

# ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

# Shandong Yuhang Special Alloy Equipment Co., Ltd

CERTIFICATE NUMBER

334

ASI STANDARD

PERFORMANCE  
STANDARD  
(V3.1 2023)

CERTIFICATION LEVEL

FULL  
CERTIFICATION

ASI ACCREDITED  
AUDITING FIRM

BUREAU VERITAS  
CERTIFICATION

DATE OF ISSUE

20 NOVEMBER 2023

DATE OF EXPIRY

19 NOVEMBER 2026

CERTIFIED SINCE

20 NOVEMBER 2023

AUTHORISED BY

A stylized, handwritten signature in black ink, likely representing the Aluminium Stewardship Initiative Ltd.

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*Validity of this Certificate is subject to  
continued conformance with the  
applicable ASI Standard and can be  
verified at  
[www.aluminium-stewardship.org](http://www.aluminium-stewardship.org)*

CERTIFICATION SCOPE

Manufacturing of Aluminium rod,  
Aluminium alloy industrial profile,  
and Aluminium alloy accessories,  
which includes Aluminium re-  
melting/refining and casthouse  
processing at the Shandong  
Yuhang Special Alloy Equipment  
Co., Ltd production plant (China).

# AUDIT REPORT PERFORMANCE STANDARD

## OVERVIEW

MEMBER NAME	Shandong Yuhang Special Alloy Equipment Co., Ltd
ENTITY NAME	Shandong Yuhang Special Alloy Equipment Co., Ltd
CERTIFICATION SCOPE	Manufacturing of Aluminium rod, Aluminium alloy industrial profile, and Aluminium alloy accessories, which includes Aluminium re-melting/refining and casthouse processing at the Shandong Yuhang Special Alloy Equipment Co., Ltd production plant (China).
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none"><li>Aluminium Re-melting/Refining</li><li>Casthouses</li><li>Semi-Fabrication</li><li>Material Conversion</li><li>Other manufacturing or sale of products containing Aluminium</li></ul>
ASI STANDARD	Performance Standard V3.1
AUDIT TYPE	<ul style="list-style-type: none"><li>Initial Certification Audit (7 – 11 August 2023)</li><li>Surveillance Audit (19 May – 21 July 2025)</li></ul>
AUDIT FIRM	Bureau Veritas Certification
AUDIT DATE	<ul style="list-style-type: none"><li>7 – 11 August 2023 (Initial Certification Audit)</li><li>19 May – 21 July 2025 (Surveillance Audit)</li></ul>
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none"><li>18 September 2023 (Initial Certification Audit)</li><li>11 July 2025 (Surveillance Audit)</li></ul>
AUDIT SCOPE	<p><u>Initial Certification Audit (7 – 11 August 2023)</u></p> <p>The Audit Scope covers the manufacturing of Aluminium rod, Aluminium alloy industrial profile, and Aluminium alloy accessories at the Shandong Yuhang Special Alloy Equipment Co., Ltd production plant (China).</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none"><li>Aluminium Re-melting/Refining</li><li>Casthouses</li><li>Semi-Fabrication</li><li>Material Conversion</li><li>Other manufacturing or sale of products containing Aluminium</li></ul> <p>All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.</p> <p><u>Surveillance Audit (19 May – 21 July 2025)</u></p>

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The Audit Scope covers the manufacturing of Aluminium rod, Aluminium alloy industrial profile, and Aluminium alloy accessories at the Shandong Yuhang Special Alloy Equipment Co., Ltd production plant (China).

Supply chain activities included in the Audit Scope:

- Aluminium Re-melting/Refining
- Casthouses
- Semi-Fabrication
- Material Conversion
- Other manufacturing or sale of products containing Aluminium

All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.

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AUDIT OUTCOME

- Certification

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AUDIT METHODOLOGY  
DECLARATION

The Auditors confirm that:

- ☒ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
- ☒ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
- ☒ The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
- ☒ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

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CERTIFICATION PERIOD

20 November 2023 – 19 November 2026

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NEXT AUDIT TYPE

Re-Certification Audit

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NEXT AUDIT DATE

19 November 2026

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CERTIFICATE NUMBER

334



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

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## ENTITY OVERVIEW

The Shandong Yuhang Special Alloy Equipment Co., Ltd (the 'Entity') was established in 2011 and manufactures Aluminium rod, Aluminium alloy industrial profile, and Aluminium alloy accessories. The Entity is located within an industrial park developed by the local government and the area under management is approximately 268,800 square metres. The Facility includes on-site accommodation, recreation Facilities, canteen, workshop and laboratory and chemical storage.

The Entity employs approximately 435 employees on site, with most employees from the local area. The Entity engages regularly with the surrounding communities.

## MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of Systems, Residual Risk and Performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
<b>SYSTEMS</b>	Medium	Medium	Medium	MEDIUM
<b>RISKS</b>	Medium	Medium	Medium	MEDIUM
<b>PERFORMANCE</b>	Low	Medium	Medium	MEDIUM
<b>OVERALL</b>	MEDIUM			

## FINDINGS

CRITERION	RATING	COMMENT
<b>1. BUSINESS INTEGRITY</b>		
1.1 Legal Compliance	Conformance	The Entity has established a Laws and Regulations Control Procedure to ensure Compliance with National and International laws. It includes detailed guidelines for conducting Compliance audits and a comprehensive list of all Applicable Law. The Environment, Health and Safety (EHS) and Administration Departments are responsible for the annual identification and assessment of legal regulations.
1.2 Anti-Corruption	Conformance	The Entity has implemented an Anti-Corruption and Anti-Bribery Management Procedure that addresses all relevant requirements. An Anti-Bribery team conducts regular assessments, establishes Bribery risk levels, and provides ongoing employee training. The Administration Department annually reviews and evaluates the effectiveness of Anti-Bribery measures to assess whether they are sufficient and to determine the Entity's Bribery risk status.
1.3a-e Code of Conduct	Conformance	The Entity has established a Code of Conduct that incorporates environmental, social, and governance principles. An internal audit and management review are conducted annually, with updates made as needed to reflect changes in laws or Business trends. Staff awareness is facilitated through regularly meetings and training. The Code of Conduct is publicly available at: <a href="https://www.yuhangalloy.com/data/download/202505/14/9bbc2d71bd6ba72f75f1cf465dc203c4.pdf">https://www.yuhangalloy.com/data/download/202505/14/9bbc2d71bd6ba72f75f1cf465dc203c4.pdf</a>
<b>2. POLICY AND MANAGEMENT</b>		
2.1a-f Environmental, Social, and Governance Policy	Minor Non-Conformance	The Entity has established an Environmental, Social, and Governance (ESG) Policy, approved by the plant General Manager. The Policy is publicly available at: <a href="https://www.yuhangalloy.com/data/download/202505/14/a7df939edb90b210ba7cb7297670597.pdf">https://www.yuhangalloy.com/data/download/202505/14/a7df939edb90b210ba7cb7297670597.pdf</a> The Entity has established an ASI Performance Standard Manual, which includes Policies and procedures.  Based on Worker interviews undertaken during the Audit, some employees are not however familiar with the ESG Policy.
2.2a-c Leadership	Conformance	A senior Management Representative has been appointed with overall responsibility and authority for ensuring conformance with the ASI Performance Standard. This role includes clearly defined responsibilities, including the authority to allocate resources necessary to establish, implement, maintain, and improve the Entity's Management Systems.
2.3a Environmental and Social Management Systems – Environmental	Conformance	The Entity has implemented and documented an Environmental Management System and holds a valid ISO 14001:2015 Certification.
2.3b Environmental and Social Management Systems – Social	Conformance	The Entity has developed and implemented an integrated Management System, including Certifications such as ISO 14001:2015 and ISO 45001:2018. The Entity has also established the SA 8000 Social Management System and ASI Management System. Internal audits

CRITERION	RATING	COMMENT
		and management reviews are conducted annually to ensure the effectiveness of the ASI Management System.
2.4a-e Responsible Sourcing	Conformance	The Entity has developed and implemented Policies, systems, procedures, and processes that align with responsible sourcing requirements. The Responsible Sourcing Policy is reviewed at least every five years or following any Business changes that affect Material risks. Supplier assessments are conducted, with annual evaluations of suppliers. The Entity's Purchasing-related Policies are available at: <a href="https://www.yuhangalloy.com/data/download/202505/14/a7df939edbf90b210ba7cb7297670597.pdf">https://www.yuhangalloy.com/data/download/202505/14/a7df939edbf90b210ba7cb7297670597.pdf</a>
2.5a-g Environmental and Social Impact Assessments	Not Applicable	This Criterion is not applicable to the Entity, as there have been no New Projects or Major Changes since it became an ASI member, and none are planned. In the event of New Projects or Major Changes, environmental, social, cultural, and Human Rights impact assessments, including a gender analysis, will be conducted as part of the mandatory project documentation reviews by responsible state bodies.
2.6a-h Human Rights Impact Assessment	Not Applicable	This Criterion is not applicable to the Entity, as there have been no New Projects or Major Changes since it became an ASI member, and none are planned. In the event of New Projects or Major Changes, environmental, social, cultural, and Human Rights impact assessments, including a gender analysis, will be conducted as part of the mandatory project documentation reviews by responsible state bodies.
2.7a-f Emergency Response Plan	Conformance	The Entity holds valid ISO 14001 and ISO 45001 Certifications. Emergency response plans have been developed and implemented, and are reviewed every five years, or after any changes to the Business or alterations in the nature or scale of emergency incident risks, in accordance with Chinese legal requirements. Personnel training and drill records were verified during the Audit. The emergency response plan is available at: <a href="https://www.yuhangalloy.com/data/download/202505/14/a5428c3e5183b7a37e33db3d2ecd197a.pdf">https://www.yuhangalloy.com/data/download/202505/14/a5428c3e5183b7a37e33db3d2ecd197a.pdf</a>
2.8a-d Suspended Operations	Conformance	The Entity has developed a Business resilience plan to address scenarios such as suspending or significantly altering operations due to conflict, pandemics, natural disasters, cyber-attacks, and other events.
2.9a-b Mergers and Acquisitions	Conformance	The Entity has established a Mergers and Acquisitions Management Procedure. To date, no Mergers and Acquisitions have occurred.
2.10a-b Closure, Decommissioning and Divestment	Conformance	Closure, decommissioning, and divestment activities are not planned in the near future. When applicable, these activities will be managed by corporate functional teams, who will review the environmental, social, and governance impacts and, where possible, consult with Affected Populations and Organisations.

### 3. TRANSPARENCY

CRITERION	RATING	COMMENT
3.1a-b Sustainability Reporting	Conformance	<p>The Entity has developed an annual Sustainability Report that outlines its sustainability approach and summarises its environmental and social impacts. The Report is also available at:  <a href="https://www.yuhangalloy.com/data/download/202506/06/2cb0c0e10beab17fff3b7a7f20c3715c.pdf">https://www.yuhangalloy.com/data/download/202506/06/2cb0c0e10beab17fff3b7a7f20c3715c.pdf</a></p>
3.2 Non-compliance and Liabilities	Conformance	<p>No instances of non-Compliance or liabilities were reported in the Sustainability Report. Searches on relevant corporate credit websites indicates that no such cases have been raised by Government agencies.</p>
3.3a-c Payments to Governments	Minor Non-Conformance	<p>The Entity only makes, or has made on its behalf, payments to Governments based on legal and contractual obligations. Taxes paid, in Compliance with legal requirements, are mentioned in the annual Sustainability Report, available at:  <a href="https://www.yuhangalloy.com/data/download/202506/06/2cb0c0e10beab17fff3b7a7f20c3715c.pdf">https://www.yuhangalloy.com/data/download/202506/06/2cb0c0e10beab17fff3b7a7f20c3715c.pdf</a></p> <p>The information on payments to Governments, however, is not clearly presented in the Report.</p>
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	<p>The Entity has established a Stakeholder complaints, grievances, and requests procedure to ensure transparency. This procedure includes publicly sharing the Entity's contact details, such as telephone number, email address, suggestion box, and other information, via a public notice board. It accepts inquiries, complaints, and appeals from all parties. The Human Resource Department is responsible for tracking and addressing Stakeholder requests and complaints through an appropriate Resolution Mechanism.</p> <p>The Entity has disclosed the complaint resolution process, available at:  <a href="https://www.yuhangalloy.com/data/download/202505/14/1fc2b7749763e93a957853c44bed1e4a.pdf">https://www.yuhangalloy.com/data/download/202505/14/1fc2b7749763e93a957853c44bed1e4a.pdf</a></p>
<b>4. MATERIAL STEWARDSHIP</b>		
4.1a Environmental Life Cycle Assessment	Minor Non-Conformance	<p>The Entity has developed and implemented Policies, systems, procedures, and processes to meet the Life Cycle Assessment (LCA) requirements. LCAs for all relevant Products were completed in accordance with the LCA management procedure. The LCA report is available at:  <a href="https://www.yuhangalloy.com/data/download/202505/14/4c3a876518d9da3e7163da5e0228342b.pdf">https://www.yuhangalloy.com/data/download/202505/14/4c3a876518d9da3e7163da5e0228342b.pdf</a></p> <p>The system boundary for Bauxite, however, was not considered in the LCA Report, which does not fully meet the requirements of ISO 14040 and 14044, as well as an inappropriate use of emission factors.</p>
4.1b-c Environmental Life Cycle Assessment - Disclosure	Conformance	<p>The Entity's LCA information is available upon customer request and can also be accessed at:  <a href="https://www.yuhangalloy.com/data/download/202505/14/4c3a876518d9da3e7163da5e0228342b.pdf">https://www.yuhangalloy.com/data/download/202505/14/4c3a876518d9da3e7163da5e0228342b.pdf</a>.</p>
4.2 Product Design	Conformance	<p>The Entity has established and implemented a Product design procedure that incorporates relevant sustainability objectives into the design and development process, including consideration of the environmental life cycle impacts of the end Products.</p>

CRITERION	RATING	COMMENT
4.3a-b Aluminium Process Scrap	Conformance	The Entity has minimised the generation of Aluminium Process Scrap within its operations, with a target of 100% collection, recycling, and/or reuse of generated Scrap. The Entity has a classification and management procedure for Scrap and Dross, ensuring different types of Aluminium Scrap are appropriately classified and disposed of by different smelters. Relevant records are maintained for review.
4.4a-c Collection and Recycling of Products at End of Life – Material Conversion and other Manufacturing	Conformance	The Entity has implemented a recycling strategy, including specific timelines, activities, and targets. Additionally, the Entity is actively engaging with its main customers to discuss ways to improve the recycling rate of Products at the end of their life. The recycling strategy is available at: <a href="https://www.yuhangalloy.com/data/download/202505/14/4c049355579f37e56159d6736c8760f7.pdf">https://www.yuhangalloy.com/data/download/202505/14/4c049355579f37e56159d6736c8760f7.pdf</a>
4.4d Collection and Recycling of Products at End of Life	Conformance	The Entity has developed a recycling strategy that prescribes specific timelines, activities, and targets. It i also engages its main customers to explore ways to improve recycling rates of Products at ‘end of life’. Currently, there are no comprehensive local, regional, or national Aluminium Scrap collection and recycling systems in China. To address this, the Entity is collaborating with its customer to enhance the recycling rate. Recycling contracts with customers for the collection of ‘end-of-life’ Product Scrap are in place, and related recycling records are maintained.

## 5. GREENHOUSE GAS EMISSIONS

5.1a-b Disclosure of GHG Emissions and Energy Use	Minor Non-Conformance	<p>The Entity has published its Material Greenhouse Gas (GHG) emissions and energy use by source on an annual basis, available at: <a href="https://www.yuhangalloy.com/data/download/202506/06/7f4f1d49af5057f799191958b4db3ebd.pdf">https://www.yuhangalloy.com/data/download/202506/06/7f4f1d49af5057f799191958b4db3ebd.pdf</a></p> <p>The GHG emission data are verified by a third party, and ISO 50001 certification is provided.</p> <p>The published emissions data, however, is incomplete, as the actual amount of Scope 3 emissions, a Material emission for the Entity’s sector, has not been included.</p>
5.2a Aluminium Smelter GHG Emissions Intensity – Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity’s Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity – In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity’s Certification Scope.
5.3a GHG Emissions Reduction Plans	Conformance	The Entity has established a GHG Emissions Reduction Plan, using the ASI GHG Pathways Calculation Tool. The reduction pathway is aligned with a 1.5°C warming scenario.
5.3b-e GHG Emissions Reduction Plans – Targets, review and disclosure	Conformance	The Entity has set a five-year GHG Emissions Reduction Target, using 2022 as the baseline year, with the goal of reducing GHG emissions per Product, based on the previous year’s performance. The primary strategy focuses on reducing unnecessary electricity consumption,



CRITERION	RATING	COMMENT
		<p>increasing the proportion of 'green energy', and optimising raw material procurement to reduce supply chain emissions.</p> <p>The Entity reviews the reduction plan annually or whenever significant Business changes occur that may impact baselines or targets.</p> <p>The Entity's GHG Emissions Reduction Plan is available at: <a href="https://www.yuhangalloy.com/data/download/202508/02/2dd9b2590ceee139243f10c04b615782.pdf">https://www.yuhangalloy.com/data/download/202508/02/2dd9b2590ceee139243f10c04b615782.pdf</a></p>
5.4 GHG Emissions Management	Conformance	<p>The Entity has obtained ISO 50001 Certification for its Energy Management System in 2019 and implemented a corresponding GHG Management System, which includes related tools, databases, inventories, and targeted emission reduction pathways. The Entity has established a GHG management procedure and an emission source identification table to monitor GHG emissions and ensure performance aligns with the Entity's GHG Emissions Reduction Plan and targets.</p>
<b>6. EMISSIONS, EFFLUENTS AND WASTE</b>		
6.1a-f Emissions to Air	Conformance	<p>Pollutants in air emissions are quantified in the Environmental Impact Assessment (EIA) report. Treatment Facilities have been installed and are operating effectively. The status of air emissions is regularly monitored and complies with local legal emission limits. A reduction plan to minimise exposure to and impacts from air emissions has been developed, available at: <a href="https://www.yuhangalloy.com/data/download/202505/14/cfdf23719a7cd2917d37209fa793d122.pdf">https://www.yuhangalloy.com/data/download/202505/14/cfdf23719a7cd2917d37209fa793d122.pdf</a></p>
6.2a-g Discharges to Water	Conformance	<p>Discharges to water are managed within the Environmental Management System. The Entity has set water reduction targets and established a related plan to minimise adverse impacts. Monitoring reports for wastewater demonstrate that major pollutants are included in the monitoring program, and that monitoring results meet local legal discharge limits. A reduction plan to minimise exposure to and impacts from water discharges has been developed, available at: <a href="https://www.yuhangalloy.com/data/download/202505/14/cfdf23719a7cd2917d37209fa793d122.pdf">https://www.yuhangalloy.com/data/download/202505/14/cfdf23719a7cd2917d37209fa793d122.pdf</a></p>
6.3a-g Assessment and Management of Spills and Leakages	Conformance	<p>The Entity has conducted regular Spill and Leakage assessments, which include a list of leak risk factors. No high-risk situations have been identified, and the Entity has implemented preventive actions and improvement programs for potential risks. The Spill and Leakage assessment and management plan is incorporated within the Environmental Management System and follows the control measures outlined in the Spill risk factors list.</p> <p>Major Spills and Leakages are managed by the Emergency Response Team (ERT), with communication protocols established. The assessment and management plan is reviewed on an annual basis as a minimum and is available at: <a href="https://www.yuhangalloy.com/data/download/202505/14/c2996a7f0c08d6916a0eae419e622170.pdf">https://www.yuhangalloy.com/data/download/202505/14/c2996a7f0c08d6916a0eae419e622170.pdf</a></p>
6.4a-b Public Disclosure of Spills and Leakages	Conformance	<p>The Entity's emergency response plan and associated guidance document both outline procedures for disposing of and reporting of Spills. In the event of a Spill, the Emergency Response Team (ERT)</p>

CRITERION	RATING	COMMENT
		<p>follows the established response process to address the situation. Drills are conducted annually to ensure the process remains up to date. No Spills have occurred in recent years. The emergency response plan has also specified that the Entity will report Spills to local authorities, affected units, and responsible individuals immediately, and disclose the incident in the annual Continual Development Report, which is available upon request.</p>
6.5a-c Waste Management and Reporting	Conformance	<p>The Entity's Environmental Management System has been certified to ISO 14001. Its Waste Management Procedure has defined the processes for collecting and disposing of all Waste, with continual improvement targets aimed at reducing Waste generation per unit. These targets are reviewed quarterly by the management team.</p> <p>The annual quantities of Hazardous and Non-Hazardous Waste generated by the Entity, and associated disposal methods are reported in its Sustainability Report, page 12, available at: <a href="https://www.yuhangalloy.com/data/download/202506/06/2cb0c0e10beab17ff3b7a7f20c3715c.pdf">https://www.yuhangalloy.com/data/download/202506/06/2cb0c0e10beab17ff3b7a7f20c3715c.pdf</a></p>
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Conformance	<p>The Entity's Dross Management Procedure has outlined the process for collecting and recycling Aluminium Dross before sending it to qualified contractors. Additionally, the Entity has internal processes for recycling Aluminium. Dross recycling management is reviewed monthly to identify opportunities for improvement and further reduce Dross. Aluminium Dross is recycled by a qualified contractor and treated as a material rather than Waste, thus eliminating the need for landfilling of Dross residue.</p>
7. WATER STEWARDSHIP		
7.1a-b Water Assessment and Disclosure	Conformance	<p>The Entity has conducted water-related risk analysis, including water balance assessments, to determine and map the sources and types of water it withdraws and uses. The Entity has developed a 2024 water balance statistical data table, that includes water resource management goals and plans, and a water balance chart.</p> <p>The Entity has completed water risk assessments, that has considered the industrial park, nearby land and local waterways within its Area of Influence. Due to the nature of the Products and production processes, as well as the closed-loop water Management Systems at most of the Entity's sites, the water-related risk in the local environment has been assessed as low. The Entity's water risk assessments is available at: <a href="https://www.yuhangalloy.com/data/download/202505/14/18dbd2a5e567514905a269957446322b.pdf">https://www.yuhangalloy.com/data/download/202505/14/18dbd2a5e567514905a269957446322b.pdf</a></p>
7.2a-e Water Management	Not Applicable	This Criterion is not applicable to the Entity as no significant water-related risks have been identified within the Entity's Area of Influence.
8. BIODIVERSITY AND ECOSYSTEM SERVICES		

CRITERION	RATING	COMMENT
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Conformance	The Entity has established procedures for protecting Biodiversity and has prepared a Biodiversity Risk Assessment Report. As the Entity is located in an industrial park developed by the local Government, the area does not contain protected flora or wildlife. As a result, there is no significant risk of Biodiversity impact.
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity as its Biodiversity Risk Assessment Report has identified the risk and potential impacts on Biodiversity and Ecosystem Services as low.
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable to the Entity as its Biodiversity Risk Assessment Report identified the risk and potential impacts on Biodiversity as low.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity as no Priority Ecosystem Services have been identified.
8.4 Alien Species	Conformance	The Entity has established procedures for the protection of Biodiversity, including relevant requirements for Alien Species. These procedures involve evaluating and controlling the risks of Alien Species that could be accidentally introduced through the Entity's operational activities. The Biodiversity Risk Assessment Report indicates that the only potential source for introducing Alien Species onto the Entity's premises are wooden pallets used for product packaging. To mitigate this risk, all pallets are fumigated before use to prevent the introduction of Alien Species.
8.5a-b Commitment to "No Go" in World Heritage Properties	Conformance	The Entity has established relevant requirements for the commitment to 'No Go' in World Heritage Properties. This includes a commitment not to explore or develop New Projects or make Major Changes within World Heritage Properties. The Entity is located in an industrial park developed by the local Government, and there are no World Heritage Properties nearby.
8.6a-d Protected Areas	Conformance	The Entity has established relevant requirements for Protected Areas. The Entity is located in an industrial park developed by the local Government, and there are no Protected Areas in the vicinity.
8.6e Protected Areas - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
<b>9. HUMAN RIGHTS</b>		
9.1a-d Human Rights Due Diligence	Conformance	The Entity has established a Social and Environmental Governance Policy, which includes a commitment to respect Human Rights, uphold employees' civil rights, and eliminate Discrimination. The Policy is accessible to both internal and external Stakeholders via training and is reviewed at least every five years or following the annual Environment, Health and Safety (EHS) and social risk assessments. It is displayed throughout the premises and is also available at: <a href="https://www.yuhangalloy.com/data/download/202505/14/a7df939edbf90b210ba7cb7297670597.pdf">https://www.yuhangalloy.com/data/download/202505/14/a7df939edbf90b210ba7cb7297670597.pdf</a>

CRITERION	RATING	COMMENT
		The Entity has established a Human Rights Due Diligence procedure, conducted regular Human Rights assessments, and issued a Human Rights Due Diligence report. No adverse Human Rights impacts have been reported since the procedure's establishment, and therefore no remediation is required. The Entity is committed to respecting Human Rights and aims to extend this commitment throughout its supply chain. The Human Rights Due Diligence process also covers the supply chain to ensure Compliance and accountability.
9.2a-e Gender Equity and Women's Empowerment	Conformance	The Entity has developed a Women's Protection Management Procedure to safeguard women's rights and interests. It has clearly defined the legitimate rights of women and implemented control measures to ensure these rights are upheld. A Gender Equality Project Implementation Plan has been developed and is reviewed annually. No complaints have been received from female Workers, who are aware of their rights. Measures to promote gender equity are available at: <a href="https://www.yuhangalloy.com/data/download/202505/14/60e05f8330951d2f24437d46802166be.pdf">https://www.yuhangalloy.com/data/download/202505/14/60e05f8330951d2f24437d46802166be.pdf</a>
9.3a-i Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity as there are no Indigenous Peoples within the Entity's Area of Influence.
9.4a Free, Prior, and Informed Consent (FPIC) - New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity as there are no Indigenous Peoples within the Entity's Area of Influence.
9.4b Free, Prior, and Informed Consent (FPIC) - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity as there are no Indigenous Peoples within the Entity's Area of Influence.
9.4c Free, Prior, and Informed Consent (FPIC) - Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity as there are no Indigenous Peoples within the Entity's Area of Influence.
9.5a Cultural and Sacred Heritage – Identification	Conformance	The Entity has formulated a Cultural and Sacred Heritage Protection Management Procedure. The Construction Project Environmental Impact Report, evaluated and issued by the Zouping Environmental Science Research Institute, indicates that the Entity is located in an industrial park developed by the Zouping Government, with no cultural or sacred heritage sites in the area.
9.5b Cultural and Sacred Heritage – Impacts	Not Applicable	This Criterion is not applicable to the Entity, as there are no cultural and sacred heritage within the Entity's Area of Influence.
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity, as there are no New Projects or Major Changes causing displacement in the history of the Entity.
9.7a-h Affected Populations and Organisations	Minor Non-Conformance	The Entity has developed and implemented a procedure for managing Affected Populations and Organisations, committing to respect the legal and customary rights of Local Communities, their lands, livelihoods, and use of natural resources. It also explores opportunities to support and respect community livelihoods within the

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		<p>industrial economic zone. No complaints have been received from Local Communities.</p> <p>The Entity maintains close contact with surrounding communities, with most of its employees coming from the local area. To minimise the impact on surrounding communities, the Entity has installed environmental protection devices, including air emission treatment Facilities, to address air emissions and boundary noise. These measures have also been outlined in the Environmental Impact Assessment report. The Entity actively participates in community public welfare activities and has established a plan to support surrounding communities. The plan is publicly available at: <a href="https://www.yuhangalloy.com/data/download/202505/14/e2de50e5c6615aa40c1cf52cd8c699d4.pdf">https://www.yuhangalloy.com/data/download/202505/14/e2de50e5c6615aa40c1cf52cd8c699d4.pdf</a></p> <p>The plan, however, only includes the community activity plan and does not fully meet the requirements of a comprehensive community management plan.</p>
9.8a Conflict-Affected and High-Risk Areas – Strong management systems	Conformance	<p>The Entity has established a supply chain Policy, outlining responsibilities, resources, information gathering, and supplier engagement. This Policy is available at: <a href="https://www.yuhangalloy.com/data/download/202505/14/d54eaa6f6b91760543d272598006c3b4.pdf">https://www.yuhangalloy.com/data/download/202505/14/d54eaa6f6b91760543d272598006c3b4.pdf</a></p> <p>A review of internet resources, along with interviews with management, employees, and workshop chiefs at the Entity's Facilities, did not reveal any evidence of contribution to armed conflict or Human Rights abuses in Conflict-Affected and High-Risk areas (CAHRAs).</p>
9.8b Conflict-Affected and High-Risk Areas – Identify and assess risks	Conformance	<p>The Entity has conducted risk-based Due Diligence throughout its supply chain and integrated this process into the Management System and purchasing procedures. The Entity has not demonstrated any contribution to armed conflict or Human Rights abuses in CAHRAs. Additionally, the Entity has established a CAHRA Policy, defined responsibilities and resources, and engaged in information gathering and supplier engagement.</p>
9.8c Conflict-Affected and High-Risk Areas – Strategy to respond to risks	Not Applicable	<p>This Criterion is not applicable to the Entity, as the risk-based Due Diligence process for the Entity's Aluminium supply chain has not identified any actual or potential risks.</p>
9.8d Conflict-Affected and High-Risk Areas – Audit of due diligence	Conformance	<p>The Entity's Due Diligence processes were included in the scope of this ASI Performance Standard Certification Audit and addresses this requirement.</p>
9.8e Conflict-Affected and High-Risk Areas – Report annually	Conformance	<p>The Entity has developed reporting documentation on supply chain Due Diligence in accordance with the requirements of its CAHRA Policy and supplier sustainability approach. A review of the report data, internet resources, and interviews with employees and workshop chiefs did not reveal any evidence of the Entity contributing to armed conflict or Human Rights abuses in CAHRAs. For further details, refer to the Entity's Sustainability Report, page 14-15, available at: <a href="https://www.yuhangalloy.com/data/download/202506/06/2cb0c0e10beab17fff3b7a7f20c3715c.pdf">https://www.yuhangalloy.com/data/download/202506/06/2cb0c0e10beab17fff3b7a7f20c3715c.pdf</a></p>
9.9 Security practice	Conformance	<p>The Entity has implemented a security service management procedure and defined the primary role of security guards, which is to</p>

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		protect people, property, and assets while respecting Human Rights. All security guards have been trained on Human Rights requirements. To date, no security-related Human Rights violations have occurred.
10. LABOUR RIGHTS		
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	This Criterion is not applicable to the Entity, as the right to Freedom of Association and Collective Bargaining is addressed in accordance with Chinese Applicable Law.
10.1d Freedom of Association and Right to Collective Bargaining - Alternative means in context of Applicable Law	Conformance	The Entity has formulated and implemented a procedure to respect Workers' rights to Freedom of Association and Collective Bargaining. The Entity is committed to upholding Workers' rights, including establishing Labour Unions and Worker associations in accordance with legal requirements. The Unions represent staff rights in areas including labour remuneration, working hours, rest and vacation, labour safety and health, vocational training, insurance, and welfare.
10.2a-c Child Labour	Conformance	The Entity has formulated and implemented a Policy that prohibits the use of Child Labour. Young Workers are given special protection under the Labour Law and are not permitted to work in hazardous conditions. The age of candidates is verified through ID card checks and interviews. There is no Child Labour or any underage Workers in the Entity; the youngest worker on-site is 23 years old. The Entity communicates these requirements to Workers and suppliers to ensure Compliance with legal standards on Child Labour and underage Workers, both internally and throughout the supply chain.
10.3a-c Forced Labour	Conformance	<p>The Entity has implemented a procedure to prevent involuntary labour and is committed to ensuring that its suppliers comply with the prohibition of Forced Labour, slavery, and Human Trafficking. Interviews with Workers (including those in on-site accommodation), management, and a review of documents confirm that no employees are required to pay deposits, recruitment fees, or advances at any stage of employment.</p> <p>There are no restrictions on Workers' freedom of movement, either across the operational site or in on-site accommodation. Workers are free to leave the factory when not working and can move freely to access basic necessities such as restrooms and drinking water. They are also allowed to visit external medical Facilities if needed.</p> <p>No Forced Labour is present at the Entity, and all Workers are Chinese nationals. The Entity does not provide loans to Workers, and there is no evidence of debt bondage in the labour contracts. Workers' pay slips show no illegal deductions.</p> <p>The Entity's Modern Slavery Statement, which addresses Forced Labour, is available at:  <a href="https://www.yuhangalloy.com/data/download/202505/14/5fe8339408608d72f92a243ec580b922.pdf">https://www.yuhangalloy.com/data/download/202505/14/5fe8339408608d72f92a243ec580b922.pdf</a></p>
10.4a-c Non-Discrimination	Conformance	The Entity has developed an Anti-Discrimination, Harassment, and abuse procedure to ensure equal opportunities and prevent Discrimination in hiring, salary, promotion, training, advancement, or termination. The Non-Discrimination Policy is provided as part of the employee onboarding process and in annual refresher training. Equal opportunities and non-Discrimination are demonstrated through

CRITERION	RATING	COMMENT
		Worker interviews and the results of the annual Business conduct and conflict survey.
10.5 Communication and engagement	Conformance	The Entity has established an employee complaints management procedure, including regular meetings between the Labour Union and senior management. A grievance hotline, email, and clear operating procedures ensure open communication and direct engagement with Workers and their representatives on workplace conditions and compensation issues, free from reprisal, intimidation, or Harassment.
10.6a-g Violence and Harassment	Conformance	The Entity has developed a Policy to prevent workplace Harassment, prohibiting all forms of Harassment and Violence. The Entity has also provided training on preventing and addressing Harassment and Discrimination. To ensure a safe environment, the Ethics and Compliance Integrity Line is available in all applicable languages, allowing employees to report incidents of Violence or Harassment anonymously. The Policy is available at: <a href="https://www.yuhangalloy.com/data/download/202505/14/a7df939edbf90b210ba7cb7297670597.pdf">https://www.yuhangalloy.com/data/download/202505/14/a7df939edbf90b210ba7cb7297670597.pdf</a>
10.7a-c Remuneration	Conformance	All payments are documented and promptly made to Workers via bank transfer on the 30 <sup>th</sup> of each month. Employees receive remuneration for Overtime, public holidays, rest days, and night shifts. Each month employees receive a personal pay slip detailing their wage, calculation method, and deductions such as taxes. All employees are paid above the living wage standard set in China.
10.8a-c Working Time	Minor Non-Conformance	The Entity has implemented an attendance and working hours system to track and manage working hours. Overtime is voluntary. Worker interviews and document reviews confirm that total weekly hours do not exceed 60, and at least one day of rest per week is provided. The Voluntary Overtime Management Procedure and Worker feedback indicate that Overtime is voluntary, and employees can refuse Overtime hours.  Some Workers, however, exceeded the 36-hour monthly Overtime limit, as stipulated by China's Labour Law.
10.9a-b Informing Workers of Rights	Conformance	The Entity has informed Workers of their rights through multiple channels, including the employee handbook, electronic boards, public bulletin boards in each workshop, training sessions, personal consultations, and meetings.
<b>11. OCCUPATIONAL HEALTH AND SAFETY</b>		
11.1a Occupational Health and Safety (OH&S) Management System	Conformance	The Entity's Occupational Health and Safety (OH&S) Management System has been certified to ISO 45001:2018, which has established formal Occupational Health and Safety (OH&S) Policies, that are displayed in internal public areas for visibility and awareness.
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Conformance	Performance indicators, along with a comparative analysis of performance against peer Businesses and leading practices, have been published in its Sustainability Report, available at: <a href="https://www.yuhangalloy.com/data/download/202505/14/879d1ffabd1b41d940d38b18415dd7d2.pdf">https://www.yuhangalloy.com/data/download/202505/14/879d1ffabd1b41d940d38b18415dd7d2.pdf</a>

CRITERION	RATING	COMMENT
11.2 Employee engagement on Health and Safety	Minor Non-Conformance	<p>The Entity has established procedures for Occupational Health and Safety (OH&amp;S) control, which include mechanisms such as safety committee meetings, suggestion boxes, congresses, and informal Worker interviews. Records have been properly maintained since the establishment of these procedures.</p> <p>During the Audit onsite observation, it was noted however that some Workers in the extruding workshop were not wearing hearing protection as required.</p>

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#### DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	20 November 2023	Initial Certification Audit – Full Certification
1	12 December 2025	Surveillance Audit