

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

Wintipak Manufacturing (Germany) GmbH

CERTIFICATE NUMBER
158

ASI STANDARD
PERFORMANCE
STANDARD
(V3 2022)

DATE OF ISSUE
1 OCTOBER 2024

CERTIFICATION LEVEL
FULL
CERTIFICATION

DATE OF EXPIRY
31 MAY 2027

ASI ACCREDITED
AUDITING FIRM

GUTCERT (AFNOR
GROUP)

CERTIFIED SINCE
1 OCTOBER 2021

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H.', followed by a long horizontal line.

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
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*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at
www.aluminium-stewardship.org*

CERTIFICATION SCOPE

Production of packaging material
for liquid foods composed of paper,
polyethylene, Aluminium foil and ink
by flexographic printing,
coating/laminating and cutting at
Halle (Saale), Germany.

AUDIT REPORT PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	Greatview Aseptic Packaging
ENTITY NAME	Wintipak Manufacturing (Germany) GmbH
CERTIFICATION SCOPE	Production of packaging material for liquid foods composed of paper, polyethylene, Aluminium foil and ink by flexographic printing, coating/laminating and cutting at Halle (Saale), Germany.
SUPPLY CHAIN ACTIVITIES	Material Conversion – Principles 1 to 4 (transition)
ASI STANDARD	Performance Standard V3
AUDIT TYPE	- Initial Certification Audit (6 – 8 September 2021) - Surveillance Audit (23 May 2023) - Re-Certification Audit and Scope Change (28 – 29 August 2024)
AUDIT FIRM	GUTcert (AFNOR Group)
AUDIT DATE	6 – 8 September 2021 (Initial Certification Audit) 23 May 2023 (Surveillance Audit) 28 – 29 August 2024 (Re-Certification Audit and Scope Change)
AUDIT REPORT SUBMISSION	14 September 2021 (Initial Certification Audit) 30 May 2023 (Surveillance Audit) 24 October 2024 (Re-Certification Audit and Scope Change)
AUDIT SCOPE	<u>Initial Certification Audit (6 – 8 September 2021)</u> The Audit Scope covers the ASI Performance Standard, Principle 4 Material Stewardship in the production of packaging material for liquid foods, composed of paper, polyethylene, Aluminium foil and ink by flexographic printing, coating/laminating and cutting at Greatview Aseptic Packaging Manufacturing GmbH, Halle (Saale) (Germany). Supply chain activities included in the Audit Scope: - Material Conversion (Industrial Users) - Other manufacturing or sale of products containing Aluminium All criteria from Principle 4 Material Stewardship in the ASI Performance Standard were included in the Audit Scope. <u>Surveillance Audit (30 May 2023)</u> The Audit Scope covers the ASI Performance Standard, Principle 4 Material Stewardship in the production of packaging material for liquid foods, composed of paper, polyethylene, Aluminium foil and ink by flexographic printing, coating/laminating and cutting at Greatview Aseptic Packaging Manufacturing GmbH, Halle (Saale) (Germany).

Supply chain activities included in the Audit Scope:

- Material Conversion (Industrial Users)
- Other manufacturing or sale of products containing Aluminium

All criteria from Principle 4 Material Stewardship in the ASI Performance Standard were included in the Audit Scope.

Re-Certification Audit and Scope Change (28 – 29 August 2024)

The Audit Scope covered the production of packaging material for liquid foods, composed of paper, polyethylene, Aluminium foil and ink by flexographic printing, coating/laminating and cutting at Greatview Aseptic Packaging Manufacturing GmbH, Halle (Saale) (Germany).

Supply chain activities included in the Audit Scope:

- Material Conversion – Principles 1 to 4 (transition)

All applicable Criteria from Principles 1 to 4 in the ASI Performance Standard were included in the Audit Scope.

AUDIT OUTCOME	• Certification
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AUDIT METHODOLOGY
DECLARATION

The Auditors confirm that:

- ☒ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
- ☒ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
- ☒ The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
- ☒ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

CERTIFICATION PERIOD	1 October 2024 – 31 May 2027
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NEXT AUDIT TYPE	Surveillance Audit
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NEXT AUDIT DATE	1 April 2026
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CERTIFICATE NUMBER	158
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If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

The Wintipak (previously known as Greatview Aseptic Packaging Manufacturing) (the ‘Entity’) Facility in Halle (Saale), Germany, serves as a pivotal hub for the company’s aseptic carton packaging production. The Greatview Group’s headquarters are located in China and Switzerland, and production facilities in China, Germany and Italy. The Halle plant specialises in manufacturing aseptic packaging materials for liquid foods, including dairy products and non-carbonated beverages. These materials are produced in various formats and sizes to meet diverse customer requirements.

Initially, the Facility had an annual production capacity of approximately four billion aseptic cartons. Following a significant expansion in 2017, this capacity more than doubled, enabling the plant to produce over eight billion cartons per year. At its inception in 2013, the Halle facility employed around 110 skilled workers. Post-expansion, the workforce increased to approximately 200 employees, reflecting the plant’s enhanced operational capacity.

Situated at Orionstraße 8, D-06184 Kabelsketal, in the Star Park industrial area near Halle (Saale), Saxony-Anhalt, Germany, the Facility benefits from strategic proximity to major transportation networks, including highways, railways, and Leipzig-Halle Airport. The industrial park is designated for manufacturing and commercial activities, and there are no immediate sensitive environmental areas within the vicinity.

In 2017, Greatview invested approximately €23 million to expand the Halle Facility, incorporating advanced flexographic printing technology and enhancing logistics with a direct rail connection. Additionally, in 2022, the Entity installed a rooftop solar panel system to advance towards carbon-neutral production, underscoring its commitment to sustainable manufacturing practices.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	Medium	Medium	Medium	MEDIUM
RISKS	Medium	Medium	Medium	MEDIUM
PERFORMANCE	Medium	Medium	Medium	MEDIUM
OVERALL	MEDIUM			

FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Minor Non-Conformance	The Entity has implemented Policies, systems, procedures, and processes to maintain awareness of and ensure Compliance with Applicable Law. The Entity maintains an Integrated Management System certified against the ISO 9001, ISO 14001, and ISO 50001 Standards. The Entity does not however systematically monitor Compliance with legal obligations.
1.2 Anti-Corruption	Conformance	The Entity works against Corruption in all its forms. It has established multiple Anti-Corruption measures including relevant Policies, training, Due Diligence checks, and a whistleblowing channel endorsed by senior management.
1.3a-e Code of Conduct	Minor Non-Conformance	The Entity has implemented a Corporate Code of Conduct, which includes relevant Environmental, Social and Governance (ESG) principles. The Code of Conduct has not been publicly disclosed, and awareness training has not been provided.
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Conformance	The Entity has implemented and maintains a Policy consistent with the ESG practices included in the ASI Performance Standard. The Entity has trained its employees to communicate related Policies. The Policy is publicly available at: https://greatviewpack.com/site/assets/files/1103/company_policy_2024_greatview.jpg
2.2a-c Leadership	Conformance	The Entity nominated a senior Management Representative with overall responsibility and authority to ensure conformance with the ASI Performance Standard and to provide sufficient resources to support its implementation.
2.3a Environmental and Social Management Systems – Environmental	Conformance	The Entity has implemented an Integrated Management System to address environmental risks, in conformance with the ISO 9001, ISO 14001, and ISO 50001 standards.
2.3b Environmental and Social Management Systems – Social	Conformance	The Entity has implemented and maintains a Social Management System that addresses Human Rights, Labour Rights, and Occupational Health and Safety.
2.4a-e Responsible Sourcing	Minor Non-Conformance	The Entity's Business Code of Conduct serves as a Responsible Sourcing Policy and addresses ESG issues. The Code has not yet been communicated to business partners or interested parties, and is not publicly available.
2.5a-g Environmental and Social Impact Assessments	Not Applicable	This Criterion is not applicable to the Entity, as there have been no New Projects or Major Changes to existing Facilities since the Entity joined ASI.

CRITERION	RATING	COMMENT
2.6a-h Human Rights Impact Assessment	Not Applicable	This Criterion is not applicable to the Entity, as there have been no New Projects or Major Changes to existing Facilities since the Entity joined ASI.
2.7a-f Emergency Response Plan	Minor Non-Conformance	The Entity has site specific Emergency Response Plans (ERPs) in place. The ERPs are available to authorities and external stakeholders upon request, subject to management approval. The ERPs are however partially incomplete and need to be updated.
2.8a-d Suspended Operations	Conformance	The Entity has developed a Business resilience plan that includes factors outside its control. The plan is reviewed regularly.
2.9a-b Mergers and Acquisitions	Conformance	The Entity is not in a post-Merger or Acquisition phase. Mergers and Acquisitions are managed by the Entity's parent company at their Corporate Headquarters. The parent company has relevant processes and procedures in place. The group systematically reviews its ESG impacts and risks in its planning and Due Diligence processes.
2.10a-b Closure, Decommissioning and Divestment	Conformance	The Entity has no current plans for Closure, Decommissioning, or Divestment. Closure, Decommissioning, and Divestments are managed by the Entity's parent company at their Corporate Headquarters. The parent company has relevant processes and procedures in place. The group systematically reviews its ESG impacts and risks in its planning and Due Diligence processes.
3. TRANSPARENCY		
3.1a-b Sustainability Reporting	Conformance	The Entity publicly disclosed its governance approach and its Material environmental, social and economic impacts in its 2023 Corporate Sustainability Report. The approach and impacts are aligned according to the Entity's ESG objectives. The Report is available at: https://greatviewpack.com/site/assets/files/2401/e00468.pdf
3.2 Non-compliance and Liabilities	Conformance	The Entity provides information on Non-Compliances and Liabilities in its 2023 Corporate Sustainability Report (pages 12 and 24). No Non-compliance and Liabilities were reported in 2023. The Report is available at: https://greatviewpack.com/site/assets/files/2401/e00468.pdf
3.3a-c Payments to Governments	Conformance	The Entity provides information on payments to governments in its 2023 Corporate Sustainability Report (page 9), available at: https://greatviewpack.com/site/assets/files/2401/e00468.pdf
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	The Entity has implemented a Grievance Mechanism and has made this Mechanism accessible to the public and Stakeholders. The Grievance Mechanism is available at: https://hinweisgeber.consense365.net/contact?ws=61814C1A-68E8-4144-B624-A4D97ABB41C1
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Conformance	The Entity has conducted a comprehensive Life Cycle Assessment (LCA) of its Products. The LCA incorporated Scopes 1, 2 and 3 Greenhouse Gas Emissions.

CRITERION	RATING	COMMENT
4.1b-c Environmental Life Cycle Assessment - Disclosure	Conformance	At present, the LCAs are not published on the Entity's website, however they are available on request. At the time of the Audit, there were no requests from the Entity's customers for a cradle-to-gate LCA.
4.2 Product Design	Conformance	The Entity operates a production site with no involvement in the Product design and development process. Product design and R&D functions are carried out by Corporate Headquarters.
4.3a-b Aluminium Process Scrap	Conformance	The Entity collects all its Aluminium Process Scrap and sends it to be recycled offsite. Documented initiatives to reduce Process Scrap demonstrate that the Entity continually works to minimise the generation of Aluminium Process Scrap within its operations. The Entity uses only one form of alloyed Aluminium in production, so there is no need to separate Aluminium alloys and grades for recycling.
4.4a-c Collection and Recycling of Products at End of Life - Material Conversion and other Manufacturing	Conformance	The Entity has formally defined its recycling strategy and is supporting initiatives to foster the recycling of its Products in its 2023 Corporate Sustainability Report (pages 25-26). The Report is available at: https://greatviewpack.com/site/assets/files/2401/e00468.pdf The Entity has joined the EU 'Holy Grail 2.0' initiative to foster the recycling of packaging and increase recycling rates for its Products containing Aluminium.
4.4d Collection and Recycling of Products at End of Life	Conformance	The Entity is a participant in the EU 'Holy Grail 2.0', a cross-industry initiative in Europe to introduce digital watermarking that will enable automated machine sorting of post-consumer waste resulting in higher quality material within the value chain. More information is available at: https://www.digitalwatermarks.eu/copy-of-members The recycling strategy is accessible at: https://greatviewpack.com/environment-society/in-harmony-with-society-and-the-environment
5. GREENHOUSE GAS EMISSIONS		
5.1a-b Disclosure of GHG Emissions and Energy Use	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2a Aluminium Smelter GHG Emissions Intensity - Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity - In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a-e GHG Emissions Reduction Plans	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.4 GHG Emissions Management	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
6. EMISSIONS, EFFLUENTS AND WASTE		
6.1a-f Emissions to Air	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.2a-g Discharges to Water	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.3a-g Assessment and Management of Spills and Leakages	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.4a-b Public Disclosure of Spills and Leakages	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.5a-c Waste Management and Reporting	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7. WATER STEWARDSHIP		
7.1a-b Water Assessment and Disclosure	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7.2a-e Water Management	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8. BIODIVERSITY AND ECOSYSTEM SERVICES		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.4 Alien Species	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
8.5a-b Commitment to “No Go” in World Heritage Properties	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.6a-d Protected Areas	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.6e Protected Areas - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9. HUMAN RIGHTS		
9.1a-d Human Rights Due Diligence	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.2a-e Gender Equity and Women's Empowerment	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.3a-i Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4a Free, Prior, and Informed Consent (FPIC) - New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4b Free, Prior, and Informed Consent (FPIC) - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4c Free, Prior, and Informed Consent (FPIC) - Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.5a Cultural and Sacred Heritage - Identification	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.5b Cultural and Sacred Heritage - Impacts	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.7a-h Affected Populations and Organisations	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8a Conflict-Affected and High-Risk Areas - Strong Management Systems	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
9.8b Conflict-Affected and High-Risk Areas – Identify and assess risks	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8c Conflict-Affected and High-Risk Areas – Strategy to respond to risks	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8d Conflict-Affected and High-Risk Areas – Audit of due diligence	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8e Conflict-Affected and High-Risk Areas – Report annually	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.9 Security practice	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10. LABOUR RIGHTS		
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.1d Freedom of Association and Right to Collective Bargaining – Alternative means in context of Applicable Law	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.2a Child Labour	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.3a-c Forced Labour	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.4a-c Non-Discrimination	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.5 Communication and engagement	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.6a-g Violence and Harassment	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.7a-c Remuneration	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.8a-c Working Time	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.9a-b Informing Workers of Rights	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
11. OCCUPATIONAL HEALTH AND SAFETY		

CRITERION	RATING	COMMENT
11.1a Occupational Health and Safety (OH&S) Management System	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
11.2 Employee engagement on Health and Safety	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

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DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	1 October 2021	Initial Certification Audit – Full Certification (Material Stewardship)
1	13 June 2023	Surveillance Audit; Correction to the Audit Scope description of the Initial Certification Audit to reflect the Audit scope covered Principle 4 only.
2	17 December 2024	Re-Certification Audit and Scope Change Audit – Full Certification Scope Change to apply PS V3, including corresponding change to the supply chain activity to 'Material Conversion - Principles 1 to 4 (transition)' and removal of 'Other manufacturing or sale of products containing Aluminium'. Certification Expiry set for 31 May 2027 as per ASI Assurance Manual requirement for all Entities to be certified to the PS (P1-11) without exception by this date.
3	31 October 2025	Change of Entity Name from 'Greatview Aseptic Packaging Manufacturing GmbH' to 'Wintipak Manufacturing (Germany) GmbH'.