

ASI CERTIFICATION CHAIN OF CUSTODY STANDARD



PRESENTED TO

Sichuan Wanshun Zhongji Aluminum Co., Ltd.

CERTIFICATE NUMBER

525

ASI STANDARD

CHAIN OF CUSTODY
STANDARD
(V2 2022)

CERTIFICATION LEVEL

FULL
CERTIFICATION

ASI ACCREDITED
AUDITING FIRM

DNV BUSINESS
ASSURANCE
SERVICES UK LTD.

DATE OF ISSUE

11 DECEMBER 2025

DATE OF EXPIRY

10 DECEMBER 2028

CERTIFIED SINCE

11 DECEMBER 2025

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. He', followed by a horizontal line.

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
info@aluminium-stewardship.org

*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at*

www.aluminium-stewardship.org

CERTIFICATION SCOPE

Production of Aluminium plate and
strip products by Sichuan Wanshun
Zhongji Aluminum Co., Ltd., located
in the Yuanjiaba Industrial Park,
Guangyuan Economic
Development Zone, Guangyuan
City, Sichuan Province, China.

AUDIT REPORT

CHAIN OF CUSTODY

STANDARD

OVERVIEW

MEMBER NAME	Jiangsu Zhongji Lamination Materials Co., Ltd
ENTITY NAME	Sichuan Wanshun Zhongji Aluminum Co., Ltd.
CERTIFICATION SCOPE	Production of Aluminium plate and strip products by Sichuan Wanshun Zhongji Aluminum Co., Ltd., located in the Yuanjiaba Industrial Park, Guangyuan Economic Development Zone, Guangyuan City, Sichuan Province, China.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">• Casthouses• Post-Casthouse
ASI STANDARD	Chain of Custody Standard V2
AUDIT TYPE	<ul style="list-style-type: none">• Initial Certification Audit
AUDIT FIRM	DNV Business Assurance Services UK Ltd.
AUDIT DATE	<ul style="list-style-type: none">• 16 – 17 October 2025
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">• 28 November 2025
AUDIT SCOPE	<p>The Audit Scope included the production of Aluminium plate and strip products by Sichuan Wanshun Zhongji Aluminum Co., Ltd., located in the Yuanjiaba Industrial Park, Guangyuan Economic Development Zone, Guangyuan City, Sichuan Province, China.</p> <p>The supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">• Casthouses• Post-Casthouse <p>All relevant Criteria in the ASI Chain of Custody Standard were included in the Audit Scope.</p>
AUDIT OUTCOME	Certification
AUDIT METHODOLOGY DECLARATION	<p>The Auditors confirm that:</p> <ul style="list-style-type: none">✓ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this Report.✓ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.✓ The Audit Scope and Audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.✓ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate

professional, independent and objective.

CERTIFICATION PERIOD	11 December 2025 – 10 December 2028
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NEXT AUDIT TYPE	Surveillance Audit
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NEXT AUDIT DUE DATE	10 December 2027
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CERTIFICATE NUMBER	525
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If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Sichuan Wanshun Zhongji Aluminum Co., Ltd. (the 'Entity') was established in 2021 and is located in Guangyuan Economic and Technological Development Zone, Sichuan Province. The Entity's Facility covers an area of 122 acres and currently has 13 casting and rolling production lines, two cold rolling production lines, eight annealing furnaces, two grinding machines, and more than 50 sets of various auxiliary equipment. The Entity employs approximately 350 Workers. The Entity is equipped with imported high-precision thickness gauges (AGC) and shape gauges (AFC). The Entity produces approximately 50,000 tonnes of double zero Aluminium foil blanks and 80,000 tonnes of Aluminium foil blanks for lithium battery cathodes annually.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of Systems, Residual Risk and Performance.

Maturity ratings are not a direct assessment of conformance to the Standard.

OVERALL	
SYSTEMS	Medium
RISKS	Medium
PERFORMANCE	Medium
OVERALL	MEDIUM

FINDINGS

CRITERION	RATING	COMMENT
1. MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI Membership	Conformance	The Entity is a subsidiary company of Jiangsu Zhongji Lamination Materials Co., Ltd who joined as an ASI Member in 2018 in the Production and Transformation class. In accordance with the ASI Performance Standard Management Manual, the Member commits to complying with ASI's membership obligations and the ASI Complaints Mechanism. The Entity's ASI Membership status is available at: https://aluminium-stewardship.org/about-asi/members/Jiangsu-Zhongji-Lamination-Materials-Co---Ltd
1.2 CoC Management System	Conformance	The Entity has implemented a documented ASI Chain of Custody (CoC) Management System based on the requirements of the ASI Chain of Custody Standard.
1.3 CoC Management System Monitoring	Conformance	The Entity has established a process to periodically review the ASI CoC Management System to ensure its ongoing adequacy, adaptability and effectiveness through internal audits and management review meetings. A management process is established and implemented to address non-conformances.
1.4 Management Representative	Conformance	The Entity has appointed a senior manager as the Management Representative who is responsible for implementation of the ASI Chain of Custody Standard. Defined roles and responsibilities are established to ensure conformance with all applicable requirements of the ASI Chain of Custody Standard.
1.5 Communications and Training	Conformance	The Entity has identified the key employees responsible for implementing its ASI CoC Management System and has provided these employees with training courses on the ASI Chain of Custody Standard. The training courses are designed to ensure that relevant employees are aware of and competent in their responsibilities under the ASI Chain of Custody Standard.
1.6 Records Management	Conformance	The document and record management system implemented in the Entity's ASI CoC Management System follows the process defined in the Quality, Environmental, and Occupational Health and Safety Management System (QES) Management System. The retention time for records is defined as a minimum of five years.
1.7a Reporting to ASI (Inputs and Outputs of CoC Material)	Conformance	Annual reporting processes have been developed and implemented. The Entity's CoC Management Manual has established a process where the Entity will report the information required to the ASI Secretariat within six months after the end of each calendar year.
1.7b Reporting to ASI (Inputs and Outputs of Eligible Scrap)	Conformance	The annual reporting processes have been developed and implemented. The Entity's CoC Management Manual has established a process where the Entity will report the information required to the ASI Secretariat within six months after the end of each calendar year.

CRITERION	RATING	COMMENT
1.7c Reporting to ASI (Inflows and Outflows of Non-CoC Material)	Conformance	The annual reporting processes have been developed and implemented. The Entity's CoC Management Manual has established a process where the Entity will report the information required to the ASI Secretariat within six months after the end of each calendar year.
1.7d Reporting to ASI (Positive Balance carried over)	Conformance	The annual reporting processes have been developed and implemented. The Entity's CoC Management Manual has established a process where the Entity will report the information required to the ASI Secretariat within six months after the end of each calendar year.
1.7e Reporting to ASI (Positive Balance used)	Conformance	The annual reporting processes have been developed and implemented. The Entity's CoC Management Manual has established a process where the Entity will report the information required to the ASI Secretariat within six months after the end of each calendar year.
1.7f Reporting to ASI (Internal Overdraw drawn down)	Conformance	The annual reporting processes have been developed and implemented. The Entity's CoC Management Manual has established a process where the Entity will report the information required to the ASI Secretariat within six months after the end of each calendar year.
1.7g Reporting to ASI (Intra-Entity Flows)	Conformance	The annual reporting processes have been developed and implemented. The Entity's CoC Management Manual has established a process where the Entity will report the information required to the ASI Secretariat within six months after the end of each calendar year.

2. OUTSOURCING CONTRACTORS

2.1 Certification Scope	Not Applicable	This Criterion is not applicable to the Entity, they do not use Outsourcing Contractors for the purposes of further processing, treatment or manufacturing of CoC Material.
2.2a Control of CoC Material (Legal ownership or control)	Not Applicable	This Criterion is not applicable to the Entity, they do not use Outsourcing Contractors for the purposes of further processing, treatment or manufacturing of CoC Material.
2.2b Control of CoC Material (No further outsourcing)	Not Applicable	This Criterion is not applicable to the Entity, they do not use Outsourcing Contractors for the purposes of further processing, treatment or manufacturing of CoC Material.
2.2c Control of CoC Material (Risk assessment)	Not Applicable	This Criterion is not applicable to the Entity, they do not use Outsourcing Contractors for the purposes of further processing, treatment or manufacturing of CoC Material.
2.3 Information on Quantity of CoC Material Output and Returned	Not Applicable	This Criterion is not applicable to the Entity, they do not use Outsourcing Contractors for the purposes of further processing, treatment or manufacturing of CoC Material.
2.4 Consistency in Inflow and Outflow Quantity of CoC Material to/from Outsourcing Contractor	Not Applicable	This Criterion is not applicable to the Entity, they do not use Outsourcing Contractors for the purposes of further processing, treatment or manufacturing of CoC Material.
2.5 Error (Outsourcing Contractor)	Not Applicable	This Criterion is not applicable to the Entity, they do not use Outsourcing Contractors for the purposes of further processing,

CRITERION	RATING	COMMENT
		treatment or manufacturing of CoC Material.
3. PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI ALUMINIUM		
3.1a ASI Bauxite (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1b ASI Bauxite (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1c ASI Bauxite (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2a ASI Alumina (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2b ASI Alumina (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2c ASI Alumina (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3b ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3c ASI Aluminium (Alumina sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4. RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP		
4.1a Recycled Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.1b Recycled Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2a Eligible Scrap (Pre-Consumer)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2b Eligible Scrap (Post-Consumer)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2c Eligible Scrap (Dross)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3a Records Management for Direct Suppliers of Recyclable Scrap Material (Suppliers)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
4.3b Records Management for Direct Suppliers of Recyclable Scrap Material (Financial transactions)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5. CASTHOUSES: CRITERIA FOR ASI ALUMINIUM		
5.1a ASI Aluminium (CoC Certification Scope)	Conformance	The Entity has implemented a system to ensure that ASI Aluminium is produced only from the Casthouse that is within its ASI Chain of Custody and Performance Standard Certification Scope.
5.1b ASI Aluminium (Performance Standard)	Conformance	The Entity has implemented a system to ensure that ASI Aluminium is produced only from the Casthouse that is within its ASI Chain of Custody and Performance Standard Certification Scope.
5.1c ASI Aluminium (Aluminium sourcing)	Conformance	The Entity has established and implemented a Management System to ensure that ASI Aluminium is produced only from its Casthouse that sources ASI Aluminium either directly from another ASI CoC Certified Entity, or via a Trader, where the ASI CoC Certified Entity that is the source of the ASI Aluminium can be identified and can provide a verified CoC Document.
5.2 Unique Identification	Conformance	The Entity has implemented systems to ensure that each ASI Aluminium Product or its packaging is labelled with a unique identification barcode number, either physically stamped or printed on the Product or its packaging, and that the number can be linked to the Material Accounting System.
6. POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM		
6.1a Post-Casthouse ASI Aluminium (CoC Certification Scope)	Conformance	The Entity has implemented a system to ensure that its Casthouse Products are produced only from the Facilities within the Entity's CoC Certification Scope.
6.1b Post-Casthouse ASI Aluminium (Performance Standard)	Conformance	The Entity has implemented a system to ensure that its Casthouse Products are produced only from a Facility Certified against the ASI Performance Standard.
6.1c Post-Casthouse ASI Aluminium (Aluminium sourcing)	Conformance	The Entity's has implemented a system to ensure that Casthouse Products are produced only from eligible Facilities that source ASI Aluminium directly from another ASI CoC Certified Entity, or via a Trader, where the ASI CoC Certified Entity that is the source of the ASI Aluminium can be identified and can provide a verified CoC Document.
7. DUE DILIGENCE FOR NON-COC MATERIAL, COC MATERIAL ACQUIRED THROUGH A TRADER AND RECYCLABLE SCRAP MATERIAL		
7.1a Responsible Sourcing Policy (Anti-corruption)	Conformance	The Entity has implemented a Responsible Sourcing Policy that addresses anti-Corruption. All identified major next-tier suppliers are informed of the Policy and have signed the Commitment Letter of Business Ethics. The Responsible Sourcing Policy is available at: http://www.scwszj.cn/50.html

CRITERION	RATING	COMMENT
7.1b Responsible Sourcing Policy (Responsible sourcing)	Conformance	The Entity has implemented a Responsible Sourcing Policy that addresses responsible sourcing. All major next-tier suppliers are informed of the Policy and have signed the Commitment Letter of Responsible Purchasing. The Responsible Purchasing Policy is available at: http://www.scwszj.cn/50.html
7.1c Responsible Sourcing Policy (Human rights due diligence)	Conformance	The Entity has implemented a Responsible Sourcing Policy that addresses Human Rights Due Diligence. All identified major next-tier suppliers are informed of the Policy and have signed the Commitment Letter of Responsible Purchasing. The Responsible Purchasing Policy is available at: http://www.scwszj.cn/50.html
7.1d Responsible Sourcing Policy (Conflict-affected and high-risk areas)	Conformance	The Entity has implemented a Responsible Sourcing Policy that addresses Conflict-Affected and High-Risk Areas (CAHRAs). All identified major next-tier suppliers are informed of the Policy and have signed Commitment Letters regarding Non-Conflict Minerals. Relevant training courses are provided to employees. The Responsible Purchasing Policy is available at: http://www.scwszj.cn/50.html
7.2 Risk Assessment and Mitigation	Conformance	The Entity undertakes risk assessments of its suppliers and has implemented risk mitigation efforts where relevant. The Entity conducts second party audits of its major next-tier suppliers.
7.3 Complaints Resolution Mechanism	Conformance	The Entity has established a complaints mechanism and has implemented a 'whistleblower' and a complaint channel to enable employees and external Stakeholders to anonymously report potential misconduct. The channels include a hotline (+86-0839-2200888) and an email address (wszjscws2025@163.com) which are provided to all Stakeholders. Information on the complaint channel is accessible at: http://www.scwszj.cn/52.html

8. MATERIAL ACCOUNTING SYSTEM: COC MATERIAL AND ASI ALUMINIUM

8.1 Material Accounting System	Conformance	The Entity has established a Material Accounting System that is capable to record the Input/Output and Inflow/Outflow Quantity of CoC Material and Non-CoC Material by mass.
8.2 Material Accounting Period	Conformance	In accordance with the Entity's Chain of Custody Management Manual, the Material Accounting Period is defined as the calendar year, from January 1 to December 31.
8.3 Input and Inflow Quantities	Conformance	The Entity records the quantities of each CoC Material and Eligible Scrap Input and the Quantities of Non-CoC Material and Recyclable Scrap Material. The Entity determines the Inflow quantity of Eligible Scrap and Recyclable Scrap Material based on an assessment of Aluminium content.
8.4 Output Quantities of CoC Material	Conformance	In the Entity's Material Accounting System, the available Quantities of CoC Materials for Output are determined by the Input Quantities for each CoC Material proportional to the total inflows of CoC and Non-CoC Material by mass.
8.5 Indivisibility of CoC Material	Conformance	In accordance with the Entity's ASI Chain of Custody Standard Management Manual, the Entity has defined the Output Quantity of CoC Material to be designated as 100% CoC Material.

CRITERION	RATING	COMMENT
8.6 Output Quantity of Eligible Scrap	Conformance	In accordance with the Entity's ASI Chain of Custody Standard Management Manual, the Entity designates the relevant proportion of Scrap generated in the production processes as Eligible Scrap using the same percentage share as for its Output of ASI Aluminium. A simulation case was conducted that demonstrated that the Entity understands this requirement and is able to implement it.
8.7 Consistency Between Input Percentage and Total Output	Conformance	In accordance with the Entity's ASI Chain of Custody Standard Management Manual, the Entity has defined that the total Output of CoC Material and/or Eligible Scrap does not proportionally exceed the Input Percentage of CoC Material and/or Eligible Scrap over the Material Accounting Period.
8.8a Internal Overdraw (Not exceed 20%)	Conformance	In accordance with the Entity's ASI Chain of Custody Standard Management Manual, the Entity has defined that in the event an Internal Overdraw is made, the Internal Overdraw must not exceed 20% of the total Input Quantity of CoC Material for the Material Accounting Period.
8.8b Internal Overdraw (Not exceed force majeure situation)	Conformance	In accordance with the Entity's ASI Chain of Custody Standard Management Manual, the Entity has defined that an Internal Overdraw is only permitted when CoC Material has been contracted to be delivered to the Entity, but a Force Majeure situation has prevented timely delivery. In such an event the Internal Overdraw must not exceed the amount of CoC Material affected by the Force Majeure situation.
8.8c Internal Overdraw (Made up within subsequent Material Accounting Period)	Conformance	In accordance with the Entity's ASI Chain of Custody Standard Management Manual, the Entity has defined that the Internal Overdraw shall be made up within the subsequent Material Accounting Period.
8.9a Positive Balance (Carry over)	Conformance	In accordance with the Entity's ASI Chain of Custody Standard Management Manual, the Entity has defined that a carry over of a Positive Balance must be clearly identified in the Entity's Material Accounting System.
8.9b Positive Balance (Expiry)	Conformance	In accordance with the Entity's ASI Chain of Custody Standard Management Manual, the Entity has defined that a Positive Balance generated in one Material Accounting Period and carried over to the subsequent Material Accounting Period will expire at the end of that Period if not drawn down.
9. ISSUING COC DOCUMENTS		
9.1 CoC Document	Conformance	The Entity has developed a CoC Document template as defined in the ASI Chain of Custody Standard to accompany each shipment or transfer of CoC Material dispatched to other CoC Certified Entities or Traders.
9.2a CoC Document Content (Date of issue)	Conformance	The Entity issues CoC Documents for each shipment of Material/Product dispatched using the CoC Document Template as defined in the ASI Chain of Custody Standard. The CoC Documents include the date of issue.

CRITERION	RATING	COMMENT
9.2b CoC Document Content (Reference number)	Conformance	The Entity issues CoC Documents for each shipment of Material/Product dispatched using the CoC Document template as defined in the ASI Chain of Custody Standard. The CoC Documents include a reference number.
9.2c CoC Document Content (Issuing Entity)	Conformance	The Entity issues CoC Documents for each shipment of Material/Product dispatched using the CoC Document template as defined in the ASI Chain of Custody Standard. The CoC Documents include the issuing Entity.
9.2d CoC Document Content (Receiving customer)	Conformance	The Entity issues CoC Documents for each shipment of Material/Product dispatched using the CoC Document template as defined in the ASI Chain of Custody Standard. The CoC Documents include the receiving customer.
9.2e CoC Document Content (Responsible employee)	Conformance	The Entity issues CoC Documents for each shipment of Material/Product dispatched using the CoC Document template as defined in the ASI Chain of Custody Standard. The CoC Documents include the responsible employee who can verify the information in the CoC Document.
9.2f CoC Document Content (Conformance statement)	Conformance	The Entity issues CoC Documents for each shipment of Material/Product dispatched using the CoC Document template as defined in the ASI Chain of Custody Standard. The CoC Documents include a conformance statement.
9.2g CoC Document Content (Type of CoC Material)	Conformance	The Entity issues CoC Documents for each shipment of Material/Product dispatched using the CoC Document template as defined in the ASI Chain of Custody Standard. The CoC Documents include the type of CoC Material in the shipment.
9.2h CoC Document Content (Mass of CoC Material)	Conformance	The Entity issues CoC Documents for each shipment of Material/Product dispatched using the CoC Document Template as defined in the ASI Chain of Custody Standard. The CoC Documents include the Mass of CoC Material in the shipment.
9.2i CoC Document Content (Mass of total material)	Conformance	The Entity issues CoC Documents for each shipment of Material/Product dispatched using the CoC Document template as defined in the ASI Chain of Custody Standard. The CoC Documents include the total mass of material in the shipment.
9.3a Sustainability Data (optional) – Carbon footprint	Conformance	The Entity's Chain of Custody Management Manual states that the Entity will not provide the Sustainability Data in its CoC Documents by default. The Entity will, however, share the Product Life Cycle Assessment Report on customer request.
9.3b Sustainability Data (optional) – Origin information	Conformance	The Entity's Chain of Custody Management Manual states that the Entity will not provide the Sustainability Data in its CoC Documents by default. The Entity will, however, share Sustainability Data including information on the origin of the Aluminium on customer request.
9.3c Sustainability Data (optional) – Recycled content	Conformance	The Entity's Chain of Custody Management Manual states that the Entity will not provide the Sustainability Data in its CoC Documents by default. The Entity will, however, share Sustainability Data including information on the recycled content of the CoC Material on customer

CRITERION	RATING	COMMENT
		request.
9.3d Sustainability Data (optional) – Post-Casthouse ASI Certification status	Conformance	The Entity issues a CoC Document for each shipment of Material/Product using the template CoC Document which includes the ASI Performance Standard Certification Status of the Entity.
9.4 Supplementary Information (optional) – Objective evidence	Conformance	The Entity has developed a CoC Document template as defined in the ASI Chain of Custody Standard to accompany each shipment or transfer of CoC Material. The Entity does not provide Sustainability Data by default. When the Supplementary Information is provided, it will be based on Objective Evidence based on the Output of the Entity's ASI Performance Standard Management System.
9.5 Verification of Information	Conformance	The Entity has defined the responsibilities and processes to respond to requests for verification of information in CoC Documents issued by the Entity.
9.6 Error (Shipping)	Conformance	The Entity has established a process that is integrated with the ISO 9001 Quality Management System to record any errors, analyse the root cause and take associated corrective action to prevent their reoccurrence.
10. RECEIVING COC DOCUMENTS		
10.1 Verification of CoC Documents	Conformance	The Entity has implemented a process and responsibilities for the verification of the information on received CoC Documents. The process is defined in the Entity's ASI CoC Management Manual.
10.2 Verification of Consistency Between CoC Documents and CoC Material	Conformance	The Entity has implemented a process to verify the required information in the received CoC Documents.
10.3 Verification of Supplier's ASI CoC Certification	Conformance	The Entity has implemented a process to verify the supplier CoC Certification Status on the ASI website.
10.4 Error (Reception)	Conformance	The Entity has implemented a process to record any errors and provide feedback to the supplier and require the supplier to take the required associated corrective actions to prevent their reoccurrence.
11. CLAIMS AND COMMUNICATIONS		
11.1a Claims and Communications (ASI Claims Guide)	Conformance	The Entity has established in its ASI CoC Management Manual to ensure all claims and communications follow the ASI Claims Guide, and the relevant roles and responsibilities are defined.
11.1b Claims and Communications (Verifiable evidence)	Conformance	The Entity has the assigned roles and responsibilities to ensure that claims are made in a manner consistent with the ASI Claims Guide.
11.1c Claims and Communications	Conformance	The Entity provides training courses on claims and communication to the relevant employees.

CRITERION	RATING	COMMENT
(Employee training)		

ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable law, or will avoid any Breach from occurring.

DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
1	11 December 2025	Initial Certification Audit – Full Certification