

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

Chongqing Millison Technologies Inc.

CERTIFICATE NUMBER

339

ASI STANDARD

**PERFORMANCE
STANDARD
(V3.1 2023)**

CERTIFICATION LEVEL

**FULL
CERTIFICATION**

ASI ACCREDITED
AUDITING FIRM

**SGS-CSTC
STANDARDS
TECHNICAL SERVICES**

DATE OF ISSUE

13 DECEMBER 2023

DATE OF EXPIRY

12 DECEMBER 2026

CERTIFIED SINCE

13 DECEMBER 2023

AUTHORISED BY

A stylized, handwritten signature in black ink, consisting of a series of loops and a long horizontal stroke.

Aluminium Stewardship Initiative Ltd
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*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at
www.aluminium-stewardship.org*

CERTIFICATION SCOPE

Re-melting, casting and processing
for the manufacture of Aluminium
alloy parts at Chongqing Millison
Technologies Inc. facilities in Banan
District, Chongqing, China.

AUDIT REPORT PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	Chongqing Millison Technologies Inc.
ENTITY NAME	Chongqing Millison Technologies Inc.
CERTIFICATION SCOPE	Re-melting, casting and processing for the manufacture of Aluminium alloy parts at Chongqing Millison Technologies Inc. facilities in Banan District, Chongqing, China.
SUPPLY CHAIN ACTIVITIES	- Aluminium Re-melting/Refining - Casthouses - Material Conversion
ASI STANDARD	Performance Standard V3.1
AUDIT TYPE	- Initial Certification Audit (5 – 8 September 2023) - Surveillance Audit (8 – 12 September 2025)
AUDIT FIRM	SGS-CSTC Standards Technical Services
AUDIT DATE	5 – 8 September 2023 (Initial Certification Audit) 8 – 12 September 2025 (Surveillance Audit)
AUDIT REPORT SUBMISSION	1 November 2023 (Initial Certification Audit) 18 September 2025 (Surveillance Audit)
AUDIT SCOPE	<u>Initial Certification Audit (5 – 8 September 2023)</u> The Audit Scope includes re-melting, casting and processing for the manufacture of Aluminium alloy parts at Chongqing Millison Technologies Inc. facilities in Banan District, Chongqing, China. Supply chain activities included in the Audit Scope: - Aluminium Re-melting/Refining - Casthouses - Material Conversion All applicable Criteria in the ASI Performance Standard were included in the Audit Scope. <u>Surveillance Audit (8 – 12 September 2025)</u> The Audit Scope includes re-melting, casting and processing for the manufacture of Aluminium alloy parts at Chongqing Millison Technologies Inc. facilities in Banan District, Chongqing, China. Supply chain activities included in the Audit Scope: - Aluminium Re-melting/Refining - Casthouses - Material Conversion

All applicable Criteria in the ASI Performance Standard were included in the Audit Scope.

AUDIT OUTCOME

- Certification

AUDIT METHODOLOGY
DECLARATION

The Auditors confirm that:

- ☑ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
 - ☑ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
 - ☑ The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the company's defined Certification Scope.
 - ☑ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
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CERTIFICATION PERIOD

13 December 2023 – 12 December 2026

NEXT AUDIT TYPE

Re-Certification Audit

NEXT AUDIT DATE

12 December 2026

CERTIFICATE NUMBER

339



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Chongqing Millison Technologies Inc. (the 'Entity') was founded in May 2001, with its registered address at No. 1, Annex 1 and Annex 2, No. 1 Tian'an Road, Banan District, Chongqing. The Entity produces two major categories of products; 1. Aluminium alloy auto parts and 2. Communications parts, which are predominantly sold to manufacturers in Europe, America and the Asia-Pacific region. The Entity is located in the Tianming Automobile and Motorcycle Industrial Park, Banan Economic Park, Chongqing, China, covering a total area of over 80 hectares. The Entity's main production processes include remelting (smelting), die-casting, cleaning/shot blasting, and machining, with a designed production capacity of 30,000 tonnes per annum. Currently production capacity is approximately 25,000 tonnes per annum. The main building on site includes a joint workshop and a comprehensive office building. The joint workshop is equipped with a melting furnace, bag filter, die-casting machine, holding furnace, trimming machine, grinding line body, automatic grinding station, aging furnace, shot blasting machine, machining centre, cleaning machine and assembly machine. The workshop is also equipped with semi-finished products transit warehouse and a finished products automatic 3D warehouse.

The Entity also has a sewage treatment station, an air pressure station, a hazardous waste storehouse and a general solid waste storehouse, as well as a dormitory for shift workers. The Entity is planning to construct two new workshops and a Research and Development (R&D) building. After the commissioning of the new facilities, total capacity is expected to increase by a further 20,000 tonnes per year.

There are no Indigenous residents, schools, scenic areas, drinking water source areas and other sensitive receptors nearby to the Entity. The nearest sensitive receptor is approximately 1.5 kilometres, on the Yangtze River (Chang Jiang). The Entity currently employs 1,270 people, and the main interested parties include shareholders, customers, partners, downstream supply chain and relevant government departments. The continuous development of the Entity provides employment opportunities for neighbouring communities and promotes the development of the local economy.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	High	High	High	HIGH
RISKS	Medium	High	Medium	MEDIUM
PERFORMANCE	Medium	High	Medium	MEDIUM
OVERALL	MEDIUM			

FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	The Entity has established a Legal and Regulatory Collection and Control Procedure, which prescribes the responsibilities, method, timing and frequency to identify and evaluate Compliance with the environment, society and governance-related Applicable Laws and Regulations. The Entity has evaluated Applicable Laws and prepares a written Compliance evaluation report.
1.2 Anti-Corruption	Conformance	The Entity has evaluated the high-risk position of commercial Bribery and has established an Anti-Corruption and Anti-Bribery Management Program and a Business Ethics Management Procedure, which prescribes the prohibition of Corruption and Bribery in all business practices and transactions. The anti-Corruption and anti-Bribery Policies and procedures have been communicated to and understood by employees and others acting on behalf of the Entity. The Entity provides different anonymous reporting channels including a mailbox and email address on its website for the purposes of reporting any potential Corruption or Bribery activity: http://www.djmillison.com/Uploadfiles/Files/2023-8-30/20238301110134041.pdf
1.3a-e Code of Conduct	Conformance	The Entity has developed and implemented a Responsible Business Alliance (RBA) Management Manual in accordance with the RBA (Electronic Industry Code of Conduct) Code 8.0 to ensure a safe working environment, respect and dignity for Workers, and environmental responsibility in the production process. The Code of Conduct establishes guidelines for the Entity's labour, health and safety, environment, business ethics and governance performance. The Code of Conduct is publicly disclosed, available at: http://www.djmillison.com/Uploadfiles/Files/2023-8-29/20238291354166693.pdf The Entity has established an Internal Audit Control Procedure and Management Review Control Procedure. In accordance with the Code of Conduct, the Entity conducts internal audits and management reviews at least annually.
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Conformance	The Entity has implemented and maintains an Environment, Social and Governance (ESG) Policy and other Policies related to the environment, Occupational Health and Safety, ASI-related performance and energy. The Policies have been approved by the Entity's General Manager, and sufficient resources are provided. The ESG Policy is communicated internally through training, and reviewed annually, available at: http://www.djmillison.com/Uploadfiles/Files/2023-11-21/20231121135203873.pdf
2.2a-c Leadership	Conformance	The Entity has appointed the Director of Production Management as the ASI Management Representative, who has overall responsibility and authority for ensuring conformance with the requirements of the ASI Performance Standard.

CRITERION	RATING	COMMENT
2.3a Environmental and Social Management Systems – Environmental	Conformance	The Entity has developed and implemented an integrated Management System. The Entity has obtained ISO 14001:2015 Environmental Management Systems certification.
2.3b Environmental and Social Management Systems – Social	Conformance	The Entity has documented and implemented a Social Management System supported by the Social Accountability Management Manual RBA 8.0, which is further supported by social accountability related procedures. An internal audit and management review were last undertaken in July 2025. The Entity conforms to the legal compliance requirements according to Social Management Systems. The Entity passed a social responsibility system audit undertaken by Tesla in May 2024. Further information is available at: http://www.djmillison.com/Uploadfiles/Files/2025-5-15/20255151351137742.pdf
2.4a-e Responsible Sourcing	Conformance	The Entity has established a Sourcing Management Procedure and a Responsible Sourcing Policy which is available at: http://www.djmillison.com/Uploadfiles/Files/2023-11-21/20231121135203873.pdf The Responsible Sourcing Policy has been communicated to all suppliers and contractors and addresses the environmental, social and governance aspects towards the suppliers based on the ASI Performance Standard. The Entity has completed communicating its responsible sourcing requirements to its suppliers and contractors via the sourcing system.
2.5a-g Environmental and Social Impact Assessments	Conformance	For Major Changes and New Projects, the Entity has established a New, Renovation, and Expansion Project Management Control Procedure. The Entity has implemented the requirements of the Procedure and undertaken an Environmental Impact Assessment (EIA) that includes impacts on air, water quality, and soil for an expansion project, 'New Energy Vehicle System, 5G Communication Parts, and Mold Production Line Construction Project'. The EIA Report has been published on the relevant government website, available at: https://gongshi.qsyhbgj.com/h5public-detail?id=433708 The related Environmental and Social Impact Management Plan is available at: http://www.djmillison.com/Uploadfiles/Files/2023-9-6/202396831256104.pdf
2.6a-h Human Rights Impact Assessment	Conformance	The Entity has established a Human Rights Impact Assessment process. The Entity has completed a Human Rights Impact Assessment of the expansion project, which concluded that there are no risks posed to Affected Peoples and Organisations. The Human Rights Impact Assessment Report is available at: http://www.djmillison.com/Uploadfiles/Files/2025-9-11/20259111653212728.pdf
2.7a-f Emergency Response Plan	Conformance	The Entity has established an emergency response management procedure, developed in collaboration with Workers, Affected Populations and Organisations, and relevant agencies. The Entity's Emergency Response Plan was developed by a qualified third party and is filed with the local government. The Entity has conducted training and drills on the Emergency Response Plan, as well as comprehensive environmental and safety drills. The latest version of the Plan is available at:

CRITERION	RATING	COMMENT
		http://www.djmillison.com/Uploadfiles/Files/2023-8-29/20238291821457041.pdf
2.8a-d Suspended Operations	Conformance	The Entity has established a procedure for managing the suspension of operations and contingency controls, including a Business Resilience Plan to address situations where it may have to suspend or significantly alter operations due to factors outside its control. The Plan has considered Material adverse environmental, social and governance impacts. To date, there have been no suspended operations at the Entity.
2.9a-b Mergers and Acquisitions	Conformance	The Entity has established a management procedure for mergers and acquisitions, however no such activity has occurred, nor expect to in the near future.
2.10a-b Closure, Decommissioning and Divestment	Conformance	The Entity has established a management procedure for closure, decommissioning and divestment, however no such activity has occurred, nor expect to in the near future.
3. TRANSPARENCY		
3.1a-b Sustainability Reporting	Conformance	The Entity has prepared and disclosed the 2024 Environment, Social, and Corporate Governance (ESG) Report, available at: http://www.djmillison.com/Uploadfiles/Files/2025-5-15/20255151351137742.pdf
3.2 Non-compliance and Liabilities	Conformance	A review of official websites of the relevant Government agencies and Non-Government Organisations (NGOs) confirmed that the Entity has not received any non-compliances from Government agencies. Further information is included in the ESG Report, pages 17 and 46: http://www.djmillison.com/Uploadfiles/Files/2025-5-15/20255151351137742.pdf
3.3a-c Payments to Governments	Conformance	A management process has been established by the Entity for payments to Governments. Payments to Governments include various taxes, utilities and employee insurance premiums. The Entity facilitates payments to Governments on a legal and/or contractual basis. Further information is available at: http://www.cninfo.com.cn/new/disclosure/detail?stockCode=301307&announcementId=1224580634&orgId=9900052775&announcementTime=2025-08-27
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	The Entity has developed and implemented an accessible Complaints Resolution Mechanism. If there is a need to contact the Entity, all Stakeholders can use the contact details provided at: http://www.djmillison.com/Uploadfiles/Files/2023-8-30/20238301110134041.pdf
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Conformance	The Entity has established a Greenhouse Gas (GHG) inventory and completed a Life Cycle Assessment (LCA). The LCA was verified by a qualified third party and the LCA Verification Statement for one kilogram (1 kg) of die-cast Aluminium Products (raw material is 50% Recycled Aluminium ingot and 50% hydrated Aluminium ingot) is

CRITERION	RATING	COMMENT
		available at: http://www.djmillison.com/Uploadfiles/Files/2023-8-30/2023830116126578.pdf
4.1b-c Environmental Life Cycle Assessment - Disclosure	Conformance	The Entity has established a Greenhouse Gas (GHG) inventory and disclosed a third party verified LCA Verification Statement for one kilogram of die-cast Aluminium Products (raw material is 50% Recycled Aluminium ingot and 50% hydrated Aluminium ingot) is publicly disclosed. This Statement covers 'cradle-to-gate' environmental impact categories and is available at: http://www.djmillison.com/Uploadfiles/Files/2023-8-30/2023830116126578.pdf
4.2 Product Design	Conformance	The Entity has established a procedure for new Product development, which requires the product development and process planning process to consider the selection and utilisation of materials, appropriate process selection, improved process efficiency, equipment and load matching in the process, process optimisation, service life, convenient maintenance and the collection and disposal of Scrap.
4.3a-b Aluminium Process Scrap	Conformance	The Entity has established a recycling rate of 100% as the goal for process waste generated during the production of its Aluminium Products. Performance against this target is regularly evaluated. The Entity manages thirteen Aluminium alloy grades through colour classification.
4.4a-c Collection and Recycling of Products at End of Life - Material Conversion and other Manufacturing	Conformance	The Entity has publicly disclosed its Aluminium Scrap Recycling Strategy at: http://www.djmillison.com/Uploadfiles/Files/2023-8-29/2023829180417629.pdf In this Strategy, the Entity has committed to 100% recycling and reuse of Aluminium Scrap as its strategic goal and has developed an accompanying schedule. The strategy is reviewed at least every five years.
4.4d Collection and Recycling of Products at End of Life	Conformance	The Entity ensures 100% recycling of its customers' production waste. Specific recycling methods are implemented in accordance with the contractual agreement between the two parties. Interviews during the Audit confirmed that the Entity's supply chain system currently has dedicated recycling units, divided into Aluminium ingot recycling suppliers and Scrap recycling suppliers, with whom it has established good cooperation.
5. GREENHOUSE GAS EMISSIONS		
5.1a-b Disclosure of GHG Emissions and Energy Use	Conformance	The Entity has established and certified an Energy Management System and process management procedures are defined within the related Energy Management System Manual. The Entity maintains an annual Greenhouse Gas (GHG) emissions inventory that includes Direct and Indirect GHG Emissions data, Categories 1-5 (equivalent to Scopes 1, 2 and 3), and a data collection tool. The Entity's 2024 Direct GHG emissions totalled 18,795 tonnes CO₂e per tonne of Aluminium. The GHG Verification Statement issued by a Third Party (SGS) in compliance with the ISO 14064-1:2018 Standard, is available at:

CRITERION	RATING	COMMENT
		http://www.djmillison.com/Uploadfiles/Files/2025-4-27/20254271729515484.pdf
5.2a Aluminium Smelter GHG Emissions Intensity - Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity - In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a GHG Emissions Reduction Plans	Conformance	The Entity has established a GHG Emissions Reduction Plan and a related GHG Emissions Reduction Pathway for 2025 - 2040. The Entity's GHG emissions reduction targets cover Direct and Indirect GHG Emissions i.e. Scopes 1, 2 and 3, using a 2022 baseline. The Entity's targets have been validated by the SBTi and this Pathway is aligned with the ASI method. The Entity's Carbon Peak and Carbon Neutrality Implementation Plan is publicly disclosed at: http://www.djmillison.com/Uploadfiles/Files/2023-9-7/202397921132727.pdf The Entity's current GHG emissions reduction performance for 2024 is in line with expectations, as detailed in its ESG Report, pages 14-15: http://www.djmillison.com/Uploadfiles/Files/2025-5-15/20255151351137742.pdf
5.3b-e GHG Emissions Reduction Plans - Targets, review and disclosure	Conformance	The Entity has established a GHG Emissions Reduction Plan and a related GHG Emissions Reduction Pathway for 2025 - 2040. The Entity's GHG emissions reduction targets cover Direct and Indirect GHG Emissions i.e. Scopes 1, 2 and 3, using a 2022 baseline. The Entity undertakes annual review of its GHG emissions inventory and GHG Emissions Reduction Plan to consider and understand changes in its operations. The Entity's Carbon Peak and Carbon Neutrality Implementation Plan is available at: http://www.djmillison.com/Uploadfiles/Files/2023-9-7/202397921132727.pdf
5.4 GHG Emissions Management	Conformance	The Entity has established an Energy Management System which has received Third Party certification. It has also received SBTi validation for its GHG emissions reduction targets. The Entity has identified its GHG emissions sources and emission data for each phase, established and implemented GHG emission reduction targets, and regularly discloses its progress publicly. Further information is included in the ESG Report, pages 14-15: http://www.djmillison.com/Uploadfiles/Files/2025-5-15/20255151351137742.pdf
6. EMISSIONS, EFFLUENTS AND WASTE		
6.1a-f Emissions to Air	Conformance	The Entity has publicly disclosed its Emissions to Air and pollutant emissions monitoring results are publicly disclosed on the local Government website. All public data are accessible and traceable.

CRITERION	RATING	COMMENT
		The Entity has established an Air Pollution Prevention and Control Procedure, which prescribes annual review of the air pollutant reduction plan and review following any changes that may alter the risks. During the Audit, it was determined that the management of air emissions was effective. The Entity's Pollutant Emissions and Emissions Reduction Plan is available at: http://www.djmillison.com/Uploadfiles/Files/2025-9-11/20259111653212283.pdf
6.2a-g Discharges to Water	Conformance	The Entity has publicly disclosed its Discharges to Water and pollutant emissions monitoring results on the local Government website. All public data are accessible and traceable. The Entity has established a Water Pollution Prevention and Control Procedure, which prescribes annual review of the water discharge reduction plan and review following any changes that may alter the risks. During the Audit, it was determined that there have been no violation of the wastewater discharge regulations. The Entity's Pollutant Emissions and Emissions Reduction Plan is available at: http://www.djmillison.com/Uploadfiles/Files/2025-9-11/20259111653212283.pdf
6.3a-g Assessment and Management of Spills and Leakages	Conformance	The Entity has established an Environment Aspect and Hazard Identification and Evaluation Procedure to evaluate and control Spills and Leakages. The Entity's latest Spills and Leakages Risk Identification and Assessment Checklist is available at: http://www.djmillison.com/Uploadfiles/Files/2023-8-29/2023829180411102.pdf The Procedure prescribes the review of the Entity's management plan at least every five years, or after any Spills/Leakages event or any changes to the business that alters the risks.
6.4a-b Public Disclosure of Spills and Leakages	Conformance	The Entity has publicly disclosed its Spills and Leakages Risk Identification and Assessment Checklist, available at: http://www.djmillison.com/Uploadfiles/Files/2023-8-29/2023829180411102.pdf To date, no Spills or Leakages incidents have occurred. The risk assessment results are described in the ESG Report, page 17: http://www.djmillison.com/Uploadfiles/Files/2025-5-15/20255151351137742.pdf
6.5a-c Waste Management and Reporting	Conformance	The Entity has developed and implemented a Waste Pollution Prevention and Control Procedure, which prescribes the segregation, collection and storage of Waste materials. The Waste reduction target is reviewed annually. The Entity's Pollutant Emissions and Emissions Reduction Plan is publicly disclosed, available at: http://www.djmillison.com/Uploadfiles/Files/2025-9-11/20259111653212283.pdf
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Minor Non-Conformance	The Entity has developed and implemented a Waste Pollution Prevention and Control Procedure, which prescribes the storage and management of Dross, and annual review of alternative options to landfilling Dross residues. The Entity uses its Manufacturing Execution System (MES) to monitor the weight of Aluminium slag reused and calculate the reuse rate. Aluminium ash and slag are disposed of by a third party and recycled for reuse. Dross is not landfilled. The inspection undertaken during the Audit identified however that on-site Hazardous Waste storage and management requires improvement.
7. WATER STEWARDSHIP		
7.1a-b Water Assessment and Disclosure	Conformance	The Entity's sole source of industrial water is via the municipal water provider. The Entity's water-related risks were assessed as low. Despite the low risk profile, the Entity conducts annual water quality monitoring. The Entity has implemented its Water Management Plan, which is available at: http://www.djmillison.com/Uploadfiles/Files/2023-8-29/20238291748112920.pdf
7.2a-e Water Management	Not Applicable	This Criterion is not applicable to the Entity, as the risk assessment determined the water-related risks as low.
8. BIODIVERSITY AND ECOSYSTEM SERVICES		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Conformance	The Entity has established a Biodiversity Management Procedure and prepared a Biodiversity Risk Assessment Report, which is available at: http://www.djmillison.com/Uploadfiles/Files/2023-8-29/20238291155435238.pdf The Entity is located within an industrial park developed by the local Government. Based on the Environmental Impact Assessment report, no protected flora or fauna are present in this area. The risks and potential impacts to physical Biodiversity and Ecosystem Services are considered low.
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity, as the risks and potential impacts to Biodiversity and Ecosystem Services were determined as low.
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable to the Entity, as the Biodiversity Risk Assessment confirmed that there were no significant risks and impacts on Biodiversity in the Entity's Area of Influence.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity, as the Biodiversity Risk Assessment confirmed that there were no significant risks and impacts on Ecosystem Services in the Entity's Area of Influence.
8.4 Alien Species	Conformance	The Entity has established an Alien Species Management Procedure and prepared a Biodiversity Risk Assessment that addresses Alien

CRITERION	RATING	COMMENT
		Species. The Entity proactively prevents accidental or deliberate introduction of Alien Species that could have significant adverse impacts on Biodiversity, which includes a number of preventative and management measures.
8.5a-b Commitment to “No Go” in World Heritage Properties	Conformance	The Entity commits to “No Go” in World Heritage Properties. The Entity is located within an industrial park developed by the local Government. There are no World Heritage properties in or adjacent to the Entity’s Area of Influence.
8.6a-d Protected Areas	Conformance	The Entity has established the Cultural Heritage and Sacred Sites Protection and Management Procedure to protect Protected Areas. The Entity has committed to not enter Protected Areas. The Entity is located within an industrial park.
8.6e Protected Areas – Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity’s Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity’s Certification Scope.
9. HUMAN RIGHTS		
9.1a-d Human Rights Due Diligence	Conformance	The Entity has developed an ASI Management Policy and an ASI Code of Conduct, available at: http://www.djmillison.com/Uploadfiles/Files/2023-9-5/2023951543495675.pdf and http://www.djmillison.com/Uploadfiles/Files/2023-8-29/20238291354166693.pdf The Entity’s Human Rights Impact Assessment Report is available at: http://www.djmillison.com/Uploadfiles/Files/2025-9-11/20259111653212728.pdf There have been no Human Rights violations at the Entity reported to date. A Due Diligence process has been established addressing the Entity’s supply chain. The Entity has established and published the complaints/grievance channel to Stakeholders, available at: http://www.djmillison.com/Uploadfiles/Files/2023-8-30/20238301110134041.pdf
9.2a-e Gender Equity and Women’s Empowerment	Conformance	Women’s rights and interests are respected and the Entity has identified legal rights for women and implemented control measures to ensure these are met. The Entity has established the Regulations on Labour Protection and Protection of Rights and Interests of Female Employees, which stipulate that men and women shall receive equal pay for equal work; that men and women shall be equal in terms of promotion, advancement, appraisal of professional and technical positions, appraisal of skills, education and training of employees, and welfare benefits for employees; that female employees shall be guaranteed special labour protections; and that female employees shall enjoy equal rights to those of male employees with regard to the enjoyment of welfare benefits. Women comprise approximately 20 per cent of the Entity’s management. All female employees receive job training for their positions. The Labour Union has established a female Workers’

CRITERION	RATING	COMMENT
		committee to protect the rights and advocate for the welfare of female Workers. The Entity's ESG Report discloses its efforts on gender equity and women's empowerment: http://www.djmillison.com/Uploadfiles/Files/2025-5-15/20255151351137742.pdf
9.3a-I Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity as Indigenous Peoples or their lands, territories and resources are not present in the Entity's Area of Influence.
9.4a Free, Prior, and Informed Consent (FPIC)-- New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity as Indigenous Peoples or their lands, territories and resources are not present in the Entity's Area of Influence.
9.4b Free, Prior, and Informed Consent (FPIC)-- Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4c Free, Prior, and Informed Consent (FPIC)-- Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity as Indigenous Peoples or their lands, territories and resources are not present in the Entity's Area of Influence.
9.5a Cultural and Sacred Heritage-- Identification	Not Applicable	This Criterion is not applicable to the Entity as it is located within an industrial park developed by the local Government and there are no cultural or sacred heritage sites or values present. The Entity is however committed to the protection of cultural and sacred heritage.
9.5b Cultural and Sacred Heritage - Impacts	Not Applicable	This Criterion is not applicable to the Entity as it is located within an industrial park developed by the local Government and there are no cultural or sacred heritage sites or values present.
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity as it is located within an industrial park developed by the local Government, and the Entity has no New Projects related to physical and/or economic displacement.
9.7a-h Affected Populations and Organisations	Conformance	The Entity is located within an industrial park developed by the local government and there are no Local Communities near the Entity, and no complaints from Local Communities have been received.
9.8a Conflict-Affected and High-Risk Areas - Strong management systems	Conformance	The Entity has established Procedures related to sourcing management and supplier audits, which includes a process for identifying issues relating to Conflict-Affected and High-Risk Areas (CAHRAs) The Entity's Responsible Sourcing Policy is available at: http://www.djmillison.com/Uploadfiles/Files/2023-11-21/20231121135203873.pdf The Entity has identified and evaluated risks in its supply chain via its sourcing system and confirmed that its business or purchasing function is currently not affected by CAHRAs.
9.8b Conflict-Affected and High-Risk Areas - Identify and assess risks	Conformance	The Entity has identified and evaluated risks in its supply chain via its sourcing system and confirmed that its business or purchasing function is currently not affected by CAHRAs.

CRITERION	RATING	COMMENT
9.8c Conflict-Affected and High-Risk Areas – Strategy to respond to risks	Not Applicable	This Criterion is not applicable to the Entity as it has identified and evaluated risks in its supply chain via its sourcing system and confirmed that the Entity's business or purchasing function is currently not affected by CAHRAs.
9.8d Conflict-Affected and High-Risk Areas – Audit of due diligence	Conformance	The Entity's Due Diligence practices have been audited as part of this ASI Audit, which satisfies this requirement.
9.8e Conflict-Affected and High-Risk Areas – Report annually	Conformance	The Entity reports on its supply chain Due Diligence annually. Refer to the ESG Report, pages 70-73: http://www.djmillison.com/Uploadfiles/Files/2025-5-15/20255151351137742.pdf
9.9 Security practice	Conformance	The Entity has implemented security practices that respect Human Rights. A Security Management Procedure and Employee Handbook have been developed, which defines that the Entity's security providers should respect Human Rights. All security guards have received training on respecting Human Rights requirements. This was confirmed during the Audit interviews. There have been no Human Rights violations or complaints made resulting from security practices.
10. LABOUR RIGHTS		
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	This Criterion is not applicable to the Entity, as it complies with the Applicable Laws in China regarding the Freedom of Association and Collective Bargaining.
10.1d Freedom of Association and Right to Collective Bargaining – Alternative means in context of Applicable Law	Conformance	The Entity currently employs approximately 1,300 Workers, with all Workers members of the Labour Union. The Union facilitates open and transparent communication between the Entity and the local Labour Union Federation. The Union Constitution prescribes the Union representative election process and the right to Collectively Bargain.
10.2a-c Child Labour	Conformance	The Entity has aligned its Personnel Management System with ILO Conventions C138 and C182, relating to Child Labour, which prohibits employment of workers under 15 years of age. It is also prohibited for underage Workers to be engaged in hazardous work. The Audit confirmed that there is currently no employee on site under 18 years of age.
10.3a-c Forced Labour	Conformance	The Entity has established an Employee Management Procedure that defines the prohibition of Forced Labour. Worker interviews confirmed that the Entity does not force them to work and there are no cases of Forced Labour. There is no retention of employee's personal effects by the Entity, and there are no requirements to lodge deposits or security payments. The Entity has publicly disclosed its Statement on Modern Slavery and Human Trafficking at: http://www.djmillison.com/Uploadfiles/Files/2023-8-30/2023830116128613.pdf Annual updates on its actions to address Modern Slavery are disclosed in the Entity's ESG Report:

CRITERION	RATING	COMMENT
		http://www.djmillison.com/Uploadfiles/Files/2025-5-15/20255151351137742.pdf
10.4a-c Non-Discrimination	Conformance	The Entity has established a Staffing and Recruitment Management System that prescribes the recruitment process must ensure employees are hired without any restriction regarding ethnic minorities, foreigners, party affiliation, sex, race, political colour, sexual orientation, marital status, family responsibilities, age, or any other circumstances that may give rise to Discrimination. The Entity has provided training on social responsibility to its employees, and there have been no cases reported of dismissal of Workers with all departures as voluntary.
10.5 Communication and engagement	Conformance	The Employee Handbook issued by the Entity clearly prescribes the available lines of communication for employees or employee representatives and a promise that 'whistleblowers' will not be threatened with retaliation, intimidation, or Harassment. Employees can provide comments or a complaint via the suggestion box or via the 'whistleblower' hotline.
10.6a-g Violence and Harassment	Conformance	The Entity has publicly disclosed its Management Policies with a commitment to the elimination of Violence and Harassment, available at: http://www.djmillison.com/Uploadfiles/Files/2023-11-21/20231121135203873.pdf The Entity has established a procedure to ensure management reviews are undertaken both annually and following any significant changes or deficiencies. Interviews with employee representatives, female operational staff, female Union representatives and supplier employees, confirmed that there had been no incidents of Violence and Harassment at the Entity.
10.7a-d Remuneration	Conformance	The Entity has established a Human Resources Management System that defines that wage payments are made in a timely manner, in legal tender and are to be fully documented. The Entity's calculation of Overtime compensation meets the requirements of the regulations, and samples of employees' Overtime work schedules over a 12-month period confirmed that there were no violations and that the average monthly Overtime work time did not exceed Applicable Law.
10.8a-c Working Time	Conformance	The Entity has established an Attendance Management System that regulates working hours, holidays, and paid annual leave in accordance with the relevant provisions of the National Labor Law. Samples of employees' Overtime working hours records confirmed no non-compliance of these regulations.
10.9a-b Informing Workers of Rights	Conformance	The Entity communicates employees' rights at the time of signing the labour contract and via the Employee Handbook. Social responsibility training has also been provided to employees.
11. OCCUPATIONAL HEALTH AND SAFETY		

CRITERION	RATING	COMMENT
11.1a Occupational Health and Safety (OH&S) Management System	Conformance	The Entity has established an Occupational Health and Safety (OH&S) Management System, developed a Management Manual and procedural documents, and undertaken internal audits and annual management reviews. The OH&S Management System has been independently certified to the ISO 45001:2018 Standard.
11.1b-e Occupational Health and Safety (OH&S) Management System – Reviews and disclosure	Conformance	The Entity has publicly disclosed the performance metrics of key indicators of the OH&S Management System in the ESG Report, page 29: http://www.djmillison.com/Uploadfiles/Files/2025-5-15/20255151351137742.pdf The Entity has undertaken comparative analyses of its performance across key safety and environmental indicators with peer businesses.
11.2 Employee engagement on Health and Safety	Conformance	The Entity provides a mechanism for Workers to raise, discuss, and participate in resolving Occupational Health and Safety issues with management. A Production Safety Committee has been established, with responsibilities for the production safety management systems, organising regular production safety inspections and record keeping. The Entity undertakes employee satisfaction surveys every year, collects suggestions or opinions on OH&S put forward by employees, and gives feedback on the results of adoption. The Labour Union and the Work Safety Committee conduct investigations and analyses of employee work safety accidents, develop and implement improvement measures.

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DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	13 December 2023	Initial Certification Audit – Full Certification
1	6 November 2025	Surveillance Audit