

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

NINGBO ASIAWAY AUTOMOTIVE COMPONENTS CO., LTD.

CERTIFICATE
NUMBER

241

ASI
STANDARD

PERFORMANCE
STANDARD
(V2 2017)

CERTIFICATION
LEVEL

PROVISIONAL
CERTIFICATION

ASI ACCREDITED
AUDITOR

SHANGHAI
KYLIN
CERTIFICATION
SERVICE CO.,
LTD.

DATE OF ISSUE

20 SEPTEMBER 2025

DATE OF EXPIRY

19 SEPTEMBER 2026

CERTIFIED SINCE

22 DECEMBER 2022

AUTHORISED BY

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
info@aluminium-stewardship.org

*Validity of this Certificate is subject to continued
conformance with the applicable ASI Standard
and can be verified at*

www.aluminium-stewardship.org

CERTIFICATION SCOPE

Manufacturing of Aluminium Die Casting Parts at
Ningbo Asiaway Automotive Components Co., Ltd.
The main processes include Melting, Die casting,
Trimming, Deburring, Machining, Cleaning,
Packaging and Full inspection. Ningbo Asiaway
production Facility is located in Ningbo, China.

SUMMARY AUDIT REPORT

PERFORMANCE

STANDARD

OVERVIEW

MEMBER NAME	Ningbo Asiaway Automotive Components Co., Ltd.
ENTITY NAME	Ningbo Asiaway Automotive Components Co., Ltd.
CERTIFICATION SCOPE	Manufacturing of Aluminium Die Casting Parts at Ningbo Asiaway Automotive Components Co., Ltd., in Ningbo, China. The main processes include Melting, Die casting, Trimming, Deburring, Machining, Cleaning, Packaging and Full inspection. Ningbo Asiaway production Facility is located in Ningbo, China.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">• Casthouses• Semi-Fabrication
ASI STANDARD	Performance Standard V2
AUDIT TYPE	<ul style="list-style-type: none">• Initial Certification Audit (20 – 22 September 2022)• Surveillance Audit (22 – 23 April 2024)• Surveillance Audit (28 – 29 July 2025)
AUDIT FIRM	Shanghai Kylin Certification Service Co., Ltd.
AUDIT DATE	<ul style="list-style-type: none">• 20 – 22 September 2022 (Initial Certification Audit)• 22 – 23 April 2024 (Surveillance Audit)• 28 – 29 July 2025 (Surveillance Audit)
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">• 12 November 2022 (Initial Certification Audit)• 9 August 2024 (Surveillance Audit)• 25 August 2025 (Surveillance Audit)
AUDIT SCOPE	<p><u>Initial Certification Audit (20 -22 September 2022)</u></p> <p>The Audit Scope covers the production of Aluminium Die Casting Parts by Ningbo Asiaway Automotive Components Co., Ltd. at No. 99, Shengyuan Road, Fenghua Economic Development Zone, Ningbo City, Zhejiang Province, China.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">• Casthouses• Semi-Fabrication

All relevant criteria in the ASI Performance Standard are included in the Audit Scope.

Surveillance Audit (22 – 23 April 2024)

The Audit Scope covers the production of Aluminium Die Casting Parts by Ningbo Asiaway Automotive Components Co., Ltd. at No. 99, Shengyuan Road, Fenghua Economic Development Zone, Ningbo City, Zhejiang Province, China.

Supply chain activities included in the Audit Scope:

- Casthouses
- Semi-Fabrication

All relevant criteria in the ASI Performance Standard are included in the Audit Scope.

Surveillance Audit (28 – 29 August 2025)

The Audit Scope covers the production of Aluminium Die Casting Parts by Ningbo Asiaway Automotive Components Co., Ltd. at No. 99, Shengyuan Road, Fenghua Economic Development Zone, Ningbo City, Zhejiang Province, China.

Supply chain activities included in the Audit Scope:

- Casthouses
- Semi-Fabrication

All relevant criteria in the ASI Performance Standard are included in the Audit Scope.

AUDIT
OUTCOME

- Provisional Certification

AUDIT
METHODOLOGY
DECLARATION

The Auditors confirm that:

- ☒ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
- ☒ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
- ☒ The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
- ☒ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

CERTIFICATION
PERIOD

20 September 2025 – 19 September 2026

NEXT AUDIT
TYPE

Surveillance Audit

NEXT AUDIT
DATE

19 March 2026

CERTIFICATION
NUMBER

241

SUMMARY OF FINDINGS

CRITERION	RATING	COMMENT
PRINCIPLE 1 BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	The Entity has implemented a Compliance Evaluation Control Procedure and periodically reviews its Compliance with Applicable Law.
1.2 Anti-Corruption	Conformance	The Entity has established an Anti-Corruption, Bribery and Embezzlement Control Procedure, requiring the Entity's Audit Department to investigate and report cases. It has also implemented the Social Responsibility and Business Ethics Risk Management Procedure, along with a Code of Ethics. The Entity evaluates risk across five areas, including potential Corruption risks. The Entity provides regular employee training for employees, and a reporting hotline is available.
1.3 Code of Conduct	Minor Non-Conformance	<p>The Entity's Code of Conduct includes ESG-related content and initially aimed to develop an annual ESG Audit plan. Employees are required to sign ESG-related documents, including the 'Code of Conduct for Integrity and Self-Discipline' and the 'Code of Ethics' during the 'onboarding' of new employees.</p> <p>However, aside from Environment, Health and Safety (EHS), no additional ESG Audit plans have been implemented since the previous Audit.</p>
PRINCIPLE 2 POLICY & MANAGEMENT		
2.1a Environmental, Social, and Governance Policy (implement and maintain)	Conformance	The Entity operates an ISO 14001 and ISO 45001 certified Management System and has implemented an ASI Policy that addresses social responsibility, environmental protection, Occupational Health and Safety, business ethics, safety management, governance and procurement.
2.1b Environmental, Social, and Governance Policy (senior management)	Conformance	The General Manager has approved the ASI Policy, which is reviewed annually.
2.1c Environmental, Social, and Governance Policy (communication)	Conformance	<p>The Entity has communicated the ASI Policy to employees, including posting it on the workshop bulletin board. During the Audit, Worker interviews confirmed that employees understand the Policy. The Environmental, Health and Safety Policy is also printed on some employees' work badges. The ASI Policy is available at:</p> <p>https://www.asiaway.com/social-responsibility.html</p>

CRITERION	RATING	COMMENT
2.2 Leadership	Conformance	The General Manager has appointed the Office Director as the ASI Management Representative, responsible for ASI-related matters, and signed the ASI Management Representative Appointment Letter. At the time of the Audit, it was noted that the Quality Director is likely to assume this role soon. Currently, the Management Representative oversees both internal and external ASI communications.
2.3a Environmental and Social Management Systems (environmental)	Conformance	The Entity has implemented an Environmental Management System certified to ISO 14001:2015.
2.3b Environmental and Social Management Systems (social)	Conformance	The Entity has implemented a Management System certified to ISO 45001. It has also been subject to Responsible Business Alliance (RBA) social responsibility Audits and has established a corresponding Management System, including an RBA Manual and procedures that address labour, Occupational Health and Safety (OH&S), environment and ethics.
2.4 Responsible Sourcing	Conformance	<p>The Entity has established a Responsible Procurement Policy that addresses integrity and self-discipline, respect for Human Rights, accountability for all Aluminium sources, and a commitment to avoiding support for armed conflict or Human Rights violations in Conflict-Affected and High-Risk Areas (CAHRAs). The Policy is publicly available at: https://www.asiaway.com/social-responsibility.html</p> <p>An annual Audit Plan has been developed to address all Aluminium suppliers. All Aluminium ingot suppliers have signed the Responsible Procurement Commitment Letter, Supplier Integrity and Self-Discipline Agreement, and Conflict-Free Metal Commitment Letter.</p> <p>The primary source of raw materials for suppliers is Aluminium Scrap, with a small portion from Primary Aluminium, which is sourced from several domestic Aluminium producers.</p> <p>The Entity conducts Audits of key suppliers and implements the annual Audit Plan accordingly. Social Responsibility Audit Reports from two randomly selected suppliers were reviewed. These audits followed the RBA framework, and any non-conformities identified were tracked and closed.</p>

CRITERION	RATING	COMMENT
2.5 Impact Assessments	Conformance	Since the previous Audit, no New Projects have been initiated, aside from the addition of a few CNC machines in the workshop. The Environmental Impact Assessment for the new workshop has been independently approved, and Fire Safety Acceptance Reports for the dormitories and new workshop have been provided.
2.6 Emergency Response Plan	Conformance	The Entity has established multiple Emergency Response Plans covering production accidents, Leakages, earthquakes, typhoons, floods, chemical Spills, fires, electric shocks, personal injuries, natural gas leaks and environmental emergencies. A 2025 Emergency Drill Plan has been implemented. In May and June 2025, training and drills were conducted on fire response, forklift safety, electric shocks, confined spaces, typhoon prevention and heatstroke prevention, with records provided. Interviews confirmed that most Workers have participated in these drills.
2.7 Mergers and Acquisitions	Conformance	The Entity currently has no Mergers or Acquisitions. It has developed a Mergers and Acquisitions Control Procedure, which mandates ESG Due Diligence for any future Mergers or Acquisitions.
2.8 Closure, Decommissioning and Divestment	Conformance	The Entity currently has no Closure, Decommissioning or Divestment. It has developed a Closure, Decommissioning and Divestment Control Procedure, which requires the Environment, Health and Safety (EHS) and Human Resource (HR) Departments to address ESG-related issues when such events occur.
PRINCIPLE 3 TRANSPARENCY		
3.1 Sustainability Reporting	Conformance	The Entity has published its 2024 Sustainability Report, which addresses Government payments, labour rights, environmental investments, air and water emissions, Waste discharge, Leakages, Compliance and other related topics, available at: https://www.asiawaygroup.com/social-responsibility.html
3.2 Non-compliance and liabilities	Conformance	The Entity has no significant violations recorded on the Government's Enterprise Information Network. Its 2024 Sustainability Report commits to full compliance with laws and regulations, available at: https://www.asiawaygroup.com/social-responsibility.html

CRITERION	RATING	COMMENT
3.3a Payments to governments (legal and contractual)	Conformance	The Entity pays taxes, social security, and housing provident fund contributions in Compliance with regulatory requirements, with no abnormal expenditures. These expenses are detailed in the Entity's Sustainability Report, available at: https://www.asiawaygroup.com/social-responsibility.html
3.3b Payments to governments (disclosure – bauxite mining)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.4 Stakeholder complaints, grievances and requests for information	Conformance	<p>The Entity has established Employee Complaint and Anti-Retaliation Management Measures and a Stakeholder Complaint Handling Procedure. Employees can submit suggestions via a suggestion box or during quarterly management reception days, where executives meet with staff. The HR Department assigns and tracks employee concerns each quarter.</p> <p>During 2025, the main issues reported to date include the cafeteria and accommodation, which the HR Department is currently managing. The Entity is located in an industrial area, with the nearest residential area two kilometres away, and there have been no Complaints related to EHS or other matters. Complaint and reporting contact details are available on the Entity's website.</p>

PRINCIPLE 4 MATERIAL STEWARDSHIP

4.1a Environmental Life Cycle Assessment (life cycle impacts)	Minor Non-Conformance	<p>The Entity has established its 2025 Product Lifecycle Assessment (LCA) Report, continuing to evaluate the T1095273 Product as the research subject. The report addresses 'cradle-to-gate' raw material consumption, Global Warming Potential (GWP), carbon footprint, and related indicators.</p> <p>It does not however include analysis of Acidification Potential (AP) or Eutrophication Potential (EP). The calculated product carbon footprint is also significantly higher than that of the raw materials, indicating a data deviation.</p>
4.1b Environmental Life Cycle Assessment (cradle to gate)	Conformance	The Entity can provide 'cradle-to-gate' LCA reports upon request from relevant parties.
4.1c Environmental Life Cycle Assessment (public communication)	Conformance	The Entity's LCA report is publicly available at: https://www.asiaway.com/social-responsibility.html
4.2 Product design	Conformance	The Entity's design department incorporates weight reduction considerations into the product design

CRITERION	RATING	COMMENT
		process to enhance sustainability. Upon receiving customer design plans, the Entity uses 'Magma' software to optimise designs by reducing wall thickness in non-load-bearing parts and conducting necessary tests. The optimised design is finalised following customer confirmation. In 2025, the Entity is collaborating with an automotive client to implement integrated design, aiming to reduce part count and simplify future assembly processes.
4.3a Aluminium Process Scrap (targets)	Conformance	The Entity achieved a 93% yield rate in the previous year and has set a target of 95% Aluminium utilisation by end 2025, with a long-term goal of reaching 100%. During the casting stage, the ratio of newly purchased Aluminium to internally recycled Scrap is typically 6:4 for conventional Products and 7:3 for structural components. The Entity is currently working with the customer to verify the use of 50% recycled material.
4.3b Aluminium Process Scrap (alloy separation)	Conformance	The Entity uses over ten types of Aluminium ingot grades, and reuses Grade 1 and Grade 2 Scrap in accordance with their respective classifications. Aluminium Dross residue is treated as Hazardous Waste and transferred to qualified partners for proper disposal. Hazardous Waste transfer forms have been provided since the last Audit.
4.4a Collection and recycling of products at end-of-life (strategy)	Conformance	The Entity has established a Waste Recycling Strategy and a procedure for using Recycled Aluminium Alloys, which prioritise purchasing Recycled Aluminium ingots whenever feasible. Approximately 85% of Aluminium used is recycled, with the proportion slightly increasing in 2024 compared to the previous year.
4.4b Collection and recycling of products at end-of-life (engagement)	Conformance	The Entity primarily supplies the automotive industry. After a vehicle is scrapped, it typically goes to a dismantling company where the metal is recycled and reused.
PRINCIPLE 5 GREENHOUSE GAS EMISSIONS		
5.1 Disclosure of GHG emissions and energy use	Minor Non-Conformance	The Entity has developed a third-party verified GHG and energy use emissions report for 2024, which is publicly available at: https://www.asiaway.com/social-responsibility.html Solar power generation accounted for approximately 5.28% of the Entity's energy use in 2024.

CRITERION	RATING	COMMENT
		There is a significant discrepancy however between carbon emissions data for raw Aluminium and the calculated Scope 1, 2 and 3 emissions per tonne of Aluminium in 2024.
5.2 GHG emissions reductions	Minor Non-Conformance	<p>A section of the roof is equipped with photovoltaic (PV) power Facilities, which accounted for five percent of the Entity's total power generation in 2024. A new PV system was installed on the roof of the new workshop in 2024, with a planned monthly output of 1,248 kilowatts (kW) by end 2025.</p> <p>The Entity plans to save energy by replacing diesel forklifts with electric models, optimising long-distance transport consolidation, encouraging suppliers to disclose their carbon footprints, increasing the use of Recycled Aluminium and hydropower, and building new dormitories to reduce emissions from employee commuting.</p> <p>The target is to reduce total GHG emissions by two percent by end 2025, while the actual reduction achieved in 2024 compared to 2023 was 14%. The GHG Emission Reduction Target and Implementation Plan aims for 'net-zero' carbon emissions by 2030 through measures like rooftop photovoltaics and proper equipment maintenance, available at: https://www.asiaway.com/social-responsibility.html</p> <p>The current emission reduction path developed however is insufficient to meet the 2030 'net-zero' target.</p>
5.3a Aluminium Smelting (management system)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3b Aluminium Smelting (up to and including 2020)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3c Aluminium Smelting (after 2020)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
PRINCIPLE 6 EMISSIONS, EFFLUENTS AND WASTE		
6.1 Emissions to Air	Conformance	The Entity has established Regulations on the Management of Waste Gas Emissions, requiring Waste gas to be treated and discharged in Compliance with relevant standards. A third-party agency conducts annual noise and exhaust gas emissions testing, with the latest two reports

CRITERION	RATING	COMMENT
		confirming Compliance. Emission results have been publicly disclosed at: https://www.asiaway.com/social-responsibility.html
6.2 Discharges to Water	Conformance	The Entity has established Wastewater Discharge Management Regulations, requiring wastewater discharge to comply with relevant standards. It operates a sewage treatment plant and monitors the pH of discharged wastewater in real time. The Entity reports wastewater volumes in its Sustainability Report and has reduced emissions by minimising Waste. Sewage discharge per unit of Product decreased by 13.6% in 2024. Actual discharge volumes are publicly disclosed in the report.
6.3a Assessment and Management of Spills and Leakage (assessment)	Conformance	The Entity has analysed the risks of chemical and vessel Leakage in its comprehensive emergency and chemical Leakage plans. It identified potential Leakage points and developed a 2024 Leakage Risk Identification and Evaluation Report.
6.3b Assessment and Management of Spills and Leakage (management)	Conformance	The Entity has established specialised and comprehensive plans for Leakage, including the Chemical Leakage Emergency Plan and the Production Safety Accident Emergency Plan. Chemical leak drills have been conducted in recent years. The Entity is equipped with appropriate fire protection Facilities, stores chemicals on anti-Leakage trays, and has a sewage tank with a large capacity and fault-tolerant space.
6.4a Reporting of Spills (immediate disclosure)	Conformance	The Entity has developed an Emergency Response Plan and provided the 2024 Leakage Risk Identification and Evaluation Report. No major Leakage incidents occurred in 2024.
6.4b Reporting of Spills (regular reporting)	Conformance	The Entity has publicly published its 2024 No Leakage Declaration, available at: https://www.asiaway.com/social-responsibility.html
6.5a Waste management and reporting (strategy)	Conformance	The Entity has implemented a Waste Management Strategy that analyses and develops disposal plans for different Waste types. The Strategy establishes reduction targets, and actual statistics confirm decreases in Waste cutting fluid and Aluminium Dross, increased recycling of Aluminium Scrap and Waste mineral oil (by 64%), while kitchen and household Waste remained largely unchanged. The main goals were achieved by 2024.

CRITERION	RATING	COMMENT
6.5b Waste management and reporting (disclosure)	Conformance	<p>The Entity has implemented a Waste Management Strategy that analyses and develops disposal plans for various Waste types, available at: https://www.asiaway.com/social-responsibility.html</p> <p>The Strategy establishes reduction targets, and actual statistics show decreases in Waste cutting fluid and Aluminium Dross, an increase in Aluminium Scrap recycling, stable levels of kitchen and household Waste, and a 64% increase in Waste mineral oil recycling. The main goals were achieved by 2024.</p>
6.6a Bauxite Residue (storage construction)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6b Bauxite Residue (integrity checks and controls)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6c Bauxite Residue (water discharge)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6d Bauxite Residue (marine and aquatic environments)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6e Bauxite Residue (start of the art technologies)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6f Bauxite Residue (remediation)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a Spent Pot Lining (SPL) (storage and management)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7b Spent Pot Lining (SPL) (recovery and recycling)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7c Spent Pot Lining (SPL) (Untreated SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7d Spent Pot Lining (SPL) (review of alternatives)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7e Spent Pot Lining (SPL) (marine and aquatic environments)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a Dross (recovery)	Conformance	<p>The Entity has no dedicated Facilities for recycling and disposing of Aluminium Dross. After collection, the Dross is primarily sold to specialised Hazardous Waste treatment companies for Aluminium recovery.</p>

CRITERION	RATING	COMMENT
6.8b Dross (recycling)	Conformance	The Entity disposes of Aluminium Dross in Compliance with Hazardous Waste management regulations. Hazardous Waste has been transferred to a qualified company for Aluminium Dross treatment, with the corresponding Hazardous Waste transfer forms provided.
6.8c Dross (review of alternatives)	Conformance	The Entity's Hazardous Waste disposal company uses re-generation as the disposal method. This company re-extracts and utilises Aluminium materials from the Aluminium Dross residue.
PRINCIPLE 7 WATER STEWARDSHIP		
7.1a Water assessment (mapping)	Conformance	The Entity's Water Resource Risk Assessment Report confirms use of potable water sourced from the Tingxia Reservoir (surface water). Daily consumption exceeds 600,000 litres, which is considered relatively low.
7.1b Water assessment (risk assessment)	Conformance	The Entity is located in Ningbo, a coastal area with abundant water resources. Its assessment indicates a low-risk impact from water usage on the local area, available at: https://www.asiaway.com/social-responsibility.html
7.2a Water management (management plans)	Not Applicable	This Criterion is not applicable to the Entity, as the water-related risks and impacts were determined as low.
7.2b Water management (monitoring)	Not Applicable	This Criterion is not applicable to the Entity, as the water-related risks and impacts were determined as low.
7.3 Disclosure of water usage and risks	Conformance	The Entity has disclosed its water usage and related risks on its website. The Water Resource Risk Assessment Report and 2024 Water Use Inventory Table are available at: https://www.asiaway.com/social-responsibility.html
PRINCIPLE 8 BIODIVERSITY		
8.1 Biodiversity assessment	Conformance	The Entity has established an Ecological Diversity Management Procedure and provided a 2025 Biodiversity Risk Assessment Report. This Report evaluates the impact of environmental pollution, potential effects of introduced Alien Species, and includes an assessment of green processes within the factory area. The new Workshop 4, which conducts die-casting and machining similar to other workshops, has demonstrated consistent

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		Biodiversity assessment results. The overall risk level is low.
8.2a Biodiversity management (biodiversity action plans)	Conformance	Despite the low Biodiversity risk assessment, the Entity has established an Action Plan for Biodiversity Management. The Plan aims to protect Biodiversity by enhancing environmental protection and raising employee awareness.
8.2b Biodiversity management (consultation and mitigation hierarchy)	Conformance	The Entity's Biodiversity Assessment Report indicates that identified risks and the assessment process for low risks are consistent with those in 2024, demonstrating no substantial impact on Biodiversity. Therefore, coordinated design following the Biodiversity mitigation hierarchy is not required.
8.2c Biodiversity management (reporting)	Conformance	The Entity has included the Biodiversity risk assessment process, results, and improvement plan in the Biodiversity Assessment Report, which is available at: https://www.asiaway.com/social-responsibility.html
8.3 Alien Species	Conformance	The Entity has conducted a risk assessment of the potential impact of invasive Alien Species, identifying species based on the local government's official list. It has evaluated the risk magnitude using assessment methods and developed corresponding response measures. The assessment report is publicly available at: https://www.asiaway.com/social-responsibility.html
8.4a Commitment to "No Go" in World Heritage properties (exploration and new mines)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.4b Commitment to "No Go" in World Heritage properties (existing operations)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.5a Mine rehabilitation (best available techniques)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.5b Mine rehabilitation (financial provisions)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
PRINCIPLE 9 HUMAN RIGHTS		
9.1a Human Rights Due Diligence (policy)	Conformance	The Entity has established its ASI Policy, which states the Entity's continuance in upholding its commitment to respecting Human Rights.

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9.1b Human Rights Due Diligence (process)	Conformance	The Entity has maintained its Due Diligence system and developed its 2025 Human Rights Due Diligence Report. The Report confirms the Entity's respect for Human Rights and that no actions have violated its committed ASI-related Policies.
9.1c Human Rights Due Diligence (remediation)	Conformance	The Entity conducts quarterly satisfaction surveys in accordance with internal requirements and makes improvements based on employee feedback, including suggestions and identified needs.
9.2 Women's Rights	Conformance	The Entity has maintained its established Female Employee Protection Procedure to safeguard the rights and interests of female employees and reduce safety risks. The Procedure complies with national legal requirements, and no related Complaints have been reported to date.
9.3 Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples within its Area of Influence.
9.4 Free, Prior, and Informed Consent (FPIC)	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples within its Area of Influence.
9.5 Cultural and sacred heritage	Not Applicable	This Criterion is not applicable to the Entity, as there are no cultural or sacred heritage sites within its Area of Influence.
9.6a Resettlements (avoid or minimise)	Not Applicable	This Criterion is not applicable to the Entity, as the plant was built on Government-acquired industrial land and does not involve any local residents.
9.6b Resettlements (where unavoidable)	Not Applicable	This Criterion is not applicable to the Entity, as the plant was built on Government-acquired industrial land and does not involve any local residents.
9.7a Local Communities (rights and interests)	Conformance	The Entity's Business premises remain unchanged, are located far from residential communities, and its operations have no direct impact on residents' livelihoods.
9.7b Local Communities (impacts)	Conformance	The Entity's Business premises are located within the local sub-district. Communication with Government officials indicates that there has been no negative feedback from residents or other relevant parties regarding the Entity's environmental protection, safety, labour practices, or environmental impact.

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9.7c Local Communities (livelihoods)	Conformance	The Entity has employed a significant number of local residents, contributing to the livelihood of the local community. It has also received several commendations from the Local Government.
9.8 Conflict-Affected and High-Risk Areas	Conformance	The Entity has maintained its established Conflict Minerals Control Procedure, which prohibits purchasing materials from conflict-affected areas. It imposes corresponding requirements on suppliers and conducts conflict-free mineral surveys. The Entity does not currently procure foreign Aluminium alloy materials.
9.9 Security practice	Conformance	All security personnel are directly employed by the Entity, responsible for security at the factory entrances and exits, and have signed labour contracts with the Entity. No third-party security staff are engaged.
PRINCIPLE 10 LABOUR RIGHTS		
10.1a Freedom of Association and Right to Collective Bargaining (freedom of association)	Not Applicable	This Criterion is not applicable to the Entity, as it complies with the Applicable Law in China.
10.1b Freedom of Association and Right to Collective Bargaining (collective bargaining)	Not Applicable	This Criterion is not applicable to the Entity, as it complies with the Applicable Law in China.
10.1c Freedom of Association and Right to Collective Bargaining (alternative means)	Conformance	The Entity has established a dedicated procedure outlining employees' rights to Freedom of Association and Collective Bargaining. A Labour Union is currently in place, and employees may freely choose to join.
10.2a Child Labour (minimum age)	Conformance	The Entity prohibits Child Labour and has maintained its established Procedure for Prohibiting Child Labour Recruitment and Remediation, which forbids hiring minors and outlines required actions if Child Labour is detected. Additionally, it has a Procedure for the Protection of Underage Workers to support their welfare. The minimum employee age is 18.
10.2b Child Labour (hazardous)	Conformance	The Entity prohibits all forms of Child Labour. It defines work arrangements for underage Workers, stipulating that they shall not work night shifts, overtime, or in toxic, harmful, high-altitude, extreme temperature, or other hazardous environments. The working conditions for the Entity's underage Workers are safe, with no exposure to harmful substances or Overtime.

CRITERION	RATING	COMMENT
10.2c Child Labour (worst forms)	Conformance	The Entity does not employ child or underage Workers and does not support the worst forms of Child Labour.
10.3a Forced Labour (human trafficking)	Conformance	The Entity has maintained its established Procedure for Non-Forced Work Operations, which prohibits all forms of Forced Labour, safeguards employees' right to voluntary work, and commits to not supporting or participating in Human Trafficking.
10.3b Forced Labour (deposits, fees, advances)	Conformance	All employees are directly employed by the Entity, with no deposits, recruitment fees, or Facility/equipment charges imposed on them.
10.3c Forced Labour (migrant workers)	Conformance	All employees of the Entity are Chinese nationals, predominantly local residents, with no foreign Workers. During the on-site Audit, no deposits or security guarantees of any kind were found.
10.3d Forced Labour (debt bondage)	Conformance	The Entity does not support any form of Forced Labour, including debt bondage or coerced work. Employees work voluntarily and receive lawful, compliant remuneration.
10.3e Forced Labour (freedom of movement)	Conformance	The Entity safeguards employees' right to work freely and does not restrict their freedom of movement within the workplace.
10.3f Forced Labour (retention of identity papers, permits, certificates)	Conformance	The Entity does not retain original employee ID cards or documents. It only collects copies upon hiring, which are stored in personal files to verify age and identity.
10.3g Forced Labour (freedom to terminate employment)	Conformance	The Entity signs labour contracts with employees, specifying the employment termination date. Upon expiry, employees may freely choose to renew or end the contract. Current employees can resign at any time without penalty.
10.4 Non-Discrimination	Conformance	The Entity has established a procedure to prevent Discrimination and Harassment in Compliance with labour laws, clearly defining such acts. It commits to non-Discrimination, supports gender equality, and ensures equal pay for equal work. No instances of Discrimination have been reported.
10.5 Communication and engagement	Conformance	The Entity has introduced a communication channel through its social media official account to encourage employee participation in ASI management. It maintains multiple established channels, including on-site interactions, suggestion

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		boxes, and a telephone 'hotline' to facilitate employee communication. Interviewed employees are aware of these channels and were confident that they will not face retaliation, intimidation, or Harassment.
10.6 Disciplinary practices	Conformance	The Entity has established specific procedures that explicitly prohibit all forms of corporal punishment and implemented a reward and disciplinary system. It fully respects its employees, and all measures comply with legal requirements.
10.7a Remuneration (living wage)	Conformance	The Entity's salary payments over the past six months show the minimum basic salary exceeds the local minimum wage standard. Payroll reviews confirm Overtime pay for weekdays and weekends complies with legal requirements. Sampled salary calculations meet relevant standards, and payments adequately cover Workers' basic needs.
10.7b Remuneration (method of payment)	Conformance	The Entity pays employees' salaries via bank transfer, maintains complete payment records, and has no outstanding arrears.
10.8 Working Time	Major Non-Conformance	The Entity has implemented an attendance and working hours Management System. However, despite some improvements since the previous Audit, a significant number of 'front-line' employees continue to exceed the prescribed monthly Overtime limits.
PRINCIPLE 11 OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Policy (policy)	Conformance	The Entity has established and implemented an Occupational Health and Safety (OH&S) Management System and developed a corresponding OH&S Policy. During the most recent management review, the Entity confirmed the Policy's suitability and effectiveness.
11.1b Occupational Health and Safety (OH&S) Policy (workers and visitors)	Conformance	In addition to regular management reviews, the Entity's OH&S Policy is displayed and promoted in key areas such as the office lobby and workshop bulletin boards.
11.1c Occupational Health and Safety (OH&S) Policy (applicable law and standards)	Conformance	In establishing its OH&S Management System, the Entity's OH&S Policy that commits to Compliance with laws and relevant international conventions.
11.1d Occupational Health and Safety (OH&S) Policy (right to stop unsafe work)	Conformance	The Entity's ASI Policy explicitly affirms employees' right to refuse work in unsafe conditions.

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11.2 OH&S Management System	Minor Non-Conformance	<p>The Entity has maintained its established OH&S Management System and Environmental Management System, retaining the relevant management manuals and procedure documents for process implementation. Dedicated personnel are responsible for maintaining and updating these documents.</p> <p>During the on-site Audit, it was noted however that some workshops lack occupational hazard warning signs, and one workshop is deficient in safety operational procedures.</p>
11.3 Employee engagement on health and safety	Conformance	<p>The Entity has established and implemented an OH&S Management System and holds a valid certification. A Labour Union has been formed, with employees free to choose whether to join. The Entity ensures employees receive social insurance and welfare benefits and has implemented special protection measures for female employees. Its reward and punishment regulations comply with relevant national laws. Employees can raise Health and Safety concerns through established Complaint channels without fear of retaliation.</p>
11.4 OH&S performance	Conformance	<p>The Entity has established and implemented an Environmental and OH&S Management System, with a follow-up audit completed shortly before the ASI Audit and a currently valid certificate. It has set clear objectives and indicators, all of which have been met to date. Regular management reviews are conducted, evaluating OH&S performance and identifying areas for improvement.</p>

Document Control and Version History

Revision	Date	Notes
0	22 December 2022	Initial Certification Audit – Full Certification
1	20 September 2024	Surveillance Audit – Provisional Certification
2	15 September 2025	Surveillance Audit – Provisional Certification Extension of expiration date of previous Certification approved by ASI