

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

Tri-Arrows Aluminum

CERTIFICATE NUMBER

233

ASI STANDARD

PERFORMANCE
STANDARD
(V3.1 2023)

CERTIFICATION LEVEL

FULL
CERTIFICATION

ASI ACCREDITED
AUDITING FIRM

DNV BUSINESS
ASSURANCE UK CO.
LTD

DATE OF ISSUE

21 NOVEMBER 2025

DATE OF EXPIRY

31 MAY 2027

CERTIFIED SINCE

21 NOVEMBER 2022

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H.', with a long horizontal line extending to the right.

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
info@Aluminium-stewardship.org

*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at
www.Aluminium-stewardship.org*

CERTIFICATION SCOPE

Tri-Arrows Aluminum Corporate
Office, located in Louisville,
Kentucky USA which provides
commercial functions and related
processes to the Logan Aluminum
manufacturing facility (ASI
Performance Standard Certificate
#193).

AUDIT REPORT PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	Tri-Arrows Aluminum
ENTITY NAME	Tri-Arrows Aluminum
CERTIFICATION SCOPE	Tri-Arrows Aluminum Corporate Office, located in Louisville, Kentucky USA which provides commercial functions and related processes to the Logan Aluminum manufacturing facility (ASI Performance Standard Certificate #193).
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">Material Conversion – Principles 1 to 4 (transition)
ASI STANDARD	<ul style="list-style-type: none">Performance Standard V3.1
AUDIT TYPE	<ul style="list-style-type: none">Initial Certification Audit (28 July 2022)Re-Certification and Scope Change Audit (11 – 13 November 2025)
AUDIT FIRM	DNV Business Assurance Services UK Co. Ltd
AUDIT DATE	<ul style="list-style-type: none">28 July 2022 (Initial Certification Audit)11 – 13 November 2025 (Re-Certification and Scope Change Audit)
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">22 October 2022 (Initial Certification Audit)13 December 2025 (Re-Certification and Scope Change Audit)
AUDIT SCOPE	<p><u>Initial Certification Audit (28 July 2022)</u></p> <p>The Audit Scope covers the commercial functions and related process at the Tri-Arrows Aluminum Corporate Office.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">Material Conversion (Industrial Users) <p>All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.</p> <p><u>Re-Certification and Scope Change Audit (11 – 13 November 2025)</u></p> <p>The Audit Scope covers the commercial functions and related process at the Tri-Arrows Aluminum Corporate Office.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">Material Conversion – Principles 1 to 4 (transition) <p>All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.</p>
AUDIT OUTCOME	<ul style="list-style-type: none">Certification
AUDIT METHODOLOGY DECLARATION	The Auditors confirm that:

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- ☑ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
 - ☑ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
 - ☑ The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
 - ☑ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
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CERTIFICATION PERIOD	21 November 2025 –31 May 2027
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NEXT AUDIT TYPE	Re-Certification and Scope Change Audit
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NEXT AUDIT DATE	31 May 2027
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CERTIFICATE NUMBER	233
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If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://Aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Tri-Arrows Aluminum Inc. (the 'Entity') operates as the corporate sales office for can sheet produced at Logan Aluminum in Russellville, Kentucky. The Entity supplies rolled Aluminium sheet to the North American market, with a focus on the beverage can sheet sector.

Established in 2011, Tthe Entity provides corporate functions related to the procurement of metallic inputs and sales activities supporting the Logan Aluminum operations. The Entity currently employs approximately 80 people, including 36 women, and operates from a leased office building in Louisville. No Aluminium production or processing activities occur on site.

The Entity is owned by a consortium composed of the UACJ Corporation and Sumitomo Corporation.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	High	Medium	High	High
RISKS	High	Medium	Medium	Medium
PERFORMANCE	High	Medium	High	High
OVERALL	HIGH			

FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	The Entity has established systems to maintain awareness of and comply with Applicable Law, supported by defined roles, regular training, policy review processes, and mechanisms to investigate and address non-compliances.
1.2 Anti-Corruption	Conformance	The Entity has systems in place to prevent Corruption, supported by Policies, training, a third-party reporting mechanism, and processes for investigating and addressing concerns. Details on these policies and the third-party reporting mechanism are available at: https://triaa.com/resources/
1.3a-e Code of Conduct	Conformance	The Entity has established policies and corporate Principles that collectively serve as its Code of Conduct and are communicated, updated, and reviewed through established corporate processes. The Supplier Code of Conduct is publicly disclosed and requires annual acknowledgement to reinforce expectations for ethical and responsible business practices across the Supply Chain. The Policies are available at: https://triaa.com/resources/ Supplier Code of Conduct: https://triaa.com/wp-content/uploads/2025/11/TAA-Supplier-Code-of-Conduct-2025-WS.pdf
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Conformance	The Entity has implemented ESG-aligned Policies that are leadership-endorsed, regularly reviewed, and communicated through structured internal processes. Relevant reports and policies are available at: https://triaa.com/resources/
2.2a-c Leadership	Conformance	The Entity has designated Senior Leaders for ESG Policy implementation and communication, and it provides resources to support effective oversight of the Management System.
2.3a Environmental and Social Management Systems - Environmental	Conformance	The Entity has implemented Environmental Management practices appropriate to its operations and engages in structured initiatives to promote Environmental responsibility within the workplace and broader community.
2.3b Environmental and Social Management Systems - Social	Conformance	The Entity has implemented Social Management practices that support Labour and Human Rights, employee engagement and development and structured community partnerships aligned with identified community priorities.
2.4a-e Responsible Sourcing	Conformance	The Entity has established a Supplier Code of Conduct, which serves as its Responsible Sourcing Policy. It is reviewed annually and is supported by supplier acknowledgement and performance oversight processes. The Supplier Code of Conduct is available at: https://triaa.com/wp-content/uploads/2025/11/TAA-Supplier-Code-of-Conduct-2025-WS.pdf

CRITERION	RATING	COMMENT
2.5a-g Environmental and Social Impact Assessments	Minor Non-Conformance	The Entity has documented its Environmental Assessment Processes through regulatory permitting requirements. The Entity however has not developed and implemented formal procedures or documentation addressing social aspects of Environmental and Social Impact Assessments.
2.6a-h Human Rights Impact Assessment	Minor Non-Conformance	The Entity has considered certain social and community factors during project planning. The Entity however has not developed and implemented formal Human Rights Impact Assessment Procedures or documentation, including required elements including a Gender Analysis.
2.7a-f Emergency Response Plan	Conformance	The Entity has implemented and regularly reviews a site-specific emergency preparedness policy, supported by employee acknowledgement, communication systems, and relevant safety procedures. The Emergency Response Plan is available at: https://triaa.com/wp-content/uploads/2026/01/POI-501-Emergency-Preparedness.pdf
2.8a-d Suspended Operations	Conformance	The Entity has implemented and communicated business resilience measures that support operational continuity during disruptions, including remote work capabilities, off-site data infrastructure, and established communication systems.
2.9a-b Mergers and Acquisitions	Conformance	The Entity has processes in place to support Due Diligence activities for potential Mergers or Acquisitions, including established legal frameworks and governance structures.
2.10a-b Closure, Decommissioning and Divestment	Conformance	The Entity maintains governance structures and processes to support the review and management of environmental, social, and governance considerations for any future closure, decommissioning, or divestment activities.
3. TRANSPARENCY		
3.1a-b Sustainability Reporting	Conformance	The Entity reports on its governance approach and material environmental, social, and economic impacts in its Sustainability Report, available at: https://triaa.com/wp-content/uploads/2025/11/2025-Sustainability-Report-1.pdf .
3.2 Non-compliance and Liabilities	Conformance	The Entity maintains systems for tracking compliance matters, has no material fines or sanctions requiring disclosure, and demonstrates a commitment to transparency through its public reporting.
3.3a-c Payments to Governments	Conformance	The Entity makes Government-related payments only as legally required, does not make political contributions, and reflects its commitment to transparency through its public reporting.
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	The Entity has implemented a third-party hotline for internal and external stakeholders to raise Complaints, Grievances, or Requests for Information. This mechanism is available in the Entity's Sustainability Report, page 5: https://triaa.com/wp-content/uploads/2025/11/2025-Sustainability-Report-1.pdf

CRITERION	RATING	COMMENT
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Conformance	The Entity evaluates the life cycle impacts of its major Aluminium product line through an annual assessment process, available at: https://triaa.com/wp-content/uploads/2025/11/2024-TAA-Summary-Life-Cycle-Assessment.pdf
4.1b-c Environmental Life Cycle Assessment - Disclosure	Conformance	The Entity's LCA summary, including underlying assumptions and system boundaries is available at: https://triaa.com/wp-content/uploads/2025/11/2024-TAA-Summary-Life-Cycle-Assessment.pdf .
4.2 Product Design	Not Applicable	This Criterion is not applicable to the Entity, as product design and development activities are performed by Logan Aluminum, an ASI Performance Standard Certified Entity.
4.3a-b Aluminium Process Scrap	Not Applicable	This Criterion is not applicable to the Entity as it does not generate Aluminium Process Scrap however monitors the manufacturer's Scrap minimisation and recovery practices.
4.4a-c Collection and Recycling of Products at End of Life - Material Conversion and other Manufacturing	Conformance	The Entity aligns with an industry-level recycling strategy through its participation in the Can Manufacturers Institute, with publicly available targets and supporting materials, available at: https://www.cancentral.com/wp-content/uploads/2024/12/24-Recycling-Rate-Target-Updates-1.pdf https://www.cancentral.com/wp-content/uploads/2023/01/CMI-Recycling-Primer-Roadmap.pdf and https://www.aluminum.org/sites/default/files/2024-12/FINAL-2024_Aluminum-Can-KPI-Report.pdf
4.4d Collection and Recycling of Products at End of Life	Conformance	The Entity engages with local and national recycling initiatives, including community programs and industry-level efforts, supporting increased Aluminium collection and recycling in relevant markets.
5. Greenhouse Gas Emissions		
5.1a-b Disclosure of GHG Emissions and Energy Use	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2a Aluminium Smelter GHG Emissions Intensity - Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity - In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a-e GHG Emissions Reduction Plans	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
5.4 GHG Emissions Management	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6. Emissions, Effluents and Waste		
6.1a-f Emissions to Air	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.2a-g Discharges to Water	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.3a-g Assessment and Management of Spills and Leakages	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.4a-b Public Disclosure of Spills and Leakages	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.5a-c Waste Management and Reporting	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7. Water Stewardship		
7.1a-b Water Assessment and Disclosure	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7.2a-e Water Management	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8. BIODIVERSITY AND ECOSYSTEM SERVICES		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
8.4 Alien Species	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.5a-b Commitment to 'No Go' in World Heritage Properties	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.6a-d Protected Areas	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.6e Protected Areas – Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9. Human Rights		
9.1a-d Human Rights Due Diligence	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.2a-e Gender Equity and Women's Empowerment	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.3a-i Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4a Free, Prior, and Informed Consent (FPIC) – New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4b Free, Prior, and Informed Consent (FPIC) – Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4c Free, Prior, and Informed Consent (FPIC) – Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.5a Cultural and Sacred Heritage – Identification	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.5b Cultural and Sacred Heritage – Impacts	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.7a-h Affected Populations and Organisations	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8a Conflict-Affected and High-Risk Areas – Strong Management Systems	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
9.8b Conflict-Affected and High-Risk Areas - Identify and assess risks	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8c Conflict-Affected and High-Risk Areas - Strategy to respond to risks	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8d Conflict-Affected and High-Risk Areas - Audit of due diligence	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8e Conflict-Affected and High-Risk Areas - Report annually	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.9 Security practice	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10. Labour Rights		
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.1d Freedom of Association and Right to Collective Bargaining - Alternative means in context of Applicable Law	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.2a Child Labour	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.3a-c Forced Labour	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.4a-c Non-Discrimination	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.5 Communication and engagement	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.6a-g Violence and Harassment	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.7a-d Remuneration	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.8a-c Working Time	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.9a-b Informing Workers of Rights	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
11. Occupational Health and Safety		

CRITERION	RATING	COMMENT
11.1a Occupational Health and Safety (OH&S) Management System	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
11.2 Employee engagement on Health and Safety	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

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Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable Law, or will avoid any Breach from occurring.

DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	21 November 2022	Initial Certification Audit – Full Certification
1	30 January 2026	Re-Certification Audit and Scope Change to apply PS v3.1.