

ASI CERTIFICATION CHAIN OF CUSTODY STANDARD



PRESENTED TO

Dicastal KSM Autoparts QinHuangdao Co., Ltd.

CERTIFICATE NUMBER

502

ASI STANDARD

CHAIN OF CUSTODY
(V2 2022)

CERTIFICATION LEVEL

FULL
CERTIFICATION

ASI ACCREDITED AUDITING FIRM

SGS-CSTC STANDARDS
TECHNICAL SERVICES

DATE OF ISSUE

7 NOVEMBER 2025

DATE OF EXPIRY

6 NOVEMBER 2028

CERTIFIED SINCE

7 NOVEMBER 2025

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H.', followed by a horizontal line extending to the right.

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*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at
www.aluminium-stewardship.org*

CERTIFICATION SCOPE

Design and manufacture for die
casting parts at Dicastal KSM
Autoparts QinHuangdao Co., Ltd.
located in Heibei Province, China.

AUDIT REPORT

CHAIN OF CUSTODY

STANDARD

OVERVIEW

MEMBER NAME	CITIC Dicastal Co.,Ltd.
ENTITY NAME	Dicastal KSM Autoparts QinHuangdao Co., Ltd.
CERTIFICATION SCOPE	Design and manufacture for die casting parts at Dicastal KSM Autoparts QinHuangdao Co., Ltd. located in Hebei Province, China.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">• Casthouse• Post-Casthouse
ASI STANDARD	Chain of Custody Standard V2
AUDIT TYPE	<ul style="list-style-type: none">• Initial Certification Audit
AUDIT FIRM	SGS-CSTC Standards Technical Services
AUDIT DATE	<ul style="list-style-type: none">• 7 – 8 August 2025
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">• 28 August 2025
AUDIT SCOPE	<p>The Audit Scope covered the design and manufacture for die casting parts at Dicastal KSM Autoparts QinHuangdao Co., Ltd. located at Hebei Province, China.</p> <p>The supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">• Casthouse• Post-Casthouse <p>All relevant Criteria in the Chain of Custody Standard were included in the Audit Scope.</p>
AUDIT OUTCOME	Certification
AUDIT METHODOLOGY DECLARATION	<p>The Auditors confirm that:</p> <ul style="list-style-type: none"><input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this Report.<input checked="" type="checkbox"/> The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.<input checked="" type="checkbox"/> The Audit Scope and Audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.<input checked="" type="checkbox"/> The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
CERTIFICATION PERIOD	7 November 2025 – 6 November 2028

NEXT AUDIT TYPE Surveillance Audit

NEXT AUDIT DUE DATE 7 May 2027

CERTIFICATE NUMBER 502



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

KSM Qinhuangdao Auto Parts Manufacturing Co., Ltd. (the 'Entity') is located at No. 185 Longhai Road, Qinhuangdao Economic and Technological Development Zone, which is a Government-approved industrial land and is located in Qinhuangdao Emerging Industrial Park. The project is adjacent to National Highway 102 in the north, Tianchi Road in the west, Longhai Road in the south, CITIC Dicastal Co., Ltd. in the east, and not adjacent to the community.

Founded in November 2013, the Entity is a wholly owned subsidiary of CITIC Dicastal Group, with a registered capital of 297 million yuan, a factory area of approximately 80,000 square meters (m²) (including reserved area), and a total construction area of 30,300 square meters. The design production capacity of KSM Qinhuangdao Company is using high-pressure casting process to produce large batches, large and medium-sized shell products, with an estimated annual output of two million items. The differential pressure casting process is used to produce high-performance, high strength steering knuckle products, and the annual output is expected to be 2.2 million to 2.4 million items.

The Entity uses the leading casting technology of KSM Group in its production line for automobile chassis and powertrain lightweight components, mainly producing Aluminium alloy automobile chassis parts such as steering knuckles, control arms and wheel brackets. The main processes undertaken include smelting, casting, heat treatment, machining, assembly, and shipping; The Entity's main customers include Volkswagen and BMW.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

OVERALL	
SYSTEMS	Medium
RISKS	High
PERFORMANCE	Medium
OVERALL	MEDIUM

FINDINGS

CRITERION	RATING	COMMENT
1. MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI Membership	Conformance	The Entity has been registered as an ASI Member since 2023 and is in the Production and Transformation membership class. The Entity is committed to complying with all obligations to ASI membership and the ASI Grievance Mechanism. The membership information is available at: https://aluminium-stewardship.org/about-asi/members/CITIC-Dicastal-Co--Ltd-
1.2 CoC Management System	Conformance	The Entity has established an ASI Manual and implemented a Management System that meets all applicable requirements of the ASI Chain of Custody Standard for all Facilities under the Entity's control that manages Chain of Custody (CoC) Material.
1.3 CoC Management System Monitoring	Conformance	The Entity reviews its Management System annually, following a defined Procedure and addressing potential areas of Non-Conformance. The latest review of the system demonstrated that the CoC system is well implemented.
1.4 Management Representative	Conformance	The Entity has nominated its Technical Quality Manager as the Management Representative whose roles and responsibilities are defined in the CoC Management Manual and are communicated within the Entity. The Entity has established an internal team to assist with the implementation of the ASI Chain of Custody Standard.
1.5 Communications and Training	Conformance	The Entity has established an annual training plan for the ASI CoC Management System to ensure relevant personnel are aware of and are competent in their responsibilities under the ASI Chain of Custody Standard. The training plans addressed the ASI Standard, and CoC training records are maintained.
1.6 Records Management	Conformance	The Entity has implemented a 'System Documents, Records and Internal Document Review Procedure'. As defined in this Procedure, all records must be kept for at least five years.
1.7a Reporting to ASI (Inputs and Outputs of CoC Material)	Conformance	The Input and Output Quantities of CoC Material/s to/from the Certified Entity over the calendar year are maintained. The Entity will summarise all data and report to the ASI Secretariat before June 2026.
1.7b Reporting to ASI (Inputs and Outputs of Eligible Scrap)	Conformance	The Input and Output Quantities of CoC Material/s to/from the Certified Entity over the calendar year are maintained. The Entity will summarise all data and report to the ASI Secretariat before June 2026.
1.7c Reporting to ASI (Inflows and Outflows of Non-CoC Material)	Conformance	The Input and Output Quantities of CoC Material/s to/from the Certified Entity over the calendar year are maintained. The Entity will summarise all data and report to the ASI Secretariat before June 2026.
1.7d Reporting to ASI (Positive Balance carried over)	Conformance	The Positive Balance carried over to the subsequent Material Accounting Period is maintained. As this was the initial Certification Audit, no Positive Balance was carried over to the subsequent Material Accounting Period. The Entity will report to the ASI Secretariat before June 2026.

CRITERION	RATING	COMMENT
1.7e Reporting to ASI (Positive Balance used)	Conformance	The Positive Balance carried over to the subsequent Material Accounting Period is maintained. As this was the initial Audit, no Positive Balance was carried over to the subsequent Material Accounting Period. The Entity will report to the ASI Secretariat before June 2026.
1.7f Reporting to ASI (Internal Overdraw drawn down)	Conformance	The Entity has defined in the procedure that the Internal Overdraw drawn down shall be recorded and reported to the ASI Secretariat before Jun. 2026.
1.7g Reporting to ASI (Intra-Entity Flows)	Not Applicable	This Criterion is not applicable to the Entity as there is no quantity of CoC Material transferred between supply chain activities within the CoC Certified Entity (Intra-Entity Flows).

2. OUTSOURCING CONTRACTORS

2.1 Certification Scope	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope as the Entity does not use Outsourcing Contractors for further processing, treatment, or manufacturing.
2.2a Control of CoC Material (Legal ownership or control)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope as the Entity does not use Outsourcing Contractors for further processing, treatment, or manufacturing.
2.2b Control of CoC Material (No further outsourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope as the Entity does not use Outsourcing Contractors for further processing, treatment, or manufacturing.
2.2c Control of CoC Material (Risk assessment)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope as the Entity does not use Outsourcing Contractors for further processing, treatment, or manufacturing.
2.3 Information on Quantity of CoC Material Output and Returned	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope as the Entity does not use Outsourcing Contractors for further processing, treatment, or manufacturing.
2.4 Consistency in Inflow and Outflow Quantity of CoC Material to/from Outsourcing Contractor	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope as the Entity does not use Outsourcing Contractors for further processing, treatment, or manufacturing.
2.5 Error (Outsourcing Contractor)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope as the Entity does not use Outsourcing Contractors for further processing, treatment, or manufacturing.

3. PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI ALUMINIUM

3.1a ASI Bauxite (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1b ASI Bauxite (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
3.1c ASI Bauxite (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2a ASI Alumina (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2b ASI Alumina (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2c ASI Alumina (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3b ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3c ASI Aluminium (Alumina sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4. RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP		
4.1a Recycled Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.1b Recycled Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2a Eligible Scrap (Pre-Consumer)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2b Eligible Scrap (Post-Consumer)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2c Eligible Scrap (Dross)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3a Records Management for Direct Suppliers of Recyclable Scrap Material (Suppliers)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3b Records Management for Direct Suppliers of Recyclable Scrap Material (Financial transactions)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5. CASTHOUSES: CRITERIA FOR ASI ALUMINIUM		
5.1a ASI Aluminium (CoC Certification Scope)	Conformance	Both the shell and steering knuckle-type Products manufactured in the physical production facility and the Casthouse is included within

CRITERION	RATING	COMMENT
		the Certification Scope. All Products produced in the foundry are traceable.
5.1b ASI Aluminium (Performance Standard)	Conformance	The Entity has developed an ASI PS management manual and implemented an ASI PS Management System which is Certified against the ASI Performance Standard.
5.1c ASI Aluminium (Aluminium sourcing)	Conformance	The Entity has implemented Procedure to define that the sourcing of ASI Aluminium must be directly from another ASI CoC Certified Entity, where the ASI Aluminium can be identified as from an ASI CoC Certified Entity.
5.2 Unique Identification	Conformance	All raw material (Aluminium ingot) information for the Entity is traceable to the batch number provided by the supplier. Each Aluminium shell Product and steering knuckle Product has a unique identifier, and a unique identification number is printed on the packaging of specific batches of ASI Aluminium Products.
6. POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM		
6.1a Post-Casthouse ASI Aluminium (CoC Certification Scope)	Conformance	The Entity has established a procedure to ensure that it produces Aluminium Products only from the Facility that is within its CoC Certification Scope.
6.1b Post-Casthouse ASI Aluminium (Performance Standard)	Conformance	This Facility is within both the Entity's CoC and Performance Standard Certification Scope. The Entity's subsequent molten Aluminium production process involves machining. All molten Aluminium is transferred from upstream processes at the Entity, with no external procurement.
6.1c Post-Casthouse ASI Aluminium (Aluminium sourcing)	Conformance	All the Entity's Post-Casthouse ASI Aluminium is sourced from its own smelters and casting stoves. No Post-Casthouse Aluminium is sourced from any external suppliers or traders.
7. DUE DILIGENCE FOR NON-COC MATERIAL, COC MATERIAL ACQUIRED THROUGH A TRADER AND RECYCLABLE SCRAP MATERIAL		
7.1a Responsible Sourcing Policy (Anti-corruption)	Conformance	The Entity communicates its commitment regarding anti-Corruption, in its Responsible Sourcing Policy, which has been accepted by all suppliers.
7.1b Responsible Sourcing Policy (Responsible sourcing)	Conformance	The Entity distributes the Responsible Sourcing Policy to its suppliers of both Non-CoC Material and CoC Material.
7.1c Responsible Sourcing Policy (Human rights due diligence)	Conformance	The Entity has established a Responsible Sourcing Policy covering Human Rights Due Diligence. The Entity has communicated the Responsible Sourcing Policy to its suppliers.
7.1d Responsible Sourcing Policy (Conflict-affected and high-risk areas)	Conformance	The Entity has established a Responsible Sourcing Policy that covers Conflict-Affected and High-Risk Areas (CAHRAs) and/or the OECD Due Diligence Guidance. The Entity's Responsible Sourcing Policy has been communicated to suppliers.

CRITERION	RATING	COMMENT
7.2 Risk Assessment and Mitigation	Conformance	The Entity has established an ASI CoC Due Diligence Control Procedure to assess the risks of non-compliance with its Responsible Sourcing Policy. The Entity's supplier survey identifies and addresses any non-compliance with environmental, social, and governance issues.
7.3 Complaints Resolution Mechanism	Conformance	The Entity has implemented a complaints resolution mechanism and complaint channel. The complaint channel includes a telephone line, which was verified during the Audit as operational and effective. The complaints mechanism is available at: https://www.dicastal.com/Public/tcx/20250718/8.pdf (Factory) https://www.dicastal.com/Public/sdsc/2.pdf (Headquarters)
8. MASS BALANCE SYSTEM: COC MATERIAL AND ASI ALUMINIUM		
8.1 Material Accounting System	Conformance	The Entity has established a 'Mass Balance System CoC Materials and ASI Aluminium Management' Procedure. As part of this procedure, the implementation of an ASI CoC Material Accounting Tool is required. The tool is available to record the Input Quantity and Output Quantity of Aluminium material by Mass.
8.2 Material Accounting Period	Conformance	The Entity has set a Material Accounting Period of six months in the Mass Balance System in accordance with the 'Mass Balance System CoC Materials and ASI Aluminium Management' Procedure.
8.3 Input and Inflow Quantities	Conformance	Based on the simulation data, the Mass Balance System tool was checked during the Audit, and the quantity of various Coc Material and Non-Coc Material has been recorded. The registration of the Inflow is based on the supplier's name and the weighed quantity of each batch of goods.
8.4 Output Quantities of CoC Material	Conformance	The Entity has established a procedure to define that over any given Material Accounting Period, the Entity uses the Input Quantities for each CoC Material to determine the available Quantities of CoC Material for Output, proportional to total Inflows of CoC and Non-CoC Materials, by mass. The Facility simulated non-CoC and CoC Input and Output data based on production data and financial statements from January to June 2025 and demonstrated the Entity can record the Input Quantities for each CoC Material, Quantities of CoC Material for Output, and proportional to total Inflows of CoC and Non-CoC Material.
8.5 Indivisibility of CoC Material	Conformance	The Entity has established a procedure to define the Output quantity of CoC Material. Based on the simulation data, the Mass Balance System tool automatically populates the table with the Output quantity of CoC Material.
8.6 Output Quantity of Eligible Scrap	Conformance	The Entity has established a Procedure to define the Output Quantity of CoC Material. The Facility simulated non-CoC and CoC Input and Output data based on production data and financial statements from January to June 2025. A review of the Mass Balance System tool demonstrated

CRITERION	RATING	COMMENT
		that the system could designate the Output of CoC qualified Scrap, and the percentage share for Qualified Scrap accounts.
8.7 Consistency Between Input Percentage and Total Output	Conformance	<p>The Entity has stimulated the Mass Balance System to ensure the total CoC Material does not proportionally exceed the Input Percentage as applied to the total Input of CoC Material over the Material Accounting Period.</p> <p>A review of the Mass Balance System demonstrated the total Output of CoC Material is less than the total Input of CoC Material.</p>
8.8a Internal Overdraw (Not exceed 20%)	Conformance	The Entity has established a Procedure to define that the Internal Overdraw must not exceed 20% of the total Input Quantity of CoC Material for the Material Accounting Period.
8.8b Internal Overdraw (Not exceed force majeure situation)	Conformance	The Entity has established a Procedure to define the carry-over of an Internal Overdraw to the subsequent Material Accounting Period (next calendar year) when it is subjected to a Force Majeure situation. It states that the Internal Overdraw must not exceed the amount of CoC Material affected by the Force Majeure situation.
8.8c Internal Overdraw (Made up within subsequent Material Accounting Period)	Conformance	The Entity has established a 'Mass Balance System CoC Materials and ASI Aluminium Management' Procedure to define that the Internal Overdraw must be made up within the subsequent Material Accounting Period. The Internal Overdraw must be made up within the subsequent Material Accounting Period.
8.9a Positive Balance (Carry over)	Conformance	The Entity has established a Procedure to define that a Positive Balance of Output CoC Material at the end of a Material Accounting Period may be carried over to the subsequent Material Accounting Period. The Facility simulated non-CoC and CoC Input and Output data based on production data and financial statements from January to June 2025. A review of the Mass Balance System tool demonstrated that the system could manage a Positive Balance of CoC Material at the end of a Material Accounting Period.
8.9b Positive Balance (Expiry)	Conformance	The Entity has defined in its ASI procedure that CoC Material that can be used in the current year, may be transferred to the next accounting period. It must be marked as carry over CoC Material and must also be consumed within this period.
9. ISSUING COC DOCUMENTS		
9.1 CoC Document	Conformance	The Entity has implemented CoC Document requirements. After confirmation of dispatch, each batch of goods is delivered to the customer, and the shipper collects the weighing data and derives the sales delivery order from the DMS (Data Management System). If material is marked as CoC Material, all relevant information is printed on the CoC Documents (e.g. delivery documents).
9.2a CoC Document Content (Date of issue)	Conformance	A review of delivery documents and CoC Documents confirmed that all CoC Documents carry the date of issue.

CRITERION	RATING	COMMENT
9.2b CoC Document Content (Reference number)	Conformance	All documents, including CoC Documents, include a reference number. The reference number includes the batch number of the Product ordered, which is linked to the Entity's system and enables verification of CoC Material.
9.2c CoC Document Content (Issuing Entity)	Conformance	All CoC Documents include the details of the Entity, its address, and the CoC Certification number for each shipment.
9.2d CoC Document Content (Receiving customer)	Conformance	The simulated CoC Documents were checked on-site during the Audit. All CoC Documents include the identity, address of the customer receiving the Entity's CoC Material.
9.2e CoC Document Content (Responsible employee)	Conformance	The simulated CoC Documents were checked on-site during the Audit. All CoC Documents include the name of the person who is responsible for the handling of the order.
9.2f CoC Document Content (Conformance statement)	Conformance	All CoC Documents carry the claim "The information provided in the CoC document is in accordance with the ASI CoC standard".
9.2g CoC Document Content (Type of CoC Material)	Conformance	The simulated CoC Documents were checked on-site during the Audit. All CoC Documents include the type of CoC Material as "ASI Aluminium".
9.2h CoC Document Content (Mass of CoC Material)	Conformance	All shipping documents, including CoC Documents, provide information on the amount of CoC Material in the shipment.
9.2i CoC Document Content (Mass of total material)	Conformance	All shipping documents, including CoC Documents, provide information on the mass of total Material in the shipment.
9.3a Sustainability Data (optional) - Carbon footprint	Conformance	The Entity has established a CoC Documents Issue Management Procedure, which defines that the applicable Sustainability Data may be disclosed if requested by the client. The Entity has not yet received a request for disclosure of its carbon footprint data.
9.3b Sustainability Data (optional) - Origin information	Conformance	The Entity has established a CoC Documents Issue Management Procedure, which defines that Bauxite source information may be disclosed based on the request and agreement with the receiving Entity.
9.3c Sustainability Data (optional) - Recycled content	Conformance	The Entity has established a CoC Documents Issue Management Procedure, which defines that relevant Sustainability Data may be disclosed if requested by the client.
9.3d Sustainability Data (optional) - Post-Casthouse ASI Certification status	Conformance	The Entity has established a CoC Documents Issue Management Procedure, which defines that relevant Sustainability Data may be disclosed if requested by the client.

CRITERION	RATING	COMMENT
9.4 Supplementary Information (optional) - Objective evidence	Conformance	The CoC Document Issuance Management Procedure specifies that the Entity will provide Supplementary Information in Coc Documents to support traceability. This includes objective evidence such as batch numbers, delivery dates, product specifications, and references to the Material Accounting System, which allow downstream customers to verify the ASI CoC status of the material.
9.5 Verification of Information	Conformance	The Market Development Centre is responsible for working closely with the Planning Department to respond to reasonable requests for verification of Coc Document information. All necessary delivery documents and batch records will be provided to verify the information.
9.6 Error (Shipping)	Conformance	The Entity has established a procedure to define the disposal process for a discovered error after the shipment of CoC Material. Any errors discovered by the Entity must be promptly reported to the receiving Entity. The Entity and the receiving party must document the error and any agreed steps taken to correct it and implement actions to avoid its recurrence.

10. RECEIVING COC DOCUMENTS

10.1 Verification of CoC Documents	Conformance	The Entity defines in its 'Receiving CoC Documents Management' Procedure that all mandatory information in received CoC Documents must be verified, and related records will be maintained.
10.2 Verification of Consistency Between CoC Documents and CoC Material	Conformance	Based on Worker interviews undertaken during the Audit, documents are confirmed upon delivery, and the consistency of received material with the accompanying documents must be checked. This is also undertaken with all CoC Material and Non-CoC Material before recording the information in the Entity's SAP System.
10.3 Verification of Supplier's ASI CoC Certification	Conformance	The Entity has established a system to review the relevant CoC Certification of its suppliers at least quarterly, including the certificates themselves, their validity, and any changes to the Certification Scope. The same process is also undertaken for the Entity's suppliers of CoC Material.
10.4 Error (Reception)	Conformance	The Entity has established a Procedure that specifies the process for dealing with errors discovered after Coc Material is received. Both the Entity and the supplying party must document the error, the steps agreed to correct it, and the actions implemented to avoid their recurrence.

11. CLAIMS AND COMMUNICATIONS

11.1a Claims and Communications (ASI Claims Guide)	Conformance	The Entity has established an 'ASI CoC Claim and Communication Management' Procedure. The Procedure defines the claim, and communication is to be made in a manner and form consistent with the ASI Claims Guide.
11.1b Claims and Communications (Verifiable evidence)	Conformance	The Entity has established an 'ASI CoC Claim and Communication Management' Procedure. The Procedure defines the claim, and communication is to be made in a manner and form consistent with the ASI Claims Guide.

CRITERION	RATING	COMMENT
11.1c Claims and Communications (Employee training)	Conformance	The Entity has provided relevant employees with training on the requirements of the ASI Claims Guide.

ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable law, or will avoid any Breach from occurring.

DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	7 November 2025	Initial Certification Audit - Full Certification