

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

Kunshan Chaoqun Metal Products Co., Ltd

CERTIFICATE NUMBER
399

ASI STANDARD
**PERFORMANCE
STANDARD
(V3.1 2023)**

CERTIFICATION LEVEL
**FULL
CERTIFICATION**

ASI ACCREDITED
AUDITING FIRM
**SHANGHAI KYLIN
CERTIFICATION
SERVICE CO., LTD.**

DATE OF ISSUE
20 NOVEMBER 2025

DATE OF EXPIRY
19 NOVEMBER 2028

CERTIFIED SINCE
28 FEBRUARY 2025

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. Shi' or similar, with a long horizontal line extending to the right.

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*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at
www.aluminium-stewardship.org*

CERTIFICATION SCOPE

Production of Aluminium alloy
ingots and bars, with the processes
of melting, refining, ingot die
casting, casting bar casting,
homogenisation and cutting, at the
facility in Zhangpu Town, Kunshan
City, China.

AUDIT REPORT

PERFORMANCE

STANDARD

OVERVIEW

MEMBER NAME	Kunshan Chaoqun Metal Products Co., Ltd
ENTITY NAME	Kunshan Chaoqun Metal Products Co., Ltd
CERTIFICATION SCOPE	Production of Aluminium alloy ingots and bars, with the processes of melting, refining, ingot die casting, casting bar casting, homogenisation and cutting, at the facility in Zhangpu Town, Kunshan City, China.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">Aluminium Re-melting/RefiningCasthouses
ASI STANDARD	Performance Standard V3.1
AUDIT TYPE	<ul style="list-style-type: none">Initial Certification Audit (17 – 18 June 2024)Surveillance Audit (25 – 26 August 2025)
AUDIT FIRM	Shanghai Kylin Certification Service Co., Ltd.
AUDIT DATE	<ul style="list-style-type: none">17 – 18 June 2024 (Initial Certification Audit)25 – 26 August 2025 (Surveillance Audit)
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">29 September 2024 (Initial Certification Audit)1 October 2025 (Surveillance Audit)
AUDIT SCOPE	<p><u>Initial Certification Audit (17 – 18 June 2024)</u></p> <p>The Audit Scope includes the production of Aluminium alloy ingots and bars at the facility in Zhangpu Town, Kunshan City, China.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">Aluminium Re-melting/RefiningCasthouses <p>All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.</p> <p><u>Surveillance Audit (25 – 26 August 2025)</u></p> <p>The Audit Scope includes the production of Aluminium alloy ingots and bars at the facility in Zhangpu Town, Kunshan City, China.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">Aluminium Re-melting/RefiningCasthouses <p>All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.</p>
AUDIT OUTCOME	<ul style="list-style-type: none">Certification

AUDIT METHODOLOGY
DECLARATION

The Auditors confirm that:

- ☑ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
- ☑ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
- ☑ The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
- ☑ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

CERTIFICATION PERIOD

20 November 2025 – 19 November 2028

NEXT AUDIT TYPE

Surveillance Audit

NEXT AUDIT DATE

19 May 2027

CERTIFICATE NUMBER

399



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Kunshan Chaoqun Metal Products Co., Ltd. (the 'Entity') was established in June 2009 and is located in Zhangpu Town, Kunshan, Zhejiang, China. The Entity specialises in producing 1 series to 7 series deformed Aluminium alloy bars and various standard and special grades of Aluminium alloy ingots. The main processes include melting, refining, Aluminium ingot casting, Aluminium bar casting, homogenisation and cutting. The Entity has an annual production capacity of 80,000 tonnes of casting bars, 40,000 tonnes of Aluminium alloy ingots and 10,000 tonnes of liquid Aluminium alloys.

The Entity covers a construction area of 9,000 square metres (m²), with additional spaces for raw material storage (1,500 m²), finished products (1,800 m²), and waste management. It primarily serves the domestic electronics and automotive industries and works closely with key local Stakeholders, including the Kunshan Environmental Protection Bureau and other municipal agencies. The Entity is within an industrial area with no nearby residential communities. There are currently over 115 persons employed at the Entity.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	Medium	Medium	Medium	MEDIUM
RISKS	Medium	Medium	Medium	MEDIUM
PERFORMANCE	Medium	Medium	Medium	MEDIUM
OVERALL	MEDIUM			

FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	<p>The Entity has established a Legal, Regulatory and Other Requirements Management Procedure to guide the collection, acquisition, and application of relevant regulations. It maintains a Compliance Evaluation Record for Laws and Regulations, covering areas such as Environmental, Health and Safety (EHS), women's rights, energy, labour insurance, traffic safety, taxation, anti-Bribery and anti-competition laws. The latest assessment confirmed the Entity complies with Applicable Law.</p> <p>The Entity has an approved pollutant discharge permit and the safety assessment report is currently being filed for record with the relevant Government authority.</p>
1.2 Anti-Corruption	Conformance	<p>The Entity has implemented an Anti-Corruption Policy, an Anti-Corruption and Anti-Bribery Procedure, and a Business Ethics Policy to address Bribery and Corruption. Key roles, such as sales and purchasing, are provided with training and are required to sign Anti-Corruption Agreements. Management, including sales, procurement, and quality control, have signed an Anti-Bribery Commitment Letter. An integrity risk assessment has been undertaken and anti-Corruption training has been conducted for all staff. The Anti-Bribery Policy includes a complaints hotline on the Entity's website, and a suggestion box is available in the Entity's cafeteria.</p>
1.3a-e Code of Conduct	Conformance	<p>The Entity has established the ASI Code of Conduct, which addresses anti-Corruption, regulatory Compliance, and public disclosure. The Code addresses the relevant environmental, social and governance requirements of the ASI Performance Standard. Social commitments include respecting employee and community rights, avoiding conflicts and Human Rights violations, and complying with regulations.</p> <p>The Code is reviewed every five years and following any defects or major changes: http://www.cqjszp.com/news_detail.aspx?id=130 </p>
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Conformance	<p>The Entity has developed various ASI-related Policies including Environmental, Health and Safety, social responsibility, governance and responsible procurement Policies, all approved by the General Manager. The Environmental, Social, and Governance Policy Management Procedure mandates evaluation of the Policies every five years and on changes or control gaps. The Policies are accessible to relevant parties on the Entity's website: http://www.cqjszp.com/news_detail.aspx?id=133 </p>
2.2a-c Leadership	Conformance	<p>The Entity's Quality Assurance Manager has been appointed by the General Manager as the ASI Management Representative. The responsibilities of this role are documented and include establishing and overseeing the ASI system, implementing management processes, communicating internal and external Policies, and ensuring the provision of necessary resources such as manpower, materials, and funding.</p>

CRITERION	RATING	COMMENT
2.3a Environmental and Social Management Systems – Environmental	Conformance	The Entity has implemented an ISO 14001 certified Environmental Management System, with a valid certificate covering the production of Aluminium alloy ingots and bars. It has established environmental Policies and goals, which are regularly reviewed for progress and compliance.
2.3b Environmental and Social Management Systems – Social	Minor Non-Conformance	<p>The Entity has implemented an ISO 45001 certified Occupational Health and Safety (OH&S) Management System, valid for the production of Aluminium alloy ingots and bars. Additionally, the Entity has developed the Social Responsibility Management Manual, encompassing procedures for labour rights, working hours, compensation, association, communication, management review, and internal audits.</p> <p>The Entity has conducted internal audits and management reviews in accordance with ISO 14001 and ISO 45001 requirements. Whilst the ASI-related internal audit reports and management review reports primarily focus on labour and governance aspects, the reports however have omitted issues including Compliance, training, Greenhouse Gas (GHG) emissions and other relevant areas.</p>
2.4a-e Responsible Sourcing	Conformance	<p>The Entity has implemented a Responsible Procurement Policy, which addresses ethical sourcing, anti-Corruption, Human Rights, Stakeholder engagement, environmental protection, and energy conservation. It conducts supplier Due Diligence, suspending those involved in Human Rights violations, Corruption, or conflict zone activities. The Entity's Environmental, Social, and Governance (ESG) Policy Management Procedure stipulates that Policies are reviewed every five years or following Material changes or control gaps. The Entity's main suppliers have provided ASI commitment letters and supplier social responsibility audits have been undertaken.</p> <p>The Responsible Procurement Policies are accessible at: http://www.cqjszp.com/news_detail.aspx?id=85</p>
2.5a-g Environmental and Social Impact Assessments	Not Applicable	This Criterion is not applicable to the Entity, as no New Projects or Major Changes have taken place since the Entity joined ASI. The Entity has prepared Environmental and Social Impact Assessments previously, in 2016 and 2023, and has established processes to conduct Impact Assessments as required.
2.6a-h Human Rights Impact Assessment	Not Applicable	This Criterion is not applicable to the Entity, as no New Projects or Major Changes have taken place since the Entity joined ASI. The Entity has established processes to conduct Impact Assessments in the future as required.
2.7a-f Emergency Response Plan	Conformance	<p>The Entity has implemented the relevant Management System as part of its Health and Safety Emergency Plan, requiring a command centre, contingency plans, and reviews every five years or after Material changes. The Comprehensive Emergency Plan for Sudden Environmental Incidents (October 2021) covers emergency structures, contact details, and response teams for scenarios including leaks, equipment failures, fires, explosions, and pollution, and addresses on-site disposal, post-treatment, training, and drills.</p> <p>The Emergency Plan for Safety Production Accidents (December 2023) includes comprehensive contingency plans, special contingency plans (for special equipment, electric shock, mechanical injury, poisoning, natural disasters, hazardous chemical accidents, public</p>

CRITERION	RATING	COMMENT
		<p>health accidents, dust removal systems, and burn accidents), and addresses on-site disposal, workshop, distribution room, office, restricted space and management requirements.</p> <p>No emergencies have occurred in the past year. The 2025 drill plan includes comprehensive emergency drills for various safety and environmental scenarios.</p> <p>The Environmental Emergency Plan and Safety Emergency Plan are registered with the Environmental Protection and Emergency Bureau, and available at: http://www.cqjszp.com/news_detail.aspx?id=144 http://www.cqjszp.com/news_detail.aspx?id=143</p>
2.8a-d Suspended Operations	Conformance	The Entity has established a procedure for managing suspended operations in response to natural disasters, major accidents, epidemics, or other risks. During a suspension, the Environment, Health and Safety (EHS) Department assesses environmental impacts, the equipment department oversees the data system, and the production department coordinates employee arrangements. Management determines when operations can resume. The procedure mandates a review every five years, upon significant changes, or when control deficiencies are identified. There have been no suspended operations in the past year.
2.9a-b Mergers and Acquisitions	Conformance	The Entity has developed and implemented a Merger and Acquisition Procedure that outlines the process for mergers and acquisitions, emphasising the need to address financial, legal, and ESG aspects. The Entity would engage Stakeholders to discuss the substantive impacts of past business operations on affected individuals and organisations. Collaboration with these parties is essential to develop and implement impact mitigation plans, with annual progress updates. In recent years, there have been no mergers or acquisitions.
2.10a-b Closure, Decommissioning and Divestment	Conformance	The Entity has a Company Closure, Retirement, and Divestment Procedure that addresses the requirement to review environment, social and governance practices, and consult with Affected Populations and Organisations, including involving them in developing a plan to monitor substantive and legacy impacts. The Entity has no plans for closure, decommissioning, or divestment.
3. TRANSPARENCY		
3.1a-b Sustainability Reporting	Conformance	<p>The Entity has prepared a 2024 Sustainable Development Report, which outlines its ESG practices and impacts on the economy, environment, and society. The Report addresses government payments, Greenhouse Gas emissions, pollution, resource use, Waste management, Human Rights, employee relations, wages, Health and Safety, training, diversity, conservation efforts, anti-Corruption measures, and public Policies. The 2024 Sustainable Development Report is available at: http://www.cqjszp.com/news_detail.aspx?id=101</p>
3.2 Non-compliance and Liabilities	Conformance	<p>The Entity has disclosed within its 2024 Sustainable Development Report that it has not faced penalties from Government authorities for any illegal activities: http://www.cqjszp.com/news_detail.aspx?id=101</p>

CRITERION	RATING	COMMENT
3.3a-c Payments to Governments	Conformance	The 2024 Sustainable Development Report confirms that the Entity has not been punished by Government departments for any illegal activities and discloses its payments to Government, which include social security fees and taxes only. Refer to Chapter 2 at: http://www.cqjszp.com/news_detail.aspx?id=101
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	<p>The Entity has developed a Stakeholder Complaint, Appeal, and Information Request Mechanism Procedure, with the Entity's ASI Management Representative nominated as the contact person. The Procedure is reviewed every five years or when significant changes in risks or control gaps occur. It outlines principles of legality, accessibility, fairness, transparency, rights compatibility, and participatory dialogue.</p> <p>The complaint process includes receiving, classifying, investigating, providing feedback, archiving, and reporting. Employees can submit feedback via the on-site mailbox or social media. Additionally, the Entity has undertaken an employee satisfaction survey, where employees mainly expressed opinions on the cafeteria, communication channels, and the work environment. The Entity has developed tracking improvement measures including an employee satisfaction survey. The results of this survey are available at: http://www.cqjszp.com/news_detail.aspx?id=102</p> <p>The Entity's Stakeholder Complaint, Appeal, and Information Request Mechanism is available at: http://www.cqjszp.com/news_detail.aspx?id=143</p>
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Conformance	The Entity's Products include Aluminium bars and ingots, and as the Entity's manufacturing processes for Aluminium bars and ingots are similar, a Life Cycle Assessment (LCA) has been conducted based on a tonne of Aluminium ingot.
4.1b-c Environmental Life Cycle Assessment - Disclosure	Minor Non-Conformance	<p>The Entity has provided an analysis based on a tonne of Aluminium ingot from 'cradle-to-gate'. The LCA Report includes data on raw materials, energy, and environmental emissions, but its summary is incorrect. The Entity's LCA Report is available at: http://www.cqjszp.com/news_detail.aspx?id=75</p> <p>The LCA does not however include a comprehensive analysis of the environmental and health impacts throughout the entire Product lifecycle.</p>
4.2 Product Design	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3a-b Aluminium Process Scrap	Conformance	The Entity has established a goal to minimise Aluminium Process Scrap and targets a 100% Aluminium utilisation rate, with weekly calculation of inputs/outputs. Slag generated in the Casthouse is processed in a rotary kiln for Aluminium extraction, while Aluminium water enters the smelting furnace. The remaining material is cooled, separated from ash and slag, and the Aluminium is recycled. The overall utilisation rate remains above 95%.
4.4a-c Collection and Recycling of Products at End of Life - Material	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
Conversion and other Manufacturing		
4.4d Collection and Recycling of Products at End of Life	Conformance	<p>The Entity has implemented a recycling strategy that aims to establish a recycling network to improve Aluminium recovery rates, reduce energy consumption and emissions, and promote energy conservation and environmental protection.</p> <p>Most of the Entity's Aluminium ingots have a 100% recycled content certification. Raw materials are sourced as either partially recycled waste from downstream customers or various waste materials from the Entity's sister units. Currently there is no direct recycling of waste from the waste recycling station.</p>
5. GREENHOUSE GAS EMISSIONS		
5.1a-b Disclosure of GHG Emissions and Energy Use	Minor Non-Conformance	<p>The Entity has calculated its Greenhouse Gas (GHG) emissions data and reported its Scope 1, 2 and 3 GHG emissions. The GHG Inventory Report 2025 is available at: http://www.cqjszp.com/news_detail.aspx?id=104</p> <p>A Third Party has verified the Entity's GHG emissions, and a Verification Report was issued, available at: http://www.cqjszp.com/pdf/%E6%B8%A9%E5%AE%A4%E6%B0%94%E4%BD%93%E6%A0%B8%E6%9F%A5%E6%8A%A5%E5%91%8A(1).pdf</p> <p>The Entity mainly purchases Scrap Aluminium as raw material, and the average GHG emissions data per tonne of Aluminium disclosed by the Entity is reported as over 16 tonnes. This calculation is incorrect and significantly exceeds the Entity's actual emissions and is inconsistent with the carbon footprint calculation.</p>
5.2a Aluminium Smelter GHG Emissions Intensity – Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity – In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a GHG Emissions Reduction Plans	Minor Non-Conformance	<p>The Entity has established a GHG Emissions Reduction Plan and Pathway using the ASI Calculation Tool. The Plan includes actions to retrofit melting furnaces, increase photovoltaic power generation, purchase green electricity, and conserve electricity and water. The Entity has been using photovoltaic power generation during the past year, and the amount of purchased electricity has reduced by approximately 30%.</p> <p>The GHG emissions data collated by the Entity is incorrect however and significantly exceeds the actual emissions of the Entity, and these incorrect data indicate that the Entity's emission reduction measures cannot meet the required Pathway.</p>
5.3b-e GHG Emissions Reduction Plans – Targets, review and disclosure	Minor Non-Conformance	The Entity has established a GHG Emissions Reduction Plan with a target for 2024 to reduce emissions by 119 tonnes CO ₂ e during the

CRITERION	RATING	COMMENT
		<p>year. The Entity's GHG Emissions Reduction Plan is available at: http://www.cqjszp.com/news_detail.aspx?id=86</p> <p>The Entity however has not disclosed Intermediate Targets as part of its GHG Emissions Reduction Pathway. Additionally, the GHG Emissions Reduction Plan does not address Scope 3 GHG emissions.</p>
5.4 GHG Emissions Management	Minor Non-Conformance	<p>The Entity has established GHG emissions control procedures to regulate GHG emissions, collect data, and to report these emissions data.</p> <p>There are however significant data deviations in the Entity's GHG Inventory Report 2025 that also includes unreasonable five-year emission reduction plans, incomplete emission reduction pathways, and insufficient areas in GHG management.</p>
6. EMISSIONS, EFFLUENTS AND WASTE		
6.1a-f Emissions to Air	Conformance	<p>The Entity has implemented the Air Pollution Emissions Management Procedure, which addresses the standardisation of operations and control of waste gas generation during the production process. The Entity conducts annual exhaust emission monitoring and calculates the volume of emissions based on the monitoring data. The latest 2025 emission testing report demonstrates that exhaust emissions meet the Standard requirements. The Entity proposes to reduce natural gas consumption and dust generation through technological transformation. The Entity has publicly disclosed the Pollutant Emissions and Reduction Plan, available at: http://www.cqjszp.com/news_detail.aspx?id=106</p>
6.2a-g Discharges to Water	Conformance	<p>The main source of operational wastewater is from the cooling crystallisation and homogenisation process, which uses circulating water and does not result in direct discharge. Domestic wastewater is discharged. The Entity monitors wastewater discharge annually and has disclosed the Pollutant Emissions and Reduction Plan, available at: http://www.cqjszp.com/news_detail.aspx?id=74</p>
6.3a-g Assessment and Management of Spills and Leakages	Conformance	<p>The Entity has established assessment and management procedures for Spills and Leakages, which includes requirements in the event of abnormal situations such as chemical leakage, fire and explosion. The Entity's Emergency Plan addresses the appropriate response and requirements for communication with relevant parties, recovery and reporting. The Entity has undertaken a risk identification and assessment, identified possible sources of Spills and Leakage, and developed control measures. The Entity has issued a statement advising that there were no Spills or Leakage during 2024: http://www.cqjszp.com/news_detail.aspx?id=100</p>
6.4a-b Public Disclosure of Spills and Leakages	Not Applicable	<p>This Criterion is not applicable to the Entity, as there have been no Material Spills or Leakages since joining ASI, which was verified through desktop analysis.</p>
6.5a-c Waste Management and Reporting	Conformance	<p>The Entity has disclosed data on the quantity and treatment methods of Hazardous and Non-Hazardous Waste for 2024: http://www.cqjszp.com/news_detail.aspx?id=109</p> <p>The Entity grinds Aluminium ash to recover Aluminium material. The Entity has reduced the amount of dust generated during this process</p>

CRITERION	RATING	COMMENT
		and the use of natural gas through improved processes, resulting in a reduction in the generation of Waste.
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Conformance	The Entity grinds Aluminium ash and uses air separation equipment to recover Aluminium from the Aluminium ash. The residual Aluminium ash is treated as Hazardous Waste and disposed to qualified hazardous waste processors. The Entity maintains records including contracts and hazardous waste transfer forms with the hazardous waste disposal companies.
7. WATER STEWARDSHIP		
7.1a-b Water Assessment and Disclosure	Conformance	<p>In compliance with local laws and regulations, the Entity does not extract groundwater and only sources potable water from the local water company. The Entity has assessed its water-related risks based on probability, hazard severity, and overall risk level, and concluded that the water-related risk is low.</p> <p>The Entity has published statistics on its water usage in an inventory table, available at: http://www.cqjszp.com/news_detail.aspx?id=112</p>
7.2a-e Water Management	Not Applicable	This Criterion is not applicable to the Entity, as the water-related risk is low.
8. BIODIVERSITY AND ECOSYSTEM SERVICES		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Conformance	The Entity has assessed the risk to, and potential impacts on Biodiversity and Ecosystem Services, which analysed the surrounding environment, climate and Biodiversity of flora and fauna. The assessment identified five sources of risk, and determined that the Entity's risk to Biodiversity and Ecosystem Services is low.
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity, as the Biodiversity assessment determined the risk to Biodiversity and Ecosystem Services is low.
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable to the Entity, as the Biodiversity assessment determined the risk to Biodiversity and Ecosystem Services is low.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity, as the Biodiversity assessment determined the risk to Biodiversity and Ecosystem Services is low and no Priority Ecosystem Services have been identified.
8.4 Alien Species	Conformance	The Entity has assessed the risk of introduction of Alien Species as part of the Biodiversity risk assessment, which analysed the surrounding environment, climate and biodiversity of flora and fauna. The Entity

CRITERION	RATING	COMMENT
		has evaluated the possibility of entry and exit routes of Alien Species, referred to the local government's list of Alien Species, and developed prevention and control measures.
8.5a-b Commitment to "No Go" in World Heritage Properties	Conformance	There are no World Heritage Properties near the Entity. The Entity is committed to not entering World Heritage Properties.
8.6a-d Protected Areas	Conformance	There are no Protected Areas located adjacent the Entity, with the closest over twenty kilometres away. An assessment has determined that the Entity's operations have a negligible impact on this Protected Area.
8.6e Protected Areas - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9. HUMAN RIGHTS		
9.1a-d Human Rights Due Diligence	Conformance	<p>The Entity has implemented a Human Rights Due Diligence Management Procedure, which requires a Human Rights Policy, risk assessment, Stakeholder engagement, employee training, and reporting. The Human Rights Due Diligence and consultation form addresses working conditions, salaries, recruitment, and related issues.</p> <p>The Entity has established a Commitment Letter on Respecting Human Rights and Promoting Gender Equality that upholds Human Rights, ensures gender equality and prohibits Discrimination, abuse and Violence. A review is conducted every five years, or as required for significant changes. The Policy is available at: http://www.cqjszp.com/news_detail.aspx?id=149</p>
9.2a-e Gender Equity and Women's Empowerment	Conformance	The Entity has implemented a Female Employees, Gender Equality and Women's Rights Management Procedure, which addresses equal opportunities, non-Discrimination, diversity, zero tolerance for Violence, and transparency in recruitment and promotion. It ensures fair employment practices, maternity and parental leave, equitable salaries, and active support for women's participation. The program addresses career barriers and enforces non-Discrimination, and requires re-evaluation every five years, or upon any Material changes. At present, there are two women in the seven senior management roles at the Entity.
9.3a-i Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity, as it is located in an industrial development zone and there are no nearby residential areas or Indigenous Peoples.
9.4a Free, Prior, and Informed Consent (FPIC) - New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity, as it is located in an industrial development zone and there are no nearby residential areas or Indigenous Peoples.

CRITERION	RATING	COMMENT
9.4b Free, Prior, and Informed Consent (FPIC) – Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4c Free, Prior, and Informed Consent (FPIC) – Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity, as it is located in an industrial development zone and there are no nearby residential areas or Indigenous Peoples.
9.5a Cultural and Sacred Heritage – Identification	Conformance	The Entity has provided a Declaration of Cultural Heritage and Religious Holy Sites, which identifies that no cultural or sacred heritage sites or values are located near the Entity. The Zhouzhuang Ancient Town and Qiandeng Ancient Town are both located approximately twenty kilometres from the Entity.
9.5b Cultural and Sacred Heritage – Impacts	Not Applicable	This Criterion is not applicable to the Entity, as there are no cultural heritage or sacred sites or values in the vicinity of the Entity.
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity, as there have been no New Projects or Major Changes that have required resettlement since the Entity joined ASI.
9.7a-h Affected Populations and Organisations	Conformance	<p>The Entity is located in an industrial development zone, away from residential areas. It has developed an Assessment and Response Measures Framework to address the rights and interests of Affected Peoples and Organisations. The identified personnel are mainly residents of the surrounding community. The assessment covered the impacts on employment, agriculture, health, safety, and the environment, and categorised the risks and defined response measures.</p> <p>Assessments are conducted every five years, or when there are gaps or significant changes identified. There have been no safety-related complaints found in the last two years and the government's enterprise credit information disclosure system records no serious violations by the Entity. The Entity describes the assessment and response measures for the Affected Population and Organisations at: http://www.cqjszp.com/news_detail.aspx?id=141</p>
9.8a Conflict-Affected and High-Risk Areas – Strong Management Systems	Conformance	The Entity has implemented both a Conflict-Affected and High-Risk Areas (CAHRA) Due Diligence Management Procedure and a CAHRAs Statement, which prohibits the direct or indirect purchase of Aluminium from CAHRAs.
9.8b Conflict-Affected and High-Risk Areas – Identify and assess risks	Conformance	Approximately 90% of the Entity's raw material is sourced from domestic Aluminium processing Scrap, with the remaining material sourced as Primary Aluminium.
9.8c Conflict-Affected and High-Risk Areas – Strategy to respond to risks	Minor Non-Conformance	<p>The Entity requires its suppliers to sign a Social Responsibility Commitment Letter, which requires responsible procurement throughout the supply chain. No materials or products linked to conflict minerals were found among suppliers.</p> <p>The Entity however has not conducted Due Diligence on its customers designated Aluminium ingot supplier.</p>

CRITERION	RATING	COMMENT
9.8d Conflict-Affected and High-Risk Areas – Audit of due diligence	Conformance	The Entity's Due Diligence practices were independently audited as part of this ASI Audit, which meets the requirements of this Criterion.
9.8e Conflict-Affected and High-Risk Areas – Report annually	Conformance	The Entity has disclosed its Supply Chain Due Diligence Report, available at: http://www.cqjszp.com/news_detail.aspx?id=116
9.9 Security practice	Conformance	The Entity has signed a security agreement with a security company that establishes the guidelines for the inspection of goods and monitoring of employees. The Entity evaluates the performance of security personnel.
10. LABOUR RIGHTS		
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	This Criterion is not applicable to the Entity, as it complies with the Applicable Laws related to Freedom of Association and Collective Bargaining in China.
10.1d Freedom of Association and Right to Collective Bargaining – Alternative means in context of Applicable Law	Conformance	The Entity has established procedures that address the right to Freedom of Association and Collective Bargaining, ensuring Workers are free to join Unions and engage in Collective Bargaining. The Entity has signed a collective agreement with the Union, which is updated every three years and includes details including Workers' wages and working hours.
10.2a Child Labour	Conformance	The Entity has implemented a procedure to prohibit Child Labour and protect young Workers. The youngest employee at the Entity is approximately 30 years old, and the on-site Audit confirmed there are no instances of Child Labour, including the Worst Forms of Child Labour.
10.3a-c Forced Labour	Conformance	<p>The Entity has established regulations prohibiting Forced Labour and allowing free movement. The Entity does not engage in activities such as withholding Workers' identity documents, collecting deposits, and using prison Workers. Worker interviews confirmed that the Entity does not engage in Forced Labour practices.</p> <p>The Entity has disclosed a Statement on Modern Slavery and Human Trafficking, available at: http://www.cqjszp.com/news_detail.aspx?id=117</p>
10.4a-c Non-Discrimination	Conformance	The Entity has established regulations prohibiting discriminatory behaviour. The regulations require that all employees are treated equally and not subject to Discrimination based on their ethnicity, gender, age, position, religion, physiology, or nationality. Worker interviews confirmed that there was no Discrimination at the Entity.
10.5 Communication and engagement	Conformance	The Entity has established methods for internal and external communication, including 'face-to-face' communication, contact numbers, mailboxes, and employee satisfaction surveys, and other means without retaliation. Management's contact information is publicly shared to encourage communication, and employees, informed through interviews, are assured that these methods are safe from retaliation.

CRITERION	RATING	COMMENT
		The Entity has implemented employee satisfaction survey analysis and improvement plans for issues raised by employees during the satisfaction survey, including the working environment and the cafeteria catering.
10.6a-g Violence and Harassment	Conformance	<p>The Entity has implemented an Anti-Violence and Harassment Management Procedure. The Entity has conducted a risk assessment on Violence and Harassment and identified risks in recruitment, promotion, overtime work, and daily management activities and has developed preventive measures. The Management Procedure addresses the requirement to review the policy every five years.</p> <p>The Policy is disclosed at: http://www.cqjszp.com/news_detail.aspx?id=136</p>
10.7a-d Remuneration	Conformance	The Entity recruits its own employees, and both parties sign a labour contract that outlines the terms and complies with local laws and regulations. The Entity pays wages above the local minimum wage and provides Overtime compensation according to legal requirements. A review of processed wages confirms compliance with local Overtime regulations. Payroll is processed via bank transfer in accordance with local regulations. Employees' wages are paid through the Entity's designated bank, and detailed salary and bank transaction records are available.
10.8a-c Working Time	Minor Non-Conformance	<p>The Entity's workshop implements a three-shift system, while the office operates as a long day shift, with each shift at eight hours. Attendance records found that some employees work Overtime on Saturdays.</p> <p>According to employee interviews undertaken during the Audit, annual leave is calculated based on the length of service after commencing at the Entity, not on accumulated length of service. Additionally, four security guards at the external security company are not guaranteed one day off every seven days.</p>
10.9a-b Informing Workers of Rights	Conformance	The Entity's employees receive onboarding training and are provided with an Employee Handbook, which details the Entity's regulations on salary, working hours, holidays, annual leave, and other holidays and benefits. Employees can also provide feedback and suggestions through their employee representatives.
11. OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Management System	Minor Non-Conformance	<p>The Entity has established an ISO 45001 certified Occupational Health and Safety (OH&S) Management System.</p> <p>It was identified during the Audit however, that one employee in the canteen provided a medical examination certificate only and not a health certificate. Additionally, the cafeteria retained samples for 24 hours only and not the required 48 hours.</p>
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Conformance	The Entity established the requirement to conduct internal audits and management reviews annually in accordance with its ISO 45001 OH&S Management System, including the review of Policies. The Entity has publicly announced its safety performance indicators such as zero work-related injuries and compared these with the occupational accident rate in the Chinese Aluminium industry. The annual

CRITERION	RATING	COMMENT
		occupational disease examination report shows that no occupational cases were found.
11.2 Employee engagement on Health and Safety	Conformance	The Entity has established a Safety Production Committee in accordance with the requirements of its ISO 45001 OH&S Management System, which includes the main person in charge, the Safety Director, Department heads, and five employees. Employee representatives include frontline employees from various departments. The Committee identifies potential Health and Safety hazards and assists in achieving Health and Safety performance indicators and targets.

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DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	28 February 2025	Initial Certification Audit – Provisional Certification
1	20 November 2025	Surveillance Audit – Full Certification