

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

Dicastal KSM Autoparts QinHuangdao Co., Ltd.

CERTIFICATE NUMBER
501

ASI STANDARD
**PERFORMANCE
STANDARD
(V3.1 2023)**

CERTIFICATION LEVEL
**FULL
CERTIFICATION**

ASI ACCREDITED
AUDITING FIRM
**SGS-CSTC STANDARDS
TECHNICAL SERVICES**

DATE OF ISSUE
7 NOVEMBER 2025

DATE OF EXPIRY
6 NOVEMBER 2028

CERTIFIED SINCE
7 NOVEMBER 2025

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H.', with a long horizontal line extending to the right.

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*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at
www.aluminium-stewardship.org*

CERTIFICATION SCOPE

Design and manufacture for die
casting parts at Dicastal KSM
Autoparts QinHuangdao Co., Ltd.
located in Hebei Province, China.

AUDIT REPORT PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	CITIC Dicastal Co.,Ltd.
ENTITY NAME	Dicastal KSM Autoparts QinHuangdao Co., Ltd.
CERTIFICATION SCOPE	Design and manufacture for die casting parts at Dicastal KSM Autoparts QinHuangdao Co., Ltd. located in Hebei Province, China.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">• Casthouses• Material Conversion
ASI STANDARD	<ul style="list-style-type: none">• Performance Standard V3.1
AUDIT TYPE	<ul style="list-style-type: none">• Initial Certification Audit
AUDIT FIRM	SGS-CSTC Standards Technical Services
AUDIT DATE	<ul style="list-style-type: none">• 5 – 6 August 2025
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">• 28 August 2025
AUDIT SCOPE	<p>The Audit Scope includes the design and manufacture for die casting parts at Dicastal KSM Autoparts QinHuangdao Co., Ltd. located at Hebei Province, China.</p> <p>The Supply Chain Activities included in the Audit Scope:</p> <ul style="list-style-type: none">• Casthouses• Material Conversion <p>All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.</p>
AUDIT OUTCOME	<ul style="list-style-type: none">• Certification
AUDIT METHODOLOGY DECLARATION	<p>The Auditors confirm that:</p> <ul style="list-style-type: none">☑ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.☑ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.☑ The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.☑ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
CERTIFICATION PERIOD	7 November 2025 - 6 November 2028

NEXT AUDIT TYPE Surveillance Audit

NEXT AUDIT DATE 7 May 2027

CERTIFICATE NUMBER 501



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Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

KSM Qinhuangdao Auto Parts Manufacturing Co., Ltd. (the 'Entity') is located at No. 185 Longhai Road, Qinhuangdao Economic and Technological Development Zone, which is a Government-approved industrial land and is located in Qinhuangdao Emerging Industrial Park. The project is adjacent to National Highway 102 in the north, Tianchi Road in the west, Longhai Road in the south, CITIC Dicastal Co., Ltd. in the east, and not adjacent to the community.

Founded in November 2013, the Entity is a wholly owned subsidiary of CITIC Dicastal Group, with a registered capital of 297 million yuan, a factory area of approximately 80,000 square meters (m²) (including reserved area), and a total construction area of 30,300 square meters. The design production capacity of KSM Qinhuangdao Company is using high-pressure casting process to produce large batches, large and medium-sized shell products, with an estimated annual output of two million items. The differential pressure casting process is used to produce high-performance, high strength steering knuckle products, and the annual output is expected to be 2.2 million to 2.4 million items.

The Entity uses the leading casting technology of KSM Group in its production line for automobile chassis and powertrain lightweight components, mainly producing Aluminium alloy automobile chassis parts such as steering knuckles, control arms and wheel brackets. The main processes undertaken include re-melting, casting, heat treatment, machining, assembly, and shipping; The Entity's main customers include Volkswagen and BMW.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	High	Medium	Medium	MEDIUM
RISKS	High	Medium	Medium	MEDIUM
PERFORMANCE	High	Medium	Medium	MEDIUM
OVERALL		MEDIUM		

FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	The Entity has established a Management System to maintain awareness and ensure Compliance with Applicable Law. The Entity has published its Environmental, Social, and Governance (ESG) Sustainability Report, which includes a summary of its labour and Human Rights compliance risk assessments. The Entity's ASI Performance Manual includes a table identifying and evaluating the Entity's compliance with ASI Performance Standard obligations, regulations, and other requirements. The Group Legal Department has verified that the Entity's legal compliance review results are valid.
1.2 Anti-Corruption	Conformance	As part of the Discatal Group (CITIC Discatal), the Entity has a Discipline Inspection Commission Office, whose administrative department collects and handles complaints and reports about the company. The Entity has also established its own internal 'Feedback Management Procedure' and published channels for complaints and appeals, including email, executive meetings, and phone calls. Anti-Corruption related Policies and records are disclosed in the 2024 ESG Report, pages 5-6: https://www.dicastal.com/Public/tcx/20250718/2.pdf The Feedback and Management Procedure is available at: https://www.dicastal.com/Public/tcx/20250718/8.pdf
1.3a-e Code of Conduct	Conformance	The Entity has established an ASI Code of Conduct, which includes principles related to environmental, social, and governance performance. The ASI Code of Conduct is documented and signed by the General Manager and is publicly available at: https://www.dicastal.com/Public/tcx/20250718/19.pdf An ASI internal audit and management review has been implemented annually to ensure the normal and effective operation of the Entity's system.
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Conformance	An Environmental, Social, and Governance (ESG) Policy has been established and implemented. The Entity maintains documented information on relevant Policies, including those covering the environment, Occupational Health and Safety, and low carbon emissions. The documented Policies confirm that the ASI Management Representative has signed off on the Policy. The ESG Policy is described in the ASI Manual and is publicly available at: https://www.dicastal.com/Public/tcx/20250718/10.pdf It has also communicated internally to employees through training.
2.2a-c Leadership	Conformance	The Entity has appointed its Director of Technical Management as the ASI Management Representative, who has overall responsibility and authority for ensuring conformance with the requirements of the ASI Performance Standard.

CRITERION	RATING	COMMENT
2.3a Environmental and Social Management Systems – Environmental	Conformance	The Entity has developed and implemented an Integrated Management System. The Entity has obtained ISO 14001:2015 certification.
2.3b Environmental and Social Management Systems – Social	Conformance	The Entity has documented and implemented an integrated Management System. It is certified to ISO 9001:2015, ISO 14001:2015 and ISO 45001:2018 standards. It has established and implemented a Management Manual, based on SA8000:2014 to manage risks related to Human and labour Rights.
2.4a-e Responsible Sourcing	Conformance	The Entity has established a Responsible Sourcing Policy, which has been signed by the General Manager, and addresses environmental, social, and governance issues. The Responsible Sourcing Policy is publicly available at: https://www.dicastal.com/Public/tcx/20250718/10.pdf
2.5a-g Environmental and Social Impact Assessments	Not Applicable	This Criterion is not applicable to the Entity, as no New Projects or Major Changes to existing Facilities have taken place since the Entity joined ASI in 2024. However, the Entity has conducted an Environmental Impact Assessment that included impacts on the atmosphere, water quality, soil, and Biodiversity, and has also completed a Greenhouse Gas assessment. The Environmental and Social Impact Assessment Report is available at: http://xzspj.qhd.gov.cn/home/details?code=eHp6ZmdzX3NxZ3M%ce%b3&id=3182
2.6a-h Human Rights Impact Assessment	Not Applicable	This Criterion is not applicable to the Entity, as no New Projects or Major Changes to existing Facilities have taken place since the Entity joined ASI.
2.7a-f Emergency Response Plan	Conformance	The Entity has established an Emergency Preparedness and Response Control Procedure, which stipulates the control and management of potential accidents and emergencies within the Entity. The emergency plan has a communication mechanism and there have been no unplanned environmental pollution incidents nor production safety accidents in the past year. The Entity has implemented an emergency response team, emergency supplies, and testing methods. The Entity has also developed site-specific annual Emergency Response Plans for drills and has registered its emergency preparedness and response plan with local authorities. The Entity conducts drills and evaluates their effectiveness as planned. The latest version of the emergency response plan is publicly available to Stakeholders. Environmental Emergency Response Plan: https://www.dicastal.com/Public/tcx/20250718/30.pdf Safety Emergency Plan: https://www.dicastal.com/Public/tcx/20250718/1.pdf
2.8a-d Suspended Operations	Conformance	The Entity has developed a production recovery plan as part of its comprehensive safety production plan. There are currently no Major Changes or suspensions of the Entity's operations or control gaps.

CRITERION	RATING	COMMENT
2.9a-b Mergers and Acquisitions	Conformance	This Entity has established merger and acquisition management regulations that clearly define the review process for environmental, social, and governance issues during merger and acquisition Due Diligence. To date, no mergers and acquisitions have occurred.
2.10a-b Closure, Decommissioning and Divestment	Conformance	The Entity has established procedures to review ESG issues during the planning process for closure, decommissioning, and divestment. To date, no closure, decommissioning, or divestment has occurred.
3. TRANSPARENCY		
3.1a-b Sustainability Reporting	Minor Non-Conformance	<p>The Entity has prepared a 2024 Sustainability Report which is publicly available at: https://www.dicastal.com/Public/tcx/20250718/2.pdf</p> <p>The Entity however has not adopted a disclosure framework for its Report, which has resulted in a lack of standardisation and comparability. Additionally, some key topics, such as corporate governance are deficient in quantitative management performance indicators.</p>
3.2 Non-compliance and Liabilities	Conformance	<p>The Entity has confirmed that there were no fines, judgments, penalties, or non-economic sanctions in 2024. The Entity has disclosed the results of the 2024 National Enterprise Credit Inquiry: https://www.dicastal.com/Public/tcx/20250718/4.pdf</p> <p>Further information is available on China's National Credit Information Sharing Platform, a central database that collects and publishes key credit information on companies: www.creditchina.gov.cn</p>
3.3a-c Payments to Governments	Conformance	<p>The Entity has made on its behalf, payments to Governments on a legal and/or contractual basis. As a wholly owned subsidiary of CITIC Dicastal, the Entity's financial audit is arranged by the group. The Entity provided an audit report on its 2024 financial statements, which was issued by an independent third-party accounting firm. The report confirmed the payments to the government are taxes payable.</p> <p>Relevant disclosures can be found in the 2024 ESG Report, pages 5-6: https://www.dicastal.com/Public/tcx/20250718/2.pdf</p>
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	<p>The Entity has implemented accessible, transparent, understandable, and culturally sensitive Complaints Resolution Mechanisms, adequate to address Stakeholder complaints, grievances and requests for information relating to its operations.</p> <p>The Feedback Management Procedure is available at: https://www.dicastal.com/Public/tcx/20250718/8.pdf</p>
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Conformance	<p>The Entity has established a Product Lifecycle Management System, which clearly defines the responsibilities and workflows of each department.</p> <p>The Entity provided an LCA report, the report defines the entire product lifecycle of one product – the 'Chang'an CX727 front steering knuckle', with a 'cradle-to-grave' LCA boundary, which is based on ISO 14040:2006 and ISO 14044:2006. The evaluation is divided into four stages: (1) raw material acquisition and processing; (2) product</p>

CRITERION	RATING	COMMENT
		manufacturing; (3) product usage; (4) product recycling and product disposal.
4.1b-c Environmental Life Cycle Assessment - Disclosure	Minor Non-Conformance	<p>The Entity has developed a Life Cycle Assessment (LCA) for the 'Chang'an CX727 front steering knuckle' using a 'cradle-to-grave' approach which is made available to customers upon request. The LCA Report is available at: https://www.dicastal.com/Public/tcx/20250718/9.pdf</p> <p>The recycling scenario parameters used in the report however are based on industry research and conservative assumptions. These assumptions do not align with actual conditions. For example, the report assumes a high product recycling rate, while actual interviews reveal the current rate is significantly lower.</p> <p>In addition, the carbon emissions factor used in this assessment relates to regions outside of China and does not meet the geographical requirements for data quality.</p>
4.2 Product Design	Conformance	The Entity has established a 'Product Design Management System and Implementation Plan', which stipulates that product design is to focus on lightweight design, select appropriate Aluminium alloy materials, and reduce unnecessary materials and structures to improve product quality and performance.
4.3a-b Aluminium Process Scrap	Conformance	The Entity has established procedures for the recycling of pre-consumer waste. In addition to Aluminium Scrap, all internally generated Pre-Consumer waste is recycled on-site. The Entity has established process manuals for recycling. Alloys are stored separately and recycled into their respective processes through categorised re-melting.
4.4a-c Collection and Recycling of Products at End of Life - Material Conversion and other Manufacturing	Conformance	<p>Management interviews during the Audit confirmed that the Entity is not directly involved in the collection and reuse of Post-Consumer Scrap at the End of Life.</p> <p>However, the Entity has developed a five-year development plan for Recycled Aluminium for Post-Consumer Scrap, setting a target to increase the use of Recycled Aluminium. The plan is available at: https://www.dicastal.com/Public/tcx/20250718/11.pdf</p>
4.4d Collection and Recycling of Products at End of Life	Conformance	The Entity collaborates with an Aluminium Dross disposal company to develop technologies for the extraction of Aluminium from Aluminium Dross, which is currently in the experimental stage.
5. GREENHOUSE GAS EMISSIONS		
5.1a-b Disclosure of GHG Emissions and Energy Use	Conformance	<p>In accordance with the ISO 14064 standard, the Entity has prepared an inventory of its Greenhouse Gas (GHG) emissions at the organisational level and has engaged a Third Party to verify the relevant emissions data. The Entity's GHG Inventory Report defines Scopes 1-3 emissions. The GHG Inventory Report is disclosed at: https://www.dicastal.com/Public/tcx/20250718/33.pdf</p> <p>An independent Third Party has conducted a verification of the Entity's 2023 GHG Inventory Report, available at: https://www.dicastal.com/Public/tcx/20250718/32.pdf</p>

CRITERION	RATING	COMMENT
		The Entity's energy use data are disclosed at: https://www.dicastal.com/Public/tcx/20250718/49.pdf
5.2a Aluminium Smelter GHG Emissions Intensity - Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity - In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a GHG Emissions Reduction Plans	Conformance	The Entity has used the ASI GHG Calculation Tool and the reduction targets included in the GHG Emissions Reduction Plan are in accordance with the requirements for a 1.5°C warming scenario. The Entity has publicly disclosed its Greenhouse Gas Emission Targets and Implementation Plan: https://www.dicastal.com/Public/tcx/20250718/44.pdf
5.3b-e GHG Emissions Reduction Plans - Targets, review and disclosure	Conformance	The Entity has used the ASI GHG Calculation Tool and the reduction targets included in the GHG Emissions Reduction Plan are in line with the requirements for a 1.5°C warming scenario. The Entity has publicly disclosed its Greenhouse Gas Emission Targets and Implementation Plan: https://www.dicastal.com/Public/tcx/20250718/44.pdf The Entity has committed to review the progress of the Greenhouse Gas Emissions Targets and Implementation Plan annually and disclose updates and improvement measures related to the plan.
5.4 GHG Emissions Management	Conformance	The Entity's GHG Emissions Reduction Plan defines the management approaches for GHG emissions reductions. On-site observations, document review, and interviews conducted during the Audit confirmed that the Entity follows a 'Plan-Do-Check-Action' approach, manages its energy consumption, and monitors the Management System performance to achieve its GHG Emissions Reduction Plan.
6. EMISSIONS, EFFLUENTS AND WASTE		
6.1a-f Emissions to Air	Conformance	The Entity's main sources of air pollution include particulate matter (PM), sulphur dioxide (SO ₂), and nitrogen oxides (NO _x). The Entity has developed a plan to reduce these pollutants and has established an Air Emissions Management Program, which involves regular upkeep of air pollution control Facilities and frequent checks of exhaust emissions. The Entity has implemented a process to review its air emissions management plan regularly and whenever there are serious risks present. Annual air pollutant monitoring reports confirm the Entity is in compliance with regulatory requirements. The Entity's Emissions to Air data are disclosed in the '2025 Environmental Protection, Energy Conservation, and Emissions Reduction Plan and Measures' Report: https://www.dicastal.com/Public/tcx/20250718/34.pdf
6.2a-g Discharges to Waters	Conformance	The Entity discharges both domestic and industrial wastewater, which are processed using the wastewater treatment Facilities. Upon on-site

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		<p>inspection of the online monitoring records of these wastewater treatment Facilities, it was confirmed that the Entity's wastewater is properly disposed of, leading to minimal environmental impact. The Entity has committed to regularly reviewing its water pollutant management plans and promptly addressing any control deficiencies identified.</p> <p>Both the wastewater data and plan are disclosed in the Entity's '2025 Environmental Protection, Energy Conservation, and Emissions Reduction Plan and Measures' Report: https://www.dicastal.com/Public/tcx/20250718/34.pdf</p>
6.3a-g Assessment and Management of Spills and Leakages	Conformance	<p>The Entity has established procedures for assessing and managing the risks of Spills and Leakages, and has prepared appropriate emergency response actions and plans, which are available at: https://www.dicastal.com/Public/tcx/20250718/16.pdf https://www.dicastal.com/Public/tcx/20250718/15.pdf</p> <p>The Entity has performed regular checks to detect any possible sources of Spills and Leakages. The Entity is committed to review and update their emergency response and Spills and Leakages prevention management plans whenever there are any changes that may affect the risks associated with Spills and Leakages, or when there are indications of control deficiencies.</p>
6.4a-b Public Disclosure of Spills and Leakages	Conformance	<p>The Entity has recently conducted an emergency drill to prevent leaks and Spills. There were no leaks or Spills during 2024. If one were to occur in future, the Entity is required to disclose this information to relevant parties in accordance with the Entity's 'ASI Aluminium Industry Management Initiative Manual', available at: https://www.dicastal.com/Public/tcx/20250718/35.pdf</p>
6.5a-c Waste Management and Reporting	Minor Non-Conformance	<p>The Entity has established appropriate procedures to ensure that Hazardous Waste is properly disposed of. The disposal of Hazardous Waste is in compliance with the applicable legal requirements.</p> <p>The Entity however has not yet publicly disclosed the quantities of Hazardous and Non-Hazardous Waste generated during its operations.</p>
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Conformance	<p>The Entity has established and implemented management regulations for the storage, transfer, and disposal of Aluminium Dross, with the destination of its Hazardous Waste being managed by government regulatory systems. The Entity has conducted inspections of the enterprises involved in disposing of Aluminium Dross and is currently co-developing technology with one such enterprise for extracting Aluminium from Aluminium Dross.</p>
7. WATER STEWARDSHIP		
7.1a-b Water Assessment and Disclosure	Conformance	<p>The Entity has identified and recorded its water usage and conditions based on the water sources and types determined by the</p>

CRITERION	RATING	COMMENT
		<p>Environmental Impact Assessment. The Entity has evaluated water-related risks, considering the surrounding aquatic environment, water usage, drainage volumes, and the effectiveness of existing management measures. The Entity's water resource risk has been assessed as low, and there are no significant water-related risks within its Area of Influence.</p> <p>The Entity has disclosed the Water Resource Risk Assessment Report, available at: https://www.dicastal.com/Public/txcx/20250718/41.pdf</p>
7.2a-e Water Management	Not Applicable	This Criterion is not applicable to the Entity, as the Environmental Impact Assessment and Water Resources Risk Assessment reports confirm that the Entity's water resource risk is considered low, and there are no significant water-related risks within the Entity's Area of Influence.
8. BIODIVERSITY AND ECOSYSTEM SERVICES		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Conformance	<p>Based on the approved Environmental and Social Impact Assessment Report, the Entity further evaluated its impact on Biodiversity using an external database (https://bia.hinature.cn). Both the Environmental and Social Impact Assessment Reports and the Biodiversity Impact Assessment Report confirm that the Entity's Area of Influence does not include any Biodiversity-sensitive areas, and the risk level has been assessed as low.</p> <p>The Environmental and Social Impact Assessment Report is available at: http://xzspj.qhd.gov.cn/home/details?code=eHp6ZmdzX3NxZ3M%ce%b3&id=3182</p> <p>The Biodiversity Impact Assessment Reports is disclosed at: https://www.dicastal.com/Public/txcx/20250718/22.pdf https://www.dicastal.com/Public/txcx/20250718/23.pdf</p>
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity, as the risks and potential impacts have been assessed as low, and no Priority Ecosystem Services have been identified.
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable to the Entity, as the risks and potential impacts have been assessed and documented as low.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity, as the risks and potential impacts have been assessed and documented in as low, and no Priority Ecosystem Services have been identified.
8.4 Alien Species	Conformance	The Entity has developed and implemented management Policies and procedures aimed at preventing the introduction of invasive species. Potential risks associated with the introduction of such species through its operations and logistics activities have been identified. The Entity has established emergency control measures to ensure the control of biological invasions.
8.5a-b Commitment to "No Go" in World Heritage Properties	Conformance	The Entity has established management procedures for the protection of cultural and religious sites and has explicitly committed in its ASI Management Manual not to explore or develop New Projects within

CRITERION	RATING	COMMENT
		World Heritage sites. There are no World Heritage sites within the scope of The Entity's impact.
8.6a-d Protected Areas	Not Applicable	This Criterion is not applicable to the Entity, as the Environmental and Social Impact Assessment Report and Biodiversity Impact Assessment Reports, confirmed that there are no Protected Areas within the Entity's Area of Influence. Regardless, the Entity has defined a commitment within its ASI Management Manual regarding Protected Areas.
8.6e Protected Areas - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9. HUMAN RIGHTS		
9.1a-d Human Rights Due Diligence	Conformance	<p>The Entity has established a Human Rights Due Diligence Management Procedure which outlines the appropriate management measures and procedures to assess, prevent, and remediate potential adverse Human Rights impacts, based on respect for Human Rights and adherence to the UN Guiding Principles on Business and Human Rights, in accordance with environmental, social, and governance requirements and the ASI Code of Conduct. The Entity also retains employee Human Rights Due Diligence records and employee satisfaction survey results.</p> <p>The Human Rights Policy is available at: https://www.dicastal.com/Public/txcx/20250718/10.pdf</p> <p>To date, no Human Rights violations have occurred within the Entity.</p>
9.2a-e Gender Equity and Women's Empowerment	Minor Non-Conformance	<p>The Entity clearly defines the legitimate rights and interests of women and implements control measures to ensure these rights are met. The 'Women's Protection Management Procedure' defines the Entity's process for protecting women's rights.</p> <p>The 2024 ESG Report includes specific measures to protect the rights, interests, and wellbeing of female employees, however, it does not include further analysis of the effectiveness. https://www.dicastal.com/Public/txcx/20250718/2.pdf</p>
9.3a-i Indigenous Peoples	Not Applicable	<p>This Criterion is not applicable to the Entity, as there are no Indigenous Peoples in the Entity's Area of Influence.</p> <p>The Entity however has established an Indigenous Protection Management Procedure, publicly available at: https://www.dicastal.com/Public/txcx/20250718/26.pdf</p> <p>The procedure provides for respect for the customs and rights of ethnic minorities and local residents in the area.</p>
9.4a Free, Prior, and Informed Consent (FPIC) - New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope and there are no Indigenous Peoples in the Entity's Area of Influence.

CRITERION	RATING	COMMENT
9.4b Free, Prior, and Informed Consent (FPIC) - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4c Free, Prior, and Informed Consent (FPIC) - Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples in the Entity's Area of Influence.
9.5a Cultural and Sacred Heritage - Identification	Not Applicable	This Criterion is not applicable to the Entity, as its Business Activities are not in proximity to cultural and sacred heritage sites. The Entity is located in an industrial park which has been planned and constructed by the Local Government.
9.5b Cultural and Sacred Heritage - Impacts	Not Applicable	This Criterion is not applicable to the Entity, as its Business Activities are not in proximity to cultural and sacred heritage sites. The Entity is located in an industrial park which has been planned and constructed by the Local Government.
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity, as the Entity is located within an industrial zone and no displacement has occurred to date. The Entity however has established a project Management System for new construction, renovation, expansion, and technological transformation. This procedure defines resettlement management.
9.7a-h Affected Populations and Organisations	Conformance	The Entity's environmental impact report demonstrates that the project site complies with the city's master plan and environmental functional zoning requirements. The nearest community residential areas or sensitive populations are located approximately one kilometre from the Entity. The Entity however has established a Human Rights Due Diligence Management Regulations procedure, which stipulate that a Human Rights Due Diligence investigation must be conducted in relation to the community or Indigenous Peoples. A public survey of businesses and residents near the Entity was conducted, and the results have been publicly disclosed. The Environmental and Social Impact Assessment Report demonstrates that the project has promoted local economic development and achieved a coordinated balance of economic, social, and environmental benefits. Refer to the Environmental and Social Impact Assessment Report pages 94-104 for more information: http://xzspj.qhd.gov.cn/home/details?code=eHp6ZmdzX3NxZ3M%ce%b3&id=3182 Interviews undertaken during the Audit did not reveal any evidence of control deficiencies within the Entity.
9.8a Conflict-Affected and High-Risk Areas - Strong Management Systems	Conformance	The Entity has established control management measures and procedures for conflict minerals, whereby procurement activities should identify supply chain risks in known and potential Conflict-Affected and High-Risk Areas (CAHRA) and propose response plans. Based on a review of CAHRAs records, it was noted that all suppliers are based in China.
9.8b Conflict-Affected and High-Risk Areas - Identify and assess risks	Conformance	The Entity has established conflict mineral control management procedures and conducted a risk assessment. Management interviews and inspections of purchase orders indicate that the Entity purchases aluminium ingots as raw material from manufacturers in

CRITERION	RATING	COMMENT
		China. No raw materials are required to be purchased from outside of China. The Entity has implemented appropriate Due Diligence measures to confirm that the Bauxite is sourced and transported domestically. The risk assessment results indicate that there is no risk of armed conflict or Human Rights violations in the Entity's supply chain.
9.8c Conflict-Affected and High-Risk Areas - Strategy to respond to risks	Not Applicable	This Criterion is not applicable to the Entity, as Due Diligence processes did not identify any actual or potential risks. Based on the review of the CAHRAs records, it was noted that all suppliers are from China.
9.8d Conflict-Affected and High-Risk Areas - Audit of due diligence	Conformance	Due diligence has been conducted in accordance with the Conflict Minerals Control Management Measures and Due Diligence Management Regulations. Due diligence records have been maintained. As part of this ASI audit, the Entity's Due Diligence practices and risk assessment were reviewed.
9.8e Conflict-Affected and High-Risk Areas - Report annually	Conformance	The Entity has established conflict mineral control management measures, conducted risk assessments and disclosed the outcomes in the Supply Chain Due Diligence Report, available at: https://www.dicastal.com/Public/sdsc/39.pdf
9.9 Security practice	Conformance	The Entity has implemented security practices to respect Human Rights, which mandates that security guards respect Human Rights. All security guards have been trained in Human Rights requirements. No security-related Human Rights violations have been reported to date.
10. LABOUR RIGHTS		
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	This Criterion is not applicable to the Entity, as it complies with Applicable Law in China regarding Freedom of Association and the right to Collective Bargaining.
10.1d Freedom of Association and Right to Collective Bargaining - Alternative means in context of Applicable Law	Conformance	The Entity has established procedures to protect the rights of employees to Freedom of Association and Collective Bargaining. The Entity has committed to respecting Workers' rights. A Labour Union has been established in accordance with legal requirements. The Labour Union facilitated all employees in signing a collective agreement with the Entity regarding working hours and wages.
10.2a Child Labour	Conformance	Based on a review of records and management and Worker interviews during the Audit, the Entity does not use or support the use of Child Labour or young Workers. Child Labour is prohibited in China. Young Workers aged 16 to 18 are under special legal protections and are not permitted to work in hazardous conditions. The Entity complies with local legal requirements.
10.3a-c Forced Labour	Minor Non-Conformance	The Entity has implemented a management procedure on the prohibition of Forced Labour, Slavery, and Human Trafficking. The Entity does not provide loans to Workers and there are no restrictions on Workers' movements. The notice period for termination of

CRITERION	RATING	COMMENT
		<p>employment is in compliance with the Labour Contract Law, 30 days in advance or three days during the probation period.</p> <p>The Entity has publicly disclosed its annual Modern Slavery and Human Trafficking Statement at: https://www.dicastal.com/Public/tcx/20250718/28.pdf</p> <p>It was identified during the Audit however that the Entity has retained the original special operation qualification certificates of some Workers, in order to support potential random Government inspections.</p>
10.4a-c Non-Discrimination	Conformance	<p>The Entity is committed to non-Discrimination, and it has clearly defined that any form of Discrimination will not be tolerated.</p> <p>The Entity has established an Anti-Discrimination Management Procedures and an Employee Handbook which include anti-Discrimination provisions. Decisions in hiring, employment (such as compensation, promotion, access to training), or terminations are based solely on the candidate's ability to perform the job's requirements rather than other personal characteristics.</p> <p>The Anti-Discrimination and Special Protection for Female Workers Management Regulations explicitly state equal pay for equal work. Examination of the office's job postings and employee compensation revealed equal pay for employees in the same positions.</p> <p>The Entity promotes an anti-Discrimination culture through training, advocacy, and internal communication. Interviews with Workers confirmed they are aware of anti-Discrimination Policies and requirements. There have been no instances of Discrimination identified to date.</p>
10.5 Communication and engagement	Conformance	<p>The Entity has established Internal and External Communication Procedures and Feedback Management Procedures, which ensure open communication and direct contact with Workers and their representatives. The Entity has established convenient communication channels with Workers and the Workers' representatives including complaint boxes, complaint hotlines, and direct contact with management.</p> <p>This communication is considered efficient, as confirmed through Audit interviews with Workers and management.</p>
10.6a-g Violence and Harassment	Conformance	<p>The Entity has established the ASI Social Responsibility Commitment, which addresses the prohibition of Violence and Harassment, and respects its employees, ensuring the prohibition of any kind of inhumane actions, Harassment, abuse, corporal punishment, mental or physical coercion, verbal abuse, or intimidation. The Policy on Violence and Harassment is included in ASI Social Responsibility Commitment, available at: https://www.dicastal.com/Public/tcx/20250718/39.pdf</p>
10.7a-c Remuneration	Conformance	<p>The Entity's wage structure is clearly defined, and the basic wage exceeds the legal minimum wage. The total payment meets Workers' basic needs. All payments to Workers are documented and made promptly via bank transfer on the 16th of the following month. Payslips are digitally provided to Workers via the office automation system.</p> <p>Payroll records confirm that the Entity has paid wages in accordance with labour laws.</p>

CRITERION	RATING	COMMENT
10.8a-c Working Time	Minor Non-Conformance	<p>The Entity has established working hours and Overtime management regulations to ensure that employees' working hours are within the limits permitted by labour law. Working hours are automatically recorded by an attendance system.</p> <p>Among the sampled employees, it was identified however that approximately nine percent worked an average of over eight hours per day for a period of six months.</p>
10.9a-b Informing Workers of Rights	Conformance	<p>The Entity has provided social responsibility training to all employees throughout 2025 and communicated the results of Trade Union negotiations to them via the Office Automation system, informing them of their rights. The Entity organises an annual assembly for employee representatives to collect and discuss feedback from employees.</p> <p>A random check of the training record sign-in sheets undertaken during the Audit demonstrated that all employees had received 100% of their required training.</p>
11. OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Management System	Minor Non-Conformance	<p>The Entity has implemented an Occupational Health and Safety (OH&S) Management System which is certified to ISO 45001:2018. The Entity has identified some environmental and safety management issues, which the Entity has acknowledged and is committed to improving.</p> <p>It was noted during a workshop inspection undertaken as a part of the Audit, some Health and Safety controls, processes or procedures were being implemented ineffectively.</p>
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Conformance	<p>The Entity's OH&S Management System is audited every three years by the certification body. The Entity has disclosed the effectiveness of the OH&S Management System with leading and lagging indicators, comparative performance analysis with peers and leading practices. https://www.dicastal.com/Public/txcx/20250718/48.pdf</p>
11.2 Employee engagement on Health and Safety	Conformance	<p>As part of its OH&S Management System, the Entity provides Workers with a mechanism to raise, discuss and participate in the resolution of OH&S issues with management.</p>

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DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	7 November 2025	Initial Certification Audit – Full Certification
