

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

Chalco Ruimin Co., Ltd.

CERTIFICATE NUMBER
92

ASI STANDARD
**PERFORMANCE
STANDARD
(V3.1 2023)**

CERTIFICATION LEVEL
**FULL
CERTIFICATION**

ASI ACCREDITED
AUDITING FIRM
**DNV BUSINESS
ASSURANCE
SERVICES UK LTD.**

DATE OF ISSUE
21 AUGUST 2023

DATE OF EXPIRY
20 AUGUST 2026

CERTIFIED SINCE
21 AUGUST 2020

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. Hu' or similar, with a long horizontal stroke extending to the right.

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Validity of this Certificate is subject to continued conformance with the applicable ASI Standard and can be verified at
www.aluminium-stewardship.org

CERTIFICATION SCOPE

Chalco Ruimin manufactures and sells Aluminium Can Body Stock, Aluminium materials for electronics applications, Aluminium materials for high-end offset plate and Aluminium materials for transportation.

The main processes include remelting and casting, hot rolling, cold rolling and finishing carried out at two plants located at 8 Luoxingta Road, Mawei, Fuzhou, Fujian, China and Connecting Line of Jianghua Avenue, Lianhua District, Huaqiao Farm, Jiangjing Town, Fuqing, Fuzhou, Fujian, China.

AUDIT REPORT PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	Chalco Ruimin Co., Ltd.
ENTITY NAME	Chalco Ruimin Co., Ltd.
CERTIFICATION SCOPE	Chalco Ruimin manufactures and sells Aluminium Can Body Stock, Aluminium materials for electronics applications, Aluminium materials for high-end offset plate and Aluminium materials for transportation. The main processes include remelting and casting, hot rolling, cold rolling and finishing carried out at two plants located at 8 Luoxingta Road, Mawei, Fuzhou, Fujian, China and Connecting Line of Jianghua Avenue, Lianhua District, Huaqiao Farm, Jiangjing Town, Fuqing, Fuzhou, Fujian, China.
SUPPLY CHAIN ACTIVITIES	- Aluminium Re-melting/Refining - Casthouses - Semi-Fabrication
ASI STANDARD	Performance Standard V3.1
AUDIT TYPE	- Initial Certification Audit (28 June – 1 July 2020) - Surveillance Audit (18 – 20 July 2022) - Re-Certification Audit and Scope Change Audit (23 – 25 May 2023) - Surveillance Audit and Scope Change (27 – 30 May 2025)
AUDIT FIRM	DNV Business Assurance Services UK Ltd.
AUDIT DATE	28 June – 1 July 2020 (Initial Certification Audit) 18 – 20 July 2022 (Surveillance Audit) 23 – 25 May 2023 (Re-Certification and Scope Change Audit) 27 – 30 May 2025 (Surveillance Audit and Scope Change)
AUDIT REPORT SUBMISSION	7 August 2020 (Initial Certification Audit) 31 August 2022 (Surveillance Audit) 28 July 2023 (Re-Certification Audit and Scope Change Audit) 11 August 2025 (Surveillance Audit and Scope Change)
AUDIT SCOPE	<u>Initial Certification Audit (28 June – 1 July 2020)</u> The Audit Scope covered one plant at 8 Luoxingta Road, Mawei, Fuzhou, Fujian, China and the other plant at Connecting Line of Jianghua Avenue, Lianhua District, Huaqiao Farm, Jiangjing Town, Fuqing, Fuzhou, Fujian, China. Supply chain activities included in the Audit Scope: - Casthouses - Material Conversion (Production and Transformation)

All relevant criteria in the ASI Performance Standard were included in the Audit Scope.

Surveillance Audit (18 – 20 July 2022)

The Audit Scope covered Chalco Ruimin's two plants: Mawei, Fuzhou, Fujian, China and at Fuqing, Fujian, China.

Supply chain activities included in the Audit Scope:

- Casthouses
- Material Conversion (Production and Transformation)

All relevant criteria in the ASI Performance Standard were included in the Audit Scope.

Re-Certification and Scope Change Audit (23 – 25 May 2023)

The Audit Scope covered the operations of Chalco Ruimin ASI Management System and Chalco Ruimin's two plants: Mawei, Fuzhou, Fujian, China and at Fuqing, Fujian, China.

Supply chain activities included in the Audit Scope:

- Aluminium Re-melting/Refining
- Casthouses
- Material Conversion

All relevant criteria in the ASI Performance Standard were included in the Audit Scope.

Surveillance Audit and Scope Change (27 – 30 May 2025)

The Audit Scope covered the operations of Chalco Ruimin ASI Management System and Chalco Ruimin's two plants: Mawei, Fuzhou, Fujian, China and at Fuqing, Fujian, China.

Supply chain activities included in the Audit Scope:

- Aluminium Re-melting/Refining
- Casthouses
- Semi-Fabrication

All relevant criteria in the ASI Performance Standard were included in the Audit Scope.

AUDIT OUTCOME	• Certification
AUDIT METHODOLOGY DECLARATION	The Auditors confirm that: ☒ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report. ☒ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous. ☒ The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope. ☒ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
CERTIFICATION PERIOD	21 August 2023 – 20 August 2026
NEXT AUDIT TYPE	Re-Certification Audit
NEXT AUDIT DATE	20 August 2026
CERTIFICATE NUMBER	92



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EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Chalco Ruimin Co., Ltd. (the 'Entity') manufactures and sells Aluminium Can Body Stock, Aluminium materials for electronics applications, Aluminium materials for high-end offset plate and Aluminium materials for transportation. The main processes include remelting and casting, hot rolling, cold rolling, and finishing.

The Entity employees approximately employs 1330 people and owns two plants: One plant is located at 8 Luoxingta Road, Mawei, Fuzhou, Fujian, China and the other plant is at the connecting line of Jianghua Avenue, Lianhua District, Huaqiao Farm, Jiangjing Town, Fuqing, Fuzhou, Fujian, China.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of Systems, Residual Risk and Performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	High	Medium	Medium	MEDIUM
RISKS	Medium	Medium	Medium	MEDIUM
PERFORMANCE	Medium	Medium	Medium	MEDIUM
OVERALL	MEDIUM			

FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	The Entity has developed and implemented Policies, systems, procedures and processes that conform to the ASI Performance Standard legal Compliance requirements. The Entity has systems in place to maintain awareness of and to ensure Compliance with Applicable Law. The Entity's Code of Conduct is available at: https://zirm.chinalco.com.cn/shzr/dwpldxx/202505/t20250526_148039.html
1.2 Anti-Corruption	Conformance	The Entity has established and implemented Policies and procedures including the Management Procedure of Anti-Corruption to effectively identify and prevent Corruption. The Entity is committed to work against all forms of Corruption, including Extortion and Bribery, in accordance with Applicable Law and prevailing standards. According to registers of misconduct and periodic internal control audit reports, no instances of Corruption have been reported in 2024 or 2025.
1.3a-e Code of Conduct	Conformance	The Entity has established and implemented a Code of Conduct which includes principles related to Environmental, Social and Governance (ESG) performance. The Entity implemented adequate measures, including training and communication, to raise awareness of the Code among business partners and suppliers. A formal review of the Code of Conduct is conducted annually and the Entity has committed to review conformance with and effectiveness of the Code of Conduct when there are any changes to the business that bring about significant changes in ESG risks, or if there are indications of control deficiencies. The Code of Conduct is available at: https://zirm.chinalco.com.cn/shzr/dwpldxx/202505/t20250526_148039.html
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Conformance	The Entity has established a Management Policy that is consistent with the Environmental, Social and Governance (ESG) practices included in the ASI Performance Standard. The Policy is communicated internally to all employees and is publicly available at: https://zirm.chinalco.com.cn/shzr/dwpldxx/202505/t20250526_148038.html The Entity has committed to review the Policy annually as well as when there are any changes to the business that bring about significant changes in ESG risks, or there are indications of control deficiencies.
2.2a-c Leadership	Conformance	The Entity has designated a senior manager from its management committee as the Management Representative responsible for the establishment and implementation of the Management Policy within the Entity. The Management Representative is also responsible for communicating ASI policies within the Entity. The Entity has established a cross-departmental ASI working group to implement the ASI Policies within the Entity. ASI Policies and

CRITERION	RATING	COMMENT
		management procedures are communicated to all employees through various training courses.
2.3a Environmental and Social Management Systems – Environmental	Conformance	The Entity has implemented and documented a comprehensive Environmental Management System which is certified to the ISO 14001:2015 standard. The most recent audit, conducted in May 2024, confirmed compliance with the ISO 14001:2015 standard, with no major non-conformances identified. Minor non-conformances identified in the audit were corrected and the non-conformances were closed.
2.3b Environmental and Social Management Systems – Social	Conformance	The Entity has established and implemented Social Management Systems in accordance with the ASI Performance Standard. Social and Occupational Health and Safety (OH&S) impacts are identified and assessed, and the associated management provisions for preventing and/or mitigating these impacts are implemented.
2.4a-e Responsible Sourcing	Conformance	The Entity has established and implemented comprehensive Policies, systems, procedures, and processes that align with responsible sourcing requirements. The Entity's Responsible Procurement Policy is publicly available at: https://zirm.chinalco.com.cn/shzr/dwpldxx/202505/t20250508_146944.html In accordance with the Entity's ASI Documentation Management Procedure, the purchasing Policies are subject to periodic review during the annual management review meeting. The Entity has further committed to review the Policies whenever significant changes in the business occur that may impact ESG risks, or when indications of control deficiencies are identified.
2.5a-g Environmental and Social Impact Assessments	Conformance	The Entity has documented and implemented procedures to assess and manage risks related to social, environmental, Occupational Health and Safety (OH&S) and governance aspects of New Projects or Major Changes. The Entity adheres to Environmental and Social Impact Assessment (ESIA) standards to evaluate and mitigate the impacts of its activities. In 2021, the Entity received government approval to construct a casting facility within its existing premises in an industrial park. The project, completed and operational by mid-2024, underwent a comprehensive ESIA which considered both current and historical impacts of Aluminium-related activities. Based on the ESIA findings, the Entity developed and implemented an Environmental and Social Management Plan (ESMP) to address identified risks. The Entity monitors the ESMP's implementation through regular management reviews, environmental monitoring, and community feedback. It discloses environmental and social performance via official platforms, ensuring transparency and accountability. ESMPs and performance information are available at: https://zirm.chinalco.com.cn/shzr/hjbh/
2.6a-h Human Rights Impact Assessment	Conformance	The Entity has documented and implemented procedures to assess and manage risks related to Human Rights and business ethics and has developed corresponding control measures in accordance with the ASI Performance Standard and relevant legal requirements. The Entity has established and implemented a Comprehensive Human Rights Management Plan.

CRITERION	RATING	COMMENT
		The Entity's Human Rights Impact Assessment report and management plans are publicly available on the Entity's official website at: https://zlrn.chinalco.com.cn/shzr/shzrbg/202504/t20250411_145644.html In addition to annual reviews, the Entity's senior management is committed to reassessing the management plans whenever significant business changes occur that may affect Material Human Rights risks or when evidence of control deficiencies arises. This ensures the plans remain effective and responsive to evolving risks.
2.7a-f Emergency Response Plan	Conformance	The Entity holds valid ISO 14001:2015 and ISO 45001:2018 certificates. Emergency Response Plans are developed and implemented for both Facilities. Personnel training and drill records were verified during the Audit. The Environmental Emergency Response Plan is registered with the local environmental protection department and is disclosed to the public. The Entity shares the Emergency Response Plans with the Communities in the surrounding areas on request. The Emergency Response Plans for the Fuqing and Mawei Facilities are disclosed at: https://zlrn.chinalco.com.cn/shzr/hjbh/202506/t20250613_149223.html https://zlrn.chinalco.com.cn/shzr/hjbh/202506/t20250613_149222.html https://zlrn.chinalco.com.cn/shzr/hjbh/202506/t20250613_149221.html https://zlrn.chinalco.com.cn/shzr/hjbh/202506/t20250613_149220.html
2.8a-d Suspended Operations	Conformance	The Entity has developed a Business Continuity and Resumption Plan to address situations where it may have to suspend or significantly change operations due to factors outside its control. The Entity commits to comply with Applicable Law and company Policies on layoffs and to consult employee organisations in parallel. The Business Continuity and Resumption Plan will be reviewed in case of Material ESG risk(s) caused by business changes or any indication of a control gap and shall be reviewed annually. No suspension activity has occurred in the last three years.
2.9a-b Mergers and Acquisitions	Conformance	The Entity has established a management procedure for Mergers and Acquisitions (Environmental, Social, and Governance Management Procedures for Enterprise Closure, Retirement, and Divestment) based on the requirement of the ASI Performance Standard. Senior management commits to conduct Due Diligence processes for mergers and acquisitions when they occur and review its ESG practices related to the ASI Performance Standard. The Entity is a state-owned group enterprise, and no such activity has occurred in the last three years.
2.10a-b Closure, Decommissioning and Divestment	Conformance	The Entity has implemented a management procedure for Closure, Decommissioning and Divestment in accordance with the ASI Performance Standard. No such closure, decommissioning or divestment activity has occurred in the last three years.
3. TRANSPARENCY		

CRITERION	RATING	COMMENT
3.1a-b Sustainability Reporting	Conformance	The Entity has established and implemented a Materiality screening process, and the key concerns of Stakeholders are identified, such as air pollution and Hazardous Waste management. The management approach and performance information of Material issues are disclosed in the Entity's annual Sustainability and Social Responsibility Reports which are available at: https://zirm.chinalco.com.cn/shzr/shzrbg/202505/t20250526_148009.html https://zirm.chinalco.com.cn/shzr/dwpldxx/202505/t20250526_148058.html
3.2 Non-compliance and Liabilities	Conformance	The Entity publicly discloses information on significant fines, judgments, penalties and non-monetary sanctions for failure to comply with Applicable Law in its Social Responsibility Report, and in its ASI Compliance and Fund Payment Information Disclosure. There are no significant fines or penalties imposed on the Entity as reported in the 2024 Compliance and Payment Information Disclosure Report available at: https://zirm.chinalco.com.cn/shzr/dwpldxx/202506/t20250613_149332.html
3.3a-c Payments to Governments	Conformance	The Entity only makes, or has made on its behalf, payments to governments on a legal and/or contractual basis. Payments to governments are transparently reported in the Entity's annual Sustainability Report and in the 2024 Compliance and Payment Information Disclosure Report that are available at: https://zirm.chinalco.com.cn/shzr/dwpldxx/202506/t20250613_149332.html https://zirm.chinalco.com.cn/shzr/shzrbg/202505/t20250526_148009.html
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	The Entity has established and implemented a complaints/grievance receiving and handling mechanism. The complaint resolution process is specified in the Entity's management procedure and is available at: https://zirm.chinalco.com.cn/shzr/dwpldxx/202506/P020250609539318628854.pdf Any complaints/grievances/Stakeholder concerns and the resolution approach are reviewed in the annual ASI management review meeting. No significant complaints have been received by the Entity. Complaints/grievances, Stakeholders' concerns and resolution approaches are reviewed as part of the annual ASI management review meeting. The Entity commits to review the Complaints Resolution Mechanism after any changes to the Business that alter Material ESG risks, as well as if there is any indication of a control gap occurred.
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Conformance	The Entity has conducted a Life Cycle Assessment (LCA) of its main products, Aluminium sheets and strips, in accordance with ISO 14040 and ISO 14044 standards. The LCA was conducted on a 'cradle-to-gate' basis, covering key production processes such as raw material procurement, remelting and casting, as well as hot rolling and cold rolling of Aluminium alloy sheets and strips. The evaluation results indicate that, across all environmental impact categories, the raw

CRITERION	RATING	COMMENT
		material acquisition stage dominates the environmental impact, with a contribution ratio ranging from 66.92% to 98.51%.
4.1b-c Environmental Life Cycle Assessment - Disclosure	Conformance	The Entity has conducted a product life cycle impact assessment for Aluminium sheets and strips and prepared a LCA report. A comprehensive summary of the LCA report is available at: https://zlrn.chinalco.com.cn/shzr/hjbh/202507/t20250724_152232.html The summary encompasses critical information such as the assessment scope, evaluation outcomes, sensitivity analysis and conclusions. The Entity has undertaken to provide comprehensive 'cradle-to-gate' LCA information for Aluminium products upon customer or contractual request.
4.2 Product Design	Conformance	The Entity has incorporated relevant objectives related to the procurement of raw materials and the utilisation of recycled Aluminium into its product design and development process. In the context of production process design, the Entity's primary focus is on the management of energy consumption, increasing the level of renewable energy utilisation, the reduction of waste, and the promotion of recycling. These measures are designed to enhance product sustainability and minimise the environmental impact across the entire lifecycle of the final product.
4.3a-b Aluminium Process Scrap	Conformance	The Entity has defined the target for Process Scrap collection, recycling and/or re-use as 100%. Management procedures for 'Metal Management' have been developed to ensure the target will be achieved. The Entity has adopted technical and management measures to reduce the generation of Aluminium Process Scrap within its operations. The Entity is close to achieving its target of 100% Scrap collection, recycling and/or re-use.
4.4a-c Collection and Recycling of Products at End of Life - Material Conversion and other Manufacturing	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.4d Collection and Recycling of Products at End of Life	Conformance	There are no local, regional, or national collection and recycling systems for Aluminium Scrap in China. The Entity however collaborates with customers to decide how to improve the recycling rate of products at 'end-of-life'. The Entity has publicly disclosed its plans and targets to use 180,000 tonnes of recycled Aluminium annually between 2025 and 2027 at: https://zlrn.chinalco.com.cn/shzr/dwpldxx/202507/t20250728_152417.html In 2024, approximately one third of the Entity's raw materials were derived from Scrap recycled Aluminium provided by its customers.
5. GREENHOUSE GAS EMISSIONS		
5.1a-b Disclosure of GHG Emissions and Energy Use	Conformance	The Entity has calculated its Greenhouse Gases (GHG) emissions for 2024 in accordance with the ISO 14064 standard. Boundary and emission sources are identified. Scope 1, 2 and 3 GHG emissions are calculated. The GHG emissions calculation report includes the annual

CRITERION	RATING	COMMENT
		Material GHG emissions and energy use by source. The report is verified by a third party. The Entity's 2024 GHG emission calculation report is available at: https://zlrn.chinalco.com.cn/shzr/hjbh/202506/t20250613_149224.html The third party verification statement is available at: https://zlrn.chinalco.com.cn/shzr/hjbh/202509/t20250905_154497.html
5.2a Aluminium Smelter GHG Emissions Intensity – Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity – In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a GHG Emissions Reduction Plans	Conformance	The Entity has established GHG emissions reduction targets in accordance with their commitment to green and low carbon development and being consistent with a 1.5°C warming scenario. The GHG Emissions Reduction Plan has been implemented in accordance with the ASI method. During the Audit process, the Auditor confirmed that the Entity's carbon neutrality plan exceeds the calculated reductions associated with the ASI GHG Pathways Method and Calculation Tool. A summary of the Entity's GHG Emissions Reduction Plan and Reduction Pathway are available at: https://zlrn.chinalco.com.cn/shzr/hjbh/202506/t20250613_149228.html
5.3b-e GHG Emissions Reduction Plans – Targets, review and disclosure	Minor Non-Conformance	The Entity has established GHG emissions reduction targets in accordance with their commitment to green and low carbon development and being consistent with a 1.5°C warming scenario. The GHG Emissions Reduction Plan has been implemented in accordance with the ASI method. During the Audit process, the Auditor confirmed that the Entity's carbon neutrality plan exceeds the requirements of the ASI method. A summary of the Entity's GHG Emissions Reduction Plan and Reduction Pathway are available at: https://zlrn.chinalco.com.cn/shzr/hjbh/202506/t20250613_149228.html The Entity has implemented a mechanism to review the GHG Emissions Reduction Plan annually and review the pathway if needed. However, the GHG Emissions Reduction Plan and Pathway disclosed by the Entity only includes some conclusions and partial details. The Plan does not include all relevant targets and pathways.
5.4 GHG Emissions Management	Conformance	To achieve its GHG emissions reduction targets, the Entity has established and implemented review and management programs and invested in technical innovation projects to improve energy consumption efficiency and reduce GHG emissions.

6. EMISSIONS, EFFLUENTS AND WASTE

CRITERION	RATING	COMMENT
6.1a-f Emissions to Air	Conformance	Following its Environment Management System and relevant legal requirements, the Entity has identified, assessed and quantified Material Emissions to Air from its activities. The Entity has implemented control plans to minimise exposure to, and impacts from Emissions to Air, monitors the effectiveness of the control plans periodically and reviews control plans regularly in internal audits and via management review. A Major Change relevant to Emissions to Air occurred at the Entity's Fuqing plant. A risk assessment has been conducted for this project, and the reduction plan for Emissions to Air has been reviewed and revised accordingly. The Entity has publicly disclosed the performance of its Environment Management System, pollutant discharge information, monitoring results and the operational status of the environmental protection facilities at: http://permit.mee.gov.cn/perxxgkinfo/xkgkAction!xkgk.action?xkgk=getxxgkContent&dataid=92db108b8d4847b6a5a7f267ddd6c19d http://permit.mee.gov.cn/perxxgkinfo/xkgkAction!xkgk.action?xkgk=getxxgkContent&dataid=a209797adbe54e2a8584f98e29025e50 The Pollutant Discharge Information and Emissions Reduction Plan is publicly disclosed at: https://zlrm.chinalco.com.cn/shzr/hjbh/202506/t20250613_149225.html
6.2a-g Discharges to Water	Conformance	Following its Environment Management System and relevant legal requirements, the Entity has identified, assessed and quantified Material Discharges to Water from its activities. The Entity has implemented control plans to minimise exposure to, and impacts from Discharges to Water. The Entity monitors the effectiveness of the control plans, and reviews the control plans periodically and in the case of a Major Change or where a non-conformance is identified. The monitoring reports demonstrate that over the last three years there were no cases of Discharges to Water exceeding the mandated limit. The Entity has disclosed the performance of the Environment Management System, pollutant discharge information, monitoring results, the operational status of the environmental protection facilities, and its Wastewater Discharge Emissions Control Plan at: http://permit.mee.gov.cn/perxxgkinfo/xkgkAction!xkgk.action?xkgk=getxxgkContent&dataid=92db108b8d4847b6a5a7f267ddd6c19d http://permit.mee.gov.cn/perxxgkinfo/xkgkAction!xkgk.action?xkgk=getxxgkContent&dataid=a209797adbe54e2a8584f98e29025e50 https://zlrm.chinalco.com.cn/shzr/hjbh/202506/t20250613_149225.html
6.3a-g Assessment and Management of Spills and Leakages	Conformance	The Entity has conducted an assessment of risk areas of operations where Spills and Leakages may contaminate air, water and soil by following the risk assessment process of the Environmental Management System. A management plan is established and implemented for both Facilities. The Entity reviews the plans periodically and as required after a Spills and/or Leakages event, or Major Changes to its operations. The Identification and Risk Assessment Report on Spills and Leakages is available at: https://zlrm.chinalco.com.cn/shzr/hjbh/202504/t20250418_146084.html

CRITERION	RATING	COMMENT
		The latest versions of the Emergency Response Plans for Environmental Incidents are available at: https://zlrn.chinalco.com.cn/shzr/hjbh/202506/t20250613_149221.html https://zlrn.chinalco.com.cn/shzr/hjbh/202506/t20250613_149220.html
6.4a-b Public Disclosure of Spills and Leakages	Conformance	The Entity's process to report any Spills and Leakages is defined in the Emergency Response Plans which includes communication to Affected Communities and Organisations. The impact assessments of any Spills and Leakages and any remediation actions taken will be published in the Entity's annual Social Responsibility Report. No Material Spills or Leakages have occurred within the last three years. The 2024 Social Responsibility Report is available at: https://zlrn.chinalco.com.cn/shzr/shzrbg/202505/t20250526_148009.html
6.5a-c Waste Management and Reporting	Conformance	Waste management at the Entity is addressed by the Environmental Management System. The Entity has implemented a Waste Management Strategy in accordance with the Waste Mitigation Hierarchy. The Entity mitigates Material impacts by re-using and recycling Waste where possible. The disposal of Hazardous Waste follows applicable legal requirements. The Entity has publicly disclosed the quantities of Hazardous and Non-Hazardous Waste generated from its activities in 2024 at: https://zlrn.chinalco.com.cn/shzr/hjbh/202503/t20250312_144287.html
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Conformance	The Entity categorises Dross as a Hazardous Waste and follows applicable legal requirements to collect, label and store Dross. No Leakages are observed or reported. Most Aluminium taken from Dross residues is recycled in the melting furnaces. The remainder is sent to a supplier for disposal. The supplier holds all required licenses and permits for Dross disposal. The Entity purchases the Aluminium recovered from the Dross and Dross residues. The supplier inspection report showed no Dross residues were landfilled.
7. WATER STEWARDSHIP		
7.1a-b Water Assessment and Disclosure	Conformance	The Entity has identified and documented its water withdrawal and use by source. The Entity has assessed the water-related risks, considering the surrounding water environment, water withdrawal and discharges, and the effectiveness of the existing management measures. It has identified that water-related risks are low, and there are no Material water-related risks in its Area of Influence. The Entity has compiled an Assessment Report of Water-Related Risks and a water balance available at: https://zlrn.chinalco.com.cn/shzr/hjbh/202503/t20250312_144299.html https://zlrn.chinalco.com.cn/shzr/hjbh/202504/t20250418_146085.html

CRITERION	RATING	COMMENT
7.2a-e Water Management	Not Applicable	This Criterion is not applicable to the Entity, as there is no Material water-related risk identified in its Area of Influence.
8. BIODIVERSITY AND ECOSYSTEM SERVICES		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Conformance	The Entity's Biodiversity and Ecosystem Services risk and impact assessment are included in the Environmental Impact Assessment (EIA) which has been conducted by qualified third parties. The EIA reports are approved by the local Environment Protection Agency. The approved EIA reports demonstrated there are no Biodiversity-sensitive areas in the Entity's Area of Influence. The two Facilities have an effective Environment Management System, and the risk to Biodiversity is identified as low. The Biodiversity Risk Assessment Report is available at: https://zirm.chinalco.com.cn/shzr/hjbh/202504/t20250418_146083.html
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity as the risks and potential impacts to Biodiversity identified are assessed and documented as low based on the Environmental Impact Assessment report approved by the local environment protection agency. No Priority Ecosystem Services are identified.
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable, the risks and potential impacts to Biodiversity identified are assessed and documented as low.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity as no Priority Ecosystem Services are identified.
8.4 Alien Species	Conformance	The Biodiversity Risk Assessment Report identified only one source which could introduce Alien Species which is the pallets used for product packaging. The pallets are processed to prevent the introduction of Alien Species.
8.5a-b Commitment to "No Go" in World Heritage Properties	Conformance	The Entity has made a 'No Go' commitment with respect to World Heritage Properties. The Entity has consulted with the local Environment Protection Bureau and confirmed there are no World Heritage Properties in its Area of Influence.
8.6a-d Protected Areas	Conformance	The Entity has consulted with the local Environment Protection Bureau and confirmed there are no Protected Areas within its Area of Influence.
8.6e Protected Areas - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9. HUMAN RIGHTS		
9.1a-d Human Rights Due Diligence	Conformance	The Entity has formally adopted a Code of Business Conduct which states its commitment to Human Rights, gender equality, and adherence to the United Nations 'Guiding Principles on Business and Human Rights'. The Entity publishes its Human Rights Impact

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		Assessment Report on a regular basis which includes information on community engagement and Grievance Resolution Mechanisms. The Human Rights Impact Assessment Report is available at: https://zirm.chinalco.com.cn/shzr/shzrbg/202504/t20250411_145644.html The Entity engages with Communities near its Facilities through activities and consultations facilitated by the 'Public Feedback' mechanism. Further information can be found at the following link: https://zirm.chinalco.com.cn/shzr/hjbh/202405/t20240520_128438.html Evaluations based on annual reports, management reviews and Stakeholder complaints have found no substantial adverse Human Rights impacts linked to the Entity's operations. The Entity has committed to addressing any reported impacts through lawful procedures or by supporting remediation efforts.
9.2a-e Gender Equity and Women's Empowerment	Conformance	The Entity has established and implemented the Female Employee Protection Management Procedure. The Entity commits to respect and promote gender equity and women's empowerment. The Entity publicly disclosed information regarding its Gender Equity Policy and performance on the protection of female Workers in its Statement on Gender Equality and Protection of Women Employees' Rights, available at: https://zirm.chinalco.com.cn/shzr/shzrbg/202504/t20250411_145648.html Further information is available in the Entity's Human Right Impact Assessment Report, available at: https://zirm.chinalco.com.cn/shzr/shzrbg/202504/t20250411_145644.html No complaints regarding gender equity have been received since the last ASI Performance Standard Audit.
9.3a-i Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity as there are no Indigenous Peoples within the Entity's Area of Influence. However, the Entity has established and implemented Policies and processes to ensure respect for the rights and interests of Indigenous Peoples.
9.4a Free, Prior, and Informed Consent (FPIC) - New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity as there are no Indigenous Peoples within the Entity's Area of Influence. However, the Entity has established and implemented Policies and processes to ensure respect for the rights and interests of Indigenous Peoples when commencing New Projects or Major Changes to existing projects. In a relevant procedure, the Entity commits to consult and cooperate in good faith with Indigenous Peoples concerned through their representative institutions to obtain their Free, Prior and Informed Consent.
9.4b Free, Prior, and Informed Consent (FPIC) - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4c Free, Prior, and Informed Consent (FPIC) - Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity as there are no Indigenous Peoples within the Entity's Area of Influence.

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9.5a Cultural and Sacred Heritage – Identification	Conformance	The Entity has established a procedure to identify cultural and sacred heritage sites and to conduct a risk assessment to reduce the impact on these sites. At present, all new or existing projects have undergone Environmental and Social Impact Assessments, and there are no sacred or cultural heritage sites identified with the Entity's Area of Influence.
9.5b Cultural and Sacred Heritage – Impacts	Not Applicable	This Criterion is not applicable to the Entity, as the Environmental and Social Impact Assessments report demonstrate there are no sacred or cultural heritage sites in the Entity's Area of Influence, and no Indigenous Peoples or their lands, territories and resources are identified.
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity as no physical or economic displacements have been required, including for the new casting project. The Entity has established New Project investment and development management procedures, and all project development must be approved by the local authority. All projects are located in an industrial zone developed by the local government.
9.7a-h Affected Populations and Organisations	Conformance	The Entity has established and implemented a Stakeholder engagement process to identify the primary concerns of Affected Populations and Organisations. Various plans have been developed to address these concerns. These plans are reviewed annually and relevant improvement measures are implemented. Information on the performance of these plans and measures is disclosed publicly in the Entity's annual Sustainability Report, Environmental Emergency Response Plan and pollutant emission monitoring reports. The Annual Sustainability Report is available at: https://zirm.chinalco.com.cn/shzr/shzrbg/202505/t20250526_148009.html The Emergency Response Plans are available at: https://zirm.chinalco.com.cn/shzr/hjbh/202506/t20250613_149220.html https://zirm.chinalco.com.cn/shzr/hjbh/202506/t20250613_149221.html The pollutant emission information and reduction plan is available at: https://zirm.chinalco.com.cn/shzr/hjbh/202506/t20250613_149225.html
9.8a Conflict-Affected and High-Risk Areas – Strong management systems	Conformance	The Entity has implemented a Supply Chain Policy and Management Systems with established responsibilities and resources, information gathering, and supplier engagement requirements. The Supply Chain Policy and commitments to using responsible resources including the grievance channel are specified in the Entity's Responsible Procurement Policy available at: https://zirm.chinalco.com.cn/shzr/dwpldxx/202505/t20250508_146944.html
9.8b Conflict-Affected and High-Risk Areas – Identify and assess risks	Minor Non-Conformance	The Entity identifies and assesses risks in its supply chain through periodical risk assessments. No conflict minerals are used, no materials are sourced from Conflict-Affected and High-Risk Areas (CAHRAs) and no critical Human Rights issues such as Child Labour or Forced Labour were identified.

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		However, this ASI Audit identified the Entity's supply chain responsibility audit process was not implemented completely.
9.8c Conflict-Affected and High-Risk Areas – Strategy to respond to risks	Conformance	The Entity has established a conflict minerals and supply chain management program which includes measures such as suspending cooperation, returning goods, and imposing penalties to eliminate the risk of using conflict minerals within its supply chain.
9.8d Conflict-Affected and High-Risk Areas – Audit of due diligence	Conformance	A review of risk assessment records and supplier audit reports is undertaken via an audit process. No critical issues are raised, and the risk is low. The Entity has developed a plan for continuous improvement. This ASI Audit further satisfies the requirements of this Criterion.
9.8e Conflict-Affected and High-Risk Areas – Report annually	Conformance	The Entity has established and implemented the ASI Code of Conduct in its supply chain. The Entity performed a risk assessment and social responsibility Audit of its supply chains. The Entity demonstrated that no conflict minerals are used, and no materials are currently sourced from CAHRAs. The relevant information including performance on supply chain Due Diligence, especially around practices for managing conflict materials are publicly disclosed in the Entity's annual Supply Chain Due Diligence Report available at: https://zlrn.chinalco.com.cn/shzr/dwpldxx/202507/t20250731_152637.html
9.9 Security practice	Conformance	The Entity has implemented a Security Management Procedure. All security guards are employed by the Entity. The Entity commits to respect Human Rights in security activities. No body searches are allowed and security guards must conduct their work using humane methods. All security guards understand their tasks and respect Human Rights. No grievances or complaints against security activities have been received.
10. LABOUR RIGHTS		
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	This Criterion is not applicable to the Entity as it complies with the Applicable Law regarding Freedom of Association and Collective Bargaining in China. Regardless, the Entity has a Policy to respect the Freedom of Association and has implemented an employee representative committee and employee representatives are freely elected by employees.
10.1d Freedom of Association and Right to Collective Bargaining – Alternative means in context of Applicable Law	Conformance	The Entity's senior management commits to respect the right of Freedom of Association and Collective Bargaining. Workers' representatives are freely elected by employees every five years, the Worker representative congress meets annually and Workers' concerns are communicated and discussed with senior management in regular meetings. A Collective Bargaining Agreement (CBA) with the Entity is in place.
10.2a-c Child Labour	Conformance	The Entity has established a Policy to not use Child Labour and has implemented an age verification process and young worker

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		protection procedures. There is no Child Labour or young Workers in the Entity. Child Labour is prohibited in China, where the legal minimum working age is 16 years of age.
10.3a-c Forced Labour	Conformance	The Entity has established and implemented the Policy of Prohibition of Forced Labour including Human Trafficking. The Entity commits itself and expects its suppliers to comply with the prohibition against Forced Labour, slavery and Human Trafficking. No instances of illegal wage deduction, Debt Bondage or others type of Forced Labour were identified or reported at the Entity. The policy and implementation information are disclosed in the Entity's Code of Conduct and Responsible Procurement Policy. The Entity's annual Slavery and Human Trafficking Statement is available at: https://zirm.chinalco.com.cn/shzr/shzrbg/202504/t20250411_145647.html
10.4a-c Non-Discrimination	Conformance	The Entity is committed to Non-Discrimination. There have been no cases of Discrimination reported to date. The Entity's recruitment advertisements and the training plan indicate that decisions are solely based on the candidate's ability to perform the job's requirements rather than other personal characteristics. There is an Employee Handbook established. Interviewed Workers both male and female confirm they feel equal within the Entity and receive equal pay for the same work.
10.5 Communication and engagement	Conformance	There is direct and frequent communication between the Workers and the Worker representatives. There are communication channels available to Workers where they can complain and raise their concerns regarding working conditions and the resolution of workplace and compensation issues, without the threat of reprisal, intimidation, or Harassment. There are regular meetings between management, the Labour Union and Worker representatives.
10.6a-g Violence and Harassment	Conformance	The Entity has implemented Policies such that Harassment or bullying is not accepted and this is reflected in the Collective Bargaining Agreements signed between the Entity and the Labour Union. The disciplinary measures are approved in Workers' representative meetings and are in compliance with legal requirements and require the confirmation of Workers involved. A relevant information brochure has been developed and distributed to all employees. The Entity's Code of Conduct is clear on this issue and employees have received training on the Code. The Code of Conduct is available at: https://zirm.chinalco.com.cn/shzr/dwpldxx/202505/t20250526_148039.html
10.7a-c Remuneration	Conformance	In Compliance with regulatory requirements, the Entity has agreed to Labour contracts with all employees, wherein the terms and conditions of employment are clearly defined. The Labour contracts specify the employee's probationary period, contract duration, basic salary and calculation of Overtime pay, among other provisions. The wage structure is clearly defined, and the basic wage is above the local legal minimum wage. The compensation paid for Overtime meets the legal requirements. Mandatory allowances are provided to the Workers. The total payment meets the Workers' basic needs. All employees are enrolled in the mandatory social insurance scheme.

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		The payment of wages is documented and promptly paid to all Workers by bank transfer on the 25th of the following month. Detailed information about wages, allowances, Overtime work compensation and deduction can be accessed by Workers via the internal Office Automation System (OA).
10.8a-c Working Time	Conformance	Working hours are recorded accurately. The regular Working Time for office staff is eight hours a day and five days a week. In the production department, most Workers implement a four groups and three shifts working system. Production department Workers work eight hours per day per shift, six days in a row and have two days' rest. Working Hours are monitored and controlled. All Workers have at least one day off per seven-day period.
10.9a-b Informing Workers of Rights	Conformance	The Entity informs its Workers of their Rights and has established cooperation and communication channels with the Workers at all its production plants. National law and regulations in China are respected and complied with.
11. OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Management System	Minor Non-Conformance	The Entity has established, implemented, maintains and continually improves its Occupational Health and Safety (OH&S) Management System. All sites hold valid ISO 45001:2018 certificates. Site observations, document review and interviews with management and Workers confirm the OH&S management system is effective. However, two minor non-conformances were identified in the Audit. The Material Safety Data Sheet (MSDS) posted in the factory's chemical warehouse is an abbreviated version, containing only six key items of information instead of the complete 16 items required by regulations. The two emergency exits in the factory's new workshop (Phase II of Automotive Sheet Metal) have not been equipped with plug-in emergency exit signs as mandated by regulations.
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Conformance	The Entity periodically reviews the OH&S Management System through monthly safety meetings, annual legal compliance evaluations, an annual internal audit against ISO 45001:2018 and management review meetings. When there is any indication of a control gap, a review is conducted to assess the potential corrective and/or preventive actions that should be implemented. The achievement of OH&S objectives and targets for key performance indicators in 2024 and the comparative analyses of performance with peer Businesses and leading practices is available at: https://zlrm.chinalco.com.cn/shzr/hjbh/202505/t20250526_148052.html
11.2 Employee engagement on Health and Safety	Conformance	The Entity's Health and Safety Committee includes Worker representatives and meets meeting quarterly to discuss the OH&S issues raised by Workers and takes action if needed. There is a register of complaints and advice from Workers. All concerns and advice on OH&S issues raised are investigated, analysed, and acted upon if needed.

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DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	21 August 2020	Issued -Initial Certification Audit
1	9 September 2022	Surveillance Audit
2	12 September 2023	Re-Certification Audit and Scope Change – Full Certification; Scope Change to apply ASI PS V3 including corresponding adjustment in the title of the supply chain activity to 'Material Conversion'. Scope Change to add the supply chain activity 'Aluminium Re-melting/Refining' to accurately reflect the Entity's activities
3	9 October 2025	Surveillance Audit and Scope Change; Scope Change to remove the supply chain activity 'Material Conversion' and add 'Semi-Fabrication' to accurately reflect the Entity's activities; Update to Document Control notes for Rev 2 to include change in supply chain activities