

ASI CERTIFICATION CHAIN OF CUSTODY STANDARD



PRESENTED TO

Wuxi Dicastal Wheel Manufacturing Co., Ltd

CERTIFICATE NUMBER

509

ASI STANDARD

CHAIN OF CUSTODY
(V2 2022)

CERTIFICATION LEVEL

FULL
CERTIFICATION

ASI ACCREDITED AUDITING FIRM

SGS-CSTC STANDARDS
TECHNICAL SERVICES

DATE OF ISSUE

20 NOVEMBER 2025

DATE OF EXPIRY

19 NOVEMBER 2028

CERTIFIED SINCE

20 NOVEMBER 2025

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H.', followed by a long horizontal line.

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
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*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at
www.aluminium-stewardship.org*

CERTIFICATION SCOPE

Manufacture of Aluminium alloy
wheels and Aluminium alloy
castings at Wuxi Dicastal Wheel
Manufacturing Co., Ltd, China.

AUDIT REPORT

CHAIN OF CUSTODY

STANDARD

OVERVIEW

MEMBER NAME	CITIC Dicastal Co.,Ltd.
ENTITY NAME	Wuxi Dicastal Wheel Manufacturing Co., Ltd
CERTIFICATION SCOPE	Manufacture of Aluminium alloy wheels and Aluminium alloy Castings at Wuxi Dicastal Wheel Manufacturing Co., Ltd, China.
SUPPLY CHAIN ACTIVITIES	- Aluminium Re-melting/Refining - Casthouses - Post-Casthouse
ASI STANDARD	Chain of Custody Standard V2
AUDIT TYPE	Initial Certification Audit
AUDIT FIRM	SGS-CSTC Standards Technical Services
AUDIT DATE	1 – 2 August 2025
AUDIT REPORT SUBMISSION	10 October 2025
AUDIT SCOPE	The Audit Scope covered the manufacture of Aluminium alloy wheels and Aluminium alloy castings at Wuxi Dicastal Wheel Manufacturing Co., Ltd., China. The supply chain activities included in the Audit Scope: - Aluminium Re-melting/Refining - Casthouses - Post-Casthouse All relevant Criteria in the Chain of Custody Standard were included in the Audit Scope.
AUDIT OUTCOME	Certification
AUDIT METHODOLOGY DECLARATION	The Auditors confirm that: ☒ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this Report. ☒ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous. ☒ The Audit Scope and Audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope. ☒ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

CERTIFICATION PERIOD	20 November 2025 – 19 November 2028
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NEXT AUDIT TYPE	Surveillance Audit
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NEXT AUDIT DUE DATE	19 May 2027
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CERTIFICATE NUMBER	509
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If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Wuxi Dicastal Wheel Manufacturing Co., Ltd (the 'Entity') was established in 2015 and is located on Beihui Road and Huizhou Avenue, Huishan District, Wuxi City, Jiangsu Province, China. The Entity's main production activities include re-melting, casting, heat treatment, machining, coating, and packaging, and currently employs approximately 1,200 persons, of which over 240 are women. The main products manufactured by the Entity include key components of new energy vehicles such as Aluminium alloy wheels and Aluminium cast steering knuckles, which are supplied to enterprises such as SAIC Volkswagen, SAIC Passenger Vehicles, SAIC Maxus, Changan Automobile, and Chery Automobile. The Entity has a current annual output of 9.5 million units, and in 2026, the Entity will construct the Phase II expansion project which will add an expected annual output of 8,000 tonnes worth of steering knuckle products to its overall production.

The Entity has ancillary facilities including a guard room, an office area, a parking lot, Aluminium Wheel Joint Workshop, the Kaisman Joint Workshop, a raw material warehouse, a chemical warehouse, a sewage treatment station, a fire-fighting water tank, a hazardous waste warehouse, and a general solid waste warehouse.

The nearest sensitive receptors to the Entity include the Qingchun Apartment complex, the Shuitangqiao New Village, and Xinzhou Jiayuan Community, all of which are located within 500 metres of the Entity. The nearest nature reserve, Huishan National Forest Park, is located approximately 15 kilometres from the site and Taihu Lake is 20 kilometres from the site.

The Entity has established a DMS system intelligent manufacturing platform, which includes nine functional applications (production management, material management, quality management, equipment management, visual management, mobile terminal applications, data collection and monitoring, system integration and report management).

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

OVERALL	
SYSTEMS	Medium
RISKS	Medium
PERFORMANCE	Low
OVERALL	MEDIUM

FINDINGS

CRITERION	RATING	COMMENT
1. MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI Membership	Conformance	The Entity is subsidiary of CITIC Dicastal Co.,Ltd., which has been an ASI Member since 2023, thereby committing to comply with ASI's membership obligations and the ASI Complaints Mechanism. More details are available on the ASI website at: https://aluminium-stewardship.org/about-asi/members/CITIC-Dicastal-Co--Ltd-
1.2 CoC Management System	Conformance	The Entity has a Management System that meets all applicable requirements of the ASI Chain of Custody Standard, in all Facilities under the Entity's control that have CoC Material Custody, being evidenced that the Entity has developed a ASI Manual for Shandong Innovation Group Co., Ltd.
1.3 CoC Management System Monitoring	Conformance	The Entity has implemented a CoC Management System Internal Audit and Management Review Process, which defines that the Management System should be reviewed per year and updated where needed. The Entity reviews the Management System annually in accordance with its defined procedure. The internal audit and management review all were implemented according to the Entity's procedure.
1.4 Management Representative	Conformance	The CoC Management Manual stipulates the appointment of a management representative. Upon review and confirmation, the entity has appointed a deputy General Manager in writing as the representative for ASI Performance and the CoC Management System, clarifying the responsibilities of the management representative.
1.5 Communications and Training	Conformance	The Entity has implemented procedures of communication and training for the Chain of Custody standard. The Entity has established an annual training plan of the ASI CoC Management System that makes relevant personnel aware of, and competent in their responsibilities. Related training has been implemented according to the training plan.
1.6 Records Management	Conformance	The Entity has established the Procedure of Records Controlling and the ASI CoC Management System Manual, which defined that the records related to CoC should be retained a minimum of five years. The Entity has established a list of records covering all applicable requirements of the Chain of Custody Standard.
1.7a Reporting to ASI (Inputs and Outputs of CoC Material)	Conformance	The Entity has established a procedure that has defined how to report information as required. The reporting form includes the required information as requested by the ASI Secretariat.
1.7b Reporting to ASI (Inputs and Outputs of Eligible Scrap)	Conformance	The Entity has established a procedure that has defined how to report information as required. The reporting form includes the required information as requested by the ASI Secretariat.
1.7c Reporting to ASI (Inflows and Outflows of Non-CoC Material)	Conformance	The Entity has established a procedure that has defined how to report information as required. The reporting form includes the required information as requested by the ASI Secretariat.

CRITERION	RATING	COMMENT
1.7d Reporting to ASI (Positive Balance carried over)	Conformance	The Entity has established a procedure that has defined how to report information as required. The reporting form includes the required information as requested by the ASI Secretariat.
1.7e Reporting to ASI (Positive Balance used)	Conformance	The Entity has established a procedure that has defined how to report information as required. The reporting form includes the required information as requested by the ASI Secretariat.
1.7f Reporting to ASI (Internal Overdraw drawn down)	Conformance	The Entity has established a procedure that has defined how to report information as required. The reporting form includes the required information as requested by the ASI Secretariat.
1.7g Reporting to ASI (Intra-Entity Flows)	Conformance	The Entity has established a procedure that has defined how to report information as required. The reporting form includes the required information as requested by the ASI Secretariat.

2. OUTSOURCING CONTRACTORS

2.1 Certification Scope	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope as the Entity does not use Outsourcing Contractors for further processing, treatment, or manufacturing. The Entity has established a CoC Sourcing Controlling Procedure, which stipulates that the Outsourcing Contractor without CoC Certification that takes custody of an Entity's CoC Material for the purposes of further processing, treatment or manufacturing shall be identified in the Entity's CoC Certification Scope.
2.2a Control of CoC Material (Legal ownership or control)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope as the Entity does not use Outsourcing Contractors for further processing, treatment, or manufacturing.
2.2b Control of CoC Material (No further outsourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope as the Entity does not use Outsourcing Contractors for further processing, treatment, or manufacturing.
2.2c Control of CoC Material (Risk assessment)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope as the Entity does not use Outsourcing Contractors for further processing, treatment, or manufacturing.
2.3 Information on Quantity of CoC Material Output and Returned	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope as the Entity does not use Outsourcing Contractors for further processing, treatment, or manufacturing.
2.4 Consistency in Inflow and Outflow Quantity of CoC Material to/from Outsourcing Contractor	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope as the Entity does not use Outsourcing Contractors for further processing, treatment, or manufacturing.
2.5 Error (Outsourcing Contractor)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope as the Entity does not use Outsourcing Contractors for further processing, treatment, or manufacturing.

3. PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI ALUMINIUM

CRITERION	RATING	COMMENT
3.1a ASI Bauxite (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1b ASI Bauxite (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1c ASI Bauxite (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2a ASI Alumina (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2b ASI Alumina (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2c ASI Alumina (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3b ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3c ASI Aluminium (Alumina sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4. RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP		
4.1a Recycled Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity, as they do source Recycled Aluminium for the production of their products due to customer quality requirements. Although the Entity has established a Recycled Aluminium Management Procedure and disclosed a Five-Year Development Plan for Recycled Aluminium from 2025 to 2029, available at: https://www.dicastal.com/Public/tcx/2025071801/13
4.1b Recycled Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity, as they do not source Recycled Aluminium for the production of their products due to customer quality requirements.
4.2a Eligible Scrap (Pre-Consumer)	Not Applicable	This Criterion is not applicable to the Entity, as they do not source external Eligible Scrap due to customer quality requirements. Although the Entity has established a Recycled Aluminium Management Procedure and disclosed a Five-Year Development Plan for Recycled Aluminium from 2025 to 2029, available at: https://www.dicastal.com/Public/tcx/2025071801/13
4.2b Eligible Scrap (Post-Consumer)	Not Applicable	This Criterion is not applicable to the Entity, as they do not source external Eligible Scrap due to customer quality requirements.

CRITERION	RATING	COMMENT
4.2c Eligible Scrap (Dross)	Not Applicable	This Criterion is not applicable to the Entity, as they do not source external Eligible Scrap due to customer quality requirements.
4.3a Records Management for Direct Suppliers of Recyclable Scrap Material (Suppliers)	Not Applicable	This Criterion is not applicable to the Entity, as they do not source external Eligible Scrap or Recyclable Scrap Material due to customer quality requirements. The Entity however has established processes to ensure that the required information of the direct supplier for Recyclable Scrap material is recorded in the Qualified Supplier List.
4.3b Records Management for Direct Suppliers of Recyclable Scrap Material (Financial transactions)	Conformance	All financial transactions with direct suppliers of Recyclable Scrap Material, ensuring that cash payments are within the lower of the relevant defined financial threshold under Applicable Law or US\$10,000 (or equivalent), where the transaction is undertaken in a single operation or in several operations that appear to be linked. All transactions of the Entity are undertaken through electronic payment methods including bank transfers.
5. CASTHOUSES: CRITERIA FOR ASI ALUMINIUM		
5.1a ASI Aluminium (CoC Certification Scope)	Conformance	There are three Casthouses on the site of the Entity's factory, which includes six remelting furnaces. All of these facilities are within this CoC Certification Scope. All products from these Casthouses can be traceable.
5.1b ASI Aluminium (Performance Standard)	Conformance	There are three Casthouses on the site of the Entity's factory, which includes six remelting furnaces. All of these Facilities are within the Entity's Performance Standard Certification Scope. The Entity has developed an ASI PS management manual and implemented an ASI PS Management System.
5.1c ASI Aluminium (Aluminium sourcing)	Conformance	The Entity has implemented a process which requires confirmation of the supplier's CoC certificate or Performance Standard certificate before purchasing ASI Aluminium from these suppliers. Until now, there have been no actual Coc Material procurement transactions.
5.2 Unique Identification	Minor Non-Conformance	All the Entity's raw material (Aluminium ingots) information was traced back to the batch number provided by the suppliers. After re-melting and refining, the Entity uses a laser to add a code to each product. And each steering knuckle has a unique identifier, and each batch of wheel hubs has one serial number. Upon checking the delivery note for the Aluminium alloy wheel hub and steering knuckle revealed however that there was no number, letter or symbol that could be used to identify the products as ASI Aluminium.
6. POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM		
6.1a Post-Casthouse ASI Aluminium (CoC Certification Scope)	Conformance	The Entity has implemented procedures and systems to control the production process to ensure all Post-Casthouse ASI Aluminium from the Facilities included in the Entity's CoC Certificate Scope can be identified.

CRITERION	RATING	COMMENT
6.1b Post-Casthouse ASI Aluminium (Performance Standard)	Conformance	The Entity's Post-Casthouse processes and Facilities are included within the Entity's Performance Standard Certification Scope.
6.1c Post-Casthouse ASI Aluminium (Aluminium sourcing)	Not Applicable	This Criterion is not applicable to the Entity, as they do not source Post-Casthouse ASI Aluminium externally. All of the Entity's Post-Casthouse ASI Aluminium was produced from its own remelts and Casting stoves.
7. DUE DILIGENCE FOR NON-COC MATERIAL, COC MATERIAL ACQUIRED THROUGH A TRADER AND RECYCLABLE SCRAP MATERIAL		
7.1a Responsible Sourcing Policy (Anti-corruption)	Conformance	The Entity has publicly disclosed its Responsible Sourcing Policy addressing anti-Corruption, available at: https://www.dicastal.com/Public/tcx/2025071801/12.pdf The Responsible Sourcing Policy is sent to the Entity's suppliers of Non-CoC Material, Recyclable Scrap Material and CoC Material supplied via a Trader.
7.1b Responsible Sourcing Policy (Responsible sourcing)	Conformance	The Entity has committed to Responsible Sourcing in Compilation of Production and Operation Policies, 'Responsible Sourcing Policy', available at: https://www.dicastal.com/Public/tcx/2025071801/12.pdf The Responsible Sourcing Policy is sent to the Entity's suppliers of Non-CoC Material, Recyclable Scrap Material and CoC Material supplied through a Trader.
7.1c Responsible Sourcing Policy (Human rights due diligence)	Conformance	The Entity committed Responsible Sourcing in Compilation of Production and Operation Policies, 'Responsible Sourcing Policy', available at: https://www.dicastal.com/Public/tcx/2025071801/12.pdf The Responsible Sourcing Policy is sent to the Entity's suppliers of Non-CoC Material, Recyclable Scrap Material and CoC Material supplied through a Trader. Non-Coc Material suppliers and the Eligible Aluminium scrap supplier had been subjected to Due Diligence undertaken by the Entity.
7.1d Responsible Sourcing Policy (Conflict-affected and high-risk areas)	Conformance	The Entity has publicly disclosed its Responsible Sourcing Policy, available at: https://www.innovationmetal.com/d/file/p/2024/05-13/214140e6d1388af20322c1632660ba01.pdf The Policy addresses Conflict-Affected and High-Risk Areas (CAHRAs). The Entity commits to no support or use of material from CAHRAs.
7.2 Risk Assessment and Mitigation	Conformance	The Entity has established an ASI CoC Supplier Due Diligence Procedure that stipulated assessing the risks of non-compliance with its Responsible Sourcing Policy. The Entity's supplier survey addresses non-compliance on environment, social and Government.
7.3 Complaints Resolution Mechanism	Conformance	The Entity's website lists several ways to submit complaints, via email (qianyuan@wxdicastal.com) or the tip-off line (0510- 83896018), which is accessible by the Entity's employees, suppliers and any other outside parties. Refer to: https://www.dicastal.com/Public/tcx/2025071801/9.pdf
8. MASS BALANCE SYSTEM: COC MATERIAL AND ASI ALUMINIUM		

CRITERION	RATING	COMMENT
8.1 Material Accounting System	Conformance	The Entity uses manual recording to maintain the quality of Aluminium ingots entering and leaving the warehouse, as well as those entering the furnace. The records included the material batch number, weight, material name and date. Process flow cards and completion certificates for each stage of processing Aluminium liquid into wheel hubs in the wheel hub workshop are also recorded manually. Information on the flow of Aluminium liquid discharged from the smelting furnace to finished products in the Steering Knuckle Workshop is recorded by scanning a QR code via the Document Management System (DMS) system. The Finance Department calculates the daily Input and Output of Aluminium and evaluated the quality balance of Aluminium materials.
8.2 Material Accounting Period	Conformance	The production of Aluminium alloy wheel hubs and steering knuckles involves manual accounting and the use of a DMS system to record the quality of incoming and outgoing materials. A CoC Aluminium Quality Balance Control Procedure has been implemented which defines that materials must be accounted for monthly.
8.3 Input and Inflow Quantities	Conformance	The Entity has established a procedure which defines that in each Material Accounting Period, the quantities of each CoC Material and Eligible Scrap Input and the quantities of Non-CoC Material and Recyclable Scrap material Inflow to the Certification Scope should be recorded. The Inflow Quantity of Eligible Scrap and Recyclable Scrap Material must be based on an assessment of Aluminium content.
8.4 Output Quantities of CoC Material	Conformance	The Entity has implemented a CoC Aluminium materials accounting system to manage Inputs and Outputs, as well as the Entity's Statistical Management System and Methods of Calculating Statistical Indicators. Relevant responsible persons were interviewed, such as those in Finance, Warehouse and the Production Workshop.
8.5 Indivisibility of CoC Material	Conformance	The Entity has established a CoC Aluminium Quality Balance Control Procedure to define Output Quantity of CoC Material, which may be a subset of total production and must be designated as 100% CoC Material.
8.6 Output Quantity of Eligible Scrap	Not Applicable	This Criterion is not applicable to the Entity, as they do not wish to designate internal Scrap as Eligible Scrap. The Entity however has established the CoC Aluminium Quality Balance Control Procedure to define If the Entity generates Scrap and wishes to designate the relevant proportion as Eligible Scrap, the Entity shall, for the given Material Accounting Period, use the same percentage share as for its Output of ASI Aluminum. All pre-consumption waste generated by the Entity is 100% recycled, and there is no Output of Eligible Scrap.
8.7 Consistency Between Input Percentage and Total Output	Conformance	The Entity has established a CoC Aluminium Quality Balance Control Procedure to ensure the total CoC Material and/or Eligible Scrap does not proportionally exceed the Input Percentage as applied to the total Input of CoC Material and/or Eligible Scrap over the Material Accounting Period.

CRITERION	RATING	COMMENT
8.8a Internal Overdraw (Not exceed 20%)	Conformance	The Entity has established a CoC Aluminium Quality Balance Control Procedure to define that the Internal Overdraw must not exceed 20% of the total Input Quantity of CoC Material for the Material Accounting Period.
8.8b Internal Overdraw (Not exceed force majeure situation)	Conformance	The Entity has established a CoC Aluminium Quality Balance Control Procedure to define how to carry over an Internal Overdraw to the subsequent Material Accounting Period (next calendar year), where it is subject to a Force Majeure situation. The procedure states that the Internal Overdraw must not exceed the amount of CoC Material affected by the Force Majeure situation.
8.8c Internal Overdraw (Made up within subsequent Material Accounting Period)	Conformance	The Entity has established a CoC Aluminium Quality Balance Control Procedure to define that the Internal Overdraw shall be made up within the subsequent Material Accounting Period. The procedure states that that the Internal Overdraw shall be made up within the subsequent Material Accounting Period.
8.9a Positive Balance (Carry over)	Conformance	The Entity has established a CoC Aluminium Quality Balance Control Procedure to define that a Positive Balance of Output CoC Material at the end of a Material Accounting Period may be carried over to the subsequent Material Accounting Period. The procedure states that the Entity's Material Accounting System must clearly identify any carry over a Positive Balance.
8.9b Positive Balance (Expiry)	Conformance	The Entity has established a CoC Aluminium Quality Balance Control Procedure to define that a Positive Balance of Output CoC Material at the end of a Material Accounting Period may be carried over to the subsequent Material Accounting Period. The procedure states that any Positive Balance generated in one Material Accounting Period and carried over to the subsequent Material Accounting Period shall expire at the end of that Period if not drawn down.
9. ISSUING CoC DOCUMENTS		
9.1 CoC Document	Conformance	The Entity has established a 'CoC Document Issuance Management Procedure', which defines that a CoC Document should accompany each shipment of CoC Material dispatched to other CoC Certified Entities. No CoC Material has yet been sent out from the Entity Facilities.
9.2a CoC Document Content (Date of issue)	Conformance	The Entity has established a 'CoC Document Issuance Management Procedure', which defines that the CoC Document includes the date of issue of the CoC Document.
9.2b CoC Document Content (Reference number)	Conformance	The Entity has established a 'CoC Document Issuance Management Procedure', which defines that the CoC Document includes the number of the CoC Document, which is linked to the Entity's Material Accounting System for verification purposes.
9.2c CoC Document Content (Issuing Entity)	Conformance	The Entity has established a 'CoC Document Issuance Management Procedure', which defines that the CoC Document includes the ID, address and CoC Certification number of the Entity.

CRITERION	RATING	COMMENT
9.2d CoC Document Content (Receiving customer)	Conformance	The Entity has established a 'CoC Document Issuance Management Procedure', which defines that the CoC Document includes the ID and address of the customer receiving the CoC Material, and if it is another CoC Certified Entity, their CoC Certification number.
9.2e CoC Document Content (Responsible employee)	Conformance	The Entity has established a 'CoC Document Issuance Management Procedure', which defines that the CoC Document includes a blank signature for the responsible employee of the Entity who can verify information in the CoC Document.
9.2f CoC Document Content (Conformance statement)	Conformance	The Entity has established a 'CoC Document Issuance Management Procedure', which defines that CoC Document information includes the conformance statement.
9.2g CoC Document Content (Type of CoC Material)	Conformance	The Entity has established a 'CoC Document Issuance Management Procedure', which defines that the CoC Document includes the type of CoC Material in the shipment.
9.2h CoC Document Content (Mass of CoC Material)	Conformance	The Entity has established a 'CoC Document Issuance Management Procedure', which defines that the CoC Document includes the mass of CoC Material in the shipment.
9.2i CoC Document Content (Mass of total material)	Conformance	The Entity has established a 'CoC Document Issuance Management Procedure', which defines that the CoC Document includes the mass of total material in the shipment.
9.3a Sustainability Data (optional) - Carbon footprint	Not Applicable	This Criterion is not applicable to the Entity, as it has chosen not to include the optional Sustainability Data in the CoC Document for the CoC Material. The Entity has established a 'CoC Document Issuance Management Procedure', which specifies that a CoC Document may incorporate relevant Sustainability Data if it chooses to include this information in the future.
9.3b Sustainability Data (optional) - Origin information	Not Applicable	This Criterion is not applicable to the Entity, as it has chosen not to include the optional Sustainability Data in the CoC Document for the CoC Material.
9.3c Sustainability Data (optional) - Recycled content	Not Applicable	The Entity has established a 'CoC Document Issuance Management Procedure', which specifies that a CoC Document may incorporate relevant Sustainability Data if they choose to include this information in the future.
9.3d Sustainability Data (optional) - Post-Casthouse ASI Certification status	Not Applicable	This Criterion is not applicable to the Entity, as it has chosen not to include the optional Sustainability Data in the CoC Document for the CoC Material.
9.4 Supplementary Information (optional) - Objective evidence	Not Applicable	The Entity has established a 'CoC Document Issuance Management Procedure', which specifies that a CoC Document may incorporate relevant Sustainability Data if they choose to include this information in the future.

CRITERION	RATING	COMMENT
9.5 Verification of Information	Conformance	The Entity has established a system that has defined a response mechanism to reasonable requests from external interested parties. The Entity has appointed one responsible employee to issue CoC Documents, who should respond to reasonable requests for verification of information in CoC Documents issued by the Entity.
9.6 Error (Shipping)	Conformance	The Entity has established a system that defines a response mechanism to reasonable requests from external interested parties. The Entity has appointed a responsible employee to issue CoC Documents and to respond to reasonable requests for verification of information in CoC Documents issued by the Entity.
10. RECEIVING COC DOCUMENTS		
10.1 Verification of CoC Documents	Conformance	The Entity has implemented a procedure that prescribes all required information in received CoC Documents are to be verified.
10.2 Verification of Consistency Between CoC Documents and CoC Material	Conformance	The Entity has implemented a procedure that prescribes the Procurement Department and Comprehensive System Department should verify the consistency of received CoC Documents with the accompanying CoC Material or Eligible Scrap before recording information in their Material Accounting System or manual record ledgers. The Entity has not yet purchased any CoC Material or Eligible Scrap.
10.3 Verification of Supplier's ASI CoC Certification	Conformance	The Entity has implemented a procedure that prescribes the Procurement Department and Comprehensive System Department checks the ASI website regularly to verify the validity and scope of the supplier's ASI CoC Certification for any changes that might affect the status of the supplied CoC Material or Eligible Scrap.
10.4 Error (Reception)	Conformance	The Entity has established a procedure defined the disposal process for a discovered error after COC Material receiving. The Entity and the supplying party documents the error and the agreed steps taken to correct it and implement actions to avoid a recurrence. There has been no Coc Material received to date.
11. CLAIMS AND COMMUNICATIONS		
11.1a Claims and Communications (ASI Claims Guide)	Conformance	The Entity has established an ASI CoC Claim and Communication Controlling Procedure. The procedure defines the claim, and communication should be made in a manner and form consistent with the ASI Claims Guide.
11.1b Claims and Communications (Verifiable evidence)	Conformance	The Entity has established an ASI CoC Claim and Communication Controlling Procedure. The procedure defines the claim, and communication should be made in a manner and form consistent with the ASI Claims Guide. The Entity has not yet made any external claim or communication about ASI CoC Certification.
11.1c Claims and Communications (Employee training)	Conformance	Relevant employees understand and can communicate relevant claims and representations. The Entity has not yet made any external claim or communication about ASI CoC Certification.

ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable law, or will avoid any Breach from occurring.

DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	20 November 2025	Initial Certification Audit – Full Certification