

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

Ball Beverage Packaging North & Central America

CERTIFICATE NUMBER
179

ASI STANDARD
PERFORMANCE
STANDARD
(V3.1 2023)

DATE OF ISSUE
9 MARCH 2025

CERTIFICATION LEVEL
FULL
CERTIFICATION

DATE OF EXPIRY
8 MARCH 2028

ASI ACCREDITED
AUDITING FIRM
BUREAU VERITAS
CERTIFICATION

CERTIFIED SINCE
9 MARCH 2022

AUTHORISED BY

A stylized, handwritten signature in black ink, appearing to be 'J. H.', with a long horizontal line extending to the right.

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Validity of this Certificate is subject to continued conformance with the applicable ASI Standard and can be verified at
www.aluminium-stewardship.org

CERTIFICATION SCOPE

Management activities for centralised operational functions, global support functions, quality assurance and graphics at the regional headquarters in Westminster (CO), in the United States of America.

Manufacturing of Beverage Can Bodies at facilities in the United States including Fairfield, (CA); Fort Atkinson (WI); Fort Worth (TX); Glendale (AZ); Goodyear (AZ); Kapolei (HI); Monticello (IN); Pittston (PA); Rome (GA); Saratoga Springs (NY); Tampa (FL); Winter Haven (FL) and Williamsburg (VA); and Whitby in Canada; and Queretaro in Mexico.

Manufacturing of Beverage Can Ends at: Bowling Green (KY), United States.

Manufacturing of Beverage Can Bodies and Can Ends at: Findlay (OH), United States and Monterrey, Mexico.

Manufacturing of Beverage Can Bodies and Alumi-Tek Bottles at: Conroe (TX), United States.

Manufacturing of Beverage Can Bodies, Can Ends and Alumi-Tek Bottles at: Golden (CO) and the BTIC (Technology and Innovation Centre) (CO), United States.

AUDIT REPORT PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	Ball Corporation
ENTITY NAME	Ball Beverage Packaging North & Central America
CERTIFICATION SCOPE	Management activities for centralised operational functions, global support functions, quality assurance and graphics at the regional headquarters in Westminster (CO), in the United States of America. Manufacturing of Beverage Can Bodies at facilities in the United States including Fairfield, (CA); Fort Atkinson (WI); Fort Worth (TX); Glendale (AZ); Goodyear (AZ); Kapolei (HI); Monticello (IN); Pittston (PA); Rome (GA); Saratoga Springs (NY); Tampa (FL); Winter Haven (FL) and Williamsburg (VA); and Whitby in Canada; and Queretaro in Mexico. Manufacturing of Beverage Can Ends at: Bowling Green (KY), United States. Manufacturing of Beverage Can Bodies and Can Ends at: Findlay (OH), United States and Monterrey, Mexico. Manufacturing of Beverage Can Bodies and Alumi-Tek Bottles at: Conroe (TX), United States. Manufacturing of Beverage Can Bodies, Can Ends and Alumi-Tek Bottles at: Golden (CO) and the BTIC (Technology and Innovation Centre) (CO), United States.
SUPPLY CHAIN ACTIVITIES	Material Conversion
ASI STANDARD	Performance Standard V3.1
AUDIT TYPE	- Initial Certification Audit (14 June – 16 December 2021) - Surveillance Audit and Scope Change (3 November – 15 December 2024) - Re-Certification Audit and Scope Change (7 April – 20 June 2025)
AUDIT FIRM	Bureau Veritas Certification
AUDIT DATE	14 June – 16 December 2021 (Initial Certification Audit) 3 November – 15 December 2024 (Surveillance Audit and Scope Change) 7 April – 20 June 2025 (Re-Certification Audit and Scope Change)
AUDIT REPORT SUBMISSION	19 January 2022 (Initial Certification Audit) 3 January 2025 (Surveillance Audit and Scope Change) 1 July 2025 (Re-Certification Audit and Scope Change)
AUDIT SCOPE	<u>Initial Certification Audit (14 June – 16 December 2021)</u> The Audit Scope covers Ball Beverage Packaging North and Central America at headquarters (Beverage Packaging Office Centre), Colorado (USA); manufacturing of Beverage Can Bodies at Fort Atkinson (USA), Goodyear (USA) and Queretaro (Mexico)

facilities; and manufacturing of Beverage Can Bodies and Ends at Monterrey (Mexico) and BTIC (Beverage Technology and Innovation Center) (USA).

The ASI multi-site sampling approach was undertaken to include facilities in the United States including Fairfield, Fort Worth, Glendale, Golden, Kapolei, Kent, Monticello, Phoenix, Pittston, Rome, Saratoga Springs, St. Paul, Tampa, Wallkill, Williamsburg, Bowling Green, Findlay, Conroe and Golden (USA) and Whitby in Canada.

Supply chain activities included in the Audit Scope:

- Material Conversion (Production and Transformation)

All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.

Surveillance Audit and Scope Change (3 November – 15 December 2024)

The Audit Scope covered Ball Beverage Packaging North and Central America at headquarters (Beverage Packaging Office Centre), Colorado (USA); manufacturing of Beverage Can Bodies at Glendale (USA) and Tampa (USA); and manufacturing of Beverage Can Ends at Bowling Green (USA).

The ASI multi-site sampling approach was undertaken to include Conroe, Fairfield, Findlay, Fort Atkinson, Fort Worth, Golden, Goodyear, Kapolei, Monticello, Pittston, Rome, Saratoga Springs, and Williamsburg (USA); Monterrey and Queretaro (Mexico); and Whitby (Canada).

Supply chain activities included in the Audit Scope:

- Material Conversion (Production and Transformation)

All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.

Re-Certification Audit and Scope Change (7 April – 20 June 2025)

The Audit Scope included Ball Packaging North & Central America (BPNCA) at headquarters (Beverage Packaging Office Centre), Colorado (USA); manufacturing of Beverage Can Ends at Bowling Green (USA); and manufacturing of Beverage Can Bodies at Goodyear (USA), Fairfield (USA), Tampa (USA) and Pittston (USA).

Supply chain activities included in the Audit Scope:

- Material Conversion

All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.

AUDIT OUTCOME

- Certification

AUDIT METHODOLOGY DECLARATION

The Auditors confirm that:

- ☒ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
- ☒ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
- ☒ The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
- ☒ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

CERTIFICATION PERIOD

9 March 2025 – 8 March 2028

NEXT AUDIT TYPE

Surveillance Audit

NEXT AUDIT DATE

8 September 2026

CERTIFICATE NUMBER

179



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Ball Beverage Packaging North & Central America (the 'Entity') is a division of Ball Corporation, a global packaging company for the production of Aluminium beverage cans. Ball Corporation is one of the largest packaging suppliers in the world and operates in several industry sectors, including food and beverage packaging, as well as aerospace solutions. Ball Corporation produces billions of Aluminium packaging products annually and employs more than 16,000 people worldwide. The Entity is responsible for operations in North and Central America which consists of manufacturing Facilities across the region and a central Packaging Office Centre (POC) in Colorado where a majority of the business-support functions reside

The Entity produces two-piece Aluminium food safe beverage containers. Coils of Aluminium are converted through a multi-stage process into bottles, cans and/or ends. The products are cleaned and dried, decorated if applicable, and coated. Die-neckers then squeeze the can opening down and the flagger rolls back the top edge so an end can be applied after filling. Final products are then inspected for structural and visual defects, after which they are palletised and stored for subsequent sale and shipment to a customers' filling operation.

Sustainability is one of Ball Corporation's top priorities as customers and consumers increasingly seek low-carbon products and packaging that enable a circular economy. Ball Corporation continues to invest in research and development, product design, and circularity initiatives around the world to ensure its products create value for their stakeholders while minimising environmental and social impacts.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of Systems, Residual Risk and Performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	High	High	High	HIGH
RISKS	High	High	High	HIGH
PERFORMANCE	High	High	High	HIGH
OVERALL	HIGH			

FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	The Entity's Legal, Environmental Health and Safety (EHS), and Quality Departments maintain a list of applicable legal requirements and conduct monitoring and evaluation to determine how these legal requirements apply to the Entity's operations. The Entity has engaged third-party vendors to provide the data services required to access Applicable Laws and regulations for their jurisdictions. Each Facility Audited demonstrated that a review of their legal register had been completed in the last twelve months.
1.2 Anti-Corruption	Conformance	The Entity implements and maintains a Foreign Corrupt Practices/Global Anti-Corruption Policy that describes its Compliance practices with anti-Corruption laws in the Entity's operating countries. The Policy prohibits all forms of Bribery and Corruption.
1.3a-e Code of Conduct	Conformance	The Entity has implemented a Code of Conduct that is designed to identify and respond to Compliance issues including Corruption, and to provide clear guidance and resources to help employees make the ethical decisions. The Code of Conduct is published on the Entity's website and is available in all languages where the Entity operates: https://www.ball.com/our-company/code-of-conduct
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Conformance	The Entity has implemented an integrated Business Management Policy outlining a commitment to meet or exceed customer expectations, to protect the environment, to ensure the Health and Safety of its employees and contractors as well as its Products, and to operate responsibly in the Communities where they are present. The Business Management Policy has been endorsed by the Entity's Chief Supply Chain Officer. The Entity's global Policies are available on its website and are communicated to internal and external Stakeholders: https://www.ball.com/sustainability/sustainability-reporting/downloads
2.2a-c Leadership	Conformance	The Entity's Vice President of Operations is responsible and accountable for ensuring that the Entity's ASI Management System achieves its intended outcomes.
2.3a Environmental and Social Management Systems – Environmental	Conformance	The Entity maintains an Integrated Management System as part of the global Ball Operating Excellence (BOE) framework. The Integrated Management System and BOE are consistent with the requirements of ISO 45001 and ISO 14001, the SMETA Four Pillar methodology, and the ASI Standards.
2.3b Environmental and Social Management Systems – Social	Conformance	The Entity maintains an Integrated Management System as part of the global Ball Operating Excellence (BOE) Social Management System framework. The Integrated Management System and BOE are consistent with the requirements of ISO 45001 and ISO 14001, the SMETA Four Pillar methodology, and the ASI Standards.

CRITERION	RATING	COMMENT
2.4a-e Responsible Sourcing	Conformance	The Entity has implemented its Supplier Guiding Principles which outline the business conduct standards applicable for all suppliers, including aspects such as employment practices, Human Rights, environment, Health and Safety, anti-trust compliance, Bribery, and anti-Corruption. The Supplier Guiding Principles are available at: https://www.ball.com/our-company/supplier-resources/supplier-sustainability
2.5a-g Environmental and Social Impact Assessments	Conformance	As part of its Due Diligence process for New Projects or Major Changes to existing Facilities, the Entity consults the local regulatory agencies to determine whether new or modified regulatory permits are required. Each plant maintains an EHS Aspects and Impacts Inventory.
2.6a-h Human Rights Impact Assessment	Conformance	As part of the Entity's Human Rights Impact Assessment, Due Diligence processes for New Projects or Major Changes to existing Facilities have taken place, including a gender analysis for the implementation of wellbeing programs for the employees. The Entity consults the local regulatory agencies to determine whether new or modified regulatory permits are required.
2.7a-f Emergency Response Plan	Conformance	Each of the Entity's Facilities has established an Emergency Contingency Plan (ECP) that utilises a standardised template. The ECPs contain processes to identify the potential for and responses to incidents and emergencies. The ECPs are implemented to prevent and mitigate environmental impacts, Occupational Health and Safety (OH&S) impacts, injuries or illnesses, and associated property damage. ECPs are reviewed and updated at least annually and include response and rescue activities where required. The ECPs are available upon Stakeholder request.
2.8a-d Suspended Operations	Conformance	The Entity maintains a Business Continuity Plan at the Corporate level that is updated annually and outlines how market changes, industry changes, or other factors out of their control could significantly suspend or impact operations. These risks are also outlined in each Facility's Emergency Contingency Plan specific to issues that could impact each plant's operations at the local level.
2.9a-b Mergers and Acquisitions	Conformance	As part of its Due Diligence process for mergers and acquisitions, the Entity reviews all environmental and social aspects in the planning process. The Entity has established site selection and Due Diligence requirements that are used during each merger and acquisition event, including new Facility builds. The Entity demonstrated it had implemented these requirements in a recent acquisition.
2.10a-b Closure, Decommissioning and Divestment	Conformance	As part of the Due Diligence process for plant closures and decommissions, the Entity reviews all environmental and social aspects in the planning process. The Entity has established site selection and Due Diligence requirements that are used during closure, decommissioning and divestment. The Entity demonstrated it had implemented these requirements in a recent Facility closure.
3. TRANSPARENCY		
3.1a-b Sustainability Reporting	Conformance	The Entity has published a Combined Annual and Sustainability Report at the Corporate level, in conformance with the Global Reporting Initiative (GRI) Standard, available at:

CRITERION	RATING	COMMENT
		https://www.ball.com/sustainability/sustainability-reporting/downloads
3.2 Non-compliance and Liabilities	Conformance	The Entity has publicly disclosed information on significant fines, judgments, penalties and non-monetary sanctions in the Combined Annual and Sustainability Report and the GRI Index, available at: https://www.marketscreener.com/quote/stock/BALL-CORPORATION-40311104/news/Ball-2024-Combined-Annual-Sustainability-Report-49416546/ and https://www.ball.com/sustainability/sustainability-reporting/downloads
3.3a-c Payments to Governments	Conformance	The Entity only makes payments to Governments on a legal and/or contractual basis in accordance with the Ball Code of Conduct and the Foreign Corrupt Practices/Global Anti-Corruption Policy. Information on payments to Governments are made available in the GRI Index and SEC filings. The GRI Index is available at: https://www.ball.com/getattachment/318cdc87-5e97-4291-b42e-79bbad714665/GRI-REPORT-2024-March-Update.pdf The SEC filings (page 58) are available at: https://investors.ball.com/sec-filings/all-sec-filings/content/0001558370-25-001190/0001558370-25-001190.pdf
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	The Entity has implemented a system where ethics complaints can be anonymously reported via a 'Compliance Hotline' that is available at: www.ballcompliancehotline.com A review of any ethics complaints received was conducted at each site within the Audit Scope.
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Conformance	The Entity has conducted peer-reviewed comparative Life Cycle Assessments (LCA) for its major Products where Aluminium is used. The LCA Report focuses on environmental life cycle impacts of these Products and was conducted in accordance with ISO 14040 and ISO 14044 requirements to ensure consistency and comparability of assessments. The LCA Report is available at: https://www.ball.com/getattachment/85d9e3af-e3aa-4b93-a687-5b34888b2bfc/Ball-Comparative-2020-LCA-full-report-FINAL.pdf
4.1b-c Environmental Life Cycle Assessment - Disclosure	Conformance	The Entity has conducted peer-reviewed comparative Life Cycle Assessments (LCA) for its major Products where Aluminium is used. The LCA Report focuses on environmental life cycle impacts of these Products and was conducted in accordance with ISO 14040 and ISO 14044 requirements to ensure consistency and comparability of assessments. The LCA Report is available at: https://www.ball.com/getattachment/85d9e3af-e3aa-4b93-a687-5b34888b2bfc/Ball-Comparative-2020-LCA-full-report-FINAL.pdf
4.2 Product Design	Conformance	The Entity has reduced the overall weight of Aluminium beverage cans via downgauging and light-weighting techniques. The Entity also proactively works with its coating suppliers to develop effective Products that meet expectations for transparency and safety. The Entity is committed to removing any materials of concern

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		(such as bisphenol A and Polyfluoroalkyl Substances (PFAS)) from Product internal coatings. The Entity has established a goal to receive 'Cradle-to-Cradle Material Health Certifications' (Silver or above) for all inks and coatings by 2030. Information on these goals is available at: https://www.ball.com/sustainability/goals
4.3a-b Aluminium Process Scrap	Conformance	The Entity is committed to reducing spoilage and recycling Aluminium Process Scrap. Each Facility has developed and implemented a spoilage target. Approximately 87% of the total Waste generated at the Entity is manufacturing Scrap. Each Facility has processes to separate different classes of Aluminium.
4.4a-c Collection and Recycling of Products at End of Life – Material Conversion and other Manufacturing	Conformance	The Entity is committed to increasing its overall recycling rates with to support the increase of the global recycling rate of beverage cans, bottles and cups to 90% by 2030, as published in the Entity's sustainability goals: https://www.ball.com/sustainability/goals
4.4d Collection and Recycling of Products at End of Life	Conformance	The Entity proactively engages in Federal, State and local policy solutions to increase collection rates and the effectiveness of recycling policies and systems such as deposit return schemes. The Entity engages with customers to increase access to collection systems, educates on the benefits of recycling, and engages with recycling facilities directly. Further details are available on the Entity's website at: https://www.ball.com/sustainability/real-circularity
5. GREENHOUSE GAS EMISSIONS		
5.1a-b Disclosure of GHG Emissions and Energy Use	Conformance	The Entity's Greenhouse Gas (GHG) emissions data are publicly available at the global, regional, and country levels, as well as by business segment. The Entity's GHG emissions data for Scopes 1, 2 and 3, are available in both the 2024 Combined Annual and Sustainability Report and the Sustainability Data Centre accessible at: https://www.ball.com/sustainability/sustainability-reporting/downloads and https://www.ball.com/sustainability/sustainability-reporting/data-center All GHG emissions data are verified independently before publication, and the Annual and Sustainability Report contains a relevant assurance statement.
5.2a Aluminium Smelter GHG Emissions Intensity – Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity – In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a GHG Emissions Reduction Plans	Conformance	The Entity has established its GHG emissions reduction goals, which are part of the Ball Corporation Corporate goals published in the 2024 Combined Annual and Sustainability Report available at: https://www.ball.com/sustainability/goals

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		https://www.ball.com/sustainability/sustainability-reporting/data-center Further information on the Entity's GHG emissions reduction goals are available in its Climate Leadership Statement available at: https://www.ball.com/sustainability/climate-leadership The Entity has used the ASI GHG Pathway Calculations Tool to verify the Corporate level GHG Emissions Reduction Plan conforms with the ASI endorsed methodology.
5.3b-e GHG Emissions Reduction Plans – Targets, review and disclosure	Conformance	The Entity has established its GHG emissions reduction goals, which are part of the Ball Corporation's Corporate goals published in the 2024 Combined Annual and Sustainability Report. Intermediate targets are reviewed on an annual basis. The 2024 Combined Annual and Sustainability Report is available at: https://www.ball.com/sustainability/goals https://www.ball.com/sustainability/sustainability-reporting/data-center Further information on the Entity's GHG emissions reduction goals is available in its Climate Leadership Statement, which is available at: https://www.ball.com/sustainability/climate-leadership
5.4 GHG Emissions Management	Conformance	The Entity has established an Integrated Management System under the Ball Operation Excellence Sustainability Pillar that implements its GHG emissions reduction targets. These Management Systems are reviewed annually.
6. EMISSIONS, EFFLUENTS AND WASTE		
6.1a-f Emissions to Air	Conformance	The Entity's Emissions to Air are regulated and each Facility has a site-specific permit with emission limits. Each Facility tracks and reports Emissions to Air and emission calculations are completed at least monthly. The Entity's data on Emissions to Air are available in the GRI Report at: https://www.ball.com/getattachment/318cdc87-5e97-4291-b42e-79bbad714665/GRI-REPORT-2024-March-Update.pdf
6.2a-g Discharges to Water	Conformance	The Entity's Discharges to Water are regulated and each Facility has a site-specific permit with discharge limits. Pre-treatment of industrial wastewater material prior to discharge to the local government or privately owned treatment facilities is required. The Entity's information records are open to regular inspections from the local municipality. The Entity's data on Discharges to Water are available in the GRI Report, available at: https://www.ball.com/getattachment/318cdc87-5e97-4291-b42e-79bbad714665/GRI-REPORT-2024-March-Update.pdf
6.3a-g Assessment and Management of Spills and Leakages	Conformance	The Entity has developed and implemented an Emergency Contingency Plan which includes requirements for the assessment and management of Spills and Leakages. Each Facility has a site-specific plan that outlines effective responses, including controls, remediation and recovery for a range of potential emergency events including Spills and Leakages. The Entity discloses information on Spills and Leakages in the GRI Report, available at: https://www.ball.com/getattachment/318cdc87-5e97-4291-b42e-79bbad714665/GRI-REPORT-2024-March-Update.pdf

CRITERION	RATING	COMMENT
6.4a-b Public Disclosure of Spills and Leakages	Conformance	The Entity has developed and implemented an Emergency Contingency Plan which includes requirements for responding to and disclosing material (major) Spills and Leakages. The Entity discloses information on major Spills within the Sustainability Report and the GRI Report as required: https://www.ball.com/sustainability/sustainability-reporting/downloads https://www.ball.com/getattachment/318cdc87-5e97-4291-b42e-79bbad714665/GRI-REPORT-2024-March-Update.pdf
6.5a-c Waste Management and Reporting	Conformance	The Entity generates various Non-Hazardous and Hazardous Wastes that are regulated by the applicable Environmental Protection Agencies (EPAs). Each Facility has an EPA identification number and tracks the quantity of Hazardous Waste generated each month to determine its generator status. Waste volumes are reported in the 2024 Combined Annual Sustainability Report (page 41) and the GRI Report: https://www.ball.com/sustainability/sustainability-reporting/downloads https://www.ball.com/getattachment/318cdc87-5e97-4291-b42e-79bbad714665/GRI-REPORT-2024-March-Update.pdf The Entity has implemented a Waste Management and Reporting Strategy and has established Key Performance Indicators (KPI's) to track the quantity of Waste generated, which is reported monthly in the Sustainability Scorecard.
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7. WATER STEWARDSHIP		
7.1a-b Water Assessment and Disclosure	Conformance	The Entity abstracts water from various sources for use in its manufacturing processes. Water withdrawal quantities are measured at least monthly via meter readings and utility bill tracking. Water usage and efficiency goals are disclosed in the Entity's Combined Annual and Sustainability Report and GRI Report available at: https://www.ball.com/sustainability/sustainability-reporting/downloads https://www.ball.com/getattachment/318cdc87-5e97-4291-b42e-79bbad714665/GRI-REPORT-2024-March-Update.pdf The Entity utilises the World Resources Institute (WRI) Aqueduct Risk Analysis Tool to determine water-related risks. It determined that 13% of its Facilities are in medium to high-risk locations and a similar number are situated in high-risk locations. The Entity has disclosed its water related risks and approach to water stewardship at: https://www.ball.com/sustainability/product-stewardship/resource-efficiency
7.2a-e Water Management	Conformance	The Entity's management team analyses and evaluates appropriate data and information arising from monitoring and measurement of water usage in conjunction with Affected Populations. The Entity has

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		developed water use reduction goals for 2030, available at: https://www.ball.com/sustainability/goals
8. BIODIVERSITY AND ECOSYSTEM SERVICES		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Conformance	The Entity has established a Biodiversity Policy outlining the requirements for completing annual site-level Biodiversity assessments and establishing action plans to remediate any areas of concern. The Entity's Biodiversity Policy is available at: https://www.ball.com/getattachment/cdfc4728-122c-4311-a0a8-3f06a5540a9f/Biodiversity-Policy_Final_07282023.pdf
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Conformance	The Entity has established a Biodiversity Policy outlining the requirements for completing annual site-level Biodiversity assessments in consultation with Affected Populations and establishing action plans to remediate any areas of concern. The Entity's Biodiversity Policy is available at: https://www.ball.com/getattachment/cdfc4728-122c-4311-a0a8-3f06a5540a9f/Biodiversity-Policy_Final_07282023.pdf The Entity has not identified Priority Ecosystem Services in any of its Facilities in the Biodiversity assessments.
8.2a-g Biodiversity Management	Conformance	The Entity has developed an internal Biodiversity checklist, which is completed annually. Where aspects are identified as high-risk in the checklist process the Facility is required to develop and implement a Biodiversity Action Plan, that includes appropriate time-bound targets and is tracked as part of the monthly EHS scorecard review process. The Entity's Biodiversity Policy is available at: https://www.ball.com/getattachment/cdfc4728-122c-4311-a0a8-3f06a5540a9f/Biodiversity-Policy_Final_07282023.pdf
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity, as no Priority Ecosystem Services were identified in any of the Facilities. Nevertheless, the Entity has developed an internal Biodiversity checklist, which is completed annually. Where aspects are identified as high-risk in the checklist process, the Facility is required to develop and implement a Biodiversity Action Plan, that includes appropriate time-bound targets and is tracked as part of the monthly EHS scorecard review.
8.4 Alien Species	Conformance	The Entity has developed a Biodiversity checklist to evaluate the risk of introduction of Alien Species. Identified risks are added to each Facility's annual aspects and impact assessment.
8.5a-b Commitment to "No Go" in World Heritage Properties	Conformance	The Entity's existing manufacturing plants are located within industrial parks or established areas where there are no conflicts with World Heritage Properties. The Entity has made the commitment to 'No Go' in World Heritage Properties, which forms an essential part of its research process for future new operations.
8.6a-d Protected Areas	Conformance	The Entity's existing manufacturing plants are all located within industrial parks or established areas where there are no conflicts with Protected Areas. The Entity has a process to identify and avoid Protected Areas within its Area of Influence that forms an essential part of its research process for future new operations.

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8.6e Protected Areas – Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9. HUMAN RIGHTS		
9.1a-d Human Rights Due Diligence	Conformance	The Entity has established a Human Rights Policy outlining its commitment to treating people with dignity and respect, available at: https://www.ball.com/getattachment/06ef799c-8a92-45b8-8f7d-078f8fc03b20/GP-Comp-012-004_Human-Rights.pdf The Entity has established a Human Rights Due Diligence process and relevant Policies including the Human Rights Policy, Business Ethics Code of Conduct, Supplier Code of Conduct and Modern Slavery Statement and seeks to avoid direct and indirect involvement in Human Rights abuses. The Entity undertakes a SMETA 4-Pillar audit every three years, that assesses Labour Rights, Freedom of Association, Child Labour, Working Time, migrant Workers and Health and Safety. The Entity is committed to the implementation and fulfillment of Human Rights and has Policies and procedures in place to handle situations that require remediation. Suppliers are expected to demonstrate compliance with the Supplier Guiding Principles and corrective action is pursued as necessary. Remediation in the case of Human Rights violations is included in Ball Corporation's Human Rights Policy and related policies. The Entity has implemented a Policy and procedure for employees to report discrimination, harassment or retaliation to an anonymous ethics hotline, available at: www.ballcompliancehotline.com
9.2a-e Gender Equity and Women's Empowerment	Conformance	The Entity has integrated gender equity and women's empowerment principles into its Policies on Human Rights, Business Ethics Code of Conduct, Respect in the Workplace and Prohibition of Discrimination. The Entity has an internal Women's Network (BRG), holds bi-monthly educational events, and focuses on developing women in leadership roles. Further information on the Entity's approach to gender equity and women's empowerment is available at: https://www.ball.com/careers/diversity-inclusion The Entity discloses the performance of its gender equity and women's empowerment in the GRI Report, pages 26 – 27, available at: https://www.ball.com/getattachment/318cdc87-5e97-4291-b42e-79bbad714665/GRI-REPORT-2024-March-Update.pdf
9.3a-i Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity, as the Entity is not located on or near the lands, territories or resources of Indigenous Peoples.
9.4a Free, Prior, and Informed Consent (FPIC) – New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity, as the Entity is not located on or near the lands, territories or resources of Indigenous Peoples.
9.4b Free, Prior, and Informed Consent (FPIC) – Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
9.4c Free, Prior, and Informed Consent (FPIC) - Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity, as the Entity is not located on or near the lands, territories or resources of Indigenous Peoples.
9.5a Cultural and Sacred Heritage – Identification	Conformance	The Entity does not operate in cultural or sacred heritage sites. The Entity's Due Diligence process for New Projects avoids locations where cultural or sacred heritage sites may be impacted.
9.5b Cultural and Sacred Heritage – Impacts	Not Applicable	This Criterion is not applicable to the Entity, as none of the Entity's plants are located on cultural and sacred heritage sites. The Entity's Due Diligence process for New Projects avoids locations where cultural or sacred heritage sites may be impacted. Most of the Entity's Facilities are located in industrial areas.
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity, as no resettlements have been considered or taken place during since the Entity was established or since joining ASI.
9.7a-h Affected Populations and Organisations	Conformance	The Entity respects the rights and interests of Local Communities and has developed an inclusive and open dialogue with these Communities. The Entity engages with Local Communities when new permits or changes to existing permits are required. The Entity awards grants to non-profit organisations working with Local Communities within their Area of Influence. In 2024, the Entity contributed 37% of its charitable resources to recycling efforts, 44% to advancing education in Science, Technology, Engineering and Mathematics (STEM), and 13% to helping communities prepare for disaster and rapid relief when disaster strikes. Further information on the Entity's engagement with Local Communities is available at: https://www.ball.com/our-company/the-ball-foundation
9.8a Conflict-Affected and High-Risk Areas – Strong management systems	Conformance	The Entity has developed and implemented its Supplier Guiding Principles and does not source material from Conflicted-Affected and High-Risk Areas (CAHRAs). The Entity does not source directly or indirectly any Bauxite, Alumina or Primary Aluminium. The Entity's only Aluminium input is Secondary Aluminium.
9.8b Conflict-Affected and High-Risk Areas – Identify and assess risks	Not Applicable	This Criterion is not applicable to the Entity, as the Entity does not source directly or indirectly any Bauxite, Alumina or Primary Aluminium. The Entity's only Aluminium input is Secondary Aluminium.
9.8c Conflict-Affected and High-Risk Areas – Strategy to respond to risks	Not Applicable	This Criterion is not applicable to the Entity, as the Entity does not source directly or indirectly any Bauxite, Alumina or Primary Aluminium. The Entity's only Aluminium input is Secondary Aluminium.
9.8d Conflict-Affected and High-Risk Areas – Audit of due diligence	Not Applicable	This Criterion is not applicable to the Entity, as the Entity does not source directly or indirectly any Bauxite, Alumina or Primary Aluminium. The Entity's only Aluminium input is Secondary Aluminium.
9.8e Conflict-Affected and High-Risk Areas – Report annually	Not Applicable	This Criterion is not applicable to the Entity, as the Entity does not source directly or indirectly any Bauxite, Alumina or Primary Aluminium. The Entity's only Aluminium input is Secondary Aluminium.

CRITERION	RATING	COMMENT
9.9 Security practice	Conformance	The Entity's Human Rights Policy stipulates that proportionate security arrangements be adopted. The Entity ensures that the provision of security is consistent with local laws and relevant International Standards and guidelines.
10. LABOUR RIGHTS		
10.1a-c Freedom of Association and Right to Collective Bargaining	Conformance	The Entity has developed a Human Rights Policy that includes a commitment to respecting Workers' rights to Freedom of Association and Collective Bargaining in accordance with national and international laws.
10.1d Freedom of Association and Right to Collective Bargaining - Alternative means in context of Applicable Law	Not Applicable	This Criterion is not applicable to the Entity, as it does not operate in countries that restrict the right to Freedom of Association or Collective Bargaining.
10.2a-c Child Labour	Conformance	The Entity's Child and Forced Labour Policy requires the stringent adhere to all regulations and laws related to Child Labour and compulsory or Forced Labour. The Entity does not employ individuals under the age of 18 and has implemented a 'zero-tolerance policy' on Child Labour. The Entity's Child and Forced Labor Policy is available at: https://www.ball.com/sustainability/sustainability-reporting/downloads
10.3a-c Forced Labour	Conformance	The Entity has a 'zero-tolerance policy' for the use of Forced Labour or Human Trafficking practices and has the same expectations of businesses in its supply chain. The Entity does engage in any practices typically associated with Forced Labour. In particular, the Entity does not require any deposits from its employees, does not use migrant Workers, does not practice Debt Bondage through advance payment, does not restrict Workers' freedom of movement inside work areas, allows its employees to terminate their contracts with reasonable notice in accordance with the mutually agreed employment contract and does not retain employees' original education, training, or identity certificates. The Entity has disclosed its Modern Slavery and Human Trafficking Statement, available at: https://www.ball.com/getattachment/d88355f4-d9fc-4dc2-b44a-204c5e37145c/Slavery-and-Human-Trafficking-Statement-2025-V3.pdf The Entity's Supplier Guiding Principles are available at: https://www.ball.com/our-company/supplier-resources/ethics-compliance
10.4a-c Non-Discrimination	Conformance	The Entity does not tolerate Discrimination in the workplace. It has implemented a Discrimination, Harassment and Retaliation Policy. An ethics compliance hotline is available for anonymous reporting of Discrimination.
10.5 Communication and engagement	Conformance	The Entity supports open communication with its Workers through the Communication Plan, ethics hotline, and the Prohibition of Discrimination, Harassment, and Retaliation Policy.

CRITERION	RATING	COMMENT
		Employees interviewed in the Audit confirmed the Entity implements an 'open door policy', where any employee can talk to any Supervisor, Manager or Human Resources Manager to raise concerns or complaints.
10.6a-g Violence and Harassment	Conformance	The Entity neither engages in nor tolerates the use of corporal punishment, mental or physical coercion, Harassment, or gender-based Violence, including sexual Harassment or verbal abuse of Workers. The Entity's Policies, including the Prohibition of Discrimination, Harassment and Retaliation Policy, Workplace Threats and Violence Policy, Employee Conduct and Discipline Policy and the Code of Conduct, are available to employees on an internal web portal and are included in employee training. These Policies are publicly available at: https://www.ball.com/sustainability/sustainability-reporting/downloads
10.7a-c Remuneration	Conformance	The Entity respects the rights of Workers to a living wage and complies with local, state and federal regulations to ensure that wages paid for a normal working week meet at least legal or industry minimum standards. The Entity makes timely wage payments in legal tender and payments are fully documented.
10.8a-c Working Time	Conformance	Each employee handbook outlines the Entity's Working Time policies, including Overtime pay and distribution. The Entity provides reasonable working hours and fair wages in compliance with local laws and labour contracts where applicable. In addition, each plant posts the 'Federal Labor Wage Poster' in a common area.
10.9a-b Informing Workers of Rights	Conformance	The Entity has developed a Human Rights Policy that includes a commitment to inform Workers on their rights, including respecting Freedom of Association and Collective Bargaining in accordance with National and International law.
11. OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Management System	Conformance	The Entity maintains a structured Environmental Health and Safety Management System (EHSMS), where the Health and Safety component has been developed in accordance with the requirements of ISO 45001:2018 Standard. The components of the EHSMS are outlined in both the Entity's EHSMS Manual and the Manufacturing Excellence Handbook. The EHSMS applies to all the Entity's operational locations and includes the organisation's relevant Policies, procedures, plans, resources, processes, and the delineation of roles and responsibilities.
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Minor Non-Conformance	The Entity maintains a structured EHSMS equivalent to ISO 45001:2018. The components of the EHSMS are outlined in both the Entity's EHSMS Manual and the Manufacturing Excellence Handbook, and apply to all of the operational locations and include the organisation's policies, procedures, plans, resources, processes, and the delineation of roles and responsibilities, all of which are aimed at achieving products, solutions, and services in a manner consistent with EHS policies and organisational objectives. The system is reviewed at least annually with monthly meetings to evaluate its performance for any indication of a control gap.

CRITERION	RATING	COMMENT
		The Entity discloses the effectiveness of its EHSMS in its GRI Report at: https://www.ball.com/getattachment/318cdc87-5e97-4291-b42e-79bbad714665/GRI-REPORT-2024-March-Update.pdf The Entity currently monitors both leading and lagging safety performance indicators for all locations, however, the Entity has not completed a comparative analysis and disclosed their performance indicators against industry peers.
11.2 Employee engagement on Health and Safety	Conformance	The Entity has established processes for employees to participate and provide input specific to environmental or Health and Safety concerns. Employees communicate with management via Safety Committee meetings, Plant Safety Team participation, suggestion boxes and regular shift meetings.

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DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	9 March 2022	Initial Certification Audit – Full Certification
1	25 February 2025	Surveillance Audit and Scope Change Scope Change to remove Kent, Phoenix, St. Paul and Wallkill sites. Extension to Audit Expire date granted for three months.
2	17 October 2025	Re-Certification Audit and Scope Change – Full Certification. Scope Change to apply Performance Standard V3.1, and to add Ball Beverage Packaging Winter Haven.