

# ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

# Sichuan Wanshun Zhongji Aluminum Co., Ltd.

CERTIFICATE NUMBER  
**517**

ASI STANDARD  
**PERFORMANCE  
STANDARD  
(V3.1 2023)**

CERTIFICATION LEVEL  
**FULL  
CERTIFICATION**

ASI ACCREDITED  
AUDITING FIRM  
**DNV BUSINESS  
ASSURANCE  
SERVICES UK LTD.**

DATE OF ISSUE  
**28 NOVEMBER 2025**

DATE OF EXPIRY  
**27 NOVEMBER 2028**

CERTIFIED SINCE  
**28 NOVEMBER 2025**

## AUTHORISED BY

A stylized, handwritten signature in black ink, consisting of a large, flowing 'S' followed by a horizontal line.

Aluminium Stewardship Initiative Ltd  
ACN 606 661 125, Australia  
[info@aluminium-stewardship.org](mailto:info@aluminium-stewardship.org)

*Validity of this Certificate is subject to  
continued conformance with the  
applicable ASI Standard and can be  
verified at  
[www.aluminium-stewardship.org](http://www.aluminium-stewardship.org)*

## CERTIFICATION SCOPE

Production of Aluminium plate and  
strip products by Sichuan Wanshun  
Zhongji Aluminum Co., Ltd., located  
in the Yuanjiaba Industrial Park,  
Guangyuan Economic  
Development Zone, Guangyuan  
City, Sichuan Province, China.

# AUDIT REPORT PERFORMANCE STANDARD

## OVERVIEW

MEMBER NAME	Jiangsu Zhongji Lamination Materials Co., Ltd
ENTITY NAME	Sichuan Wanshun Zhongji Aluminum Co., Ltd.
CERTIFICATION SCOPE	Production of Aluminium plate and strip products by Sichuan Wanshun Zhongji Aluminum Co., Ltd., located in the Yuanjiaba Industrial Park, Guangyuan Economic Development Zone, Guangyuan City, Sichuan Province, China.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none"><li>• Casthouses</li><li>• Semi-Fabrication</li></ul>
ASI STANDARD	Performance Standard V3.1
AUDIT TYPE	<ul style="list-style-type: none"><li>• Initial Certification Audit</li></ul>
AUDIT FIRM	DNV Business Assurance Services UK Ltd.
AUDIT DATE	<ul style="list-style-type: none"><li>• 15 – 17 October 2025</li></ul>
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none"><li>• 10 November 2025</li></ul>
AUDIT SCOPE	<p>The Audit Scope included the production of Aluminium plate and strip products by Sichuan Wanshun Zhongji Aluminum Co., Ltd., located in the Yuanjiaba Industrial Park, Guangyuan Economic Development Zone, Guangyuan City, Sichuan Province, China.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none"><li>• Casthouses</li><li>• Semi-Fabrication</li></ul> <p>All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.</p>
AUDIT OUTCOME	<ul style="list-style-type: none"><li>• Certification</li></ul>
AUDIT METHODOLOGY DECLARATION	<p>The Auditors confirm that:</p> <ul style="list-style-type: none"><li><input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.</li><li><input checked="" type="checkbox"/> The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.</li><li><input checked="" type="checkbox"/> The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.</li><li><input checked="" type="checkbox"/> The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.</li></ul>

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CERTIFICATION PERIOD	28 November 2025 – 27 November 2028
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NEXT AUDIT TYPE	Surveillance Audit
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NEXT AUDIT DATE	27 May 2027
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CERTIFICATE NUMBER	517
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If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

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## ENTITY OVERVIEW

Sichuan Wanshun Zhongji Aluminum Co., Ltd. (the ‘Entity’) was established in 2021 and is located in Guangyuan Economic and Technological Development Zone, Sichuan Province. The Entity’s Facility covers an area of 122 acres and currently has 13 casting and rolling production lines, two cold rolling production lines, eight annealing furnaces, two grinding machines, and more than 50 sets of various auxiliary equipment. The Entity employs approximately 350 Workers. The Entity is equipped with imported high-precision thickness gauges (AGC) and shape gauges (AFC). The Entity produces approximately 50,000 tonnes of double zero Aluminium foil blanks and 80,000 tonnes of Aluminium foil blanks for lithium battery cathodes annually.

## MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of Systems, Residual Risk and Performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
<b>SYSTEMS</b>	Medium	Medium	Medium	MEDIUM
<b>RISKS</b>	Medium	Medium	Medium	MEDIUM
<b>PERFORMANCE</b>	Medium	Medium	Medium	MEDIUM
<b>OVERALL</b>	MEDIUM			

## FINDINGS

CRITERION	RATING	COMMENT
<b>1. BUSINESS INTEGRITY</b>		
1.1 Legal Compliance	Conformance	The Entity has developed and implemented Policies, systems, procedures and processes to comply with Applicable Law. The legal compliance department is responsible for the implementation of Applicable Law, regulations and other requirements within the organisation and conducts an annual legal Compliance review. The Entity has fully identified relevant Applicable Laws, regulations and other requirements, including customer requirements. The Entity currently has no significant non-Compliance issues. Information regarding the Entity's Compliance performance is disclosed in the Annual Sustainability Report, accessible at: <a href="http://www.scwszj.cn/51.html">http://www.scwszj.cn/51.html</a>
1.2 Anti-Corruption	Conformance	The Entity has established and implemented a Policy and procedures regarding anti-Corruption. The Entity has implemented 'whistleblowing' mechanisms including a 'hotline' (0839-2200888) and email ( <a href="mailto:wszjscws2025@163.com">wszjscws2025@163.com</a> ). The whistleblowing mechanism is communicated to employees and interested parties to report suspected Corruption. Relevant anti-Corruption training courses are provided to employees in high-risk positions. The Entity's Anti-Corruption Policy is communicated to all suppliers. Supplier are required to sign and return anti-Corruption commitment letters.
1.3a-e Code of Conduct	Conformance	The Entity has implemented a Code of Conduct that includes principles related to Environmental, Social and Governance (ESG) performance. The Entity has implemented measures including training and communication to raise awareness on the Code amongst its business partners and suppliers. The Code of Conduct is reviewed in the annual management review meeting, or when there is a major change or control gap identified. The Code of Conduct is accessible at: <a href="http://www.scwszj.cn/70.html">http://www.scwszj.cn/70.html</a>
<b>2. POLICY AND MANAGEMENT</b>		
2.1a-f Environmental, Social, and Governance Policy	Conformance	The Entity has implemented management Policies that are consistent with ESG practices. The Entity's senior management has demonstrated a commitment to the implemented Policies. The Policies are reviewed at the annual management review meeting or when there is a major change or control gap identified. The Entity's Social and Environmental Safety Management Policy is available for internal and external Stakeholders at: <a href="http://www.scwszj.cn/69.html">http://www.scwszj.cn/69.html</a>
2.2a-c Leadership	Conformance	The Entity has nominated a senior Management Representative to have overall responsibility and authority for ensuring conformance with the ASI Performance Standard including the communication of the ASI Performance Standard-related requirements and Policies by providing training and displaying the Policies on-site.
2.3a Environmental and Social Management Systems – Environmental	Conformance	The Entity has implemented and documented an Environmental Management System and holds a valid ISO 14001:2015 Certification. The latest external audit was conducted by an independent Third Party in April 2025. No non-conformances were raised in the audit.

CRITERION	RATING	COMMENT
2.3b Environmental and Social Management Systems – Social	Conformance	The Entity has documented and implemented a Social Management System. The main social and Occupational Health and Safety (OH&S) impacts are identified and assessed, and the associated management provisions for preventing and/or mitigating these impacts are established and implemented.
2.4a-e Responsible Sourcing	Conformance	The Entity has developed and implemented Policies, systems, procedures, and processes that address responsible sourcing. The Entity conducts second party Due Diligence qualification audits of major next-tier suppliers' sites. The procurement team and relevant personnel are trained on responsible sourcing requirements on an annual basis. The Responsible Purchasing Policy is reviewed in the annual management review meeting and will be reviewed if there is a major change or control gap. The Responsible Purchasing Policy is available at: <a href="http://www.scwszj.cn/50.html">http://www.scwszj.cn/50.html</a>
2.5a-g Environmental and Social Impact Assessments	Not Applicable	This Criterion is not applicable to the Entity as there are no New Projects or Major Changes to existing Facilities.
2.6a-h Human Rights Impact Assessment	Not Applicable	This Criterion is not applicable to the Entity as there are no New Projects or Major Changes to existing Facilities.
2.7a-f Emergency Response Plan	Conformance	The Entity has established a comprehensive Emergency Response Plan and an Environmental Emergency Plan. The two Plans are registered with the relevant government agencies. The Entity provides relevant training courses to employees and conducts drills based on the Plans. The two Emergency Response Plans are reviewed every three years or when there is a major change or control gap identified. Upon any change, the Plans are re-sumitted to the authorities for registration. The Emergency Response Plans are disclosed at: <a href="http://www.scwszj.cn/61.html">http://www.scwszj.cn/61.html</a>
2.8a-d Suspended Operations	Conformance	The Entity has established the Plan for Business Continuity and Resumption after Disaster which considers Material adverse ESG impacts. The Plan has been developed in collaboration with potentially affected Stakeholder groups including Workers and their representatives. The Plan is reviewed in the annual management meeting and when there are major business changes or control gaps identified.
2.9a-b Mergers and Acquisitions	Conformance	The Entity has established a procedure for managing mergers and acquisitions. No merger and acquisition activity has occurred since the Entity was establishment in 2021.
2.10a-b Closure, Decommissioning and Divestment	Conformance	The Entity has established a procedure for managing closure, decommissioning and divestment. No closure, decommissioning and divestment events have occurred since the Entity was established in 2021.
<b>3. TRANSPARENCY</b>		
3.1a-b Sustainability Reporting	Conformance	The Entity has disclosed its governance approach and its Material environmental, social and economic impacts in its Sustainability

CRITERION	RATING	COMMENT
		Report. The 2024 Sustainability Report is accessible at: <a href="http://www.scwszj.cn/51.html">http://www.scwszj.cn/51.html</a>
3.2 Non-compliance and Liabilities	Conformance	There were no monetary fines, judgments, penalties or non-monetary sanctions for the Entity for failure to comply with Applicable Law during the 2024 reporting period. This was verified by management interviews and by checking the official websites of relevant Government agencies and Non-Government Organisations (NGOs). The Entity has disclosed information regarding the absence of monetary fines, judgments, penalties and non-monetary sanctions on page 7 of the 2024 Sustainability Report, accessible at: <a href="http://www.scwszj.cn/51.html">http://www.scwszj.cn/51.html</a>
3.3a-c Payments to Governments	Conformance	In accordance with the Entity's internal control procedures, payments to Governments are made only on a legal basis. The Entity makes no payment to political parties. The Entity's payments to Governments are legally required payments such as social security fees and taxes. The type and value of the Entity's payments to Governments are disclosed on page 21 of the Entity's 2024 Sustainability Report, accessible at: <a href="http://www.scwszj.cn/51.html">http://www.scwszj.cn/51.html</a>
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	The Entity has implemented the Complaints Resolution Policy. 'Whistle-blowing' of misconduct is encouraged and the privacy of the 'whistle-blower' is guaranteed. The whistleblowing mechanism including a 'hotline' (0839-2200888) and email ( <a href="mailto:wszjscws2025@163.com">wszjscws2025@163.com</a> ). The whistleblowing mechanism is communicated to employees and interested parties to report suspected incidences of Corruption and other ethical concerns. The Complaints Resolution Policy is accessible at: <a href="http://www.scwszj.cn/52.html">http://www.scwszj.cn/52.html</a>
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Conformance	The Entity has assessed the environmental impacts of its main Product line, Aluminium plate strip, using its own established methods. The Entity's environmental life cycle assessment uses a 'cradle-to-gate' approach and includes the disposal process of production Waste. The Entity has publicly disclosed its Environmental Impact Life Cycle Assessment Report, accessible at: <a href="http://www.scwszj.cn/72.html">http://www.scwszj.cn/72.html</a>
4.1b-c Environmental Life Cycle Assessment - Disclosure	Minor Non-Conformance	<p>The Entity's Environmental Impact Life Cycle Assessment Report provides information on the Aluminium plate strip Products on a 'cradle-to-gate' basis. The Entity has committed to providing further data and information regarding the life cycle impacts of its Products upon request from customers. The Environmental Impact Life Cycle Assessment Report includes some basic life cycle impact information and assumptions. The Environmental Impact Life Cycle Assessment Report is accessible at: <a href="http://www.scwszj.cn/72.html">http://www.scwszj.cn/72.html</a></p> <p>The Environmental Impact Life Cycle Assessment Report however includes incomplete information and does not address all relevant environmental impacts.</p>
4.2 Product Design	Conformance	Whilst the Entity itself does not engage in any Product design activities, it however incorporates relevant sustainability goals into its production process control and raw material procurement processes to enhance the sustainability of its Products, including the environmental life cycle impact of the final Products.

CRITERION	RATING	COMMENT
4.3a-b Aluminium Process Scrap	Conformance	The Entity has established regulations for Aluminium Scrap recycling, with a target of 100% collection and recycling of Process Scrap. The Entity has implemented various technical and management measures to reduce the generation of Aluminium Process Scrap in its operations which ensures the achievement of the 100% recycling target.
4.4a-c Collection and Recycling of Products at End of Life – Material Conversion and other Manufacturing	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.4d Collection and Recycling of Products at End of Life	Conformance	<p>As there are not complete local, regional or national collection and recycling systems for Aluminium Products and Scrap in China, the Entity has partnered with recycling facilities and commits to work with these facilities to maximise recycling quality. The Entity has engaged in communication and collaboration with downstream customers on how to improve the recycling rate of products at 'end-of-life'.</p> <p>In addition, the Entity actively participates in public seminars covering topics on post-consumer Aluminium Scrap recycling and commits to take initiatives in public welfare projects to promote the recycling of Post Consumer Aluminium Scrap. The Entity's procurement department continues seeking the input of recycling vendors on how to improve the quality of material for recycling.</p>
5. GREENHOUSE GAS EMISSIONS		
5.1a-b Disclosure of GHG Emissions and Energy Use	Minor Non-Conformance	<p>The Entity has calculated its Material Greenhouse Gas (GHG) emissions within the defined system boundary. The GHG emissions calculation includes Scope 1, Scope 2 and Material Scope 3 emissions including purchased raw material and transportation. The Entity's GHG Emissions Report is verified by a Third Party.</p> <p>The Entity's 2024 GHG Emissions Report is accessible at:  <a href="https://cloud2.17youhui.cn/uploads/sites/788/2025/10/78c18ae6b2a648f22be9a1779e2d853e.pdf">https://cloud2.17youhui.cn/uploads/sites/788/2025/10/78c18ae6b2a648f22be9a1779e2d853e.pdf</a></p> <p>The GHG emissions Verification Statement is accessible at:  <a href="https://cloud2.17youhui.cn/uploads/sites/788/2025/10/620ffb195e7f3f373cc31854e81982a6.pdf">https://cloud2.17youhui.cn/uploads/sites/788/2025/10/620ffb195e7f3f373cc31854e81982a6.pdf</a></p> <p>The GHG Emissions Report however uses incorrect emission factors of electricity and purchased material.</p>
5.2a Aluminium Smelter GHG Emissions Intensity – Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity – In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a GHG Emissions Reduction Plans	Conformance	The Entity has used the ASI GHG Pathway Calculation Tool to establish its GHG Emissions Reduction Targets and Pathway that are consistent with a 1.5°C warming scenario. The Entity has used a 2024 baseline



CRITERION	RATING	COMMENT
		<p>and established an intermediate GHG reduction target five years from the baseline, and associated Management Systems. The Entity's GHG Emissions Reduction Targets and Pathway is accessible at: <a href="https://cloud2.17youhui.cn/uploads/sites/788/2025/10/19678f7aa514cfd52bbfd7521ef310a.pdf">https://cloud2.17youhui.cn/uploads/sites/788/2025/10/19678f7aa514cfd52bbfd7521ef310a.pdf</a> and <a href="http://www.scwszj.cn/73.html">http://www.scwszj.cn/73.html</a></p>
5.3b-e GHG Emissions Reduction Plans – Targets, review and disclosure	Conformance	<p>The Entity has used the ASI GHG Pathway Calculation Tool to establish its GHG Emissions Reduction Targets and Pathway that are consistent with a 1.5°C warming scenario. The Entity has used a 2024 baseline and established an intermediate GHG reduction target five years from the baseline, and associated Management Systems. The Entity's GHG Emissions Reduction Targets and Pathway is accessible at: <a href="https://cloud2.17youhui.cn/uploads/sites/788/2025/10/19678f7aa514cfd52bbfd7521ef310a.pdf">https://cloud2.17youhui.cn/uploads/sites/788/2025/10/19678f7aa514cfd52bbfd7521ef310a.pdf</a> and <a href="http://www.scwszj.cn/73.html">http://www.scwszj.cn/73.html</a></p> <p>The Entity has also implemented a process to review its performance against the GHG emission reduction targets annually, or if there is a major change in the Entity's operations.</p>
5.4 GHG Emissions Management	Conformance	<p>The Entity has implemented a process to conduct an annual GHG emissions calculation. To achieve the GHG emissions reduction targets, the Entity has established and implemented management processes to improve its energy consumption efficiency, prevent waste in daily operations, take actions to introduce 'green energy' and increase the purchase of low-carbon alloys and Aluminium ingots and liquids. All implementation measures are monitored periodically to ensure effectiveness.</p>
6. EMISSIONS, EFFLUENTS AND WASTE		
6.1a-f Emissions to Air	Conformance	<p>In accordance with its Environment Management System and legal requirements, the Entity identifies, assesses and quantifies Material Emissions to Air from its activities. The Entity has implemented control plans to minimise exposure to and impacts from Emissions to Air. The Entity monitors the effectiveness of the control plans and reviews the control plans on a regular periodic basis and in the event a major change or non-conformance being identified.</p> <p>The Entity's Air and Wastewater Pollutant Emissions and Reduction Plan, which quantifies Emissions to Air and reduction initiatives, is accessible at: <a href="https://cloud2.17youhui.cn/uploads/sites/788/2025/11/4506d218f993e0249935e1ac4a6c6b49.pdf">https://cloud2.17youhui.cn/uploads/sites/788/2025/11/4506d218f993e0249935e1ac4a6c6b49.pdf</a></p>
6.2a-g Discharges to Water	Conformance	<p>In accordance with the Environmental Impact Assessment Report and the pollutant discharge permit, no industrial wastewater is discharged and only sanitary wastewater is discharged into the municipal wastewater treatment station following precipitation and filtration.</p> <p>The Entity's Air and Wastewater Pollutant Emissions and Reduction Plan, which quantifies Discharges to Water, is accessible at: <a href="https://cloud2.17youhui.cn/uploads/sites/788/2025/11/4506d218f993e0249935e1ac4a6c6b49.pdf">https://cloud2.17youhui.cn/uploads/sites/788/2025/11/4506d218f993e0249935e1ac4a6c6b49.pdf</a></p>

CRITERION	RATING	COMMENT
6.3a-g Assessment and Management of Spills and Leakages	Conformance	<p>The Entity has completed an assessment of risk areas in its operations where Spills and Leakages may contaminate air, water and soil. The risk assessment was completed adhering to the risk assessment process established in the Environmental Management System. A relevant management plan for Spills and Leakages is established and implemented. The Entity reviews the plan periodically and if needed after a Spill/Leakage event, or a major change in the business.</p> <p>The latest version of the Spills and Leakages Risk Assessment and Control Plan is accessible at:  <a href="https://cloud2.17youhui.cn/uploads/sites/788/2025/10/da93ca4864c689975b761fd2c4cd2a42.pdf">https://cloud2.17youhui.cn/uploads/sites/788/2025/10/da93ca4864c689975b761fd2c4cd2a42.pdf</a></p>
6.4a-b Public Disclosure of Spills and Leakages	Conformance	<p>The Entity has defined a process to report any Spills or Leakages in the Emergency Response Plans. The defined process includes communication to all Affected Populations and Organisations. No Material Spills or Leakages have occurred in the last three years.</p> <p>The Entity's environment performance, including the absence of any Spills or Leakages in the reporting period, is disclosed on page 14 of the Annual Sustainability Report, accessible at:  <a href="http://www.scwszj.cn/51.html">http://www.scwszj.cn/51.html</a></p>
6.5a-c Waste Management and Reporting	Conformance	<p>Waste management is a component of the Entity's Environmental Management System. The Entity implemented a Waste management strategy in accordance with the Waste Mitigation Hierarchy. The Entity mitigates Material impacts by a focus on recycling Waste. The disposal of Hazardous Waste is conducted in Compliance with the requirements under Applicable Law.</p> <p>The Entity has publicly disclosed the quantity of Hazardous and Non-Hazardous Waste generated by its activities in the 2024 reporting period on pages 16-18 of the Annual Sustainability Report, accessible at: <a href="http://www.scwszj.cn/51.html">http://www.scwszj.cn/51.html</a></p>
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Conformance	<p>The Entity manages Dross as a Hazardous Waste in Compliance with the requirements under Applicable Law.</p> <p>The Entity pre-processes Dross to recover Aluminium for recycling. Dross residues are then transferred to a licensed supplier for appropriate disposal. No Dross residues are landfilled.</p>
<b>7. WATER STEWARDSHIP</b>		
7.1a-b Water Assessment and Disclosure	Conformance	<p>The Entity has measured and documented its water intake and usage based on the source and type of water in Environmental Impact Assessment Report and Water Resource Risk Assessments. The Entity has assessed its water-related risks, considering the local context and water resources, its own water intake and discharge, and the effectiveness of existing water management measures. The Entity's has assessed its water resource risk is low, and there are no significant water-related risks within the Entity's Area of Influence.</p>

CRITERION	RATING	COMMENT
		The Entity has prepared a Water Risk Assessment Report and a water balance diagram, which are both publicly available at: <a href="http://www.scwszj.cn/60.html">http://www.scwszj.cn/60.html</a>
7.2a-e Water Management	Not Applicable	This Criterion is not applicable to the Entity as the Environmental Impact Assessment Report and Water Resource Risk Assessment Report identified the Entity's water resource risk level is low, and there are no significant water-related risks within the Entity's Area of Influence.
<b>8. BIODIVERSITY AND ECOSYSTEM SERVICES</b>		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Conformance	A qualified Third Party has conducted the Entity's Environmental Impact Assessment (EIA) that includes an evaluation of Biodiversity and Ecosystem Services risks and impacts. The local Environmental Protection Agency (EPA) has approved the EIA report. According to the EIA report and the Biodiversity risk assessment outcomes, the Entity's Area of Influence does not include any areas that are sensitive with respect to Biodiversity. The Entity is situated within an industrial zone and has a functional Environmental Management System. Therefore, the risk level is assessed as low.
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity as the risks and potential impacts to Biodiversity and Ecosystem Services have been assessed and documented as low according to the approved EIA report. Furthermore, no Priority Ecosystem Services were identified in this assessment.
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable to the Entity as the risks and potential impacts to Biodiversity and Ecosystem Services have been assessed and documented as low according to the approved EIA report. Furthermore, no Priority Ecosystem Services were identified in this assessment.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity as the risks and potential impacts to Biodiversity and Ecosystem Services have been assessed and documented as low according to the approved EIA report. Furthermore, no Priority Ecosystem Services were identified in this assessment.
8.4 Alien Species	Conformance	The Entity has implemented a management policy and procedures designed to prevent the introduction of Invasive Species. The potential risks associated with the introduction of such species through the Entity's operations and logistics activities have been identified. An assessment has been conducted to determine if these activities could significantly harm Biodiversity and Ecosystem Services. The outcomes of the Biodiversity assessment identified the risk of the Entity's activities introducing invasive species as extremely low.
8.5a-b Commitment to "No Go" in World Heritage Properties	Conformance	In its Management Manual, the Entity commits to not explore or develop New Projects in World Heritage Properties. There are no World Heritage Properties in the Entity's Area of Influence.

CRITERION	RATING	COMMENT
8.6a-d Protected Areas	Conformance	As identified in the Environment Impact Assessment Report approved by the local EPA, there are no Protected Areas within the Entity's Area of Influence.
8.6e Protected Areas - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
<b>9. HUMAN RIGHTS</b>		
9.1a-d Human Rights Due Diligence	Conformance	<p>The Entity has implemented and issued a Policy that establishes its commitment to respect Human Rights including Gender Equity and has communicated the Policy to all employees. The Policy is accessible at: <a href="http://www.scwszj.cn/69.html">http://www.scwszj.cn/69.html</a></p> <p>The Entity has established and implemented a Human Rights Due Diligence process and has mapped Affected Populations and Organisations. Risks regarding Human Rights are identified and assessed, and the major risks identified are in the supply chain. The Entity's Supplier Code of Conduct is communicated to major suppliers. The associated mitigation and control measures are established and implemented, including second party audits for major suppliers. The supplier audit reports demonstrate no adverse Human Rights impacts were reported. The Human Rights Due Diligence process is reviewed as part of the annual management review meeting.</p> <p>The Entity has identified Populations and Organisations affected by its operational activities through the implementation of its compliance management and risk control procedures. The Entity's Human Rights Risk Assessment Report indicates that its impact on the Human Rights of populations within its Area of Influence is minimal. The Human Rights Impact Assessment Report is accessible at: <a href="https://cloud2.17youhui.cn/uploads/sites/788/2025/10/a567cba0f353ec2eba286c2eb2ca563b.pdf">https://cloud2.17youhui.cn/uploads/sites/788/2025/10/a567cba0f353ec2eba286c2eb2ca563b.pdf</a></p>
9.2a-e Gender Equity and Women's Empowerment	Conformance	The Entity has implemented Policies to respect the rights of women and a program to promote gender equity and women's empowerment throughout the employment process. No complaints have been received from female Workers. The female Workers interviewed understand their rights and no negative feedback was received. The Entity assesses the performance of its program on gender equity and women's empowerment and has issued the Report on the Effectiveness of Measures for Gender Equality and Protection of Female Employees' Rights, accessible at: <a href="http://www.scwszj.cn/63.html">http://www.scwszj.cn/63.html</a>
9.3a-i Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity as there are no Indigenous Peoples in the area where the Entity operates.
9.4a Free, Prior, and Informed Consent (FPIC) - New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity as there are no Indigenous Peoples in the area where the Entity operates.
9.4b Free, Prior, and Informed Consent (FPIC) - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
9.4c Free, Prior, and Informed Consent (FPIC) – Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity as there are no Indigenous Peoples in the area where the Entity operates.
9.5a Cultural and Sacred Heritage – Identification	Conformance	The Entity has established and implemented a process to identify sacred or cultural heritage sites and values within the Entity's Area of influence and take appropriate action to avoid or remedy any impacts, as well as to ensure continued rights of access to such sites or values. There are no sites of cultural and sacred heritage identified within the Entity's Area of Influence.
9.5b Cultural and Sacred Heritage – Impacts	Not Applicable	This Criterion is not applicable to the Entity as there are no sites of cultural and sacred heritage identified within the Area of Influence.
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity as there have been no projects that required resettlement since the Entity was established. The Entity has a policy in place to address any future requirement for resettlements within the framework of Applicable Law.
9.7a-h Affected Populations and Organisations	Conformance	<p>The Entity has established a Policy commitment to respect the legal and customary rights and interests of local Communities in their lands and livelihoods and their use of natural resources.</p> <p>The Entity has established and implemented compliance management and risk control procedures to identify, prevent, monitor and mitigate any significant impacts caused by its activities, including those related to health and safety, social and cultural aspects, Human Rights and environmental impacts. The Entity works closely with the Industrial Estate Management Committee and, through its Stakeholder engagement process, consults and involves Affected Populations and Organisations in the development of its management plans, such as the formulation of the Safety Contingency Plan and the Environmental Contingency Plan.</p> <p>In addition, the Entity actively participates in the support of local communities including the visitation of elderly people during festival periods.</p> <p>The Entity's primary impact on neighbouring populations and organisations arises from the environmental impact of its production activities, particularly the emission of air pollutants. To address this, the Entity has developed an environmental control plan in accordance with legal requirements and regularly reports the status of its implementation to the Environmental Protection Agency.</p> <p>The Entity has disclosed its mapping of Affected Populations and Organisations and the associated Management Plan at: <a href="http://www.scwszj.cn/67.html">http://www.scwszj.cn/67.html</a></p>
9.8a Conflict-Affected and High-Risk Areas – Strong management systems	Conformance	The Entity established a Policy commitment to not use conflict minerals. The Entity has further established and implemented a relevant management system, including supply chain mapping, risk assessment, control plans, Due Diligence audits and reporting. The Entity provides the training courses to all relevant employees. The Entity's Policy and requirements are communicated to suppliers. All suppliers are required to sign and return a Commitment Letter to not use conflict minerals.

CRITERION	RATING	COMMENT
9.8b Conflict-Affected and High-Risk Areas – Identify and assess risks	Conformance	The Entity has conducted a supply chain risk assessment based on engagement with its suppliers to determine if any materials are sourced from Conflict-Affected and High-Risk Areas (CAHRAs). As part of its supplier Due Diligence process, the Entity identifies its major 'next tier' suppliers, has established supplier audit plans, and conducts second party Due Diligence audits at major 'next tier' suppliers' sites to assess against CAHRA-related audit criteria. The risk assessment report identified that no materials are sourced from CAHRAs and no critical Human Rights issues such as Child Labour or Forced Labour were identified.
9.8c Conflict-Affected and High-Risk Areas – Strategy to respond to risks	Not Applicable	This Criterion is not applicable to the Entity as the risk assessment report found no materials are from the CAHRAs and no 'red flags' were identified in the supply chain. The Entity maintains a business relationship with all material suppliers to mitigate risks. The Entity has defined a process to respond to any risks that may arise, in accordance with OECD Guidance on sourcing minerals from CAHRAs.
9.8d Conflict-Affected and High-Risk Areas – Audit of due diligence	Conformance	<p>As part of its supplier Due Diligence process, the Entity identifies its major 'next tier' suppliers, has established supplier audit plans, and conducts Due Diligence audits at major next tier suppliers' sites to assess against CAHRA related audit criteria. The risk assessment records and supplier audit reports from 2024 and 2025 confirmed that no materials are sourced from CAHRAs and risks relating to conflict minerals is low, therefore no further action is required.</p> <p>This ASI Performance Standard Audit further satisfies the requirements of this Criterion.</p>
9.8e Conflict-Affected and High-Risk Areas – Report annually	Conformance	The Entity has defined a process to publicly report on the performance of its supply chain Due Diligence on CAHRAs. The details and results of its supply chain Due Diligence is accessible at: <a href="http://www.scwszj.cn/49.html">http://www.scwszj.cn/49.html</a>
9.9 Security practice	Conformance	The Entity has established a management procedure to manage security activities to respect Human Rights. The roles of security personnel relate to visitor management only, at the Entity's entrance gate. No body searches or restriction of Worker movements by security personnel is permitted. Training is provided to security guards. Interviews with security personnel confirmed they understand their tasks and act to respect Human Rights. No grievances or complaints against security personnel have been received to date.
10. LABOUR RIGHTS		
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	This Criterion is not applicable to the Entity as it complies with the Applicable Laws regarding Freedom of Association and Collective Bargaining in China.
10.1d Freedom of Association and Right to Collective Bargaining – Alternative means in context of Applicable Law	Conformance	The Entity has demonstrated it respects Workers Freedom of Association and Right to Collective Bargaining within the context of Applicable Law. Workers' representatives are appointed and periodically meet with management. Workers' representatives can address Workers' concerns with management on behalf of Workers.
10.2a-c Child Labour	Conformance	The Entity has established a Policy ensuring that it does not use Child Labour. Through a review of Worker files, site observations and

CRITERION	RATING	COMMENT
		interviews with sampled Workers, the Audit identified the youngest Worker at the Entity was 21 years of age. No indication of Child Labour was identified. The Entity verifies the age of employment candidates by checking identification cards and through interviews. Records of evidence of age verification are maintained.
10.3a-c Forced Labour	Conformance	<p>The Entity has established a policy of prohibition of Forced Labour and Modern Slavery including human trafficking. The Entity commits itself - and expects its suppliers - to comply with the prohibition of Forced Labour and Modern Slavery including human trafficking. Worker and management interviews, document review and site observation did not identify any indication the Entity either engages in or supports the use of Forced Labour or human trafficking, either directly or indirectly.</p> <p>Workers are hired directly by the Entity. Workers do not pay any fees or deposits in the recruitment process. No loans are provided to Workers, and the Entity does not keep original copies of identity documents. The Entity makes no unreasonable restriction on Worker movements, and Workers can freely terminate their employment with appropriate prior notice. The Entity has disclosed its 2025 annual Modern Slavery Statement at: <a href="http://www.scwszj.cn/64.html">http://www.scwszj.cn/64.html</a></p>
10.4a-c Non-Discrimination	Conformance	<p>The Entity is committed to non-Discrimination. The Entity's recruitment advertisement and training plan indicate decisions are solely based on the candidate's ability to perform the job's requirements rather than on other personal characteristics, and the Entity provides equal pay for the same work. This was verified through Worker interviews. Workers confirm that they are treated equally in the company. No cases of Discrimination have been reported.</p>
10.5 Communication and engagement	Conformance	<p>The Entity has established direct and frequent communication with Workers and Worker representatives. Communication channels are provided to Workers where they can make complaints and raise concerns regarding working conditions and the resolution of workplace and compensation issues, without the threat of reprisal, intimidation or Harassment.</p>
10.6a-g Violence and Harassment	Conformance	<p>The Entity respects its employees. Disciplinary measures comply with legal requirements and require the input and confirmation of the Worker involved. The Entity does not engage in, nor tolerates the use of corporal punishment, mental or physical coercion, Harassment or gender-based Violence including sexual Harassment or verbal abuse of Workers. The Entity's policy on Violence and Harassment is incorporated into its Social and Environmental Safety Management Policy, accessible at: <a href="http://www.scwszj.cn/69.html">http://www.scwszj.cn/69.html</a></p>
10.7a-c Remuneration	Minor Non-Conformance	<p>The Entity's wage structure is clearly defined. The basic wage provided to Workers exceeds the legal minimum wage, and total payments are sufficient to meet Workers' basic needs. Overtime and other applicable allowances are appropriately remunerated. All payments to Workers are documented and paid on a timely basis by bank transfer. Pay slips are provided.</p> <p>Minor issues have been identified however that relate to the calculation of annual leave and high temperature allowances.</p>

CRITERION	RATING	COMMENT
10.8a-c Working Time	Minor Non-Conformance	<p>The Entity records the Working Time of its Workers manually. Most Workers at the workshops work six days in a row followed by two days' rest. Other Workers and office staff work eight-hour days for a 40-hour working week. A management procedure regarding Working Time and Overtime work is established to control Overtime hours. A review of a sample of Working Time records demonstrated Workers receive at least one day off in a week and that the average workday does not exceed eight hours in a six-month period.</p> <p>Some Workers were identified however to have worked excess Overtime hours.</p>
10.9a-b Informing Workers of Rights	Conformance	<p>The Entity provides training courses on Workers' Rights during orientation training when Workers join the Entity. Updated training is provided when there is a change in relevant legal requirements or the Entity's regulations. The Employee Handbook has a specific section to describe Workers' Rights. The Employee Handbook is communicated to all Workers.</p>
<b>11. OCCUPATIONAL HEALTH AND SAFETY</b>		
11.1a Occupational Health and Safety (OH&S) Management System	Minor Non-Conformance	<p>The Entity has established, implemented, and maintains an Occupational Health and Safety (OH&amp;S) Management System that is subject to continual improvement. The Entity holds a valid ISO 45001:2018 certificates.</p> <p>Through on-site observations, document reviews, and interviews with management and Workers, the Audit determined that the OH&amp;S Management System is operating effectively, as the Management System is successfully preventing workplace hazards, promoting a safe and healthy working environment, and complying with relevant regulations and standards.</p> <p>It was identified however that the due date of the fire safety system test had expired.</p>
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Conformance	<p>The Entity periodically evaluates its OH&amp;S Management System through various methods, including monthly safety meetings, an annual check of legal Compliance, an annual internal audit based on ISO 45001:2018 and management review meetings.</p> <p>If any sign of a control gap emerges during these evaluations, a comprehensive analysis is completed to determine the need for potential corrective and/or preventive actions. This proactive approach ensures that any issues or gaps in the OH&amp;S Management System are quickly resolved.</p> <p>To foster transparency and communicate performance information, the Entity publishes its OH&amp;S objectives and targets for the year, as well as comparative analyses of performance with peer businesses and leading practices. This information is disclosed in the Entity's annual Sustainability Report, pages 9-13, accessible at: <a href="http://www.scwszj.cn/51.html">http://www.scwszj.cn/51.html</a></p>
11.2 Employee engagement on Health and Safety	Conformance	<p>The Entity has established a Health and Safety Management Committee, which convenes regular meetings to monitor OH&amp;S conditions within the Facilities and actively promote advancements in OH&amp;S practices within the Entity. To solicit feedback from employees regarding OH&amp;S issues, the Entity has implemented various communication channels including suggestion boxes, hotlines, 'We-</p>



CRITERION	RATING	COMMENT
		Chat' links, Worker representative assemblies, and 'face-to-face' communication. Through these communication mechanisms, employees are encouraged to report and participate in resolving OH&S related concerns.

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#### DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	28 November 2025	Initial Certification Audit – Full Certification