

ASI CERTIFICATION CHAIN OF CUSTODY STANDARD



PRESENTED TO

Nemak Dillingen GmbH,
Nemak Pilsting GmbH,
Nemak Linz GmbH,
Nemak Wernigerode GmbH

CERTIFICATE NUMBER

510

ASI STANDARD

CHAIN OF CUSTODY
STANDARD
(V2 2022)

CERTIFICATION LEVEL

FULL
CERTIFICATION

ASI ACCREDITED
AUDITING FIRM

TÜV RHEINLAND
CERT GMBH

DATE OF ISSUE

11 NOVEMBER 2025

DATE OF EXPIRY

10 NOVEMBER 2028

CERTIFIED SINCE

11 NOVEMBER 2025

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H.', followed by a long horizontal line.

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
info@aluminium-stewardship.org

*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at*

www.aluminium-stewardship.org

CERTIFICATION SCOPE

The Certification Scope for Nemak's multi-site Chain of Custody Certificate includes the Nemak Dillingen, Nemak Pilsting and Nemak Wernigerode Facilities in Germany and the Nemak Linz Facility in Austria. The Certification Scope encompasses the manufacturing and supply chain activities at these facilities. This Certification covers the production processes, operational activities, and supply chain management related to the manufacturing of high-quality Aluminium components primarily for the automotive industry.

The Certification Scope also includes the Facilities' Outsourcing Contractors.

AUDIT REPORT

CHAIN OF CUSTODY

STANDARD

OVERVIEW

MEMBER NAME	Nemak S.A.B de C.V
ENTITY NAME	Nemak Dillingen GmbH, Nemak Pilsting GmbH, Nemak Linz GmbH, Nemak Wernigerode GmbH
CERTIFICATION SCOPE	The Certification Scope for Nemak's multi-site Chain of Custody Certificate includes the Nemak Dillingen, Nemak Pilsting and Nemak Wernigerode Facilities in Germany and the Nemak Linz Facility in Austria. The Certification Scope encompasses the manufacturing and supply chain activities at these facilities. This Certification covers the production processes, operational activities, and supply chain management related to the manufacturing of high-quality Aluminium components primarily for the automotive industry. The Certification Scope also includes the Facilities' Outsourcing Contractors.
SUPPLY CHAIN ACTIVITIES	• Casthouses • Post-Casthouse
ASI STANDARD	Chain of Custody Standard V2
AUDIT TYPE	• Initial Certification Audit
AUDIT FIRM	TÜV Rheinland Cert GmbH
AUDIT DATE	• 16 – 17 December 2025, 14 February 2025 and 10 September 2025
AUDIT REPORT SUBMISSION	• 14 October 2025
AUDIT SCOPE	The Audit Scope included the manufacturing and supply chain activities at the Nemak Dillingen, Nemak Pilsting and Nemak Wernigerode Facilities in Germany and the Nemak Linz Facility in Austria. The supply chain activities included in the Audit Scope: • Casthouses • Post-Casthouse All relevant Criteria in the ASI Chain of Custody Standard were included in the Audit Scope.
AUDIT OUTCOME	Certification
AUDIT METHODOLOGY DECLARATION	The Auditors confirm that: ☒ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this Report.

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- ☑ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
 - ☑ The Audit Scope and Audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
 - ☑ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
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CERTIFICATION PERIOD	11 November 2025 – 10 November 2028
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NEXT AUDIT TYPE	Surveillance Audit
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NEXT AUDIT DUE DATE	10 November 2026
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CERTIFICATE NUMBER	510
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If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Nemak S.A.B de C.V (the 'Group') is a provider of lightweighting solutions for the automotive industry specialising in the development and manufacturing of Aluminium components for powertrain, e-mobility, and structural applications. In 2022, the Group generated a revenue of US\$4.7 billion and joined the Aluminium Stewardship Initiative (ASI).

Nemak Dillingen GmbH, Nemak Pilsting GmbH, Nemak Linz GmbH and Nemak Wernigerode GmbH (collectively the 'Entity') are 100% owned subsidiaries of Nemak S. A. B. de C. V. Nemak Dillingen GmbH is located in Dillingen, Saarland, Germany and produces Aluminium components for the automotive industry, including engine blocks and e-engine housings. Nemak Pilsting GmbH is located in Pilsting, Bayern, Germany and processes structure components for the automotive industry. Nemak Linz GmbH is located in Linz, Upper Austria, Austria and produces cylinder heads for the automotive industry with ROTACAST technology, which also includes a Product Development Centre (PDC). Nemak Wernigerode GmbH is located in Wernigerode, Sachsen-Anhalt, Germany and produces light metal powertrain components for the automotive industry. The Entity employs approximately 2,000 Workers across the four Facilities.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of Systems, Residual Risk and Performance.

Maturity ratings are not a direct assessment of conformance to the Standard.

OVERALL	
SYSTEMS	High
RISKS	High
PERFORMANCE	Medium
OVERALL	HIGH

FINDINGS

CRITERION	RATING	COMMENT
1. MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI Membership	Conformance	The Entity is an ASI Member in good standing, committed to comply with ASI's membership obligations and the ASI Complaints Mechanism. The Entity is in the Industrial User membership category. At the time of this Audit, the Facilities in Dillingen, Pilsting and Linz were already Certified against the ASI Performance Standard. The Performance Standard Audit of the Wernigerode Facility is scheduled for the first quarter of 2026. Further information on the Entity's ASI Membership status is available on the ASI website, accessible at: https://aluminium-stewardship.org/about-asi/asi-members/nemak/
1.2 CoC Management System	Conformance	The Entity has established a Management System for managing Chain of Custody (CoC) Material under the requirements of the Chain of Custody Standard. The CoC Management System is integrated into the Entity's sustainability Management System. A dedicated procedure addressing all ASI Chain of Custody Standard Criteria is established. The Management System is reviewed annually on both a technical and managerial level.
1.3 CoC Management System Monitoring	Conformance	The Entity has established a procedure to annually review its ASI CoC Management System.
1.4 Management Representative	Conformance	The Entity has appointed its Global Metal Purchasing Director as its ASI CoC Representative to be responsible for the ASI Chain of Custody Standard implementation and conformance with the requirements in the Standard. Roles and responsibilities regarding the ASI CoC Standard implementation and governance are defined in the Entity's CoC Procedures Manual. The ASI CoC Representative is supported by the Nemak Group's global sustainability team and local ASI Coordinators.
1.5 Communications and Training	Conformance	The Entity has developed and implemented communications and training measures that make relevant personnel aware of and competent in their responsibilities in implementing the Chain of Custody Standard. Adequate training has been demonstrated.
1.6 Records Management	Conformance	The Entity has defined its process to ensure that records that address applicable requirements of the Chain of Custody Standard are up-to-date and maintained. The Entity's operating procedures define a retention time of five years minimum and defines the systems for record storage.
1.7a Reporting to ASI (Inputs and Outputs of CoC Material)	Conformance	The Entity's operating procedure specifies that the Entity shall report Input and Output Quantities of CoC Material to/from the Certified Entity, if any, over the calendar year to the ASI Secretariat prior to 30 June of the year following the end of each calendar year.

CRITERION	RATING	COMMENT
1.7b Reporting to ASI (Inputs and Outputs of Eligible Scrap)	Conformance	The Entity's operating procedure specifies that the Entity shall report Input and Output Quantities of Eligible Scrap to/from the Certified Entity, if any, over the calendar year to the ASI Secretariat prior to 30 June of the year following the end of each calendar year.
1.7c Reporting to ASI (Inflows and Outflows of Non-CoC Material)	Conformance	The Entity's operating procedure specifies that the Entity shall report Inflow and Outflow Quantities of Non-CoC Material/s to/from the Certified Entity, if any, over the calendar year to the ASI Secretariat prior to 30 June of the year following the end of each calendar year.
1.7d Reporting to ASI (Positive Balance carried over)	Conformance	The Entity's operating procedure specifies that the Entity shall report a Positive Balance carried over to the subsequent Material Accounting Period, if any, to the ASI Secretariat prior to 30 June of the year following the end of each calendar year.
1.7e Reporting to ASI (Positive Balance used)	Conformance	The Entity's operating procedure specifies that the Entity shall report a Positive Balance carried over to the subsequent Material Accounting Period and used in that period, if any, to the ASI Secretariat prior to 30 June of the year following the end of each calendar year.
1.7f Reporting to ASI (Internal Overdraw drawn down)	Conformance	The Entity's operating procedure specifies that the Entity shall report the Internal Overdraw drawn down from the subsequent Material Accounting Period, if any, to the ASI Secretariat prior to 30 June of the year following the end of each calendar year.
1.7g Reporting to ASI (Intra-Entity Flows)	Conformance	The Entity's operating procedure specifies that the Entity shall report quantities of CoC Material/s transferred between supply chain activities within the CoC Certified Entity (Intra-Entity Flows) over the calendar year to the ASI Secretariat prior to 30 June of the year following the end of each calendar year.

2. OUTSOURCING CONTRACTORS

2.1 Certification Scope	Conformance	The Entity has implemented an effective process to identify, assess and approve its Outsourcing Contractors. A rigorous global supplier assessment and evaluation process has been implemented. The Entity identifies Outsourcing Contractors in its CoC Certification Scope.
2.2a Control of CoC Material (Legal ownership or control)	Conformance	The Entity confirmed that it retains ownership and/or control of all CoC Material used by its Outsourcing Contractors.
2.2b Control of CoC Material (No further outsourcing)	Conformance	Subcontracting of any processing, treatment or manufacturing of CoC Material by Outsourcing Contractors is prohibited by the Entity's Group-level general terms and conditions in conjunction with the Entity's internal ASI CoC Outsourcing Contractors Policy.
2.2c Control of CoC Material (Risk assessment)	Conformance	All Outsourcing Contractors are subject to a risk assessment to ensure that they meet applicable requirements of the ASI Chain of Custody Standard and that the Entity only employs contractors where the risk has been evaluated as acceptable. The process is described in the Entity's Outsourcing Contractors Policy.

CRITERION	RATING	COMMENT
2.3 Information on Quantity of CoC Material Output and Returned	Conformance	The Entity has a system in place to ensure that the Outsourcing Contractors provide information on received and returned CoC Material quantities at least annually. The Entity undertakes annual stocktaking together with its Outsourcing Contractors.
2.4 Consistency in Inflow and Outflow Quantity of CoC Material to/from Outsourcing Contractor	Conformance	The Entity has a system in place to verify that the Quantity of CoC Material Output or returned by its Outsourcing Contractors are consistent with the Quantity of CoC Material provided to the Outsourcing Contractors and to record Quantities in its Material Accounting System. The Entity undertakes annual stocktaking together with its Outsourcing Contractors.
2.5 Error (Outsourcing Contractor)	Conformance	Error handling processes are defined in writing to ensure that if an error is discovered after CoC Material has been shipped, the Entity and the Outsourcing Contractor will document the error, ensure that the agreed steps are taken to correct it and implement actions to avoid a recurrence. As part of the Entity's Management System, the effectiveness of the implementation of the measures will be verified.

3. PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI ALUMINIUM

3.1a ASI Bauxite (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1b ASI Bauxite (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1c ASI Bauxite (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2a ASI Alumina (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2b ASI Alumina (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2c ASI Alumina (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3b ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3c ASI Aluminium (Alumina sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

4. RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP

CRITERION	RATING	COMMENT
4.1a Recycled Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.1b Recycled Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2a Eligible Scrap (Pre-Consumer)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2b Eligible Scrap (Post-Consumer)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2c Eligible Scrap (Dross)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3a Records Management for Direct Suppliers of Recyclable Scrap Material (Suppliers)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3b Records Management for Direct Suppliers of Recyclable Scrap Material (Financial transactions)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

5. CASTHOUSES: CRITERIA FOR ASI ALUMINIUM

5.1a ASI Aluminium (CoC Certification Scope)	Conformance	The Entity has established and implemented a system to ensure that ASI Aluminium is produced only from its own Casthouses, which are within its CoC Certification Scope and are also Certified against the ASI Performance Standard. This requirement is explicitly defined in the Entity's ASI CoC Procedures Manual. At the time of the Audit, the Dillingen, Pilsting and Linz Facilities were Certified to the ASI Performance Standard. The Performance Standard Audit of the Wernigerode Facility is scheduled for the first quarter of 2026. Information on the Entity's ASI Certifications is accessible at: https://aluminium-stewardship.org/about-asi/members/Nemak-S-A-B-de-C-V
5.1b ASI Aluminium (Performance Standard)	Conformance	The Entity has established and implemented a system to ensure that ASI Aluminium is produced only from its own Casthouses, which are within its CoC Certification Scope and are also Certified against the ASI Performance Standard. This requirement is explicitly defined in the Entity's ASI CoC Procedures Manual. At the time of the Audit, the Dillingen, Pilsting and Linz Facilities are Certified to the ASI Performance Standard. The Performance Standard Audit of the Wernigerode Facility is scheduled for the first quarter of 2026. Information on the Entity's ASI Certifications is accessible at: https://aluminium-stewardship.org/about-asi/members/Nemak-S-A-B-de-C-V
5.1c ASI Aluminium (Aluminium sourcing)	Conformance	The Entity has a process in place to ensure that all ASI Aluminium is sourced either directly from another ASI CoC Certified Entity, or via a Trader, where the ASI CoC Certified Entity that is the source of the ASI Aluminium can be identified and can provide a verified CoC

CRITERION	RATING	COMMENT
		Document. The Entity implements this process by monitoring all their suppliers and Traders of Aluminium.
5.2 Unique Identification	Conformance	In accordance with customer requirements, the Entity has established processes to ensure the traceability of its products, using unique identification numbers on the delivery notes for this purpose and enabling the tracking via its Enterprise Resource Planning System (SAP).
6. POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM		
6.1a Post-Casthouse ASI Aluminium (CoC Certification Scope)	Conformance	The Entity has established and implemented a system to ensure that ASI Aluminium is produced only from its own Facilities, which are within its CoC Certification Scope and are also Certified against the ASI Performance Standard. This requirement is explicitly defined in the Entity's ASI CoC Procedures Manual.
6.1b Post-Casthouse ASI Aluminium (Performance Standard)	Conformance	At the time of the Audit, the Dillingen, Pilsting and Linz Facilities are Certified to the ASI Performance Standard. The Performance Standard Audit of the Wernigerode Facility is scheduled for the first quarter of 2026. Information on the Entity's ASI Certifications is accessible at: https://aluminium-stewardship.org/about-asi/members/Nemak-S-A-B-de-C-V
6.1c Post-Casthouse ASI Aluminium (Aluminium sourcing)	Conformance	The Entity has a process in place to ensure that all ASI Aluminium is sourced either directly from another ASI CoC Certified Entity, or via a Trader, where the ASI CoC Certified Entity that is the source of the ASI Aluminium can be identified and can provide a verified CoC Document. The Entity implements this process by monitoring all their suppliers and Traders of Aluminium.
7. DUE DILIGENCE FOR NON-COC MATERIAL, COC MATERIAL ACQUIRED THROUGH A TRADER AND RECYCLABLE SCRAP MATERIAL		
7.1a Responsible Sourcing Policy (Anti-corruption)	Conformance	At a Group-level both a Business Code for Suppliers and an Anti-Corruption Policy have been established as a basis for co-operation. The Entity requires its suppliers to comply with this Code and Policy. The Business Code for Suppliers and an Anti-Corruption Policy are accessible at: https://nemak.com/sustainability/?sc=0#sustainabilityPolicies
7.1b Responsible Sourcing Policy (Responsible sourcing)	Conformance	At a Group-level both a Business Code for Suppliers and a Sustainable Purchasing Policy have been established. The Entity requires its suppliers to comply with this Code and Policy. The Business Code for Suppliers and Sustainable Purchasing Policy are accessible at: https://nemak.com/sustainability/?sc=0#sustainabilityPolicies
7.1c Responsible Sourcing Policy (Human rights due diligence)	Conformance	At a Group-level the Business Code for Suppliers has been issued, communicated and implemented, and is accessible at: https://nemak.com/sustainability/?sc=0#sustainabilityPolicies The Entity's Business Code for Suppliers addresses Human Rights and requires the implementation of a gender-responsive Human Rights

CRITERION	RATING	COMMENT
		Due Diligence process.
7.1d Responsible Sourcing Policy (Conflict-affected and high-risk areas)	Conformance	The Entity's Group-level Business Code for Suppliers addresses Human Rights, and specifically Conflict-Affected and High-Risk Areas (CAHRAs). Suppliers of Aluminium are explicitly required to establish an appropriate Management System regarding CAHRAs and to identify, assess and mitigate potential risks. The Business Code for Suppliers is accessible at: https://nemak.com/sustainability/?sc=0#sustainabilityPolicies
7.2 Risk Assessment and Mitigation	Conformance	The Entity has demonstrated a structured approach to risk assessment and mitigation through documented assessments and supplier audits. The Entity's Group-level business support team facilitates effective assessment follow-up, whilst the Entity's buyers collaborate with suppliers to address potential non-compliance risks. The risk assessment and mitigation process is defined in a documented process.
7.3 Complaints Resolution Mechanism	Conformance	The Entity has implemented its Complaints Resolution Mechanism and has made the Mechanism available on its website in multiple languages, accessible at: https://secure.ethicspoint.com/domain/media/en/gui/97874/index.html. The design of the Complaints Resolution Mechanism considered Guiding Principle 31 of the United Nations Guiding Principles on Business and Human Rights.
8. MATERIAL ACCOUNTING SYSTEM: COC MATERIAL AND ASI ALUMINIUM		
8.1 Material Accounting System	Conformance	The Entity has established a Material Accounting System that safeguards the integrity of CoC Material. The System is based on its Enterprise Resource Planning (ERP) System (SAP) and ensures tracking of CoC Material flow to a level fit for governance and reporting. The System is based on the Entity's IT system.
8.2 Material Accounting Period	Conformance	The Entity uses the calendar year as its Material Accounting Period. This is defined in the Entity's ASI Procedures Manual.
8.3 Input and Inflow Quantities	Conformance	The Entity's Management records the Quantities of movements of Aluminium across Certification Scope boundaries (received, transferred and shipped). Inflow of Quantities of each CoC and non-CoC Material to the Entity are recorded. Eligible Scrap and Input Recyclable Scrap Material are not considered in the Management System as these materials are not used by the Entity.
8.4 Output Quantities of CoC Material	Conformance	The Entity has established a Material Accounting System that safeguards the integrity of CoC Material. The System is based on its ERP System (SAP). The Entity has specified that over a given Material Accounting Period, it will use the Input Quantities for each CoC Material to determine the available quantities of CoC Material for Output, proportional to the total Inflows of CoC and non-CoC Materials, by mass. The Entity does not currently intend to designate generated Aluminium Scrap from processing as CoC Material.

CRITERION	RATING	COMMENT
8.5 Indivisibility of CoC Material	Conformance	The Entity has defined in its CoC Procedures Manual that its Output Quantity is designated as 100% CoC Material. The Entity does not designate generated Aluminium Scrap as CoC Material.
8.6 Output Quantity of Eligible Scrap	Not Applicable	This Criterion is not applicable to the Entity, as it has decided to not designate the relevant proportion as Eligible Scrap.
8.7 Consistency Between Input Percentage and Total Output	Conformance	Quantities of CoC Material Input and Output are recorded in the Entity's Material Accounting System (SAP). A report is regularly generated to ensure that over a reporting year, the Output Quantities never proportionally exceed the Input Quantities.
8.8a Internal Overdraw (Not exceed 20%)	Conformance	The Entity prevents Overdraw situations by planning its CoC Material purchasing considering a buffer. The Entity has described in its ASI CoC Procedures Manual that in a Force Majeure situation, the Entity may draw down an Internal Overdraw from the subsequent Material Accounting Period, respecting the Drawdown limit of 20% of the total Input Quantity of CoC Material for the Material Accounting Period.
8.8b Internal Overdraw (Not exceed force majeure situation)	Conformance	The Entity prevents Overdraw situations by planning its CoC Material purchasing considering a buffer. However, the Entity has described in its ASI CoC Procedures Manual that in a Force Majeure situation, the Entity may draw down an Internal Overdraw from the subsequent Material Accounting Period and that the Internal Overdraw shall not exceed the amount of CoC Material affected by the Force Majeure situation.
8.8c Internal Overdraw (Made up within subsequent Material Accounting Period)	Conformance	The Entity prevents Overdraw situations by planning its CoC Material purchasing considering a buffer. However, the Entity has described in its ASI CoC Procedures Manual that in a Force Majeure situation, the Entity may draw down an Internal Overdraw from the subsequent Material Accounting Period and that the Internal Overdraw shall be made up within the subsequent Material Accounting Period.
8.9a Positive Balance (Carry over)	Conformance	If the Entity has a Positive Balance of CoC Material at the end of a Material Accounting Period, as defined in the ASI CoC Procedures Manual, the Positive Balance may be carried over to the subsequent Material Accounting Period and shall be reported to the ASI Secretariat.
8.9b Positive Balance (Expiry)	Conformance	The Entity has specified in its Procedure that a Positive Balance generated in one Material Accounting Period and carried over to the subsequent Period shall expire at the end of that Period, if not drawn down.
9. ISSUING COC DOCUMENTS		
9.1 CoC Document	Conformance	The Entity ensures a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities, as evidenced by a review of the Entity's ASI CoC related procedures, a review of 'trial' CoC Documents and interviews with personnel.
9.2a CoC Document Content (Date of issue)	Conformance	The Entity has established processes to ensure that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. CoC Documents include the date of

CRITERION	RATING	COMMENT
		issue.
9.2b CoC Document Content (Reference number)	Conformance	The Entity has established processes to ensure that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. CoC Documents include a reference number, which is linked to the Entity's Material Accounting System for verification purposes.
9.2c CoC Document Content (Issuing Entity)	Conformance	The Entity has established processes to ensure that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. CoC Documents include the identity, address and CoC Certification number of the Entity.
9.2d CoC Document Content (Receiving customer)	Conformance	The Entity has established processes to ensure that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. CoC Documents include the identity and address of the customer receiving the CoC Material, and if it is another CoC Certified Entity, their CoC Certification number.
9.2e CoC Document Content (Responsible employee)	Conformance	The Entity has established processes to ensure that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. CoC Documents include the responsible employee of the Entity who can verify information in the CoC Document.
9.2f CoC Document Content (Conformance statement)	Conformance	The Entity has established processes to ensure that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. CoC Documents include a statement confirming that the information provided in the CoC Document is in conformance with the ASI CoC Standard.
9.2g CoC Document Content (Type of CoC Material)	Conformance	The Entity has established processes to ensure that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. CoC Documents include the type of CoC Material in the shipment.
9.2h CoC Document Content (Mass of CoC Material)	Conformance	The Entity has established processes to ensure that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. CoC Documents include the mass of CoC Material in the shipment.
9.2i CoC Document Content (Mass of total material)	Conformance	The Entity has established processes to ensure that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. CoC Documents include the mass of total material in the shipment.
9.3a Sustainability Data (optional) – Carbon footprint	Not Applicable	This Criterion is not applicable to the Entity, as it decided that currently, it does not want to include optional data, such as the average carbon footprint of the CoC Material and accounting method applied in its CoC Documents.
9.3b Sustainability Data (optional) – Origin information	Not Applicable	This Criterion is not applicable to the Entity, as it decided that currently, it does not want to include optional data such as information to support the origin of Aluminium as per ASI Performance Standard

CRITERION	RATING	COMMENT
		Criterion 9.8 in its CoC Documents.
9.3c Sustainability Data (optional) – Recycled content	Not Applicable	This Criterion is not applicable to the Entity, as it decided that currently, it does not want to include optional data, such as recycled content in its CoC Documents.
9.3d Sustainability Data (optional) – Post-Casthouse ASI Certification status	Not Applicable	This Criterion is not applicable to the Entity, as it decided that currently, it does not want to include optional data, such as the Certification status for the ASI Performance Standard for the Entity and/or Facility issuing the CoC Document in its CoC Documents.
9.4 Supplementary Information (optional) – Objective evidence	Not Applicable	This Criterion is not applicable to the Entity, as it decided that currently, it does not want to include optional data, such as supplementary information about the Entity or CoC Material in its CoC Documents.
9.5 Verification of Information	Conformance	The Entity has defined in a documented procedure the process to enable it to respond to requests for verification of information in CoC Documents issued by the Entity.
9.6 Error (Shipping)	Conformance	The Entity has assigned responsibilities for error handling and has implemented documented processes, which specify the way in which errors regarding CoC shipments shall be handled. These processes include the documentation of the error, root cause analysis, communication, and improvement actions to avoid a recurrence.
10. RECEIVING COC DOCUMENTS		
10.1 Verification of CoC Documents	Conformance	The Entity has defined in a procedure the process to verify the received CoC Documents. The Entity demonstrated that it successfully verified the effectiveness of this procedure.
10.2 Verification of Consistency Between CoC Documents and CoC Material	Conformance	The Entity has defined in their ASI CoC Procedures Manual the process to verify the received CoC Documents. All deliveries are to be verified when entering the site. The Entity demonstrated that it has successfully tested the effectiveness of these procedures.
10.3 Verification of Supplier's ASI CoC Certification	Conformance	The Entity has defined in their ASI CoC Procedures Manual the process to verify the received CoC Documents. All deliveries are to be verified when entering the site. The Certification status of Aluminium Suppliers is checked at least twice a year.
10.4 Error (Reception)	Conformance	The Entity has implemented verification steps to review the consistency of received CoC Documents. Relevant staff has been trained accordingly. The Management of errors is specified in the Entity's ASI CoC Procedures Manual.
11. CLAIMS AND COMMUNICATIONS		
11.1a Claims and Communications (ASI Claims Guide)	Not Applicable	This Criterion is not applicable to the Entity as it does not currently intend to make claims or representations about CoC Material outside of CoC Documents. If the Entity does decide to make claims or representations in the future, the Entity refers to the ASI Claims Guide in its ASI CoC Procedure and has specified that all such claims undergo a check by the ASI Project Manager prior to release.

CRITERION	RATING	COMMENT
11.1b Claims and Communications (Verifiable evidence)	Not Applicable	This Criterion is not applicable to the Entity as it does not currently intend to make claims or representations about CoC Material outside of CoC Documents.
11.1c Claims and Communications (Employee training)	Not Applicable	This Criterion is not applicable to the Entity as it does not currently intend to make claims or representations about CoC Material outside of CoC Documents. The Entity's ASI project team, including communications and marketing personnel, has however received relevant training.

ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable law, or will avoid any Breach from occurring.

DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	11 November 2025	Initial Certification Audit – Full Certification