

# ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

# ALG Aluminium Inc.

CERTIFICATE NUMBER  
**228**

ASI STANDARD  
**PERFORMANCE  
STANDARD  
(V3.1 2023)**

CERTIFICATION LEVEL  
**FULL  
CERTIFICATION**

ASI ACCREDITED  
AUDITING FIRM  
**BUREAU VERITAS  
CERTIFICATION**

DATE OF ISSUE  
**17 NOVEMBER 2025**

DATE OF EXPIRY  
**16 NOVEMBER 2028**

CERTIFIED SINCE  
**17 NOVEMBER 2022**

## AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H.', followed by a horizontal line extending to the right.

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*Validity of this Certificate is subject to  
continued conformance with the  
applicable ASI Standard and can be  
verified at  
[www.aluminium-stewardship.org](http://www.aluminium-stewardship.org)*

## CERTIFICATION SCOPE

Manufacture of Aluminium alloy  
Products including casting,  
extrusion, hot-rolling and cold  
rolling Products in Nanning, China.

# AUDIT REPORT PERFORMANCE STANDARD

## OVERVIEW

MEMBER NAME	ALG Aluminium Inc.
ENTITY NAME	ALG Aluminium Inc.
CERTIFICATION SCOPE	Manufacture of Aluminium alloy Products including casting, extrusion, hot-rolling and cold rolling Products in Nanning, China.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none"><li>Aluminium Re-melting/Refining</li><li>Casthouses</li><li>Semi-Fabrication</li></ul>
ASI STANDARD	Performance Standard V3.1
AUDIT TYPE	<ul style="list-style-type: none"><li>Initial Certification Audit (2 – 4 August 2022)</li><li>Surveillance Audit (11 – 14 December 2023)</li><li>Re-Certification Audit and Scope Change (3 – 7 November 2025)</li></ul>
AUDIT FIRM	Bureau Veritas Certification
AUDIT DATE	<ul style="list-style-type: none"><li>2 – 4 August 2022 (Initial Certification Audit)</li><li>11 – 14 December 2023 (Surveillance Audit)</li><li>3 – 7 November 2025 (Re-Certification Audit and Scope Change)</li></ul>
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none"><li>9 September 2022 (Initial Certification Audit)</li><li>6 February 2024 (Surveillance Audit)</li><li>30 December 2025 (Re-Certification Audit and Scope Change)</li></ul>
AUDIT SCOPE	<p><u>Initial Certification Audit (2 – 4 August 2022)</u></p> <p>The Audit Scope covers the ALG Aluminium Inc. production plant that manufactures Aluminium alloy Products including casting, extrusion, hot-rolling and cold rolling Products.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none"><li>Aluminium Re-melting/Refining</li><li>Casthouses</li><li>Semi-Fabrication</li></ul> <p>All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.</p> <p><u>Surveillance Audit (11 – 14 December 2023)</u></p> <p>The Audit Scope covers the ALG Aluminium Inc. production plant that manufactures Aluminium alloy Products including casting, extrusion, hot-rolling and cold rolling Products.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none"><li>Aluminium Re-melting/Refining</li></ul>

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- Casthouses
  - Semi-Fabrication

All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.

Re-Certification Audit and Scope Change (3 – 7 November 2025)

The Audit Scope covers the ALG Aluminium Inc. production plant that manufactures Aluminium alloy Products including casting, extrusion, hot-rolling and cold rolling Products.

Supply chain activities included in the Audit Scope:

- Aluminium Re-melting/Refining
- Casthouses
- Semi-Fabrication

All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.

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AUDIT OUTCOME

- Certification

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AUDIT METHODOLOGY  
DECLARATION

The Auditors confirm that:

- The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
- The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
- The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
- The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

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CERTIFICATION PERIOD

17 November 2025 – 16 November 2028

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NEXT AUDIT TYPE

Surveillance Audit

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NEXT AUDIT DATE

16 May 2027

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CERTIFICATE NUMBER

228



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

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## ENTITY OVERVIEW

ALG Aluminium Inc. (the 'Entity') was established in 2010 and is affiliated with Guangxi Investment Group, a state-owned enterprise in Guangxi Zhuang Autonomous Region. The Entity primarily produces Aluminium alloy Products.

The Entity has an annual production capacity of 200,000 tonnes of high-end Aluminium alloy materials for aviation and transportation applications. Its production capabilities include high-purity and high-performance Aluminium alloys, hot-rolled medium and heavy plates, large extruded profiles, and cold-rolled automotive plates. The Entity's Products are used in aerospace, rail transit, automotive, shipbuilding, 3C electronics, and semiconductor-related applications. In 2024, the Entity generated total revenue of RMB 5.18 billion and paid taxes and fees amounting to RMB 18.77 million.

The Entity's Facilities include a research building, an operations building, a casting manufacturing centre, an extrusion manufacturing centre, a hot rolling manufacturing centre, a cold rolling manufacturing centre, and associated supporting facilities. The Entity's auxiliary infrastructure includes warehouses, power transformation rooms, and sewage treatment stations.

The primary destinations of the Entity's Products include domestic and international markets, with cold-rolled and hot-rolled Aluminium plates supplied to customers in China, Europe, and Asia.

## MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of Systems, Residual Risk and Performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
<b>SYSTEMS</b>	Medium	Medium	Medium	MEDIUM
<b>RISKS</b>	Medium	Medium	Medium	MEDIUM
<b>PERFORMANCE</b>	Medium	Medium	Medium	MEDIUM
<b>OVERALL</b>	MEDIUM			

## FINDINGS

CRITERION	RATING	COMMENT
<b>1. BUSINESS INTEGRITY</b>		
1.1 Legal Compliance	Minor Non-Conformance	<p>The Entity has established procedures to identify Applicable Law and regulations. Its Legal Department manages and reviews these at least annually, covering labour, ethics, Health and Safety and environmental legislation.</p> <p>It was identified during the Audit however that there are currently gaps in addressing the OECD Guidelines, as well as the Regulations on the Protection of Historical and Cultural Cities, Towns and Villages and the Regulations on the Protection and Management of Historical Districts in Nanning City.</p>
1.2 Anti-Corruption	Minor Non-Conformance	<p>The Entity has implemented Policies and processes to identify and prevent Corruption and provides training to employees. It opposes all forms of Corruption, including Extortion and Bribery, in accordance with Applicable Law and international standards. All employees and suppliers are required to sign an anti-Corruption agreement, and no instances of misconduct have been reported to date.</p> <p>The risk assessment method in the Entity's integrity risk identification and prevention measures, however does not include specific Likelihood, Exposure, Consequence (LEC) assessment scores, which is inconsistent with the requirements set out in the Entity's internal document 'Anti-Corruption and Anti-Bribery Management Measures 90000001-2.'</p>
1.3a-e Code of Conduct	Conformance	<p>The Entity has established a Code of Conduct under its ASI Policy, incorporating Environmental, Social, and Governance (ESG) principles. It conducts annual internal audits and management reviews to assess the Code's effectiveness. Employee awareness is promoted through various measures, including meetings and training. The Code of Conduct is available on the Entity's website (File No. 2) at: <a href="https://www.algig.cn/shze-detail.jsp?id=10862">https://www.algig.cn/shze-detail.jsp?id=10862</a></p>
<b>2. POLICY AND MANAGEMENT</b>		
2.1a-f Environmental, Social, and Governance Policy	Conformance	<p>The Entity has established an Environmental, Social, and Governance (ESG) Policy, approved by the Entity's General Manager, available at: <a href="https://www.algig.cn/shze-detail.jsp?id=10862">https://www.algig.cn/shze-detail.jsp?id=10862</a></p> <p>It has also established an ASI Performance Standard Manual, including related Policies and procedures, which are communicated internally and available (File No. 2) at: <a href="https://www.algig.cn/shze-detail.jsp?id=10862">https://www.algig.cn/shze-detail.jsp?id=10862</a></p>
2.2a-c Leadership	Conformance	<p>A senior Management Representative has been appointed, with clearly defined responsibilities and authority. This role holds overall responsibility for ensuring conformance with the ASI Performance Standard, implementing and communicating relevant Policies, and providing the necessary resources to establish, implement, maintain, and continuously improve e Management Systems.</p>
2.3a Environmental and Social Management Systems – Environmental	Conformance	<p>The Entity has implemented and documented an Environmental Management System and holds valid ISO 14001:2015 and ISO45001:2018 Certifications.</p>

CRITERION	RATING	COMMENT
2.3b Environmental and Social Management Systems – Social	Conformance	The Entity has developed and implemented an integrated Management System and obtained ISO 14001:2015 and ISO 45001:2018 Certifications. It has also established an ASI Management System, with annual internal audits and management reviews conducted to ensure its effectiveness.
2.4a-e Responsible Sourcing	Minor Non-Conformance	<p>The Entity has developed and implemented Policies, systems, procedures, and processes that conform to responsible sourcing requirements. The Responsible Procurement Policy is reviewed at least every five years or following any changes to the Business or risk profile. The Entity conducts supplier assessments prior to sourcing and performs annual reviews thereafter. The Entity's Responsible Sourcing Policy is available in File No. 2 at: <a href="https://www.algig.cn/shze-detail.jsp?id=10862">https://www.algig.cn/shze-detail.jsp?id=10862</a>.</p> <p>The Responsible Sourcing Policy does not however, include environmental aspects.</p>
2.5a-g Environmental and Social Impact Assessments	Not Applicable	<p>The Criterion is not applicable to the Entity, as there have been no New Projects or Major Changes since the Entity became an ASI Member, and none are planned.</p> <p>In the event of any New Projects or Major Changes, environmental, social, cultural, and Human Rights impact assessments, including gender analysis, will be conducted as part of mandatory project documentation reviews by the relevant state authorities.</p>
2.6a-h Human Rights Impact Assessment	Not Applicable	<p>The Criterion is not applicable to the Entity, as there have been no New Projects or Major Changes since the Entity became an ASI Member, and none are planned.</p> <p>In the event of any New Projects or Major Changes, environmental, social, cultural, and Human Rights impact assessments, including gender analysis, will be conducted as part of mandatory project documentation reviews by the relevant state authorities.</p>
2.7a-f Emergency Response Plan	Conformance	The Entity holds valid ISO 14001 and ISO 45001 Certifications. Emergency Response Plans have been developed and implemented and are reviewed every five years, or following any changes to the Business or to the nature or scale of emergency incident risks, in accordance with Chinese legal requirements. Training and drill records were verified during the Audit. The Emergency Response Plan and the Production Safety Accident Reporting and Investigation Management System are available in Files No. 4 and No. 5. at: <a href="https://www.algig.cn/shze-detail.jsp?id=10862">https://www.algig.cn/shze-detail.jsp?id=10862</a>
2.8a-d Suspended Operations	Conformance	The Entity has established emergency management plan control procedures to address situations where operations may be suspended or significantly changed due to conflicts. Relevant personnel were interviewed during the Audit whom demonstrated an understanding of applicable requirements. No suspended operations have occurred since the Entity became an ASI member.
2.9a-b Mergers and Acquisitions	Conformance	The Entity has established a Mergers and Acquisitions Management Procedure. To date, no mergers or acquisitions have occurred.

CRITERION	RATING	COMMENT
2.10a-b Closure, Decommissioning and Divestment	Conformance	The Entity does not plan any closure, decommissioning, or divestment in the near future. When applicable, these activities will be managed by corporate functional teams, including a review of ESG impacts and, where relevant, consultation with Affected Populations and Organisations.
<b>3. TRANSPARENCY</b>		
3.1a-b Sustainability Reporting	Minor Non-Conformance	<p>The Entity has developed an annual Sustainability Report describing its sustainability approach and summarising its environmental and social impacts. The Report is available at: <a href="https://www.algig.cn/shze-detail.jsp?id=10862">https://www.algig.cn/shze-detail.jsp?id=10862</a></p> <p>The quality of the Sustainability Report, is limited however, as it does not include quantitative data.</p>
3.2 Non-compliance and Liabilities	Conformance	No non-compliance or liabilities have been reported in Section Four of the Entity's Sustainability Report. Based on the government-related website ( <a href="https://www.gsxt.gov.cn">https://www.gsxt.gov.cn</a> ) and non-governmental sources ( <a href="https://www.qcc.com/">https://www.qcc.com/</a> ), no related cases have been identified by government authorities.
3.3a-c Payments to Governments	Conformance	The Entity only makes, or has made on its behalf, payments to governments on a legal and/or contractual basis. Payments to governments, including taxes paid, are transparently disclosed in Section Four of its Sustainability Report, available at: <a href="https://www.algig.cn/shze-detail.jsp?id=10862">https://www.algig.cn/shze-detail.jsp?id=10862</a>
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	<p>The Entity has established and implemented a Stakeholder complaints, grievances, and requests procedure, which publicly discloses the Entity's contact telephone number, email address, suggestion box, and other channels through notice boards. This system enables Stakeholders to submit inquiries, complaints, and appeals from all parties.</p> <p>The Entity's Human Resources Department is responsible for tracking Stakeholder feedback and ensuring appropriate Resolution Mechanisms are in place. The relevant procedure ('Feedback Management Procedure') is available at: <a href="https://www.algig.cn/shze-detail.jsp?id=10862">https://www.algig.cn/shze-detail.jsp?id=10862</a></p>
<b>4. MATERIAL STEWARDSHIP</b>		
4.1a Environmental Life Cycle Assessment	Minor Non-Conformance	<p>The Entity has developed and implemented Policies, systems, procedures, and processes in accordance with Life Cycle Assessment (LCA) requirements. LCAs for all relevant Products have been completed in compliance with the Entity's LCA management procedure.</p> <p>The Entity's LCA Report excludes key environmental impact categories however, including Global Warming Potential (GWP).</p>
4.1b-c Environmental Life Cycle Assessment - Disclosure	Conformance	The Entity has developed a Life Cycle Assessment (LCA) for its Aluminium Products, focusing on environmental life cycle impacts. The LCA information is available upon customer request.

CRITERION	RATING	COMMENT
4.2 Product Design	Conformance	The Entity has integrated relevant sustainability objectives into its Product design and development processes, including consideration of the environmental life cycle impacts of the end Product.
4.3a-b Aluminium Process Scrap	Conformance	The Entity has minimised the generation of Aluminium Process Scrap within its operations and targets 100% collection, recycling, and/or reuse of Scrap. The Entity has established a Scrap and Dross Classification and Management Procedure to classify and manage different types of Aluminium Process Scrap. All Scrap is classified and segregated by alloy type and is sent to several smelters for remelting. Appropriate records are maintained by the Entity.
4.4a-c Collection and Recycling of Products at End of Life - Material Conversion and other Manufacturing	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.4d Collection and Recycling of Products at End of Life	Conformance	There are no comprehensive local, regional, or national collection and recycling systems for Aluminium Scrap in China. The Entity continues to collaborate with its customers to identify ways to improve the recycling rate of Products at 'end of life'.
<b>5. GREENHOUSE GAS EMISSIONS</b>		
5.1a-b Disclosure of GHG Emissions and Energy Use	Minor Non-Conformance	The Entity has accounted for and publicly disclosed its annual Material Greenhouse Gas (GHG) emissions and energy use by source in its Greenhouse Gas Inventory Report and Energy, Resources, and Raw Material Usage Table, available in File No. 16 at: <a href="https://www.algig.cn/shze-detail.jsp?id=10862">https://www.algig.cn/shze-detail.jsp?id=10862</a>  Some items in the GHG Report's scope categorisation, require correction however, including the classification of on-site generated and consumed photovoltaic energy.
5.2a Aluminium Smelter GHG Emissions Intensity - Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity - In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a GHG Emissions Reduction Plans	Minor Non-Conformance	The Entity has established both short- and medium-term GHG Emissions Reduction Targets, including specific emissions intensity indicators and reduction pathways addressing technological transformation to reduce electricity consumption during electrolysis, elimination of outdated production lines, procurement of renewable energy, and selection of raw material suppliers with lower GHG emission footprints.  The carbon reduction plan, however, does not disclose detailed reduction measures or sufficient data to quantify the expected emission reductions.

CRITERION	RATING	COMMENT
5.3b-e GHG Emissions Reduction Plans - Targets, review and disclosure	Conformance	<p>The Entity has established both short- and medium-term GHG Emissions Reduction Targets, including specific emissions intensity indicators and reduction pathways addressing technological transformation to reduce electricity consumption during electrolysis, elimination of outdated production lines, procurement of renewable energy, and selection of raw material suppliers with lower GHG emission footprints.</p> <p>Intermediate Targets have been defined with a baseline year of 2024, and are available (in File no. 18) at: <a href="https://www.algig.cn/shze-detail.jsp?id=10862">https://www.algig.cn/shze-detail.jsp?id=10862</a></p>
5.4 GHG Emissions Management	Minor Non-Conformance	<p>The Entity has established energy and resource management and carbon emission management procedures. Energy use, resource consumption and GHG emissions are measured and monitored, and are subject to periodic external verification.</p> <p>The Greenhouse Gas emissions inventory Management System, however, is not yet fully developed. It does not specify the frequency of external emissions verification or provide detailed categorisation of Scope 3 emissions.</p>
<b>6. EMISSIONS, EFFLUENTS AND WASTE</b>		
6.1a-f Emissions to Air	Conformance	<p>The Entity has quantified air pollutants in the Environmental Impact Assessment Report. Treatment Facilities have been installed and are in operation. Regular monitoring demonstrates that air emissions comply with local legal limits. The Wastewater and Waste Air Emission Reduction Plan outlines measures to minimise exposure, impacts, and emissions, and is available at: <a href="https://www.algig.cn/shze-detail.jsp?id=10862">https://www.algig.cn/shze-detail.jsp?id=10862</a></p>
6.2a-g Discharges to Water	Conformance	<p>The Environmental Management System covers and manages discharges to water. The Entity has set water reduction targets and implemented a plan to minimise adverse impacts. Wastewater monitoring reports confirm that major pollutants are tracked and comply with local legal discharge limits. The Wastewater and Waste Air Emission Reduction Plan outlines measures to minimise exposure, impacts, and discharges to water, and is available at: <a href="https://www.algig.cn/shze-detail.jsp?id=10862">https://www.algig.cn/shze-detail.jsp?id=10862</a></p>
6.3a-g Assessment and Management of Spills and Leakages	Minor Non-Conformance	<p>The Entity conducts regular Spills and Leakages assessments using a defined list of risk factors. No high-risk situations have been identified, and preventive actions or improvement programs have been implemented for identified risks.</p> <p>Spills and leakages assessment and management are defined within the Environmental Management System, following control measures based on the risk factor list. Major incidents are managed and communicated by the Entity's emergency response team.</p> <p>The assessment and management plan is reviewed at least annually and can be accessed at: <a href="https://www.algig.cn/shze-detail.jsp?id=10862">https://www.algig.cn/shze-detail.jsp?id=10862</a></p> <p>Onsite observations made during the Audit indicated gaps, however. These include some Leakage risks were not identified, and Spills and Leakages management plans are not fully integrated into the risk management process. Communication plans are also incomplete,</p>

CRITERION	RATING	COMMENT
		and lack clear details on what information should be communicated, as well as how, when, and to whom it should be communicated.
6.4a-b Public Disclosure of Spills and Leakages	Conformance	The Entity has established an Emergency Response Plan and Response Guide for Spill management and reporting. In the event of a Spill, the Emergency Response Team follows these procedures. Spill drills are conducted annually to ensure the process is up to date. No Spills have occurred in recent years. The Plan also defines the Entity's reporting requirements for Spills.
6.5a-c Waste Management and Reporting	Conformance	<p>The Entity has established an ISO 14001 certified Environmental Management System and implemented a Waste management procedure that defines Waste collection and disposal processes. It has also set continual improvement targets to reduce Waste generation per unit, which are reviewed quarterly by the management team.</p> <p>The quantities of Hazardous and Non-Hazardous Waste generated, and disposal methods are reported annually and documented in Files No. 15 and No. 22, available at: <a href="https://www.algig.cn/shze-detail.jsp?id=10862">https://www.algig.cn/shze-detail.jsp?id=10862</a></p>
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Conformance	The Entity's Dross management procedure has covered the collection and recycling of Aluminium Dross. Internal processes have enabled recycling, with nearly 100% of Dross sold and recovered by an external contractor. All final Dross is recycled by a qualified contractor and reused as a material of value, rather than disposed of as Waste, with no landfill of any residues. The Entity conducts monthly reviews of Dross recycling performance to identify opportunities to reduce final Dross quantities.
<b>7. WATER STEWARDSHIP</b>		
7.1a-b Water Assessment and Disclosure	Conformance	<p>The Entity has conducted a water-related risk analysis, including a water balance, to identify and map the sources and types of water it withdraws and uses. It maintains a water balance statistical data table, water resource management objectives and plans, and a water balance chart.</p> <p>A water-related risk assessment was also completed, considering the Entity's industrial park location and potential risks from surrounding land and waterways within its Area of Influence. Due to the nature of its Products and production processes, the Entity operates a closed-loop water Management System. The overall level of water-related risk has been assessed as low.</p> <p>The water risk assessment and water balance statistical data is available in Files No. 9 and No. 24 at: <a href="https://www.algig.cn/shze-detail.jsp?id=10862">https://www.algig.cn/shze-detail.jsp?id=10862</a></p>
7.2a-e Water Management	Not Applicable	This Criterion is not applicable to the Entity, as no significant water-related risks have been identified within the Entity's Area of Influence.

CRITERION	RATING	COMMENT
<b>8. BIODIVERSITY AND ECOSYSTEM SERVICES</b>		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Conformance	The Entity has established procedures for Biodiversity protection and has prepared a Biodiversity and Ecosystem Services risk and impact assessment report. The Entity is located within a Government-developed industrial park, with no protected flora or fauna in the area. No significant risk of Biodiversity impacts has been identified.
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity, as the Biodiversity Assessment Report identified the risk and potential impacts on Biodiversity and Ecosystem Services as low.
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable to the Entity, as the Biodiversity Assessment Report identified the risk and potential impacts on Biodiversity as low.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity, as no Priority Ecosystem Services have been identified.
8.4 Alien Species	Conformance	<p>The Entity has established Biodiversity protection procedures, including requirements for Alien Species. These require the evaluation and control of risks related to Alien Species that may be inadvertently introduced through operational activities.</p> <p>The Biodiversity risk assessment report indicates that the only potential source of Alien Species introduction is wooden pallets used for Product packaging. All pallets are fumigated prior to use to prevent the introduction of Alien Species.</p>
8.5a-b Commitment to "No Go" in World Heritage Properties	Conformance	<p>The Entity has established requirements in accordance with the ASI Performance Standard, committing to a 'No Go' Policy in World Heritage Properties. This includes a commitment not to explore or develop New Projects or make Major Changes within World Heritage Properties.</p> <p>The Entity is located in a Government-developed industrial park, and no World Heritage Properties are within the Entity's Area of Influence.</p>
8.6a-d Protected Areas	Conformance	The Entity has established requirements for Protected Areas in accordance with the ASI Performance Standard. The Entity is located within a Government-developed industrial park, and no Protected Areas are within the Entity's Area of Influence.
8.6e Protected Areas - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
<b>9. HUMAN RIGHTS</b>		
9.1a-d Human Rights Due Diligence	Conformance	The Entity has established an Environmental, Social, and Governance (ESG) Policy that includes commitments to respect Human Rights, uphold employees' civil rights, and eliminate Discrimination. The Policy

CRITERION	RATING	COMMENT
		<p>has been displayed on-site, communicated to all employees, and is, available at (No. 2 File): <a href="https://www.algig.cn/shze-detail.jsp?id=10862">https://www.algig.cn/shze-detail.jsp?id=10862</a></p> <p>The Entity is committed to respecting Human Rights and seeks to extend this commitment across its supply chain. A Human Rights Due Diligence process has been implemented to cover supply chain risks, and a Human Rights Due Diligence process assessment has been conducted. Since the Entity's establishment, no adverse Human Rights impacts have been reported.</p>
9.2a-e Gender Equity and Women's Empowerment	Conformance	<p>The Entity has developed a specific group management procedure covering female Workers and other vulnerable groups, including underage Workers, student Workers, and Workers with disabilities, to protect their rights and interests. The Entity has defined the legitimate rights of female Workers and implemented control measures to ensure these rights are upheld.</p> <p>No complaints have been received from female Workers, and interviews undertaken during the Audit confirm that they are aware of their rights. Measures to promote gender equality are available at (No. 21 File): <a href="https://www.algig.cn/shze-detail.jsp?id=10862">https://www.algig.cn/shze-detail.jsp?id=10862</a></p>
9.3a-i Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples within the Entity's Area of Influence.
9.4a Free, Prior, and Informed Consent (FPIC) - New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples within the Entity's Area of Influence.
9.4b Free, Prior, and Informed Consent (FPIC) - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples within the Entity's Area of Influence.
9.4c Free, Prior, and Informed Consent (FPIC) - Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples within the Entity's Area of Influence.
9.5a Cultural and Sacred Heritage – Identification	Conformance	The Entity has established a cultural and sacred heritage protection management procedure. The Construction Project Environmental Impact Report indicates that the Entity is located within a Government-developed industrial park, and no cultural or sacred heritage sites or values are present in the Entity's Area of Influence.
9.5b Cultural and Sacred Heritage – Impacts	Not Applicable	This Criterion is not applicable to the Entity, as no presence of Indigenous Peoples or their lands, territories and resources has been identified.
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity, as no Displacement projects have taken place since the Entity's inception.
9.7a-h Affected Populations and Organisations	Minor Non-Conformance	The Entity has implemented community engagement procedures and respects the legal and customary rights and interests of Local Communities. No complaints have been received from Local Communities.

CRITERION	RATING	COMMENT
		<p>The Entity maintains close communication with surrounding communities, and most employees are recruited locally. It has also established a community support plan, which includes contributions to local charities and participation in public welfare activities. The community support plan is available at (No. 23 File):</p> <p><a href="https://www.algig.cn/shze-detail.jsp?id=10862">https://www.algig.cn/shze-detail.jsp?id=10862</a></p> <p>The Community Management System and program implementation plan, however, should be prepared and disclosed. At present, no environmental management plans have been made public, including measures including regular monitoring or disclosure of factory boundary noise monitoring results.</p>
9.8a Conflict-Affected and High-Risk Areas - Strong management systems	Conformance	<p>The Entity has developed and implemented measures to control conflict minerals and is committed to not using them and communicates this commitment throughout the Aluminium value chain. As part of its Due Diligence process, all suppliers are required to sign a commitment letter confirming they do not use conflict minerals. To date, no related complaints have been received. The Entity's Responsible Procurement Policy is available in No. 1 File at:</p> <p><a href="https://www.algig.cn/shze-detail.jsp?id=10862">https://www.algig.cn/shze-detail.jsp?id=10862</a></p>
9.8b Conflict-Affected and High-Risk Areas - Identify and assess risks	Conformance	<p>The Entity has conducted a risk-based Due Diligence process across its supply chain, which is embedded in the integrated Management System and purchasing procedures. The Due Diligence process did not identify any actual or potential risks in the supply chain.</p>
9.8c Conflict-Affected and High-Risk Areas - Strategy to respond to risks	Not Applicable	<p>This Criterion is not applicable to the Entity, as no actual or potential risks have been identified.</p>
9.8d Conflict-Affected and High-Risk Areas - Audit of due diligence	Conformance	<p>The Entity has conducted a risk-based Due Diligence process over their supply chain, with suppliers reviewed annually. The Entity's Due Diligence processes were included in the scope of this ASI Performance Standard Certification Audit and addresses this requirement.</p>
9.8e Conflict-Affected and High-Risk Areas - Report annually	Conformance	<p>The Entity has reported on its supply chain Due Diligence in accordance with its conflict minerals management measures and supplier sustainability approach, available in No. 12 File at:</p> <p><a href="https://www.algig.cn/shze-detail.jsp?id=10862">https://www.algig.cn/shze-detail.jsp?id=10862</a></p>
9.9 Security practice	Conformance	<p>The Entity has implemented a Management System to control site access and defined the primary role of security personnel as protecting people, property, and assets while respecting Human Rights. All security guards have received Human Rights training, and to date, no security-related Human Rights violations have been reported.</p>
<b>10. LABOUR RIGHTS</b>		
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	<p>This Criterion is not applicable to the Entity, as the right to Freedom of Association and Collective Bargaining is addressed in accordance with Chinese Applicable Law.</p>

CRITERION	RATING	COMMENT
10.1d Freedom of Association and Right to Collective Bargaining - Alternative means in context of Applicable Law	Conformance	<p>The Entity has established and implemented procedures on Freedom of Association and Collective Bargaining and is committed to upholding Workers' rights.</p> <p>Labor unions and Worker associations have been established in accordance with legal requirements to represent employees in areas including remuneration, working hours, rest and leave, Occupational Health and Safety (OH&amp;S), vocational training, insurance, and welfare. The Entity has developed Collective Bargaining Agreements (CBA). It has also established a Policy affirming respect for the rights to Freedom of Association and Collective Bargaining.</p>
10.2a-c Child Labour	Conformance	<p>The Entity has established and implemented a Policy prohibiting the use of Child Labour. Young Workers are subject to special protection under labour laws and are not permitted to work in hazardous conditions. The age of each candidate is verified through ID checks and interviews. No Child Labour or young Workers are employed by the Entity, and the youngest Worker on site is 20 years old.</p> <p>The Entity also communicates these requirements to employees and suppliers to ensure compliance with legal provisions on Child Labour and young Workers across its operations and supply chain.</p>
10.3a-c Forced Labour	Conformance	<p>The Entity has established and implemented a procedure for the prevention of involuntary labour and is committed, together with its suppliers, to prohibiting Forced Labour, slavery, and Human Trafficking.</p> <p>Worker and management interviews and document review undertaken as part of the Audit indicate that employees are not required to pay any deposits, recruitment fees, or advances at any stage of employment. There are no restrictions on Workers' freedom of movement within the site; Workers are free to leave the factory when not engaged in work and may access basic needs such as toilets and drinking water as required. Workers may also seek external medical treatment when unwell.</p> <p>No indicators of Forced Labour were identified within the Entity. There are no foreign Migrant Workers, and all employees are Chinese nationals. The Entity does not provide loans to Workers, and employment contracts contain no clauses related to debt bondage. Payroll records confirm that no illegal deductions are made.</p> <p>The Entity's Modern Slavery Statement, addressing Forced Labour, is available at: <a href="https://www.algig.cn/shze-detail.jsp?id=10862">https://www.algig.cn/shze-detail.jsp?id=10862</a></p>
10.4a-c Non-Discrimination	Conformance	<p>The Entity has established an anti-Discrimination, Harassment, and Abuse Procedure that ensures equal opportunities and prohibits Discrimination in hiring, remuneration, promotion, training, career advancement, and termination.</p> <p>The non-Discrimination Policy is included in employee onboarding and annual refresher training. Equal opportunity and non-Discrimination practices were also confirmed through Worker interviews undertaken during the Audit, and the results of annual Business conduct and conflict assessments.</p>
10.5 Communication and engagement	Conformance	<p>The Entity has established and implemented an employee grievance management procedure. Regular meetings between the Labour Union and senior management, as well as a grievance hotline and email system, provide channels for open communication and direct engagement with Workers and their representatives regarding</p>

CRITERION	RATING	COMMENT
		working conditions and the resolution of workplace and compensation issues, without threat of reprisal, intimidation, or Harassment.
10.6a-g Violence and Harassment	Conformance	<p>The Entity has established and maintains a corporate Policy to prevent workplace Harassment, prohibiting all forms of Harassment and Violence. The Entity also provides training on Harassment prevention and on addressing Harassment and Discrimination.</p> <p>To support reporting and prevent Discrimination, an ethics and compliance integrity line is available in multiple languages, allowing employees to report concerns anonymously, including potential cases of Violence or Harassment. The Policy is available under 'ASI Policies' at: <a href="https://www.algig.cn/shze-detail.jsp?id=10862">https://www.algig.cn/shze-detail.jsp?id=10862</a></p>
10.7a-c Remuneration	Conformance	All payments are documented and paid to Workers by bank transfer on the 15 <sup>th</sup> of each month. Employees receive compensation for Overtime, work on public holidays and rest days, and night shifts. Each month, employees receive a payslip detailing their wages, calculation methods, and deductions such as taxes. All employees are paid wages above the minimum wage set in China.
10.8a-c Working Time	Minor Non-Conformance	<p>The Entity has implemented an attendance and working hours Management System. Working hours are recorded and monitored, and Overtime is voluntary; Workers can refuse Overtime.</p> <p>Document review and Worker interviews undertaken during the Audit, however indicated that some employees' annual Overtime exceeded 432 hours, exceeding the limits set by the comprehensive working hours system.</p>
10.9a-b Informing Workers of Rights	Conformance	The Entity informs Workers of their rights through various local information systems, including the employee handbook, electronic boards, public bulletin boards in every workshop, training sessions, personal consultations, and meetings.
<b>11. OCCUPATIONAL HEALTH AND SAFETY</b>		
11.1a Occupational Health and Safety (OH&S) Management System	Minor Non-Conformance	<p>The Entity has been certified to ISO 45001:2018, covering the Certification Scope. It has established procedures for the control of Occupational Health and Safety (OH&amp;S).</p> <p>The water pressure at the eyewash station next to the passivation acid and alkali washing tower in the cold rolling workshop, however, is insufficient.</p>
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Minor Non-Conformance	<p>The Entity has implemented an Occupational Health and Safety (OH&amp;S) Management System and holds a valid ISO 45001:2018 Certification. It has established performance indicators, and a comparative analysis of performance with peer Businesses and leading practices is published in the Sustainability Report, available in the No. 3 File: <a href="https://www.algig.cn/shze-detail.jsp?id=10862">https://www.algig.cn/shze-detail.jsp?id=10862</a></p> <p>Leading indicators of performance, however, are lacking to assess the effectiveness of the OH&amp;S Management System.</p>
11.2 Employee engagement on Health and Safety	Conformance	The Entity has implemented mechanisms to collect Worker feedback on OH&S issues. These mechanisms include suggestion boxes,

CRITERION	RATING	COMMENT
		meetings with Worker representatives, periodic Worker interviews, and accident and injury analysis.

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#### DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	17 November 2022	Initial Certification Audit - Full Certification.
1	16 February 2024	Surveillance Audit
2	21 April 2026	Re-Certification Audit and Scope Change – Full Certification Scope Change to apply PS V3.1.