

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

Dicastal KSM Autoparts Chengdu Co., Ltd.

CERTIFICATE NUMBER
473

ASI STANDARD
**PERFORMANCE
STANDARD
(V3.1 2023)**

CERTIFICATION LEVEL
**FULL
CERTIFICATION**

ASI ACCREDITED
AUDITING FIRM
**SGS-CSTC
STANDARDS
TECHNICAL SERVICES**

DATE OF ISSUE
19 DECEMBER 2025

DATE OF EXPIRY
18 DECEMBER 2028

CERTIFIED SINCE
19 DECEMBER 2025

AUTHORISED BY

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Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
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*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at
www.aluminium-stewardship.org*

CERTIFICATION SCOPE

Design and manufacture for die
casting parts at Dicastal KSM
Autoparts Chengdu Co., Ltd.
located in Chengdu City, Sichuan
Province, China.

AUDIT REPORT PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	CITIC Dicastal Co.,Ltd.
ENTITY NAME	Dicastal KSM Autoparts Chengdu Co., Ltd.
CERTIFICATION SCOPE	Design and manufacture for die casting parts at Dicastal KSM Autoparts Chengdu Co., Ltd. located in Chengdu City, Sichuan Province, China.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">Material Conversion
ASI STANDARD	Performance Standard V3.1
AUDIT TYPE	<ul style="list-style-type: none">Initial Certification Audit
AUDIT FIRM	SGS-CSTC Standards Technical Services
AUDIT DATE	<ul style="list-style-type: none">7 – 8 April 2025
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">30 April 2025
AUDIT SCOPE	<p>The Audit Scope included the design and manufacture of die casting parts at Dicastal KSM Autoparts Chengdu Co., Ltd.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">Material Conversion <p>All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.</p>
AUDIT OUTCOME	<ul style="list-style-type: none">Certification
AUDIT METHODOLOGY DECLARATION	<p>The Auditors confirm that:</p> <ul style="list-style-type: none"><input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.<input checked="" type="checkbox"/> The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.<input checked="" type="checkbox"/> The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.<input checked="" type="checkbox"/> The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
CERTIFICATION PERIOD	19 December 2025 – 18 December 2028

NEXT AUDIT TYPE	Surveillance Audit
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NEXT AUDIT DATE	18 June 2027
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CERTIFICATE NUMBER	473
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If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Dicastal KSM Autoparts Chengdu Co., Ltd. (the 'Entity') is located at No. 1168 Tiangong Avenue, Emerging Industries Park, Tianfu New Area, Chengdu City, Sichuan Province, China. The site is within an industrial zone approved by the government, with no environmentally sensitive areas nearby. The Xinxing Industrial Park is connected to Chengdu Science City in the south, the High-tech Zone in the west, the Economic Development Zone in the east, and Jinjiang District in the north.

The Entity was established in October 2015 as a wholly-owned subsidiary of CITIC Dicastal, with the operation commencing in January 2017. It occupies 13 hectares of land, with a plant area of approximately 50,000 square meters. Since production began, the Entity's annual sales volume has grown from 600,000 units in 2017 to 7.3 million units in 2024, with a designed annual production capacity of 40,000 tonnes at full ramp-up.

Leveraging the KSM Group's expertise in casting technologies and processes, the Entity has established advanced production lines for lightweight chassis and powertrain components. Its main products include Aluminium alloy automotive chassis parts such as steering knuckles, control arms and wheel carriers. Core processes include melting, casting, heat treatment, machining, assembly, and shipping. The Entity's major customers include Tesla, Volvo, Volkswagen, GAC, Geely, Peugeot, Changan, and XPeng.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of Systems, Residual Risk and Performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	Medium	Medium	Medium	MEDIUM
RISKS	Medium	Medium	Medium	MEDIUM
PERFORMANCE	Medium	High	Medium	MEDIUM
OVERALL	MEDIUM			

FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	The Entity has established a Management System to maintain awareness and ensure Compliance with Applicable Laws. Compliance status is disclosed in the Entity's Environmental, Social and Governance (ESG) Report: https://www.dicastal.com/Public/txcx/20250328/11.pdf
1.2 Anti-Corruption	Conformance	The Entity has established and implemented procedures and regulations for anti-Corruption. The implementation status of anti-Corruption measures is publicly disclosed in the Entity's ESG Report: https://www.dicastal.com/Public/txcx/20250328/11.pdf
1.3a-e Code of Conduct	Conformance	The Entity has established Guidelines and Policy Management Procedures, and conducts training and implementation in accordance with its regulations. The Entity's Code of Conduct is disclosed at: https://www.dicastal.com/Public/txcx/20250328/2.pdf
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Conformance	The Entity has established a Guidelines and Policy Management Procedure. The Policy is approved by the General Manager, implemented through training and disclosed at: https://www.dicastal.com/Public/txcx/20250328/1.pdf
2.2a-c Leadership	Conformance	The Entity has appointed a Management Representative who is responsible for implementing the ASI Performance Standard requirements and providing the necessary resources for implementation.
2.3a Environmental and Social Management Systems – Environmental	Conformance	The Entity has implemented a comprehensive Management System and has obtained ISO 14001:2015 certification.
2.3b Environmental and Social Management Systems – Social	Conformance	The Entity has established a Social Management System and Employee Handbook that addresses Human and Labour Rights, and provided training on their implementation.
2.4a-e Responsible Sourcing	Conformance	The Entity has implemented a Responsible Procurement Policy that addresses environmental, social, and governance issues. The Responsible Procurement Policy is disclosed at: https://www.dicastal.com/Public/txcx/20250328/3.pdf The Policy is communicated to suppliers, and the Entity periodically conducts audits, risk assessments and Due Diligence on its suppliers.
2.5a-g Environmental and Social Impact Assessments	Not Applicable	This Criterion is not applicable to the Entity, as there have been no New Projects or Major Changes since the Entity joined ASI. However, Environmental Impact Assessment and social stability evaluation will be conducted in accordance with regulations for New Projects and Major Changes. The Entity will also implement its environmental, social, and Human Rights management procedures. The Entity's current Environmental and Social Impact Management Plan is disclosed at:

CRITERION	RATING	COMMENT
		https://www.dicastal.com/Public/txcx/20250328/5.pdf
2.6a-h Human Rights Impact Assessment	Not Applicable	This Criterion is not applicable to the Entity, as there have been no New Projects or Major Changes since the Entity joined ASI. However, the Entity will implement its environmental, social, and Human Rights management procedures, and will conduct a Human Rights Impact Assessment for New Projects and Major Changes.
2.7a-f Emergency Response Plan	Conformance	<p>The Entity has identified emergency situations, developed Emergency Plans and filed them with the local authorities. Drills are conducted according to the Plans, and evaluations of effectiveness are undertaken.</p> <p>The Production Safety Emergency Plan is available at: https://www.dicastal.com/Public/txcx/20250328/8.pdf</p> <p>The Environmental Incident Emergency Plan is available at: https://www.dicastal.com/Public/txcx/20250328/9.pdf</p>
2.8a-d Suspended Operations	Conformance	The Entity has established a procedure on the suspension of operations. Upon such an occurrence, the procedure ensures the production plan is adjusted according to the actual situation, while timely monitoring Policy changes and communicating with the government to reduce the impact on the environment and society. There have been no changes in the Business.
2.9a-b Mergers and Acquisitions	Conformance	The Entity has established procedures to review environmental, social, and governance issues during the Due Diligence process for mergers and acquisitions. To date, no mergers or acquisitions have occurred.
2.10a-b Closure, Decommissioning and Divestment	Conformance	The Entity has established procedures to review environmental, social, and governance issues during the closure, retirement, and divestment planning process. To date, there have been no closures, retirements, or divestments.
3. TRANSPARENCY		
3.1a-b Sustainability Reporting	Conformance	The Entity has published an Environmental, Social and Governance (ESG) Report, available at: https://www.dicastal.com/Public/txcx/20250328/11.pdf
3.2 Non-compliance and Liabilities	Conformance	There have been no fines or penalties imposed on the Entity and no issues raised during on-site government inspections in the past year.
3.3a-c Payments to Governments	Conformance	The Entity makes payments to the government in accordance with the law. Financial audit reporting is conducted annually by an external Third Party. Relevant disclosures are available in the ESG Report, available at: https://www.dicastal.com/Public/txcx/20250328/11.pdf
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	The Entity has established relevant procedural regulations and has provided training on implementation. Details of the Complaints Resolution Mechanism are available across procedures for internal and external communication and feedback management, available at: https://www.dicastal.com/Public/txcx/20250328/17.pdf

CRITERION	RATING	COMMENT
		https://www.dicastal.com/Public/txcx/20250328/18.pdf There have been no complaints to date.
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Conformance	The Entity has implemented the Product Environmental Life Cycle Management Procedure, which defines the entire Product lifecycle of a Geely kx11 front steering knuckle. All of the Entity's Products are produced using the same process.
4.1b-c Environmental Life Cycle Assessment - Disclosure	Minor Non-Conformance	The Entity has implemented the Product Environmental Life Cycle Management Procedure, which defines the entire Product lifecycle of a Geely kx11 front steering knuckle. All of the Entity's Products are produced using the same process. A Third Party certified Life Cycle Assessment (LCA) report was developed in March 2025 and is available at: https://www.dicastal.com/Public/txcx/20250328/19.pdf The LCA report requires improvement however as it does not include a 'cradle-to-gate' approach, the recycling scenario parameters are assumptions based on industry research and conservative principles, which do not match the actual situation, and the type of raw Aluminium and the corresponding emissions factors are not disclosed.
4.2 Product Design	Conformance	The Entity has implemented the Product Design Management System and Implementation Plan to ensure Product design considers lightweight design principles, selection of appropriate materials and reduction of unnecessary materials and structures to improve product quality and performance. The Entity's Lean Department is mainly responsible for iterative upgrade projects to provide on-site improvement plans and supervise implementation to achieve sustainability goals.
4.3a-b Aluminium Process Scrap	Minor Non-Conformance	According to on-site visits, the Entity collects and classifies returned materials, where the first-level return materials from the production workshop are 100% recycled and remelted and second- and third-level return materials are transferred to a third party for treatment as solid waste. The 100% recycling goal however has not been achieved so far.
4.4a-c Collection and Recycling of Products at End of Life - Material Conversion and other Manufacturing	Minor Non-Conformance	The Entity mainly produces Aluminium alloy automobile chassis parts and sells these Products to vehicle manufacturers for assembly into finished products for sale in the market. Post-Consumer product recycling is mainly the waste parts of returned products in the market, which currently accounts for a very small proportion. Since the Entity produces the core safety parts of the automobile, their lifespan is the same as that of the whole vehicle, and Post-Consumer recycling is handled by the used car market. The Entity's Aluminium recycling development plan does not, however, distinguish between Pre-Consumer and Post-Consumer Scrap.
4.4d Collection and Recycling of Products at End of Life	Minor Non-Conformance	The Engineering Institute of the Entity's headquarters will participate in exchanges and communications with the recycled Aluminium industry from time to time. At the same time, the Entity's headquarters is planning to build a recycled Aluminium smelting centre, which will be gradually accepted and used by various subsidiaries to improve the recycling rate of their Aluminium-containing products in related

CRITERION	RATING	COMMENT
		<p>markets. For the ESG Report, please refer to: https://www.dicastal.com/Public/tcx/20250328/11.pdf</p> <p>The Entity has plans to establish Aluminium Scrap recycling Facilities at its headquarters, however, it cannot demonstrate that it has taken concrete steps to advance the construction of a Post-Consumer Aluminium Scrap recycling system.</p>
5. GREENHOUSE GAS EMISSIONS		
5.1a-b Disclosure of GHG Emissions and Energy Use	Minor Non-Conformance	<p>The Entity has commissioned a consulting agency to assess Greenhouse Gas (GHG) emissions and energy use. The GHG emissions data inventory from 2023 includes carbon dioxide, methane, nitrous oxide, and hydrofluorocarbons and covers Scope 1, 2 and 3 emissions. The 2023 GHG Emissions Verification Statement, verified by Wantai, is disclosed at: https://www.dicastal.com/Public/tcx/20250328/45.pdf</p> <p>The GHG emissions does not adequately account for emissions from the procurement of Aluminium raw materials, however, resulting in a lack of rigour and credibility.</p>
5.2a Aluminium Smelter GHG Emissions Intensity – Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity – In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a GHG Emissions Reduction Plans	Conformance	The Entity has used the ASI Entity-level GHG Reduction Pathway methodology to calculate the 2024-2027 casting process slope value and casting procurement slope value based on 2023 as the base year. The GHG Emissions Reduction Plan and Pathway has been disclosed at: https://www.dicastal.com/Public/tcx/20250328/49.pdf
5.3b-e GHG Emissions Reduction Plans – Targets, review and disclosure	Conformance	<p>The Entity has used the ASI Entity-level GHG Reduction Pathway methodology to calculate the 2024-2027 casting process slope value and casting procurement slope value based on 2023 as the base year. The Entity has published a time-bound GHG emissions reduction target. The plan to achieve the reduction target covers Direct and Indirect GHG Emissions reductions.</p> <p>The GHG Emissions Reduction Plan and Pathway has been disclosed at: https://www.dicastal.com/Public/tcx/20250328/49.pdf</p> <p>The Entity has established a GHG Control and Management Procedure that requires an annual review of the GHG inventory, as well as a review following any changes in the Business. The Entity's GHG emissions management is generally controlled according to the planned procedures.</p>
5.4 GHG Emissions Management	Conformance	The Entity has implemented the GHG Control and Management Procedure and a carbon and environmental emissions reduction plan. A carbon emissions Management System has been implemented to achieve performance consistent with the Entity's GHG Emissions

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		Reduction Plan and goals. The Entity discloses emissions reduction performance to the public on a regular basis.
6. EMISSIONS, EFFLUENTS AND WASTE		
6.1a-f Emissions to Air	Conformance	<p>The Entity has obtained ISO 14001:2015 certification and has established and implemented Management Systems related to air emissions, which addresses particulate matter, nitrogen oxides, sulphur dioxide, and Ringelmann blackness. The Entity has installed emissions purification facilities in accordance with its Environmental Impact Assessment (EIA). The Entity is not a key monitoring site, however, it undertakes monitoring activities as required. The Entity tracks the completion of its emissions reduction targets annually. The Entity's 2025 Environmental Protection, Energy Conservation and Emissions Reduction Plan is disclosed at: https://www.dicastal.com/Public/txcx/20250328/50.pdf</p> <p>The latest annual quantified emissions information for air pollutants is disclosed in the ESG Report, page 54: https://www.dicastal.com/Public/txcx/20250328/11.pdf</p>
6.2a-g Discharges to Water	Conformance	<p>The Entity controls Discharges to Water by using water pollutant treatment facilities and conducting regular monitoring to ensure compliance with local statutory discharge limits. The Entity has quantified and reported discharges that have adverse effects on humans or the environment. The Entity's 2025 Environmental Protection, Energy Conservation and Emissions Reduction Plan is disclosed at: https://www.dicastal.com/Public/txcx/20250328/50.pdf</p> <p>The latest annual quantified emissions information for water pollutants is disclosed in the ESG Report, page 54: https://www.dicastal.com/Public/txcx/20250328/11.pdf</p>
6.3a-g Assessment and Management of Spills and Leakages	Conformance	<p>The Entity has assessed the risk areas for potential contamination of air, water and/or soil and has implemented an Environmental Emergency Plan. Risks are assessed annually. The Entity's Spills and Leakages Management Procedure address the review of the Plan following a Spill or Leakage, upon any change in the Business that alters the risks or any control gaps.</p> <p>The Production Safety Emergency Plan is available at: https://www.dicastal.com/Public/txcx/20250328/8.pdf</p> <p>The Environmental Incident Emergency Plan is available at: https://www.dicastal.com/Public/txcx/20250328/9.pdf</p>
6.4a-b Public Disclosure of Spills and Leakages	Conformance	<p>The Entity has implemented an Emergency Preparedness and Response Control Procedure, which addresses, among numerous items, the establishment of an emergency organisational structure and emergency rescue team. The emergency liaison group is responsible for communication and coordination, including informing affected persons. Records of emergency drills undertaken in 2025 are retained. The Entity's ESG Report discloses that there were no Spills or Leakages in 2023, available at: https://www.dicastal.com/Public/txcx/20250328/11.pdf</p>
6.5a-c Waste Management and Reporting	Conformance	<p>The Entity has established the Waste Control Procedure to conduct intelligent online supervision and management ('waste-free Sichuan') related to the collection, storage, transportation, utilisation and</p>

CRITERION	RATING	COMMENT
		<p>disposal of Waste, ensuring that all pollutants are safely and harmlessly handled in accordance with relevant national laws. The procedure defines waste management strategies, including how to minimise, reuse, classify and dispose of Waste. Waste reduction is achieved through optimisation technology. The Entity discloses the amount of Hazardous and Non-Hazardous Waste (general waste) generated, available at:</p> <p>Sichuan Province Solid Waste Environmental Management Information System, including hazardous waste and general solid waste https://www.sczwfw.gov.cn/jjq/front/channel/deptSwitch?areaCode=510000000000</p> <p>Clean Production Audit Enterprise Information Disclosure: https://mp.weixin.qq.com/s/DROMpv0x8EzGMlm9ZR7pXA</p>
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7. WATER STEWARDSHIP		
7.1a-b Water Assessment and Disclosure	Conformance	<p>The Entity's current annual water consumption is less than 500,000 cubic meters. The Entity sources water for production and domestic uses from Chengdu Water Supply Co., Ltd. Sewage is connected to the Anyi Industrial Park sewage network for unified treatment, which is further treated at the Baoying Xianhe Sewage Treatment Plant.</p> <p>The Entity has undertaken an Environmental Impact Assessment (EIA) and a water resource risk assessment, which concluded that the Entity's groundwater environmental sensitivity is classified as 'not sensitive' and the water resource risk is low. The Entity identifies, records and publicly discloses its water withdrawal and use by source and type annually. The water-related risk assessment is disclosed at: https://www.dicastal.com/Public/txcx/20250328/47.pdf</p>
7.2a-e Water Management	Not Applicable	This Criterion is not applicable to the Entity, as the EIA and water resource risk assessment determined that the Entity's groundwater environmental sensitivity is classified as 'not sensitive' and the water resource risk is low.
8. BIODIVERSITY AND ECOSYSTEM SERVICES		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Conformance	<p>The Entity has assessed the risks and potential impacts to Biodiversity and Ecosystem Services as low. Relevant control measures are included in the 2024 Invasive Alien Species Inquiry Assessment Report and the Biodiversity Assessment Report, available at: https://www.dicastal.com/Public/txcx/20250328/36.pdf</p>
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity, as they have assessed the risks and potential impacts on Biodiversity and Ecosystem Services as low.

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8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable to the Entity, as they have assessed the risks and potential impacts on Biodiversity and Ecosystem Services as low. Regardless, the Entity has established a Biodiversity Management Plan, available at: https://www.dicastal.com/Public/txcx/20250328/37.pdf
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity, as they have assessed the risks and potential impacts on Biodiversity and Ecosystem Services as low.
8.4 Alien Species	Conformance	The Entity actively prevents the accidental or intentional introduction of Alien Species that could have significant adverse impacts on Biodiversity. No Alien Species have been introduced.
8.5a-b Commitment to “No Go” in World Heritage Properties	Conformance	The Entity is located in a government-designated industrial zone and has committed not to explore or build New Projects in World Heritage Properties.
8.6a-d Protected Areas	Conformance	The Entity is located in a government-designated industrial zone that is not within a Protected Area, and its production and business activities are carried out within the industrial zone.
8.6e Protected Areas – Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity’s Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity’s Certification Scope.
9. HUMAN RIGHTS		
9.1a-d Human Rights Due Diligence	Conformance	<p>The Entity has established a Human Rights Due Diligence Management Procedure that addresses the management measures and procedures necessary to assess, prevent and remedy potential adverse impacts on Human Rights based on the respect for Human Rights and compliance with the United Nations Guiding Principles on Business and Human Rights. The Entity conducted an external Due Diligence assessment in January 2024 and internally in March 2025, which covered areas including Child Labour and employee freedom. An employee satisfaction survey has been conducted. At present, there has been no change in the Entity and there is no gap in Human Rights control.</p> <p>The Entity’s Human Rights Policy has been disclosed at: https://www.dicastal.com/Public/txcx/20250328/6.pdf</p> <p>The Entity’s Women’s Protection Management Procedure also clarifies the scope of women’s rights and the requirements for prohibiting Discrimination.</p> <p>Management and employees interviewed during the Audit confirmed that no Human Rights violations have occurred in the Entity.</p>
9.2a-e Gender Equity and Women’s Empowerment	Conformance	The Entity identifies women’s legitimate rights and interests and implements control measures to ensure that these rights are met. The Women’s Protection Management Procedure defines the process for protecting women’s rights. The Entity publishes the effectiveness of measures to promote gender equality in its ESG Report, available at: https://www.dicastal.com/Public/txcx/20250328/11.pdf

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9.3a-i Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples within the Entity's Area of Influence. However, the Entity has an Indigenous Peoples Protection Policy that addresses the respect for customs and rights of ethnic minorities and local residents, available at: https://www.dicastal.com/Public/tcx/20250328/39.pdf
9.4a Free, Prior, and Informed Consent (FPIC) – New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples within the Entity's Area of Influence.
9.4b Free, Prior, and Informed Consent (FPIC) – Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4c Free, Prior, and Informed Consent (FPIC) – Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples within the Entity's Area of Influence.
9.5a Cultural and Sacred Heritage – Identification	Not Applicable	This Criterion is not applicable to the Entity, as there are no cultural or sacred heritage or values within the industrial park where the Entity is located.
9.5b Cultural and Sacred Heritage – Impacts	Not Applicable	This Criterion is not applicable to the Entity, as there are no cultural or sacred heritage or values within the industrial park where the Entity is located.
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity, as no resettlement has been required by the Entity, which is located in a government planned industrial zone.
9.7a-h Affected Populations and Organisations	Conformance	There are no community residential areas or sensitive populations within one kilometre of the Entity. During planning and construction of the facility, the Entity consulted with surrounding enterprises and disclosed the project construction to the Local Community.
9.8a Conflict-Affected and High-Risk Areas – Strong management systems	Conformance	The Entity has implemented Conflict Minerals Control Management Measures, which require the identification of supply chain risks in known and potential Conflict Affected and High-Risk Areas (CAHRAs) and propose response plans as necessary. Based on the review of the CAHRAs records, it was noted that all suppliers are from China.
9.8b Conflict-Affected and High-Risk Areas – Identify and assess risks	Conformance	The Entity has implemented Conflict Minerals Control Management Measures and conducted a risk assessment. Management interviews and inspection of purchase orders indicate that the Entity purchases raw Aluminium as raw material from manufacturers in China. No raw materials are required to be purchased from outside of China. The Entity has implemented appropriate Due Diligence measures to confirm that the Bauxite is sourced and transported domestically. The risk assessment results indicated that there is no risk of armed conflict or Human Rights violations in the Entity's supply chain.

CRITERION	RATING	COMMENT
9.8c Conflict-Affected and High-Risk Areas – Strategy to respond to risks	Not Applicable	This Criterion is not applicable to the Entity, as Due Diligence processes did not identify any actual or potential risks. Based on the review of the CAHRAS records, it was noted that all suppliers are from China.
9.8d Conflict-Affected and High-Risk Areas – Audit of due diligence	Conformance	<p>Due Diligence has been conducted in accordance with the Conflict Minerals Control Management Measures and Due Diligence Management Regulations. Due Diligence records have been maintained.</p> <p>The Entity's Due Diligence practices and risk assessment were reviewed as part of this ASI Audit, which meets the requirements of this Criterion.</p>
9.8e Conflict-Affected and High-Risk Areas – Report annually	Conformance	<p>The Entity has established thee Conflict Minerals Control Management Measures, conducted risk assessments and disclosed the results in the ESG Report, available at:</p> <p>https://www.dicastal.com/Public/txcx/20250328/48.pdf</p>
9.9 Security practice	Conformance	The Entity has implemented security practices to respect Human Rights, which mandate that security guards respect Human Rights. All security guards have been trained in Human Rights requirements. No security-related Human rights violations have been reported to date.
10. LABOUR RIGHTS		
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	This Criterion is not applicable to the Entity, as it complies with Applicable Law in China regarding the Freedom of Association and the right to Collective Bargaining.
10.1d Freedom of Association and Right to Collective Bargaining – Alternative means in context of Applicable Law	Conformance	The Entity has established Policies to protect Workers' rights regarding Freedom of Association and Collective Bargaining. The Entity has implemented procedures for the establishment and election of employee committees. Worker representatives communicate the concerns of employees to management.
10.2a-c Child Labour	Conformance	The Entity has a Prohibition of Child Labour Policy and Workers must be at least 18 years old. Based on review of records and interviews during the Audit, it was confirmed that there are no Child Labour or underage Workers at the Entity. Child Labour is prohibited in China, and underage workers (aged 16 to 18) are specially protected by law and are not allowed to work in hazardous working conditions.
10.3a-c Forced Labour	Conformance	<p>The Entity explicitly prohibits Forced Labour. Interviews with employees and review of documentation confirmed that all Workers have signed labour contracts. The Entity has not directly or through any employment or recruitment agency participated in or supported Human Trafficking. Worker interviews and documentation review confirmed that there is no illegal deduction of wages, Debt Bondage, debt repayment, or any other form of Forced Labour at the Entity.</p> <p>The Entity has disclosed a Declaration on Modern Slavery, available at: https://www.dicastal.com/Public/txcx/20250328/42.pdf</p>
10.4a-c Non-Discrimination	Conformance	The Entity has established employment management procedures that include anti-Discrimination provisions to ensure equal opportunities, and that the Entity does not discriminate or support Discrimination

CRITERION	RATING	COMMENT
		<p>based on gender, race, nationality or social origin, religion, disability, political affiliation, sexual orientation, marital status, family responsibilities, age, or any other circumstances that may lead to Discrimination in the recruitment, salary, promotion, training, promotion opportunities, or dismissal of any Worker, in accordance with International Labour Organization conventions C100 and C111. No Discrimination cases have been received by the Entity.</p> <p>The Entity has established controls to ensure that men and women receive equal pay for equal work. Employees in the same position receive equal pay, and interviews with employees confirms this.</p> <p>The Entity promotes a culture of anti-Discrimination through training, advocacy and internal communication.</p>
10.5 Communication and engagement	Conformance	The Entity has developed internal and external communication procedures and feedback management procedures to ensure direct and frequent communication with Workers and Worker representatives regarding the resolution of working conditions, workplace, and compensation issues, without the threat of retaliation, intimidation, Violence or Harassment.
10.6a-g Violence and Harassment	Conformance	<p>The Entity has established Policies to prevent workplace Violence and Harassment and Complaints Resolution Mechanisms in the event of Violence or Harassment. The Entity respects its employees, and disciplinary actions comply with legal provisions. Worker interviews conducted during the Audit confirmed that there is no violent behaviour or Harassment at the Entity. The Entity's ESG Report addresses Violence and Harassment:</p> <p>https://www.dicastal.com/Public/tcx/20250328/11.pdf</p>
10.7a-c Remuneration	Conformance	<p>The Entity's wage structure is clearly defined, and the monthly comprehensive salary meets the local minimum wage requirements. The Entity has purchased five social insurances and one housing fund for all employees. The total salary meets the basic needs of Workers. The Entity has established a salary management control program that processes monthly payment of wages in legal currency with complete records. Bank transfer records were sampled during the Audit.</p>
10.8a-c Working Time	Conformance	The Entity has clearly defined the Working Time requirements for employees. The workshop implements a 'three shift, two operations' mode. According to employee interviews and review of attendance records, Workers do not work continuously over a seven-day period nor exceed an average of eight hours over a six month period. Management personnel work five days a week, with two days off.
10.9a-b Informing Workers of Rights	Conformance	The Entity has various measures such as an Employee Manual, employment management Procedures and Human Rights guidelines that inform employees of their rights at work including Freedom of Association and Collective Bargaining rights through training, regular meetings, and other means.
11. OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Management System	Conformance	The Entity has implemented an Occupational Health and Safety (OH&S) Management System and has a valid ISO 45001:2018 certificate. The Entity conducts annual management review and reporting.

CRITERION	RATING	COMMENT
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Conformance	The Entity conducts an annual management review of its OH&S Management System. The Entity has disclosed the effectiveness of its OH&S Management System in the 2024 Management Review Report, available at: https://www.dicastal.com/Public/txcx/20250328/43.pdf
11.2 Employee engagement on Health and Safety	Conformance	The Entity has established a Safety Committee that includes frontline employees who participate in OH&S hazard identification and incident handling.

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DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	19 December 2025	Initial Certification Audit – Full Certification