

ASI CERTIFICATION CHAIN OF CUSTODY STANDARD



PRESENTED TO

UACJ Corporation

CERTIFICATE NUMBER
187

ASI STANDARD
**CHAIN OF CUSTODY
(v2 2022)**

CERTIFICATION LEVEL
**FULL
CERTIFICATION**

ASI ACCREDITED
AUDITING FIRM
**ERM CERTIFICATION
AND VERIFICATION
SERVICES**

DATE OF ISSUE
10 MARCH 2025

DATE OF EXPIRY
9 MARCH 2028

CERTIFIED SINCE
10 MARCH 2022

AUTHORISED BY

A handwritten signature in black ink, appearing to read "Y. H. C." followed by a long horizontal line.

CERTIFICATION SCOPE

The manufacture of Aluminium plate products at Fukui Works and Nagoya Works, Japan and UACJ (Thailand) Co, Ltd, Thailand.

Aluminium Stewardship Initiative Ltd
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Validity of this Certificate is subject to continued conformance with the applicable ASI Standard and can be verified at www.Aluminium-stewardship.org

AUDIT REPORT

CHAIN OF CUSTODY

STANDARD

OVERVIEW

MEMBER NAME	UACJ Corporation
ENTITY NAME	UACJ Corporation
CERTIFICATION SCOPE	The manufacture of Aluminium plate products at Fukui Works and Nagoya Works, Japan and UACJ (Thailand) Co, Ltd, Thailand.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">• Aluminium Re-melting/Refining• Casthouses• Post-Casthouses
ASI STANDARD	<ul style="list-style-type: none">• Chain of Custody Standard V2
AUDIT TYPE	<ul style="list-style-type: none">• Initial Certification Audit (25 October – 5 November 2021)• Surveillance Audit (19 – 21 September 2023)• Re-Certification Audit and Scope Change (2 – 5 December 2024)• Scope Change Audit (22 September – 7 October 2025)
AUDIT FIRM	ERM Certification and Verification Services
AUDIT DATE	<ul style="list-style-type: none">• 25 October – 5 November 2021 (Initial Certification Audit)• 19 – 21 September 2023 (Surveillance Audit)• 2 – 5 December 2024 (Re-Certification Audit and Scope Change)• 22 September – 7 October 2025 (Scope Change Audit)
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">• 7 February 2022 (Initial Certification Audit)• 23 October 2023 (Surveillance Audit)• 29 January 2025 (Re-Certification Audit and Scope Change)• 13 November 2025 (Scope Change Audit)
AUDIT SCOPE	<p><u>Initial Certification Audit (25 October – 5 November 2021)</u></p> <p>The Audit Scope covers the manufacture of Aluminium plate products at Fukui Works, Japan and UACJ (Thailand) Co, Ltd, Thailand.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">• Aluminium Re-melting/Refining• Casthouses• Post-Casthouses <p>All applicable Criteria in the ASI Chain of Custody Standard were included in the Audit Scope.</p> <p>At the time of the Audit (October – November 2021), access to the site was not possible, due to COVID-19 related travel restrictions. The Audit has been undertaken</p>

as a 'desktop' exercise, in accordance with ASI Interim Policy regarding Audits, Audit-Related Travel and Coronavirus (v4), and included a remote review of relevant documentation.

Surveillance Audit (19 – 21 September 2023)

The Audit Scope covers the manufacture of Aluminium plate products at UACJ (Thailand) Co., Ltd. Located in Thailand.

Supply chain activities included in the Audit Scope:

- Aluminium Re-melting/Refining
- Casthouses
- Post-Casthouse

All applicable criteria in the ASI Chain of Custody Standard were included in the Audit Scope.

Re-Certification Audit and Scope Change (2 – 5 December 2024)

The Audit Scope covers the manufacture of Aluminium plate products at Fukui Works located in Japan.

Supply chain activities included in the Audit Scope:

- Aluminium Re-melting/Refining
- Casthouses
- Post-Casthouse

All applicable criteria in the ASI Chain of Custody Standard were included in the Audit Scope.

Scope Change Audit (22 September – 7 October 2025)

The Audit Scope covers the manufacture of Aluminium plate products at Nagoya Works located in Japan.

Supply chain activities included in the Audit Scope:

- Aluminium Re-melting/Refining
- Casthouses
- Post-Casthouse

All applicable criteria in the ASI Chain of Custody Standard were included in the Audit Scope.

AUDIT OUTCOME	Certification
AUDIT METHODOLOGY DECLARATION	<p>The Auditors confirm that:</p> <p class="list-item-l1"><input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this Report.</p> <p class="list-item-l1"><input checked="" type="checkbox"/> The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.</p> <p class="list-item-l1"><input checked="" type="checkbox"/> The Audit Scope and Audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.</p> <p class="list-item-l1"><input checked="" type="checkbox"/> The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.</p>
CERTIFICATION PERIOD	10 March 2025 – 9 March 2028
NEXT AUDIT TYPE	Surveillance Audit
NEXT AUDIT DUE DATE	10 September 2027



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://Aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

The Entity has three Facilities for Aluminium plate products, one at Fukui Works, Japan, one at Nagoya Works, Japan and another at UACJ (Thailand) Co., Ltd, in Thailand.

The main production activities at Fukui Works include melting of raw materials such as bullions, master Scrap, market Scrap, and customer Scrap together with additives in the Casthouse at approximately 700-800 degrees Celsius. Materials are transferred from the Casthouse process into the hot/cold rolling process, the finishing process (cutting, winding, and coating) of products, inspection, testing and analysis. Annual production output from the Japanese sites is approximately 300,000 tonnes. The Entity provides everyday items such as Aluminium cans and automobile part materials, electronic and medical products, and materials for the aerospace industry.

The Facility employs approximately 700 Workers, 50 of whom are women, with another 20 partner companies working closely with the site teams and providing logistics and production operations support. There are no confirmed plans for any significant change to the factory (production output, operations or layout wise) over the next three years.

Fukui Works is the Casthouse/Post-Casthouse factory located within an industrial estate, Technoport Fukui, in Fukui City, Fukui Prefecture, in the Hokuriku Area in Japan. The closest residential area from the Entity is approximately 200 metres away, and a 'green belt' (buffer zone) separates it from the industrial estate. Technoport Fukui is the largest industrial park in the Kinki and Chubu areas, covering Fukui City and Sakai City.

The Nagoya Works Facility commenced operations in 1941, within Nagoya city and in a former reclaimed area. The site area covers a total footprint of 503,000 m², with a built-up area of 280,000 m² and main activities include melting, Casting, hot rolling and finishing. Approximately 1,200 employees work within this division on a three-shift per day rotation.

UACJ (Thailand) Co, Ltd. also known as UATH, is located in Rayong, Thailand. The site's annual production output is approximately 300,000 tonnes. The Facility employs 1,300 Workers, 200 of whom are women.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

OVERALL	
SYSTEMS	High
RISKS	Medium
PERFORMANCE	High
OVERALL	HIGH

FINDINGS

CRITERION	RATING	COMMENT
1. MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI Membership	Conformance	The Entity is an ASI Member in the Production and Transformation class. More information on their ASI Membership is on the ASI website: https://Aluminium-stewardship.org/about-asi/members/UACJ-Corporation
1.2 CoC Management System	Conformance	The Entity's internal guidance documents have been developed to manage ASI Chain of Custody (CoC) requirements. The document structure and management adhere to the existing third-party certified Quality Management System.
1.3 CoC Management System Monitoring	Conformance	The Entity's CoC-relevant procedures and guidelines are reviewed every three years as per the Entity's QMS requirement. Scheduled internal reviews and management discussions for the ASI CoC accounting system will commence together with the Quality Management System (QMS) reviews once the Entity starts transactions of CoC Material, currently expected from 2026 onwards.
1.4 Management Representative	Conformance	The Entity's ASI CoC Control Standard specifies that the Quality Assurance Department Manager is appointed as the Management Representative. The Quality Control Section forms the team overseeing ASI CoC Standard implementation at the Entity.
1.5 Communications and Training	Conformance	The Entity's QC Department manages training, and requirements for this process are included under the Entity's ASI CoC Operational Control Standard.
1.6 Records Management	Conformance	The Entity has defined in the Entity's ASI CoC Control Standard for retention of records for a minimum of five years.
1.7a Reporting to ASI (Inputs and Outputs of CoC Material)	Conformance	The Entity specifies that the Quality Control Section will report information for each calendar year to the Head Office by the end of June of the following year for reporting to ASI. The process is included under the Entity's ASI CoC Control Standard.
1.7b Reporting to ASI (Inputs and Outputs of Eligible Scrap)	Conformance	Required information is submitted to the ASI Secretariat as described under the Entity's ASI CoC Control Standard.
1.7c Reporting to ASI (Inflows and Outflows of Non-CoC Material)	Conformance	Required information is submitted to the ASI Secretariat as described under the Entity's ASI CoC Control Standard.
1.7d Reporting to ASI (Positive Balance carried over)	Conformance	Required information is submitted to the ASI Secretariat as described under the Entity's ASI CoC Control Standard.
1.7e Reporting to ASI (Positive Balance used)	Conformance	Required information is submitted to the ASI Secretariat as described under the Entity's ASI CoC Control Standard.

CRITERION	RATING	COMMENT
1.7f Reporting to ASI (Internal Overdraw drawn down)	Conformance	The Entity's maximum Internal Overdraw (if any) is described as required information to be submitted under its ASI CoC Control Standard.
1.7g Reporting to ASI (Intra-Entity Flows)	Not Applicable	This Criterion is not applicable to the Entity, as it has not completed any transactions involving CoC Material, and there are no plans to have Intra-Entity Flows between other UACJ Japan sites and UATH Thailand once the process commences.
2. OUTSOURCING CONTRACTORS		
2.1 Certification Scope	Not Applicable	This Criterion is not applicable to the Entity, as it does not use Outsourcing Contractors for further processing, treatment or manufacturing.
2.2a Control of CoC Material (Legal ownership or control)	Not Applicable	This Criterion is not applicable to the Entity, as it does not use Outsourcing Contractors for further processing, treatment or manufacturing.
2.2b Control of CoC Material (No further outsourcing)	Not Applicable	This Criterion is not applicable to the Entity, as it does not use Outsourcing Contractors for further processing, treatment or manufacturing.
2.2c Control of CoC Material (Risk Assessment)	Not Applicable	This Criterion is not applicable to the Entity, as it does not use Outsourcing Contractors for further processing, treatment or manufacturing.
2.3 Information on Quantity of CoC Material Output and Returned	Not Applicable	This Criterion is not applicable to the Entity, as it does not use Outsourcing Contractors for further processing, treatment or manufacturing.
2.4 Consistency in Inflow and Outflow Quantity of CoC Material to/from Outsourcing Contractor	Not Applicable	This Criterion is not applicable to the Entity, as it does not use Outsourcing Contractors for further processing, treatment or manufacturing.
2.5 Error (Outsourcing Contractor)	Not Applicable	This Criterion is not applicable to the Entity, as it does not use Outsourcing Contractors for further processing, treatment or manufacturing.
3. PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI ALUMINIUM		
3.1a ASI Bauxite (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1b ASI Bauxite (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1c ASI Bauxite (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2a ASI Alumina (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
3.2b ASI Alumina (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2c ASI Alumina (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3b ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3c ASI Aluminium (Alumina sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

4. RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP

4.1a Recycled Aluminium (CoC Certification Scope)	Conformance	The Entity is currently Certified to both the ASI Chain of Custody and ASI Performance Standards.
4.1b Recycled Aluminium (Performance Standard)	Conformance	The Entity's Eligible Scrap in the Material Accounting System is defined under the Entity's ASI CoC Control Standard.
4.2a Eligible Scrap (Pre-Consumer)	Conformance	The Entity has not made any transactions involving CoC Material. As per other ASI Certified Facilities under UACJ Corp., the Entity is committed to not use cash for transactions and payment is made with cheques only.
4.2b Eligible Scrap (Post-Consumer)	Conformance	Eligible Scrap is defined as Post-Consumer in origin and subject to supplier Due Diligence as defined in the Entity's ASI CoC Control Standard.
4.2c Eligible Scrap (Dross)	Conformance	Aluminium recovered from Dross is included in the Entity's Material Flow under the Entity's ASI CoC Control Standard.
4.3a Records Management for Direct Suppliers of Recyclable Scrap Material (Suppliers)	Conformance	Detailed information on all direct suppliers of Recyclable Scrap Material is included in the Entity's Supplier List.
4.3b Records Management for Direct Suppliers of Recyclable Scrap Material (Financial transactions)	Not Applicable	This Criterion is not applicable to the Entity, as it does not use cash for transactions, and payment is via bank transfers only.

5. CASTHOUSES: CRITERIA FOR ASI ALUMINIUM

5.1a ASI Aluminium (CoC Certification Scope)	Conformance	The Entity's Casthouse Facilities are included in the ASI CoC Standard Certification Scope.
5.1b ASI Aluminium (Performance Standard)	Conformance	The Entity's Casthouse Facilities are included in the ASI Performance Standard Certification Scope.

CRITERION	RATING	COMMENT
5.1c ASI Aluminium (Aluminium sourcing)	Conformance	The Entity has appropriate systems in place to trace incoming material from suppliers and validate that ASI Aluminium is produced only from ASI CoC Certified suppliers. However, the Entity has not yet sourced any ASI Aluminium.
5.2 Unique Identification	Conformance	The Raw Material Accounting System will display unique identification numbers and has been developed. Additional procedures describing the process are being drafted. Nagoya Works has not yet started sourcing or shipping CoC Material.

6. POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM

6.1a Post-Casthouse ASI Aluminium (CoC Certification Scope)	Conformance	The Entity's Post-Casthouse Facilities are included in the ASI CoC Certification Scope.
6.1b Post-Casthouse ASI Aluminium (Performance Standard)	Conformance	The Entity's Post-Casthouse Facilities are included in the ASI Performance Standard Certification Scope.
6.1c Post-Casthouse ASI Aluminium (Aluminium sourcing)	Conformance	The Entity has appropriate systems in place to trace incoming material from suppliers and validate that ASI Aluminium is produced only from ASI CoC Certified suppliers. However, the Entity has not yet sourced any ASI Aluminium.

7. DUE DILIGENCE FOR NON-COC MATERIAL, COC MATERIAL ACQUIRED THROUGH A TRADER AND RECYCLABLE SCRAP MATERIAL

7.1a Responsible Sourcing Policy (Anti-corruption)	Conformance	The UACJ Group Sustainability Procurement Guidelines (version 2023) include UACJ's commitment to anti-Corruption and are available publicly on the UACJ website. In addition, the process is described under the Entity's ASI CoC Control Standard.
7.1b Responsible Sourcing Policy (Responsible sourcing)	Conformance	The UACJ Group Sustainability Procurement Guidelines (version 2023) include UACJ's commitment to Responsible Sourcing and are available publicly on the UACJ website. This process is also described under the Entity's ASI CoC Control Standard.
7.1c Responsible Sourcing Policy (Human rights due diligence)	Conformance	The UACJ Group Sustainability Procurement Guidelines (version 2023) include UACJ's commitment to Human Rights Due Diligence and are available publicly on the UACJ website. In addition, the process is described under the Entity's ASI CoC Control Standard.
7.1d Responsible Sourcing Policy (Conflict-affected and high-risk areas)	Conformance	The UACJ Group Sustainability Procurement Guidelines (version 2023) include UACJ's commitment to Conflict-Affected and High-Risk Areas (CAHRAs) and are available publicly on the UACJ website. In addition, the process is described under the Entity's ASI CoC Control Standard.
7.2 Risk Assessment and Mitigation	Minor Non-Conformance	The Procurement team has revised the UACJ Group Due Diligence Policy, which is applicable for suppliers of Dross Recycled Aluminium and Post-Consumer Scrap based on supplier tiers. The supplier assessments for Nagoya Works are completed through acknowledgement of the UACJ Group Sustainability Procurement Guidelines and on-site/remote audit processes, managed at corporate level in UACJ Tokyo.

CRITERION	RATING	COMMENT
		Some requirements of this Policy had not been implemented in the previous Audit for a supplier. However, for UATH and Fukui Works, conformance to this Criterion will be undertaken at the next Audit.
7.3 Complaints Resolution Mechanism	Conformance	Section 1 of the Entity's ASI CoC Control Standard, which covers Due Diligence, describes the Entity's Complaint Resolution System. To date, no complaints have been received regarding the Entity's Responsible Sourcing Policy.
8. MASS BALANCE SYSTEM: COC MATERIAL AND ASI ALUMINIUM		
8.1 Material Accounting System	Conformance	The Entity has not started sourcing or shipping ASI Material. The Material Accounting System is briefly described under the existing ASI CoC Control Standard; however, additional operational procedures describing the system will be drafted prior to the planned shipment of ASI Material.
8.2 Material Accounting Period	Conformance	The Material Accounting Period is regulated to be one year from January to December under the Entity's ASI CoC Control Standard.
8.3 Input and Inflow Quantities	Conformance	The process to manage Input and Inflow quantities is described under the Entity's ASI CoC Control Standard.
8.4 Output Quantities of CoC Material	Conformance	The Entity's operational procedure for Mass Balance control is described under Section 3 of its ASI CoC Control Standard. Discussions indicate that the Entity has established a Material Accounting System by using spreadsheets to track incoming raw materials and outgoing materials.
8.5 Indivisibility of CoC Material	Conformance	The Entity's Output Quantity of CoC Material is designated 100% CoC Material under Section 6.3 of its ASI CoC Control Standard and reflected in the Entity's internal spreadsheets.
8.6 Output Quantity of Eligible Scrap	Conformance	The Entity's ASI CoC Control Standard prescribes the process for generating Eligible Scrap. For Scrap generated from the processing process, the ratio (amount) of Eligible Scrap is calculated from the Input ratio of CoC Certified Materials. Currently, the Scrap generated on-site is not sold off-site.
8.7 Consistency Between Input Percentage and Total Output	Conformance	The Entity regulates the Input/Output Percentage as described in its ASI CoC Control Standard. Internal Mass Balance spreadsheets allow for the checking of the process implementation.
8.8a Internal Overdraw (Not exceed 20%)	Conformance	The Entity regulates its Internal Overdraw as described in its ASI CoC Control Standard. The UACJ Corporation ASI CoC Mass Balance table is periodically reviewed to ensure the process is implemented appropriately.
8.8b Internal Overdraw (Not exceed force majeure situation)	Conformance	The Entity regulates its Internal Overdraw as described in its ASI CoC Control Standard. The UACJ Corporation has developed an ASI CoC Mass Balance table to review and ensure the process is implemented appropriately.

CRITERION	RATING	COMMENT
8.8c Internal Overdraw (Made up within subsequent Material Accounting Period)	Conformance	The Entity regulates its Internal Overdraw as described in its ASI CoC Control Standard and the UACJ Corporation has developed an ASI CoC Mass Balance table to review and ensure the process is implemented appropriately.
8.9a Positive Balance (Carry over)	Conformance	The Entity regulates its Internal Overdraw as described in its ASI CoC Control Standard, and the UACJ Corporation has developed an ASI CoC Mass Balance table to review and ensure the process is implemented appropriately.
8.9b Positive Balance (Expiry)	Conformance	The Entity's Material Accounting System requires that a Positive Balance generated in one Material Accounting Period and carried over to the subsequent Material Accounting Period, expires at the end of that Period if not drawn down.
9. ISSUING COC DOCUMENTS		
9.1 CoC Document	Conformance	The Entity issues a CoC Document for each shipment through its system while the mill sheet (inspection certificate) is issued.
9.2a CoC Document Content (Date of issue)	Conformance	The Entity records its shipping of ASI CoC Material and is available for review and meeting the ASI CoC Standard requirements.
9.2b CoC Document Content (Reference number)	Conformance	The Entity records its shipping of ASI CoC Material, and it is available for review and meeting the ASI CoC Standard requirements.
9.2c CoC Document Content (Issuing Entity)	Conformance	The Entity records its shipping of ASI CoC Material, which is available for review and meets the ASI CoC Standard requirements.
9.2d CoC Document Content (Receiving customer)	Conformance	The Entity records its shipping of ASI CoC Material, which is available for review and meets the ASI's Standard requirements.
9.2e CoC Document Content (Responsible employee)	Conformance	The Entity records its shipping of ASI CoC Material, which is available for review and meets the ASI CoC Standard requirements.
9.2f CoC Document Content (Conformance statement)	Conformance	The Entity records its shipping of ASI CoC Material, which is available for review and meets the ASI CoC Standard requirements.
9.2g CoC Document Content (Type of CoC Material)	Conformance	The Entity records its shipping of ASI CoC Material, which is available for review and meets the CoC ASI CoC Standard requirements.
9.2h CoC Document Content (Mass of CoC Material)	Conformance	The Entity records its shipping of ASI CoC Material, which is available for review and meets the ASI CoC Standard requirements.
9.2i CoC Document Content (Mass of total material)	Conformance	The Entity records its shipping of ASI CoC Material, which is available for review and meets the ASI CoC Standard requirements.

CRITERION	RATING	COMMENT
9.3a Sustainability Data (optional) - Carbon footprint	Conformance	The Entity's Sustainability Data reporting requirements are stipulated under its ASI CoC Control Standard. Sustainability Data have not been included in CoC Documents as the Entity has not received any requests from the customer for these data.
9.3b Sustainability Data (optional) - Origin information	Conformance	The Entity's Sustainability Data reporting requirements are stipulated under its ASI CoC Control Standard. Sustainability Data have not been included in CoC Documents as the Entity has not received any requests from the customer for these data.
9.3c Sustainability Data (optional) - Recycled content	Conformance	The Entity's Sustainability Data reporting requirements are stipulated under its ASI CoC Control Standard. Sustainability Data have not been included in CoC Documents as the Entity has not received any requests from the customer for these data.
9.3d Sustainability Data (optional) - Post-Casthouse ASI Certification status	Conformance	The Entity's Sustainability Data reporting requirements are stipulated under its ASI CoC Control Standard. Sustainability Data have not been included in CoC Documents as the Entity has not received any requests from the customer for these data.
9.4 Supplementary Information (optional) - Objective evidence	Conformance	The Entity's Supplementary Information reporting requirements are stipulated under its ASI CoC Control Standard.
9.5 Verification of Information	Conformance	The Entity's ASI CoC Control Standard states the Quality Assurance Department conducts an Internal System audit every December.
9.6 Error (Shipping)	Conformance	The process for updating errors after shipment is stipulated in the ASI CoC Control Standard.

10. RECEIVING COC DOCUMENTS

10.1 Verification of CoC Documents	Conformance	The process for verifying CoC Documents is stipulated under the Entity's ASI CoC Control Standard. The Entity has not yet shipped or received any CoC Material.
10.2 Verification of Consistency Between CoC Documents and CoC Material	Conformance	The process for verifying CoC Documents is stipulated under the Entity's ASI CoC Control Standard. The Entity has not yet shipped or received any CoC Material.
10.3 Verification of Supplier's ASI CoC Certification	Conformance	The process for verifying CoC Documents is stipulated under the Entity's ASI CoC Control Standard and its Operational Control Standard. The Entity has not yet shipped or received any CoC Material.
10.4 Error (Reception)	Conformance	The process for verifying CoC Documents is stipulated under the Entity's ASI CoC Control Standard. The Entity has not yet shipped or received any CoC Material.

11. CLAIMS AND COMMUNICATIONS

CRITERION	RATING	COMMENT
11.1a Claims and Communications (ASI Claims Guide)	Conformance	The Entity has only made claims and/or representations about CoC Material in CoC Documents to date and not made claims outside of CoC documentation.
11.1b Claims and Communications (Verifiable evidence)	Conformance	The Entity has only made claims and/or representations about CoC Material in CoC Documents to date and not made claims outside of CoC documentation.
11.1c Claims and Communications (Employee training)	Conformance	The Entity has only made claims and/or representations about CoC Material in CoC Documents to date and not made claims outside of CoC documentation.

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DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	10 March 2021	Initial Certification Audit – Full Certification
1	7 November 2023	Surveillance Audit; Updated Supply Chain Activities included in the Initial Certification Audit Scope from 'Material Conversion (Production and Transformation' to 'Post-Casthouse'
2	27 February 2025	Re-Certification Audit and Scope Change – Full Certification Scope Change to apply ASI CoC Standard v2
3	1 December 2025	Scope Change Audit – addition of Nagoya Works facility, Japan.