

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

Shandong Innovation Sheet Materials Co., Ltd.

| CERTIFICATE NUMBER | ASI STANDARD | CERTIFICATION LEVEL | ASI ACCREDITED AUDITING FIRM |
|--------------------|----------------------------------|---------------------|---------------------------------------|
| 528 | PERFORMANCE STANDARD (v3.1 2023) | FULL CERTIFICATION | SGS-CSTC STANDARDS TECHNICAL SERVICES |
| DATE OF ISSUE | DATE OF EXPIRY | CERTIFIED SINCE | |
| 30 JANUARY 2026 | 29 JANUARY 2029 | 30 JANUARY 2026 | |

AUTHORISED BY

A handwritten signature in black ink, appearing to read "Yan He".

CERTIFICATION SCOPE

Manufacture of Aluminium and
Aluminium alloy sheet, strip, and foil
at Shandong Innovation Sheet
Materials CO., LTD. located in
Shandong Province, China.

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
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*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at
www.aluminium-stewardship.org*

AUDIT REPORT

PERFORMANCE

STANDARD

OVERVIEW

| | |
|-------------------------------|--|
| MEMBER NAME | Shandong Innovation Group Co, Ltd. |
| ENTITY NAME | Shandong Innovation Sheet Materials Co, Ltd. |
| CERTIFICATION SCOPE | Manufacture of Aluminium and Aluminium alloy sheet, strip, and foil at Shandong Innovation Sheet Materials Co, Ltd. located in Shandong Province, China. |
| SUPPLY CHAIN ACTIVITIES | <ul style="list-style-type: none">• Aluminium Re-melting/Refining• Casthouses• Semi-Fabrication |
| ASI STANDARD | <ul style="list-style-type: none">• Performance Standard V3.1 |
| AUDIT TYPE | <ul style="list-style-type: none">• Initial Certification Audit |
| AUDIT FIRM | SGS-CSTC Standards Technical Services |
| AUDIT DATE | <ul style="list-style-type: none">• 18 – 21 November 2025 |
| AUDIT REPORT SUBMISSION | <ul style="list-style-type: none">• 1 December 2025 |
| AUDIT SCOPE | <p>The Audit Scope includes the manufacture of Aluminium and Aluminium alloy sheet, strip, and foil at Shandong Innovation Sheet Materials Co, Ltd. located in Shandong Province, China.</p> <p>The Supply Chain Activities included in the Audit Scope:</p> <ul style="list-style-type: none">• Aluminium Re-melting/Refining• Casthouses• Semi-Fabrication <p>All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.</p> |
| AUDIT OUTCOME | <ul style="list-style-type: none">• Certification |
| AUDIT METHODOLOGY DECLARATION | <p>The Auditors confirm that:</p> <ul style="list-style-type: none"><input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.<input checked="" type="checkbox"/> The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.<input checked="" type="checkbox"/> The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.<input checked="" type="checkbox"/> The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective. |

| | |
|----------------------|-----------------------------------|
| CERTIFICATION PERIOD | 30 January 2026 – 29 January 2029 |
| NEXT AUDIT TYPE | Surveillance Audit |
| NEXT AUDIT DATE | 30 July 2027 |
| CERTIFICATE NUMBER | 528 |



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Shandong Innovation Sheet Materials Co., Ltd. (the 'Entity') was established in June 2008 and is an important member enterprise of the listed company Innovation New Materials Technology Co., Ltd. (Stock Code: 600361). With a registered capital of 500 million yuan and more than 1,100 employees, the Entity is a large-scale production enterprise with technical strength, focusing on the R&D and manufacturing of high-precision Aluminium sheet, strip and foil.

The Entity is located in Innovation Industrial Park, Zouping City, Shandong Province. The central coordinates of the factory area are 117°80'77.10" E longitude and 36°92'45.00" N latitude. It is mainly adjacent to Diaosong Village, Jiaoqiao Town (north side), Daweijia Village, Handian Town (west side) and Guojia Village, Jiaoqiao Town (east side).

The Entity covers an area close to 310,000 square metres (m²), with a total construction area of 208,788 m² and a designed production capacity of 400,000 tonnes/year, with 240,000 tonnes of Aluminium and Aluminium alloy sheet, strip and foil of annual production of saleable products. It is currently equipped with 50 Casting and rolling production lines, nine high-stand cold rolling units, as well as a full set of supporting equipment such as finishing, slitting and cleaning. It can produce 1xxx, 3xxx, 5xxx and 8xxx series Aluminium alloy sheet, strip and foil, forming a complete technological process from melting, Casting and rolling, cold rolling, cleaning and annealing to finishing, slitting and packaging, ensuring that product dimensional accuracy and appearance quality meet customer requirements. The Entity's other ancillary infrastructure on-site includes an office building, three Workers' dormitories, employee parking lots, one raw materials warehouse, and two finished products warehouses.

The main production energy sources are electricity and natural gas. The Entity actively carries out energy conservation, emissions reduction and 'green' manufacturing practices, and is equipped with environmental protection treatment devices, photovoltaic power generation facilities and electricity from the grid.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

| | GOVERNANCE | ENVIRONMENT | SOCIAL | COMBINED RATING |
|--------------------|---------------|-------------|--------|-----------------|
| SYSTEMS | High | Medium | Medium | MEDIUM |
| RISKS | Medium | Medium | Medium | MEDIUM |
| PERFORMANCE | High | Medium | Medium | MEDIUM |
| OVERALL | MEDIUM | | | |

FINDINGS

| CRITERION | RATING | COMMENT |
|--|-------------|---|
| 1. BUSINESS INTEGRITY | | |
| 1.1 Legal Compliance | Conformance | <p>The Entity has implemented control procedures for laws, regulations, and other requirements, as well as for compliance evaluation. The Enterprise Management Department is responsible for updating the list of relevant laws and regulations, implementing compliance evaluation procedures, and reviewing them annually. The Entity has undertaken a compliance evaluation report.</p> <p>There are no violations against the Entity recorded on the national credit information platform, 'Credit China', nor stated in the Entity's 2024 Sustainability Report.</p> |
| 2. POLICY AND MANAGEMENT | | |
| 1.2 Anti-Corruption | Conformance | <p>The Entity has established anti-Corruption and anti-foreign Bribery management control procedures that prohibit the acceptance of bribes and using other means to obtain improper benefits. In addition, the Entity has implemented related management control procedures and anti-fraud and a complaints reporting system.</p> <p>Staff in high-risk positions must sign a commitment letter to adhere to proper governance practices.</p> <p>The implementation status of anti-Corruption measures is publicly disclosed in the 2024 Sustainability Report, available at:</p> <p>https://innovationmetal.com/d/file/p/2025/11-07/418f365d13e40acb805e36c4776bb659.pdf</p> |
| 1.3a-e Code of Conduct | Conformance | <p>The Entity has established ethical behaviour control procedures and Business conduct and ethical standards, which are strictly implemented after training, regularly evaluated and reported. The Code of Conduct is available at:</p> <p>https://innovationmetal.com/d/file/p/2025/11-07/86b64082c37e487dc7115811bc7f29fc.pdf</p> |
| 2.1a-f Environmental, Social, and Governance Policy | Conformance | <p>The Entity has developed and implemented an Environmental, Social, and Governance (ESG) Policy which pertains to environmental governance, social responsibility, and responsible sourcing.</p> <p>The General Manager has approved the Policy, which is communicated internally via training. The Policy is reviewed annually and is available at:</p> <p>https://innovationmetal.com/d/file/p/2025/11-07/dec96743bb1clbcd9eb49999756e06e.pdf</p> |
| 2.2a-c Leadership | Conformance | <p>The Entity has appointed the Executive Vice President as the ASI Management Representative, who has full responsibility and authority to ensure conformance with ASI Performance Standards.</p> |
| 2.3a Environmental and Social Management Systems - Environmental | Conformance | <p>The Entity has developed and implemented an integrated ISO 14001:2015 certified Management System.</p> |

| CRITERION | RATING | COMMENT |
|---|----------------|--|
| 2.3b Environmental and Social Management Systems – Social | Conformance | <p>The Entity has established and implemented a Management System in accordance with the SA8000:2014 standard, including the Social Responsibility Management Manual to manage risks related to Human Rights and Labour Rights.</p> |
| 2.4a-e Responsible Sourcing | Conformance | <p>The Entity has implemented a Responsible Procurement Policy covering ESG issues and has provided evidence of internal and external communication regarding this Policy.</p> <p>The Responsible Procurement Policy is available at: https://innovationmetal.com/d/file/p/2025/11-25/0ala296ac65d2898ed4e29ccdfdb1731.pdf</p> |
| 2.5a-g Environmental and Social Impact Assessments | Not Applicable | <p>This Criterion is not applicable to the Entity, as there are no New Projects or Major Changes to Facilities.</p> <p>However, the Entity has completed an Environmental Impact Assessment and corresponding documentation. Since joining ASI in March 2024, the Entity's Business activities have not undergone significant changes. The Environmental and Social Impact Management Plan is available at: https://innovationmetal.com/d/file/p/2025/11-07/59795a1fb35552a9c002ea1af61aff.pdf</p> |
| 2.6a-h Human Rights Impact Assessment | Not Applicable | <p>This Criterion is not applicable to the Entity, as there are no New Projects or Major Changes to Facilities.</p> <p>However, the Entity has implemented a 'Management and Control Procedures for New Construction, Renovation, and Expansion Projects', which require an Impact Assessment for existing projects. The Entity has completed a Human Rights Impact Assessment, and its Due Diligence assessment shows they have considered the Human Rights impacts of past Aluminium operations and assessed the rights of Indigenous Peoples.</p> <p>The Human Rights Impact Assessment Report is available at: https://innovationmetal.com/d/file/p/2025/11-25/f035d4ad10b089df8f4dc084c1f419f7.pdf</p> <p>The Entity's Human Rights Policy Commitment is available at: https://innovationmetal.com/d/file/p/2025/11-07/b329aa5bb52fa88931360395348eeefc.pdf</p> |
| 2.7a-f Emergency Response Plan | Conformance | <p>The Entity has established an 'Emergency Preparedness and Response Control Procedure', which outlines the control and management of potential accidents and emergencies that may occur. The Emergency plans are both comprehensive and specific and are registered with local government authorities. Drills are conducted according to these plans to evaluate their effectiveness. The Emergency Plans are available at:</p> <p>Production Safety Accident Emergency Plan: https://innovationmetal.com/d/file/p/2025/11-07/773ef489bfe4cf97d34b3777fed7a5d9.pdf</p> <p>Sudden Environmental Incident Emergency Plan: https://innovationmetal.com/d/file/p/2025/11-07/64a6da037fc4dd7677e67881957078da.pdf</p> |

| CRITERION | RATING | COMMENT |
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| 2.8a-d Suspended Operations | Conformance | The Entity has established the 'Suspended Operations Management Regulation and Business Resilience Plan'. No changes to the Business or control gaps were identified. |
| 2.9a-b Mergers and Acquisitions | Conformance | The Entity has established a procedure for the review of ESG issues in the Due Diligence process for mergers and acquisitions. To date, no mergers or acquisitions have occurred. |
| 2.10a-b Closure, Decommissioning and Divestment | Conformance | The Entity has established procedures to review ESG issues if the event of planning process for closure, decommissioning, and divestment. To date, no closures, decommissioning, or divestments have occurred. |
| 3. TRANSPARENCY | | |
| 3.1a-b Sustainability Reporting | Conformance | The Entity has prepared and published its 2024 Sustainability Report in accordance with the Global Reporting Initiative (GRI) standards. The Report is available at: https://innovationmetal.com/d/file/p/2025/11-25/93c0a004e819c07b5410cd424291fa75.pdf |
| 3.2 Non-compliance and Liabilities | Conformance | It was confirmed on the national credit information platform (www.creditchina.gov.cn) that there were no fines, judgments, penalties or non-economic sanctions related to the Entity in 2024. The Entity has disclosed the results of the 2024 national corporate credit inquiry in the 2024 Sustainability Report, page 51: https://innovationmetal.com/d/file/p/2025/11-25/93c0a004e819c07b5410cd424291fa75.pdf |
| 3.3a-c Payments to Governments | Conformance | The Entity has paid all taxes due to the government in accordance with laws and/or contracts. The total amount of tax payments was published in the 2024 Sustainability Report, page 51: https://innovationmetal.com/d/file/p/2025/11-25/93c0a004e819c07b5410cd424291fa75.pdf |
| 3.4a-f Stakeholder Complaints, Grievances and Requests for Information | Conformance | The Entity has established internal and external Stakeholder complaint and appeal procedures. The current Complaints Resolution Mechanism largely addresses Stakeholder complaints, grievances, and information requests related to its operations. The Procedure for Managing Complaints and Appeals from Interested Parties, which includes information on the various channels, is available at: https://innovationmetal.com/d/file/p/2025/11-07/5b4b3a47873a9861e93733c3f063f7f9.pdf |
| 4. MATERIAL STEWARDSHIP | | |
| 4.1a Environmental Life Cycle Assessment | Conformance | The Entity has established a 'Product Environmental Lifecycle Management Procedure', which defined the entire Product lifecycle for one tonne of its Aluminium alloy sheet, strip, and foil Products (including packaging). The Entity has engaged a Third Party to developed a Product Life Cycle Assessment (LCA) and is available at: https://innovationmetal.com/d/file/p/2025/11-25/039db877b33f7f4ef8601ac9759edadd.pdf |

| CRITERION | RATING | COMMENT |
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| 4.1b-c Environmental Life Cycle Assessment - Disclosure | Conformance | The Entity has disclosed its LCA Report, which includes the system boundaries, available at: https://innovationmetal.com/d/file/p/2025/11-25/039db877b33f7f4ef8601ac9759edadd.pdf |
| 4.2 Product Design | Conformance | The Entity has established a 'Design and Development Control Procedure', which specifies that the waste utilisation rate and unit product power consumption are considered in Product design to reduce unnecessary materials and structures, thereby improving Product quality and performance. |
| 4.3a-b Aluminium Process Scrap | Conformance | The Entity has established regulations for the management and use of Process Scrap. Various Aluminium and Aluminium alloy wastes are stored separately according to alloy grade. The Entity's production workshop regularly compiles monthly statistics on the usage of cold-rolled waste, foil waste, and hot-rolled waste, which are consistent with the output, achieving 100% recycling and reuse. |
| 4.4a-c Collection and Recycling of Products at End of Life - Material Conversion and other Manufacturing | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope. |
| 4.4d Collection and Recycling of Products at End of Life | Conformance | The Entity collaborates with local recycling companies to support efforts to recycle its Products in the market and improve recycling rates. This includes partnerships with local waste recycling companies, promotion on the Entity's official WeChat account, and applying for national level 'green factory' status to enhance its influence. |
| 5. GREENHOUSE GAS EMISSIONS | | |
| 5.1a-b Disclosure of GHG Emissions and Energy Use | Conformance | <p>The Entity has established a Greenhouse Gas (GHG) emissions inventory management procedure, requiring an annual review of the GHG emissions inventory. The Greenhouse Gas Verification Certificate, covering Scopes 1-3 emissions, is available at: https://innovationmetal.com/d/file/p/2025/11-07/de6eb59ed239373a6d35fd9644029ffe.pdf</p> <p>Energy use data and its sources have been disclosed in the Greenhouse Gas Verification Report, available at: https://innovationmetal.com/d/file/p/2025/11-07/aeba753f9290043b13fc9d2bf79c1534.pdf</p> <p>Specific energy consumption data is disclosed in the 2024 Sustainability Report, pages 58-59: https://innovationmetal.com/d/file/p/2025/11-25/93c0a004e819c07b5410cd424291fa75.pdf</p> |
| 5.2a Aluminium Smelter GHG Emissions Intensity - Started production after 2020 | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope. |
| 5.2b Aluminium Smelter GHG Emissions Intensity - | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope. |

| CRITERION | RATING | COMMENT |
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| In production up to and including 2020 | | |
| 5.3a GHG Emissions Reduction Plans | Conformance | <p>The Entity has developed a GHG Emissions Reduction Plan and Pathway according to the ASI methodology, which includes short-term, medium-term, and long-term emissions reduction targets. The Entity has established a GHG Emissions Reduction Plan and Pathway, are reviewed regularly to understand any changes in operations. The Entity's 2024 GHG emissions reduction performance is in line with expected targets.</p> |
| 5.3b-e GHG Emissions Reduction Plans - Targets, review and disclosure | Conformance | <p>The Entity has developed a GHG Emissions Reduction Plan and Pathway and reviews progress against their reduction targets annually. The Entity's 2024 GHG emissions reduction performance is in line with expected targets. The Entity's Greenhouse Gas Reduction Plan and Pathways under the 1.5°C Scenario report is available at:</p> <p>https://innovationmetal.com/d/file/p/2025/11-07/b1182c4bb3631d9cd4480a247e3d211e.pdf</p> <p>Details on the Entity's progress against the Plan is available in the 2024 Greenhouse Gas Emissions Reduction Plan Progress report:</p> <p>https://innovationmetal.com/d/file/p/2025/11-07/20f205d42be5bfebf81eefec88c09bf9.pdf</p> |
| 5.4 GHG Emissions Management | Conformance | <p>The Entity has established and implemented an Energy Management System for energy conservation and carbon reduction and has obtained Energy Management System certification. Various control procedures have been established, clarifying the requirements for GHG inventories and verification. A company-wide GHG inventory implementation committee has been established, and energy monitoring is conducted regularly, with the implementation status publicly disclosed.</p> |
| 6. EMISSIONS, EFFLUENTS AND WASTE | | |
| 6.1a-f Emissions to Air | Minor Non-Conformance | <p>The Entity has developed air pollutant emissions management procedures and has implemented environmental monitoring and control procedures and exhaust gas management and control procedures. The Entity has a valid pollutant discharge permit. The Entity's emissions reduction plan is reviewed annually or following any exceedance of internal or external emissions limits. There has been no case of exceeding limits.</p> <p>The Entity has disclosed its Emissions to Air in the Pollutant Emissions Report and Reduction Plan, available at:</p> <p>https://innovationmetal.com/d/file/p/2025/11-14/453ecddad5d83cb520b7180ab228bde7.pdf</p> <p>The Audit however identified that the operational process requirements for the oil and gas treatment equipment are missing, such as distillation and condensation temperatures and that for the collection and treatment of oil residue generated by automatic cleaning filters.</p> |
| 6.2a-g Discharges to Water | Conformance | <p>The Entity has established water resource management control procedures and wastewater management regulations, which are implemented after training. Only a small volume of wastewater is discharged into the urban sewage network after treatment, and</p> |

| CRITERION | RATING | COMMENT |
|---|----------------|--|
| | | <p>domestic sewage is treated by a buffer tank and enters the urban sewage pipe network.</p> <p>The Entity has also developed a sewage reduction plan, which is reviewed annually, or in case of any exceeding of internal or external emissions limits or changes that occur in the enterprise that result in a change in risks. There have been no cases of exceeding the limits.</p> <p>Discharges to Water is included in the Pollutant Emissions Report and Reduction Plan: https://innovationmetal.com/d/file/p/2025/11-14/453ecddad5d83cb520b7180ab228bde7.pdf</p> |
| 6.3a-g Assessment and Management of Spills and Leakages | Conformance | <p>The Entity has assessed the Spills and Leakages risks and developed disposal measures. A Leakage Prevention Management Plan has been developed, which includes a testing plan and requirement to review the Plan annually or in the event of a Spill or Leakage event. The Plan is available at: https://innovationmetal.com/d/file/p/2025/11-07/6c4a7a4e107ca7be835428706466ba1.pdf</p> |
| 6.4a-b Public Disclosure of Spills and Leakages | Conformance | <p>The Entity has developed Spills and Leakage management procedures, which are implemented after training, including source management and Leakage prevention. The Entity has developed the 'Leaks and Seepage External Communication Plan' in order to effectively respond to leaks and seepage incidents and establish timely, transparent and effective external communication with affected individuals and organisations.</p> <p>In the event of a Spill or leak, the Entity is to publicly disclose the Impact Assessment, root causes, and remedial measures taken for leaks and seepage every year. There have been no Spills or Leakage incidents.</p> |
| 6.5a-c Waste Management and Reporting | Conformance | <p>The Entity has established a system for the management, disposal and reporting of Hazardous and Non-Hazardous Wastes. The Entity's Hazardous Waste is treated through a solid waste treatment system in accordance with signed Hazardous Waste treatment agreements with external Hazardous Waste processors.</p> <p>Hazardous and Non-Hazardous Waste are quantified and disclosed in the Waste Management Strategic Objectives report and the Pollutant Emissions Report and Emissions Reduction Plan, both available at: https://innovationmetal.com/d/file/p/2025/11-07/4026f031b54022d6740ccab999c60d86.pdf https://innovationmetal.com/d/file/p/2025/11-14/453ecddad5d83cb520b7180ab228bde7.pdf</p> |
| 6.6a-g Bauxite Residue | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope. |
| 6.7a-f Spent Pot Lining (SPL) | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope. |
| 6.8a-d Dross | Conformance | The Entity has developed an Aluminium residue recycling programme. Aluminium ash is first recycled through a rotary furnace and then treated as Hazardous Waste by qualified processors. The Entity has also established a dedicated Aluminium ash warehouse. The recycling unit continues to recover Aluminium through ball milling rotary kiln after recycling. |

| CRITERION | RATING | COMMENT |
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| | | After treatment, the remaining material is filtered and calcined to form refractory dry material, which is sold to users as refractory material for electrolytic Aluminium reduction tanks. Aluminium residue is not landfilled. |
| 7. WATER STEWARDSHIP | | |
| 7.1a-b Water Assessment and Disclosure | Conformance | <p>The Entity's water sources include the municipal water supply (potable water) and the Yellow River. The Entity has developed a water balance diagram, a water resources report, and a Water Resources Risk Assessment Report. The Entity is located in Shandong Province, which is a high-risk area for water use. Water is mainly used by the Entity for domestic and cooling purposes, with the cooling water re-circulated.</p> <p>The Water Resources Risk Assessment determined that the Entity's water-related risks are low, refer to:</p> <p>https://innovationmetal.com/d/file/p/2025/11-07/70a7c692a0716d8957db0a7a66b9b44a.pdf</p> |
| 7.2a-e Water Management | Not Applicable | <p>This Criterion is not applicable to the Entity, as it has identified the water-related risks as low.</p> <p>However, the Entity has developed a Water Resources Management Plan, available at: https://innovationmetal.com/d/file/p/2025/11-07/7cb2fe69b14609f241d635ef325e6414.pdf</p> |
| 8. BIODIVERSITY AND ECOSYSTEM SERVICES | | |
| 8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment | Conformance | <p>The Entity has assessed the risks and potential impacts on Biodiversity and Ecosystem Services, which are considered low due to its industrial park location and not being within an ecological protection red line area.</p> <p>Relevant control measures are listed in the Biodiversity Assessment Report, available at: https://innovationmetal.com/d/file/p/2025/11-07/aa55c62ea029cfabbcfca295278b5a32.pdf</p> |
| 8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority | Not Applicable | This Criterion is not applicable to the Entity, as the risk and potential impacts identified have been assessed and documented as low. No Priority Ecosystem Services are identified. |
| 8.2a-g Biodiversity Management | Not Applicable | This Criterion is not applicable to the Entity, as the risks and potential impacts on Biodiversity and Ecosystem Services are low. |
| 8.3a-c Management of Priority Ecosystem Services | Not Applicable | This Criterion is not applicable to the Entity, as no Priority Ecosystem Services have been identified. |
| 8.4 Alien Species | Conformance | The Entity has established a management procedure for invasive Alien Species, clearly defining the requirements for Biodiversity and biological invasion management. The Entity actively prevents the accidental or intentional introduction of Alien Species that could have significant adverse impacts on Biodiversity. No Alien Species have been introduced. |

| CRITERION | RATING | COMMENT |
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| 8.5a-b Commitment to "No Go" in World Heritage Properties | Conformance | <p>The Entity has prepared an Identification and Evaluation Report for World Cultural Sites and Religious Holy Sites. The assessment determined that there are no nature reserves, religious holy sites, drinking water source protection areas, scenic spots, cultural relic protection units, or World Heritage sites surrounding the factory area.</p> <p>Furthermore, the Entity has committed that any future construction and development will never involve any harm to World Heritage sites and will 'prohibit entry' into World Heritage sites.</p> |
| 8.6a-d Protected Areas | Not Applicable | <p>The Entity has prepared a Protected Area Identification and Evaluation Report, which determined that there are no nature reserves, religious sites, drinking water sources, protection areas, scenic spots, protected cultural relic sites, or World Heritage sites surrounding the factory area.</p> |
| 8.6e Protected Areas - Bauxite Mining | Not Applicable | <p>This Criterion is not applicable to the Entity's Certification Scope.</p> |
| 8.7a-i Mine Rehabilitation | Not Applicable | <p>This Criterion is not applicable to the Entity's Certification Scope.</p> |
| 9. HUMAN RIGHTS | | |
| 9.1a-d Human Rights Due Diligence | Conformance | <p>The Entity has established a Human Rights Policy Commitment, which stipulates their commitment to Human Rights protection. The Policy is approved by the General Manager and is available at:</p> <p>https://innovationmetal.com/d/file/p/2025/11-07/b329aa5bb52fa88931360395348eee9fc.pdf</p> <p>The Policy is communicated to relevant parties via email.</p> <p>Currently, there are no Human Rights violations identified or community by the Entity. The Entity has established a Due Diligence process for its supply chain and published a complaints/grievance channel for Stakeholders, available at:</p> <p>https://innovationmetal.com/d/file/p/2025/11-07/5b4b3a47873a9861e93733c3f063f7f9.pdf</p> |
| 9.2a-e Gender Equity and Women's Empowerment | Conformance | <p>The Entity has established a Women's Rights and Interests Protection Policy and the 'Female Employee Protection Management and Control Procedures', which stipulate Policies and measures to promote gender equality and women's protection. The Entity has identified women's rights and interests and takes control measures to ensure that these rights are met. For the Entity's assessment of the effectiveness of gender equity, refer to the Gender Equality Survey Report:</p> <p>https://innovationmetal.com/d/file/p/2025/11-07/cfaeaee0c5cc40e32221lf3fa952b26c.pdf</p> |
| 9.3a-i Indigenous Peoples | Not Applicable | <p>This Criterion is not applicable to the Entity, as no Indigenous Peoples are identified in the Entity's Areas of Influence, as demonstrated through research and maps of the region.</p> |
| 9.4a Free, Prior, and Informed Consent (FPIC) - New Projects or Major Changes | Not Applicable | <p>This Criterion is not applicable to the Entity, as there are no Indigenous Peoples in the area where the Entity operates.</p> |

| CRITERION | RATING | COMMENT |
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| 9.4b Free, Prior, and Informed Consent (FPIC) - Bauxite Mining | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope. |
| 9.4c Free, Prior, and Informed Consent (FPIC) - Demonstrate support | Not Applicable | This Criterion is not applicable to the Entity, as there are no Indigenous Peoples in the area where the Entity operates. However, the Entity has established an Indigenous protection management procedure. |
| 9.5a Cultural and Sacred Heritage - Identification | Conformance | The Entity has committed to protect cultural and sacred heritage. The Entity is located in an industrial park with no cultural and sacred heritage sites or values present. |
| 9.5b Cultural and Sacred Heritage - Impacts | Not Applicable | This Criterion is not applicable to the Entity, as there are no Indigenous Peoples in the area where the Entity operates. |
| 9.6a-i Displacement | Not Applicable | This Criterion is not applicable, as the Entity does not have projects that require relocation or transfer. No resettlements have been necessary. |
| 9.7a-h Affected Populations and Organisations | Conformance | The Entity identified the impacts of its operations on affected populations in areas such as health and safety, social and cultural, Human Rights, and the environment. It developed a Community Engagement Plan, outlining projects, resources and implementation schemes for community engagement, available at: https://innovationmetal.com/d/file/p/2025/11-07/c10fba4a962c928df14d5ee15cd4f3ce.pdf |
| 9.8a Conflict-Affected and High-Risk Areas - Strong Management Systems | Conformance | The Entity has established a Responsible Supply Chain Policy and Risk Control Procedures for Conflict Minerals and Conflict-Affected Areas, which stipulates Due Diligence responsibilities and required resources for responsible minerals and clarifies the method for assessing Conflict-Affected and High-Risk Areas (CAHRAs). Suppliers are notified of relevant Policies via email and are required to sign an agreement to not use conflict minerals. |
| 9.8b Conflict-Affected and High-Risk Areas - Identify and assess risks | Conformance | The Entity has implemented the 'Management Procedure for Conflict-Affected and High-Risk Areas', which stipulates responsible mineral Due Diligence responsibilities, required resources, and clarifies the method for assessing CAHRAS. The Entity conducts responsible minerals Due Diligence on Aluminium suppliers once a year. The Entity has completed a risk assessment of its mineral supply chain, which determined that no materials are sourced from CAHRAS, and the supply chain risk is low. |
| 9.8c Conflict-Affected and High-Risk Areas - Strategy to respond to risks | Not Applicable | This Criteria is not applicable to the Entity, as the risk assessment determined that there is no risk of armed conflict or Human Rights violations in the Entity's supply chain. |
| 9.8d Conflict-Affected and High-Risk Areas - Audit of due diligence | Conformance | The Entity has conducted Due Diligence monitoring on its Aluminium supply chain. The Entity's Due Diligence practices were included in this ASI Performance Standard Certification Audit, which addresses this requirement. |

| CRITERION | RATING | COMMENT |
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| 9.8e Conflict-Affected and High-Risk Areas - Report annually | Conformance | The Entity prepared a Responsible Mineral Supply Chain Due Diligence Management Report, disclosing the results of its five-step investigation. In 2025, the Entity conducted risk assessments on seven suppliers, determining them to be low-risk and with manageable risks. The Mineral Supply Chain Due Diligence Management Report is available at: https://innovationmetal.com/d/file/p/2025/11-07/b92ec4530e094762009309c63d133722.pdf |
| 9.9 Security practice | Conformance | The Entity has implemented security measures that respect Human Rights. Security management control procedures require security guards to respect Human Rights. All security personnel are trained on Human Rights requirements. To date, no security-related Human Rights violations have occurred. |
| 10. LABOUR RIGHTS | | |
| 10.1a-c Freedom of Association and Right to Collective Bargaining | Not Applicable | This Criterion is not applicable to the Entity, as it complies with Applicable Law in China related to the Freedom of Association and right to Collective Bargaining. |
| 10.1d Freedom of Association and Right to Collective Bargaining - Alternative means in context of Applicable Law | Conformance | <p>The Entity has established procedures for managing and controlling the Freedom of Association and Collective Bargaining rights of Trade Unions, including the formation and election of unions.</p> <p>A Union is present at the Entity, with five Union members elected, including two women. Employees have the right to join or leave Unions.</p> |
| 10.2a Child Labour | Conformance | <p>The Entity has implemented a Policy prohibiting Child Labour and procedures for managing the recruitment of employees, authentication of Workers' identity information, and the protection of underage or young Workers. The Policy prohibits the employment of individuals under the age of 16 and sets out remedial measures for the unintentional use of Child Labour.</p> |
| 10.3a-c Forced Labour | Conformance | <p>The Entity explicitly prohibits Forced Labour. Interviews with employees and document review confirmed that all Workers have signed labour contracts. The Entity has not directly or through any employment or recruitment agency participated in or supported Human Trafficking.</p> <p>According to Worker interviews and document review, there is no illegal deduction of wages, Debt Bondage, debt repayment, or any other form of Forced Labour in the Entity.</p> <p>The Entity has disclosed a Declaration of Modern Slavery, available at: https://innovationmetal.com/d/file/p/2025/11-07/9b07f06fc33c7a39c2a8cb3da65dc3cf.pdf</p> |
| 10.4a-c Non-Discrimination | Conformance | <p>The Entity has established anti-Discrimination management control procedures to ensure equal opportunities and that it does not discriminate or support Discrimination based on gender, race, nationality or social origin, religion, disability, political affiliation, sexual orientation, marital status, family responsibilities, age or any other circumstances that may lead to Discrimination in the recruitment, salary, promotion, training, promotion opportunities or dismissal of any Worker, in accordance with International Labour Organization conventions C100 and C111. The Entity is committed to non-Discrimination. No Discrimination cases have been received.</p> |

| CRITERION | RATING | COMMENT |
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| 10.5 Communication and engagement | Conformance | <p>The Entity has implemented various communication- and complaints related procedures and engages in direct and frequent communication with Workers and Workers' representatives regarding working conditions and the resolution of workplace and compensation issues, without the threat of retaliation, intimidation, Violence, and Harassment.</p> |
| 10.6a-g Violence and Harassment | Conformance | <p>The Entity has established an Anti-Violence and Anti-Harassment Policy and implements a complaints handling mechanism to address cases of Violence and Harassment. The Entity respects employees, and disciplinary actions comply with legal provisions.</p> <p>Interviews with Workers confirmed that there is no violent or harassing behaviour at the Entity.</p> <p>The Anti-Violence and Anti-Harassment Policy is available at: https://innovationmetal.com/d/file/p/2025/11-07/96490000c95bd662f0dbb7416c0ef859.pdf</p> |
| 10.7a-c Remuneration | Conformance | <p>The salary structure of the Entity is clear, and the monthly comprehensive salary standard provided by the Entity meets the local minimum wage requirements. The Entity has purchased five social insurances and one housing fund for all employees. The total salary meets the basic needs of Workers, and all Workers participate in the mandatory social insurance plan.</p> <p>The Entity has established a salary management control program that processes monthly payment of wages in legal currency with complete records.</p> |
| 10.8a-c Working Time | Conformance | <p>The Entity has defined the working hours requirements for employees. The workshop implements a three-shift system with one day off per week. According to employee interviews and a review of employee attendance records, management personnel work six days a week with one day off, and do not work continuously for seven days. Currently, based on a six-month calculation, the workday at the Entity does not exceed eight hours.</p> |
| 10.9a-b Informing Workers of Rights | Conformance | <p>The Entity has developed Policies and supporting documentation including an employee manual, employment management procedures, and Human Rights guidelines, to inform employees of their rights at work, including Freedom of Association and Collective Bargaining, through training, regular meetings, and other means.</p> |
| 11. OCCUPATIONAL HEALTH AND SAFETY | | |
| 11.1a Occupational Health and Safety (OH&S) Management System | Conformance | <p>The Entity has implemented an Occupational Health and Safety (OH&S) Management System and obtained ISO 45001:2018 certification.</p> |
| 11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure | Conformance | <p>The Entity's OH&S Management System is reviewed at least annually, when there are changes that result in substantial changes in OH&S risks, and on indication of control defects. External audits and internal audits are conducted annually.</p> <p>The Entity's OH&S Management System Effectiveness Analysis Report is available at: https://innovationmetal.com/d/file/p/2025/11-07/478a45bbe7fc6b6d51554375d80a96e8.pdf</p> |

| CRITERION | RATING | COMMENT |
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| 11.2 Employee engagement on Health and Safety | Conformance | The Entity has established a Safety Committee, which includes representatives from frontline employees who participate in hazard identification and accident handling. |

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DOCUMENT CONTROL AND VERSION HISTORY

| REVISION | DATE | NOTES |
|----------|-----------------|--|
| 0 | 30 January 2026 | Initial Certification Audit – Full Certification |