li></ul>
AUDIT SCOPE	<p>The Audit Scope covered Aluminium Smelting, Aluminium Re-melting/Refining, Casthouses and Aluminium Dross Internal Recovery at Press Metal Sarawak Sdn Bhd (Sarawak, Malaysia).</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none"><li>Aluminium Smelting</li><li>Aluminium Re-melting/Refining</li><li>Casthouses</li></ul> <p>All relevant Criteria in the ASI Chain of Custody Standard were included in the Audit Scope.</p>
AUDIT OUTCOME	<ul style="list-style-type: none"><li>Certification</li></ul>
AUDIT METHODOLOGY DECLARATION	<p>The Auditors confirm that:</p> <ul style="list-style-type: none"><li><input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this Report.</li><li><input checked="" type="checkbox"/> The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.</li><li><input checked="" type="checkbox"/> The Audit Scope and Audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.</li><li><input checked="" type="checkbox"/> The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.</li></ul>

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CERTIFICATION PERIOD	3 September 2025 – 2 September 2028
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NEXT AUDIT TYPE	Surveillance Audit
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NEXT AUDIT DUE DATE	3 March 2027
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CERTIFICATE NUMBER	496
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If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

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## ENTITY OVERVIEW

Press Metal Sarawak Sdn Bhd. is an 80 percent (%) owned subsidiary of Press Metal Aluminium Holdings Berhad (“PMAHB”), and the remaining 20% is owned by Bunga Raya Aluminium Sdn. Bhd., which is a wholly-owned subsidiary of Sumitomo Corporation (‘SC’). The Entity is an Aluminium smelting plant located in Mukah, Sarawak, which is strategically located in Sarawak Corridor of Renewable Energy (SCORE), supporting the initiative of State Government of Sarawak to attract energy intensive industry to the region. The product manufactured by the Facility is Aluminium Billets and the current production capacity is 120,000 metric tonnes per annum.

## MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

OVERALL	
SYSTEMS	High
RISKS	Medium
PERFORMANCE	Medium
OVERALL	MEDIUM

## FINDINGS

CRITERION	RATING	COMMENT
1. MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI Membership	Conformance	The Entity has been an ASI Member since 2020 and is committed to ASI's membership obligations. The Entity has been certified against the ASI Performance Standard since 2023. The membership status of the Entity can be verified at the ASI website: <a href="https://aluminium-stewardship.org/about-asi/members/Press-Metal">https://aluminium-stewardship.org/about-asi/members/Press-Metal</a>
1.2 CoC Management System	Conformance	An ASI CoC Management Manual has been developed at the corporate level in alignment with the ASI Chain of Custody Standard and applies to two smelter Facilities, including the Entity. An ASI Certification Committee, comprising key personnel from both the Corporate Office and Entity has been established and is led by senior management. ASI Chain of Custody Standard-related training has been provided to the Committee members and their understanding of the Standard was confirmed during the Audit.
1.3 CoC Management System Monitoring	Conformance	The ASI CoC Management Manual adopted by the Entity clearly states the requirement for a review at least every five years, or as needed, to ensure the effectiveness and completeness of the Management System, including addressing potential areas of Non-Conformance.
1.4 Management Representative	Conformance	In addition to the ASI Certification Committee established to administer and monitor the implementation of the ASI Standards, the Entity's General Manager has been appointed as the Management Representative, who has overall responsibility and authority to ensure the Conformance of the ASI Chain of Custody Standard requirements at the Entity.
1.5 Communications and Training	Conformance	Training has been provided to the Entity's ASI Committee to ensure all relevant personnel are aware of, and competent in their responsibilities under the ASI Chain of Custody Standard, which includes ASI Claims Guide training.
1.6 Records Management	Conformance	The Entity has implemented a Management System to maintain 'up to date' records covering all applicable requirements of the ASI Chain of Custody Standard and retain them for a minimum of five years.
1.7a Reporting to ASI (Inputs and Outputs of CoC Material)	Conformance	The Entity's ASI Chain of Custody (CoC) Management Manual states the process of reporting to ASI as per the timeline and frequency required by the Chain of Custody Standard. As this is the Initial Certification Audit, the Entity will begin reporting in the subsequent year upon certification. Currently, there is no CoC Material used, nor CoC products manufactured by the Entity.
1.7b Reporting to ASI (Inputs and Outputs of Eligible Scrap)	Conformance	The Entity's ASI CoC Management Manual outlines the annual reporting requirement to the ASI Secretariat by 30 June of the year following the end of each calendar year. Currently, the Entity does not supply any Eligible Scrap, but has commenced Due Diligence assessments on Scrap suppliers, to be identified as an appropriate source of Eligible Scrap.

CRITERION	RATING	COMMENT
1.7c Reporting to ASI (Inflows and Outflows of Non-CoC Material)	Conformance	The Entity has used a template to record all Inflow and Outflow Quantities of Non-CoC Material(s) to/from the Certified Entity, starting in this first Certification year (i.e. 2025). The Entity's management and responsible personnel are aware of and understand the requirement to report to ASI annually by 30 June.
1.7d Reporting to ASI (Positive Balance carried over)	Conformance	The Entity's ASI CoC Management Manual states the annual reporting process to ASI by 30 June, including Positive Balance. As this is the Initial Certification Audit, the Entity will begin reporting in 2026 for data of the 2025 calendar year. Currently, there is no CoC Material used, nor CoC products manufactured by the Entity.
1.7e Reporting to ASI (Positive Balance used)	Conformance	The Entity's ASI CoC Management Manual states the annual reporting process to ASI by 30 June, including Positive Balance. As this is the Initial Certification Audit, the Entity will begin reporting in 2026 for data of the 2025 calendar year. Currently, there is no CoC Material used, nor CoC products manufactured by the Entity.
1.7f Reporting to ASI (Internal Overdraw drawn down)	Conformance	The Entity's ASI CoC Management Manual states the annual reporting process to ASI by 30 June, including Internal Overdraw drawn down (if any). As this is the Initial Certification Audit, the Entity will begin reporting in 2026 for the data of calendar year 2025. Currently, there is no CoC Material used, nor CoC products manufactured by the Entity.
1.7g Reporting to ASI (Intra-Entity Flows)	Conformance	The Entity's ASI CoC Management Manual states the annual reporting process to ASI by 30 June, including Intra-Entity Flows (if any). As this is the First Certification Audit, the Entity will begin reporting in 2026 for the data of calendar year 2025. Currently, there is no CoC Material used, nor CoC products manufactured by the Entity.

## 2. OUTSOURCING CONTRACTORS

2.1 Certification Scope	Not Applicable	This Criterion is not applicable to the Entity, as it does not engage any Outsourcing Contractors for further processing, treatment or manufacturing activities.
2.2a Control of CoC Material (Legal ownership or control)	Not Applicable	This Criterion is not applicable to the Entity, as it does not engage any Outsourcing Contractors for further processing, treatment or manufacturing activities.
2.2b Control of CoC Material (No further outsourcing)	Not Applicable	This Criterion is not applicable to the Entity, as it does not engage any Outsourcing Contractors for further processing, treatment or manufacturing activities.
2.2c Control of CoC Material (Risk assessment)	Not Applicable	This Criterion is not applicable to the Entity, as it does not engage any Outsourcing Contractors for further processing, treatment or manufacturing activities.
2.3 Information on Quantity of CoC Material Output and Returned	Not Applicable	This Criterion is not applicable to the Entity, as it does not engage any Outsourcing Contractors for further processing, treatment or manufacturing activities.

CRITERION	RATING	COMMENT
2.4 Consistency in Inflow and Outflow Quantity of CoC Material to/from Outsourcing Contractor	Not Applicable	This Criterion is not applicable to the Entity, as it does not engage any Outsourcing Contractors for further processing, treatment or manufacturing activities.
2.5 Error (Outsourcing Contractor)	Not Applicable	This Criterion is not applicable to the Entity, as it does not engage any Outsourcing Contractors for further processing, treatment or manufacturing activities.
<b>3. PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI ALUMINIUM</b>		
3.1a ASI Bauxite (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1b ASI Bauxite (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1c ASI Bauxite (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2a ASI Alumina (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2b ASI Alumina (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2c ASI Alumina (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a ASI Aluminium (CoC Certification Scope)	Conformance	The Entity has implemented a Management System to ensure that ASI Aluminium is produced only from its own smelter which is within the scope of this CoC Certification.
3.3b ASI Aluminium (Performance Standard)	Conformance	The Entity has implemented a Management System to ensure that ASI Aluminium is produced exclusively from its own smelter, which is Certified under the ASI Performance Standard. Certification details are available at: <a href="https://aluminium-stewardship.org/wp-content/uploads/2024/01/ASI-Audit-Report-Press-Metal-Certificate-344-PS.pdf">https://aluminium-stewardship.org/wp-content/uploads/2024/01/ASI-Audit-Report-Press-Metal-Certificate-344-PS.pdf</a>
3.3c ASI Aluminium (Alumina sourcing)	Conformance	The Entity has not yet sourced ASI Alumina, however, the Entity has established a system to ensure that when ASI Alumina is sourced, it will be obtained from an ASI CoC Certified Entity, either directly or through a trader. In such cases, the source will be identifiable, and a verified CoC document will be provided.
<b>4. RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP</b>		
4.1a Recycled Aluminium (CoC Certification Scope)	Conformance	The Entity has implemented an ASI CoC Management Manual to ensure that recycled Aluminium is sourced exclusively from its own recycling Facility, which falls within the scope of this CoC Certification

CRITERION	RATING	COMMENT
4.1b Recycled Aluminium (Performance Standard)	Conformance	The Entity has established an ASI CoC Management Manual to ensure Post-Consumer Eligible Scrap would be recorded in the Material Accounting System only after the supplier has undergone Due Diligence and the origin of the Scrap is verified.
4.2a Eligible Scrap (Pre-Consumer)	Conformance	The Entity has established an ASI CoC Management Manual that requires Eligible Scrap to be recorded in the Material Accounting System only when sourced in accordance with the ASI Chain of Custody Standard.
4.2b Eligible Scrap (Post-Consumer)	Conformance	The Entity has established an ASI CoC Management Manual to ensure Post-Consumer Eligible Scrap is recorded in the Material Accounting System only after the supplier has undergone Due Diligence and the origin of the Scrap is verified.
4.2c Eligible Scrap (Dross)	Conformance	The Entity has established an ASI CoC Management Manual that defines the process for accounting Eligible Scrap in its Material Accounting System, specifically for Aluminium recovered from Dross and other Aluminium-containing wastes, depending upon completion of appropriate supplier Due Diligence.
4.3a Records Management for Direct Suppliers of Recyclable Scrap Material (Suppliers)	Conformance	The Entity has established an ASI CoC Management Manual that requires documenting relevant details of all direct suppliers of recyclable Scrap.
4.3b Records Management for Direct Suppliers of Recyclable Scrap Material (Financial transactions)	Conformance	The Entity does not engage in cash transactions with direct suppliers of Recyclable Scrap Material.
<b>5. CASTHOUSES: CRITERIA FOR ASI ALUMINIUM</b>		
5.1a ASI Aluminium (CoC Certification Scope)	Conformance	The Entity has implemented a Management System to ensure that ASI Aluminium is produced exclusively from its own Casthouse Facility, which is within the scope of this CoC Certification.
5.1b ASI Aluminium (Performance Standard)	Conformance	The Entity has implemented a Management System to ensure that ASI Aluminium is produced exclusively from its own Casthouse Facility, which is Certified under the ASI Performance Standard. Certification details are available at: <a href="https://aluminium-stewardship.org/wp-content/uploads/2024/01/ASI-Audit-Report-Press-Metal-Certificate-344-PS.pdf">https://aluminium-stewardship.org/wp-content/uploads/2024/01/ASI-Audit-Report-Press-Metal-Certificate-344-PS.pdf</a>
5.1c ASI Aluminium (Aluminium sourcing)	Conformance	The Entity's Casthouse Input material is sourced from its 'in-house' smelter and is within the scope of ASI CoC Certification.
5.2 Unique Identification	Conformance	The Entity has implemented systems to ensure that unique identification numbers, either stamped or printed on ASI Aluminium products, accurately correspond to the Input Quantities of CoC Material for each designated Material Accounting Period. These identification numbers serve as critical traceability tools, enabling precise tracking of Material flow throughout the production process. The system supports verification and reconciliation activities by linking physical products to documented Input Quantities, thereby

CRITERION	RATING	COMMENT
		maintaining the integrity and transparency of the CoC Material accounting records.
<b>6. POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM</b>		
6.1a Post-Casthouse ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.1b Post-Casthouse ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.1c Post-Casthouse ASI Aluminium (Aluminium sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
<b>7. DUE DILIGENCE FOR NON-COC MATERIAL, COC MATERIAL ACQUIRED THROUGH A TRADER AND RECYCLABLE SCRAP MATERIAL</b>		
7.1a Responsible Sourcing Policy (Anti-corruption)	Conformance	The Entity has communicated the Responsible Sourcing Policy and Supplier Code of Conduct to all mineral suppliers, including Non-CoC Material and Recyclable Scrap Material suppliers. Suppliers are required to adhere to the commitments and expectations outlined in this Policy, including the requirement on anti-Corruption. The Policies are published on the corporate website at: <a href="https://www.pressmetal.com/investor-relations/corporate-governance.php">https://www.pressmetal.com/investor-relations/corporate-governance.php</a>
7.1b Responsible Sourcing Policy (Responsible sourcing)	Conformance	The Entity has communicated the Responsible Sourcing Policy to all mineral suppliers, including Non-CoC Material and Recyclable Scrap Material suppliers. Suppliers are required to adhere to the commitments and expectations outlined in this Policy.
7.1c Responsible Sourcing Policy (Human rights due diligence)	Conformance	The Entity has communicated the Responsible Sourcing Policy to all mineral suppliers, including Non-CoC Material and Recyclable Scrap Material suppliers. Suppliers are required to adhere to the commitments and expectations outlined in this Policy.
7.1d Responsible Sourcing Policy (Conflict-affected and high-risk areas)	Conformance	The Entity has communicated the Responsible Sourcing Policy to all mineral suppliers, including Non-CoC Material and Recyclable Scrap Material suppliers. Suppliers are required to adhere to the commitments and expectations outlined in this Policy.  The Entity has implemented the Supplier Management Programme which is consistent with the OECD's Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas (CAHARAs).
7.2 Risk Assessment and Mitigation	Conformance	The Entity has implemented the Supplier Management Programme which is consistent with the OECD's Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas (CAHARAs). It covers all suppliers for mineral-related products, including the suppliers for both CoC and Non-CoC Material. The Risk Assessment and Mitigation in the Due Diligence process has addressed all Recyclable Scrap Material suppliers. Improvements of

CRITERION	RATING	COMMENT
		the SSAQ (self-assessment questionnaire) and audit checklist are identified.
7.3 Complaints Resolution Mechanism	Conformance	A Whistle-blowing Policy has been established which acts as a formal, anonymous and confidential channel for both internal and external stakeholders to report grievances and complaints. It is available on the corporate website at: <a href="https://pressmetal.com/investor-relations/corporate-governance.php">https://pressmetal.com/investor-relations/corporate-governance.php</a>
<b>8. MATERIAL ACCOUNTING SYSTEM: COC MATERIAL AND ASI ALUMINIUM</b>		
8.1 Material Accounting System	Conformance	The Entity has established and is implementing an ASI CoC Management Manual and CoC Material Accounting Tool to control and report Input and Output Quantities of both CoC and Non-CoC Material within the Certification Scope.
8.2 Material Accounting Period	Conformance	The Entity has defined the Material Accounting Period as a twelve-month reporting period, commencing on 1 January and concluding on 31 December of each calendar year.
8.3 Input and Inflow Quantities	Conformance	The Entity's ASI CoC Management Manual outlines the procedures for calculating and recording Input and Inflow Quantities in accordance with the ASI Chain of Custody Standard.
8.4 Output Quantities of CoC Material	Conformance	The Entity's ASI CoC Management Manual outlines the procedures for calculating and recording the Output Quantities of CoC Material, in accordance with the requirements of the ASI Chain of Custody Standard. These procedures are designed to ensure accurate tracking and compliance throughout the production and documentation process.
8.5 Indivisibility of CoC Material	Conformance	The Entity's ASI CoC Management Manual specifies that the Output Quantity of CoC Material, which may constitute only a portion of the total production, must be designated as 100% CoC Material. This approach ensures clear segregation and traceability in accordance with the ASI Chain of Custody Standard.
8.6 Output Quantity of Eligible Scrap	Not Applicable	This Criterion is not applicable to Entity, as they do not designate its Scrap as Eligible Scrap.
8.7 Consistency Between Input Percentage and Total Output	Conformance	The Entity's ASI CoC Management Manual outlines procedures for regularly reconciling Inflows and Outflows. These reconciliations are conducted to monitor and ensure that the Output Quantities of CoC Material and/or Eligible Scrap do not proportionally exceed their corresponding Input Percentages within the Material Accounting period.
8.8a Internal Overdraw (Not exceed 20%)	Conformance	The Entity's ASI CoC Management Manual establishes procedures to ensure that Internal Overdraws do not exceed 20% of the total Input Quantity of CoC Material within the Material Accounting Period.
8.8b Internal Overdraw (Not exceed force majeure situation)	Conformance	The Entity's ASI CoC Management Manual outlines procedures to ensure that Internal Overdraws related to Force Majeure situations do

CRITERION	RATING	COMMENT
		not exceed 20% of the total quantity of CoC Material affected during the relevant Material Accounting Period.
8.8c Internal Overdraw (Made up within subsequent Material Accounting Period)	Conformance	The Entity's ASI CoC Management Manual outlines procedures to ensure that, in the event of a Force Majeure situation, any Internal Overdraw will be reconciled and compensated for within the following Material Accounting Period.
8.9a Positive Balance (Carry over)	Conformance	The Entity's ASI CoC Management Manual outlines procedures to ensure that any carry over of a Positive Balance is accurately identified and tracked.
8.9b Positive Balance (Expiry)	Conformance	The Entity's ASI CoC Management Manual outlines procedures to ensure that any Positive Balance carried over to the subsequent Material Accounting Period will expire at the end of that period if it is not utilised.
9. ISSUING CoC DOCUMENTS		
9.1 CoC Document	Conformance	<p>The Entity's ASI CoC Management Manual has outlined the procedure to ensure that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities or Traders.</p> <p>A CoC Document template has been prepared, and system readiness has been confirmed. To date, no CoC Material has been dispatched.</p>
9.2a CoC Document Content (Date of issue)	Conformance	The Entity's ASI CoC Management Manual ensures that all CoC Documents include the date of issue. A CoC Document template has been prepared, and system readiness has been confirmed. To date, no CoC Material has been dispatched.
9.2b CoC Document Content (Reference number)	Conformance	The Entity's ASI CoC Management Manual ensures that the CoC Documents include the Reference Number which is linked to the Entity's Material Accounting System. A CoC Document template has been prepared, and system readiness has been confirmed. To date, no CoC Material has been dispatched.
9.2c CoC Document Content (Issuing Entity)	Conformance	The Entity's ASI CoC Management Manual ensures that the CoC Documents include the issuing Entity's identity, address and CoC Certification number. A CoC Document template has been prepared, and system readiness has been confirmed. To date, no CoC Material has been dispatched.
9.2d CoC Document Content (Receiving customer)	Conformance	The Entity's ASI CoC Management Manual ensures that the CoC Documents include the identity and address of the customer receiving the CoC Material. If the customer is another CoC Certified Entity, their CoC Certification Number will also be included in the CoC Documents. A CoC Document template has been prepared, and system readiness has been confirmed. To date, no CoC Material has been dispatched.
9.2e CoC Document Content (Responsible employee)	Conformance	The Entity's ASI CoC Management Manual ensures that CoC Documents include the name of responsible employee who can verify information contained in the document. A CoC Document template

CRITERION	RATING	COMMENT
		has been prepared, and system readiness has been confirmed. To date, no CoC Material has been dispatched.
9.2f CoC Document Content (Conformance statement)	Conformance	The Entity's ASI CoC Management Manual ensures that CoC documents include a statement confirming: 'The information provided in the CoC Document is in Conformance with the ASI CoC Standard.' A CoC Document template has been prepared, and system readiness has been confirmed. To date, no CoC Material has been dispatched.
9.2g CoC Document Content (Type of CoC Material)	Conformance	The Entity's ASI CoC Management Manual ensures that the CoC Documents include the information on the type of CoC Material in the shipment. A CoC Document template has been prepared, and system readiness has been confirmed. To date, no CoC Material has been dispatched.
9.2h CoC Document Content (Mass of CoC Material)	Conformance	The Entity's ASI CoC Management Manual ensures that the CoC Documents include the information on the Mass of CoC Material in the shipment. A CoC Document template has been prepared, and system readiness has been confirmed. To date, no CoC Material has been dispatched.
9.2i CoC Document Content (Mass of total material)	Conformance	The Entity's ASI CoC Management Manual ensures that the CoC Documents include information on the Mass of total Material in the shipment. A CoC Document template has been prepared, and system readiness has been confirmed. To date, no CoC Material has been dispatched.
9.3a Sustainability Data (optional) – Carbon footprint	Not Applicable	This Criterion is not applicable to the Entity, as it has chosen not to include the optional Sustainability Data in the CoC Document for the CoC Material.
9.3b Sustainability Data (optional) – Origin information	Not Applicable	This Criterion is not applicable to the Entity, as it has chosen not to include the optional Sustainability Data in the CoC Document for the CoC Material.
9.3c Sustainability Data (optional) – Recycled content	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.3d Sustainability Data (optional) – Post-Casthouse ASI Certification status	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4 Supplementary Information (optional) – Objective evidence	Not Applicable	This Criterion is not applicable to the Entity, as it has chosen not to include the optional Sustainability Data in the CoC Document for the CoC Material.
9.5 Verification of Information	Conformance	The Entity's ASI CoC Management Manual has outlined the procedures for responding to verification requests regarding information in CoC Documents issued. To date, no CoC Material has been dispatched.
9.6 Error (Shipping)	Conformance	The Entity's ASI CoC Management Manual has outlined the procedures for managing any errors discovered after CoC Material has been

CRITERION	RATING	COMMENT
		dispatched. These procedures include documenting the error as well as the agreed steps taken with the receiving party to correct them, and to implement corrective actions to avoid a recurrence. To date, no CoC Material has been dispatched.
<b>10. RECEIVING CoC DOCUMENTS</b>		
10.1 Verification of CoC Documents	Conformance	The Entity's ASI CoC Management Manual has outlined the procedure to verify all information in the received CoC Documents. To date, there has been no shipment of CoC Material.
10.2 Verification of Consistency Between CoC Documents and CoC Material	Conformance	The Entity's ASI CoC Management Manual has outlined the procedure to verify the consistency of received CoC Documents with the accompanying CoC Material or Eligible Scrap before recording information in the Material Accounting System. To date, there has been no shipment of CoC Material.
10.3 Verification of Supplier's ASI CoC Certification	Conformance	The Entity's ASI CoC Management Manual has outlined the procedure of requiring periodic checks of the ASI website to verify the validity and scope of the Entity's upstream supplier's ASI CoC Certification for any changes that might affect the status of the supplied CoC Material or Eligible Scrap. To date, there has been no shipment of CoC Material.
10.4 Error (Reception)	Conformance	The Entity's ASI CoC Management Manual has outlined the procedure for error management. It prescribes actions to be taken if an error is discovered after CoC Material or Eligible Scrap has been received, including documenting the error, the agreed steps to correct it, and implementing measures to prevent recurrence. To date, there has been no shipment of CoC Material.
<b>11. CLAIMS AND COMMUNICATIONS</b>		
11.1a Claims and Communications (ASI Claims Guide)	Conformance	The Entity's ASI CoC Management Manual has outlined the procedure to ensure that any claims and/or representations on CoC Material outside of CoC Documents are made in a consistent manner with the ASI Claims Guide. Relevant personnel have been trained on the ASI Claims Guide and demonstrated understanding of its content during the Audit.
11.1b Claims and Communications (Verifiable evidence)	Conformance	The Entity's ASI CoC Management Manual has outlined the procedure to ensure that any claims and/or representations on CoC Material outside of CoC Documents shall be supported by verifiable evidence as per the ASI Claims Guide.
11.1c Claims and Communications (Employee training)	Conformance	The Entity's ASI CoC Management Manual has outlined the requirement of providing appropriate training to relevant employees to ensure that they are aware of the requirements of the ASI Claims Guide and are kept updated on any internal changes to the Entity's Certification Status.

ASI LIMITATION OF LIABILITY DISCLAIMER

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DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	3 September 2025	Initial Certification Audit – Full Certification