

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

Ball Personal & Home Care (Aerosol)

CERTIFICATE NUMBER
257

ASI STANDARD
**PERFORMANCE
STANDARD
(V3.1 2023)**

CERTIFICATION LEVEL
**FULL
CERTIFICATION**

ASI ACCREDITED
AUDITING FIRM
**BUREAU VERITAS
CERTIFICATION**

DATE OF ISSUE
30 JANUARY 2026

DATE OF EXPIRY
29 JANUARY 2029

CERTIFIED SINCE
30 JANUARY 2023

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. Hall', with a long horizontal line extending to the right.

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
info@aluminium-stewardship.org

Validity of this Certificate is subject to continued conformance with the applicable ASI Standard and can be verified at
www.aluminium-stewardship.org

CERTIFICATION SCOPE

Management activities for centralised operational functions, global support functions, quality assurance and operational risk are conducted at the global headquarters campuses in Westminster, Colorado and Lille France. Manufacturing of Aluminium slugs at two plants: Copal (France) and Sherbrooke (Canada) and of aerosol containers (cans and bottles) at seven plants: Velim (Czech Republic), Devizes (UK), Bellegarde (France), San Luis Potosi (Mexico), Itupeva (Brazil), Llinars (Spain), and Lummen (Belgium).

AUDIT REPORT PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	Ball Corporation
ENTITY NAME	Ball Personal & Home Care (Aerosol)
CERTIFICATION SCOPE	Management activities for centralised operational functions, global support functions, quality assurance and operational risk are conducted at the global headquarters campuses in Westminster, Colorado and Lille France. Manufacturing of Aluminium slugs at two plants: Copal (France) and Sherbrooke (Canada) and of aerosol containers (cans and bottles) at seven plants: Velim (Czech Republic), Devizes (UK), Bellegarde (France), San Luis Potosi (Mexico), Itupeva (Brazil), Llinars (Spain), and Lummen (Belgium).
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">• Casthouses• Material Conversion
ASI STANDARD	<ul style="list-style-type: none">• Performance Standard V3.1
AUDIT TYPE	<ul style="list-style-type: none">• Initial Certification Audit (5 October – 8 December 2022)• Re-Certification Audit and Scope Change (2 – 19 December 2025)
AUDIT FIRM	Bureau Veritas Certification
AUDIT DATE	<ul style="list-style-type: none">• 5 October – 8 December 2022 (Initial Certification Audit)• 2 – 19 December 2025 (Re-Certification Audit and Scope Change)
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">• 16 February 2023 (Initial Certification Audit)• 2 February 2026 (Re-Certification Audit and Scope Change)
AUDIT SCOPE	<p><u>Initial Certification Audit (5 October – 8 December 2022)</u></p> <p>The Audit Scope comprises Ball Aerosol Packaging at manufacturing facilities San Luis Potosi (Mexico), Velim (Czech Republic) and Verona (USA), and regional headquarters located in Westminster, Colorado (USA) and Lille (France).</p> <p>The Entity uses the ASI multi-site approach and sites at Ahmedabad (India), Bellegarde (France), Copal (France), Devizes (UK), Itupeva (Brazil), and Sherbrooke (Canada) were not visited during the Audit.</p> <p>The Supply Chain Activities included in the Audit Scope:</p> <ul style="list-style-type: none">• Casthouses• Material Conversion (Production and Transformation) <p>All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.</p> <p><u>Re-Certification Audit and Scope Change (2 – 19 December 2025)</u></p> <p>The Audit Scope includes Ball Aerosol Packaging at manufacturing facilities Itupeva (Brazil), Devizes (UK), Llinars (Spain) and the corporate functions at Aerosol Packaging HQ Colorado and, Ball Aerosol Packaging HQ Lille, San Luis Potosi</p>

(Mexico), Velim (Czech Republic) and Verona (USA), and regional headquarters located in Westminster, Colorado (USA) and Lille (France).

The Entity uses the ASI multi-site approach and sites at Copal (France and Sherbrooke (Canada) Velim (Czech Republic), Bellegarde (France), San Luis Potosi (Mexico), and Lummen (Belgium) were not visited during the Audit.

The Supply Chain Activities included in the Audit Scope:

- Casthouses
- Material Conversion

All relevant Criteria in the ASI Performance Standard were included in the Audit Scope. Criteria in the ASI Performance Standard that were identified as non-conformities from the previous surveillance Audit as well as a risk-based selection of Criteria were included in the Audit Scope.

AUDIT OUTCOME

- Certification

AUDIT METHODOLOGY
DECLARATION

The Auditors confirm that:

- The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
- The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
- The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
- The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

CERTIFICATION PERIOD

30 January 2026 – 29 January 2029

NEXT AUDIT TYPE

Surveillance Audit

NEXT AUDIT DATE

30 July 2027

CERTIFICATE NUMBER

257



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

The Personal and Home Care Division (Aerosol) of Ball Corporation (the 'Entity') operates as part of the company's global Aluminium packaging business, supplying innovative, sustainable, and high-performance packaging solutions for leading personal care and household brands worldwide. Ball Corporation is a major global provider of Aluminium packaging, producing containers used by multinational companies across the personal care and home care markets.

The Entity specialises in extruded Aluminium packaging, particularly containers designed for items such as Deodorants and antiperspirants, haircare and styling sprays, shaving creams and household cleaning products. The Entity also produces Aluminium beverage containers. Ball provides a diverse range of Aluminium packaging formats starting with the production of Aluminium slugs that are used by their plants tailored to transform the slugs into Aluminium aerosol containers, recloseable Aluminium bottles, personal and home care bottles and specialty containers.

The Entity's global headquarters are based in Westminster, Colorado USA and Lille, France, with the manufacturing of Aluminium slugs undertaken at two Facilities, Copal (France) and Sherbrooke (Canada), whilst aerosol cans are manufactured at seven plants, Velim (Czech Republic), Devizes (UK), Bellegarde (France), San Luis Potosi (Mexico), Itupeva (Brazil), Llinars (Spain), and Lummen (Belgium).

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	High	Medium	High	HIGH
RISKS	High	Medium	High	HIGH
PERFORMANCE	High	Medium	High	HIGH
OVERALL		HIGH		

FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	The Entity has developed and implemented a Corporate Compliance Policy, a 'Compliance Alliance' program, and a regularly updated legislative tracker. The Entity prepares a quarterly compliance report, which is reviewed by management, and tracks all ongoing compliance matters across the division.
1.2 Anti-Corruption	Conformance	The Entity's Business Code of Ethics which addresses Anti-Corruption and Bribery, available at: https://www.ball.com/getmedia/de857979-052b-47d1-a253-459596ba2e75/Business-Ethics-Code-of-Conduct-2022.pdf
1.3a-e Code of Conduct	Conformance	The Entity has established and implemented a Business Ethics Code of Conduct, available at: https://www.ball.com/our-company/code-of-conduct
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Conformance	The Entity's Environmental Statement is available at: https://www.ball.com/getattachment/885d8b24-ba80-449e-a511-8de2a2ccb5ce/Environmental-Statement_-_Nov-2025.pdf The Global Environmental Health and Safety Policy are available at: https://www.ball.com/getattachment/487a51a5-058e-430d-b8e5-a9fd7dc0cb3e/GP-Comp-013-005_Environmental-Health-and-Safety.pdf
2.2a-c Leadership	Conformance	The Entity's Global Vice President of Quality is the executive sponsor of the divisional ASI initiative. The Assistant General Counsel is the ASI lead, with various functional leads at the Vice Presidency level within the Entity.
2.3a Environmental and Social Management Systems - Environmental	Conformance	The Entity's sites have implemented Environmental Management Systems, with some sites ISO 14001 certified. The Management Systems are evaluated through internal audits on a regular basis.
2.3b Environmental and Social Management Systems - Social	Conformance	The Entity maintains an integrated Environmental and Social Management (EMS) System that includes environmental and social Policies, procedures and records including Impact Assessments.
2.4a-e Responsible Sourcing	Conformance	The Entity has established Global Supplier Guiding Principles that include environmental, social and governance (ESG) requirements and responsible sourcing, available at: https://www.ball.com/getmedia/c7a1cfb9-1532-4a41-9cad-6af6e69e4be5/Supplier-Code-of-Conduct_Final_Nov2022.pdf
2.5a-g Environmental and Social Impact Assessments	Not Applicable	This Criterion is not applicable to the Entity, as there have been no New Projects or Major Changes to existing Facilities since the Entity joined ASI. For all new plants, plant expansions, and acquisitions, the Entity has an established a Due Diligence process, which includes a risk assessment.

CRITERION	RATING	COMMENT
2.6a-h Human Rights Impact Assessment	Not Applicable	This Criterion is not applicable to the Entity, as there have been no New Projects or Major Changes to existing Facilities since the Entity joined ASI. For all new plants, plant expansions, and acquisitions, the Entity has an established a Due Diligence process, which includes a risk assessment.
2.7a-f Emergency Response Plan	Conformance	Each site has established an Emergency Contingency Plan (ECP) utilising a standardised template to identify the potential for, and respond to incidents and emergencies to prevent and mitigate the environmental, Occupational Health and Safety impacts, injuries or illnesses, and associated property damage. ECPs are reviewed and updated at least annually and include response and rescue activities where required and are available upon request.
2.8a-d Suspended Operations	Conformance	The Entity maintains a Business Continuity Plan that identifies potential scenarios that could suspend or significantly alter operations due to factors outside its control. This plan is reviewed at the highest levels including the Board of Directors on a regular basis.
2.9a-b Mergers and Acquisitions	Conformance	Mergers and acquisitions are managed at the corporate level, and the Entity has an established Due Diligence process. The Entity most recently acquired Facilities in Belgium and Spain in 2024, where Due Diligence was conducted for assets, human resources compliance, tax compliance, and legal/environmental compliance. This process included a review of environmental assessments and also reviewed current governance practices and HR Policies.
2.10a-b Closure, Decommissioning and Divestment	Conformance	Any plant closure, decommissioning or divestments relating to the Entity are managed at the corporate level and follow a Due Diligence process. The Entity most recently closed a Facility in Virginia and followed the Due Diligence process. Any closure decommissioning and divestments are managed at the Corporate level. As part of the Due Diligence process for plant closures and decommissions, the Entity follows the same process as outlined in the Mergers and Acquisition procedure.
3. TRANSPARENCY		
3.1a-b Sustainability Reporting	Conformance	The Entity publishes a combined sustainability and annual report that includes detailed data from the Aerosol Packaging Division, refer to the 2024 Combined Annual & Sustainability Report: https://www.ball.com/sustainability/sustainability-reporting/2024-combined-report
3.2 Non-compliance and Liabilities	Conformance	The Entity communicates information on Material non-compliances and liabilities in its publicly available Global Reporting Initiative (GRI) register, available on the Ball website. The recent 2025 GRI Content Index is available at: https://www.ball.com/getattachment/c694baf1-30d3-4f86-9a20-12c4a9ba0420/Global-Reporting-Index-Report-2025.pdf
3.3a-c Payments to Governments	Conformance	Other than standard company and payroll taxes, the Entity does not make payments to Governments. For financial information, refer to the 2024 Combined Annual & Sustainability Report, pages 40 and 46:

CRITERION	RATING	COMMENT
		https://www.ball.com/sustainability/sustainability-reporting/2024-combined-report
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	<p>Stakeholder complaints, grievances, or requests for information can be initiated through the Entity's Compliance Hotline which is available to all internal and external Stakeholders. Ball's Global Compliance Hotline and/or compliance email (compliance@ball.com) can be accessed internally and externally and is available at:</p> <p>https://www.ball.com/our-company/supplier-resources/ethics-compliance</p>
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Conformance	<p>The Entity's 'Cradle to Gate' Life Cycle Assessments (LCAs) for aerosol products are available for customers upon request. The Entity completes product specific LCAs using the 'InstantLCA' software.</p> <p>In addition to the product specific LCAs completed by the Entity, it also leverages public information and communication on other packaging. Further information on the LCA studies are available at: https://www.ball.com/sustainability/real-circularity/life-cycle-assessment</p>
4.1b-c Environmental Life Cycle Assessment - Disclosure	Conformance	<p>The Entity's 'Cradle to Gate' LCA for aerosol products are available for customers upon request. The Entity completes product specific LCAs using the 'InstantLCA' software program.</p> <p>In addition to the product specific LCAs completed by the Entity, it also leverages public information and communication on other packaging. Further information on the LCA studies are available at: https://www.ball.com/sustainability/real-circularity/life-cycle-assessment</p>
4.2 Product Design	Conformance	<p>The Entity has integrated sustainability into the design of their Products. This is described in the 2024 Combined Annual & Sustainability Report, available at: https://www.ball.com/sustainability/sustainability-reporting/2024-combined-report and at https://www.ball.com/sustainability/real-circularity.</p>
4.3a-b Aluminium Process Scrap	Conformance	<p>The Entity has implemented Scrap management processes at all the Entity's sites with the aim of minimising Scrap generation. The Entity has established Key Performance Indicators (KPIs) targets for Scrap reduction at each of its sites.</p> <p>Can plants use various alloys, but all are 99%+ pure Aluminium. Given this level of purity, remelters/recyclers do not require separation for purposes of recycling. Separation may be performed for the various classes of Scrap generated by the can plants depending on the recycler.</p>
4.4a-c Collection and Recycling of Products at End of Life - Material Conversion and other Manufacturing	Conformance	<p>The Entity's Corporate Sustainability team has implemented a strategy to increase the recycling of end-of-life products which is outlined at: https://www.ball.com/sustainability/real-circularity.</p> <p>A summary of this strategy and results is included in the 2024 Combined Annual & Sustainability Report, available at:</p>

CRITERION	RATING	COMMENT
		https://www.ball.com/sustainability/sustainability-reporting/2024-combined-report
4.4d Collection and Recycling of Products at End of Life	Conformance	As part of the Ball Corporation, the Entity is working with Alupro (UK), CMI/HCPA (USA), and other organisations to fund and drive recycling programmes for end-of-life waste. The Entity has officially pledged to fund the programs and is a Gold Tier Sponsor of HPCA. Additional information is available at: https://www.ball.com/our-company/ball-stories/ball-weighs-in-on-aerosol-recycling-initiative-s-two-set-goals-for-2030
5. GREENHOUSE GAS EMISSIONS		
5.1a-b Disclosure of GHG Emissions and Energy Use	Conformance	GHG emissions data are disclosed publicly at various levels, including global, regional, and by country, as well as by business segment. All the information is verified independently before publication. The Entity discloses its Material energy use and Greenhouse Gases (GHG) emissions (Scopes 1, 2 and 3) in the 2024 Combined Annual & Sustainability Report, page 41: https://www.ball.com/sustainability/sustainability-reporting/2024-combined-report Reduction targets are reported on the Ball Sustainability Data Centre and to local regulators where required, available at: https://www.ball.com/sustainability/sustainability-reporting/data-center
5.2a Aluminium Smelter GHG Emissions Intensity - Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity - In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a GHG Emissions Reduction Plans	Conformance	The Entity has established a GHG emissions reduction goal and pathway which are part of company-wide GHG emissions and energy use and reduction targets to reduce its total Scope 1, 2 and 3 GHG emissions 55% by 2030 from a 2017 baseline. The GHG emissions reduction goal are reported on the Ball Sustainability Data Centre and to local regulators.
5.3b-e GHG Emissions Reduction Plans - Targets, review and disclosure	Conformance	The Entity has established GHG emissions reduction goals, which are part of the Ball Corporation goals published in the 2024 Combined Annual and Sustainability Report. The Intermediate Targets are reviewed on an annual basis and are disclosed in the Data Center: https://www.ball.com/sustainability/sustainability-reporting/data-center and are summarised on the 2024 Combined Annual & Sustainability Report, page 27: https://www.ball.com/sustainability/sustainability-reporting/2024-combined-report
5.4 GHG Emissions Management	Conformance	The Entity has established a GHG Emissions Management system under the guidance of its Sustainability Team and as part of the Basis

CRITERION	RATING	COMMENT
		of Estimate Engineering Pilar. The system is reviewed annually to identify Intermediate Targets and to establish site specific initiatives.
6. EMISSIONS, EFFLUENTS AND WASTE		
6.1a-f Emissions to Air	Conformance	<p>Air emissions are managed and reported according to each of the Entity's site-based environmental permits/licenses, issued by the regulatory authorities.</p> <p>Air emissions summaries are included in the 2024 Combined Annual & Sustainability Report (page 41) and are reported to the regulatory authorities as required. The main emission type are Volatile Organic Compounds (VOCs) from inks, coatings, lacquers, oils, and solvents.</p> <p>Refer to 2024 Combined Annual & Sustainability Report: https://www.ball.com/getattachment/802cde78-9dcc-4292-9a83-6e9a284b52db/Combined-Report-2024-1-1.pdf</p> <p>The Entity is regulated and each Facility has a site-specific permit that prescribes emission limits. Each Facility tracks and reports Emissions to Air and emission calculations are completed at least monthly. The plans are publicly disclosed at: https://www.ball.com/sustainability/climate-leadership and https://www.ball.com/getattachment/318cdc87-5e97-4291-b42e-79bbad714665/GRI-REPORT-2024-March-Update.pdf</p>
6.2a-g Discharges to Waters	Conformance	<p>Each of the Entity's Facilities Discharges to Water are managed according to local permits. The Entity has developed a plan to increase water reuse and therefore reduce Discharges to Water.</p> <p>The Entity is regulated and each Facility has a site-specific permit with discharge limits. Pre-treatment of industrial wastewater material prior to discharge to the local government or privately owned treatment facilities is required. The information is publicly disclosed and open to regular inspections from the local municipality. Refer to the 2024 GRI Content Index, page 19: https://www.ball.com/getattachment/318cdc87-5e97-4291-b42e-79bbad714665/GRI-REPORT-2024-March-Update.pdf</p>
6.3a-g Assessment and Management of Spills and Leakages	Conformance	<p>Each of the Entity's Facilities maintain an Emergency Contingency Plan that outlines requirements for assessing and managing Spills as required by local regulations. An emergency plan for leaks and Spills is in place across all sites, including a monitoring and preventative maintenance system.</p>
6.4a-b Public Disclosure of Spills and Leakages	Conformance	<p>Each of the Entity's Facilities reports Spills to the local agencies according to local regulations. Every spill is recorded by the local Environment, Health and Safety (EHS) Manager and includes details on spill volume, type of spill, and the potential impact of the spill incident. This information is captured in Enablon, an online incident database.</p>
6.5a-c Waste Management and Reporting	Conformance	<p>The Entity generates various Non-Hazardous and Hazardous Wastes that are regulated by the applicable environmental protection agencies. Each Facility has an EPA Identification Number and tracks the quantity of Hazardous Waste generated each month to determine its generator status.</p>

CRITERION	RATING	COMMENT
		<p>Waste volumes are reported in the 2024 Combined Annual & Sustainability Report, page 41: https://www.ball.com/getattachment/802cde78-9dcc-4292-9a83-6e9a284b52db/Combined-Report-2024-1-1.pdf</p> <p>For non-Hazardous and Hazardous Waste data, refer to the 2024 GRI Content Index, page 25: https://www.ball.com/getattachment/318cdc87-5e97-4291-b42e-79bbad714665/GRI-REPORT-2024-March-Update.pdf</p>
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Conformance	<p>The Entity maximises the recovery of Aluminium from Dross generated at the slug plants by sending Dross for reprocessing to a third party and, where feasible, ensuring an equivalent amount of recovered Aluminium returned to the slug plants.</p> <p>The Entity conducts competitive tenders for Dross reprocessing, with a key consideration being the maximisation of Aluminium recovery, thereby reducing any potential landfilling of Dross residues. As part of the Entity's new supply chain organisation, Dross re-processors are included in the regular audit program to verify recovery and handling of non-recoverable material.</p>
7. WATER STEWARDSHIP		
7.1a-b Water Assessment and Disclosure	Conformance	<p>The Entity has identified water use by source for each location. Water is extracted from wells at some Facilities and or supplied by the local municipality at others.</p> <p>The Entity has undertaken a detailed water risk assessment for all its sites in the Beta Aqueduct tool. Mitigation options for sites in water stress areas are presented on the Ball website and water use reduction targets have been implemented.</p> <p>The Entity withdraws water from various sources for use in the manufacturing process. Water withdrawals are measured at least monthly via meter readings and utility bill tracking. Water usage and efficiency goals are disclosed in the in the 2024 Combined Annual & Sustainability Report, pages 21 and 41: https://www.ball.com/getattachment/802cde78-9dcc-4292-9a83-6e9a284b52db/Combined-Report-2024-1-1.pdf</p> <p>The Entity utilises the World Resources Institute (WRI) Aqueduct risk analysis tool to determine water-related risks. It determined that 13% of Facilities are in medium-high-risk locations with an equivalent number of Facilities located in high-risk areas. Refer to: https://www.ball.com/sustainability/product-stewardship/resource-efficiency</p>
7.2a-e Water Management	Conformance	<p>The Entity's Facilities monitor water use and recycle water. Facilities that extract groundwater comply with their permitted extraction quantities. In times of drought, local authorities are responsible for managing water supply in the area and sites obey any additional instruction to halt water extraction. Refer to: www.ball.com/na/vision/sustainability/operational-excellence/water</p>

CRITERION	RATING	COMMENT
		The Entity analyses and evaluates data and information from monitoring and measurement of water usage in conjunction with affected populations and have established, developed a clear and ambitious water use reduction goals for 2030 available at: https://www.ball.com/sustainability/goals
8. BIODIVERSITY AND ECOSYSTEM SERVICES		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Conformance	<p>The Entity's Biodiversity risk assessment was completed using the Integrated Biodiversity Assessment Tool (IBAT), which provides a basic risk screening on Biodiversity. No Biodiversity risks were identified requiring action.</p> <p>The Entity has established a Biodiversity Policy outlining the requirements for completing annual site-level Biodiversity assessments and establishing action plans to remediate any areas of concern. The Biodiversity Policy is available at: https://www.ball.com/getattachment/cdfc4728-122c-4311-a0a8-3f06a5540a9f/Biodiversity-Policy_Final_07282023.pdf</p>
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Conformance	This Criterion is not applicable to the Entity, as the Biodiversity risk assessment concluded that the site has no Material impacts on Biodiversity.
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable to the Entity, as the Biodiversity risk assessment concluded that the site has no Material impacts on Biodiversity.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity, there are no identified Priority Ecosystem Services at any sites. Nevertheless, on a regular basis, the site level Biodiversity Assessment is completed using the recognised Biodiversity risk assessment tools such as IBAT.
8.4 Alien Species	Conformance	The Entity utilises the Biodiversity Checklist to evaluate species that may be introduced and may threaten the native Biodiversity and natural ecosystems at each site. Identified risks will be added to the site's annual aspects and impacts assessment if applicable. The Entity's sites continue to proactively prevent the accidental or deliberate introduction of Alien Species that could have significant adverse impacts on Biodiversity.
8.5a-b Commitment to "No Go" in World Heritage Properties	Conformance	The Entity's existing manufacturing plants are located within Industrial parks or established neighbourhoods where there are no conflicts with World Heritage Properties. The Entity continues reviewing the location of World Heritage Properties to ensure that any future new operations meet its commitment to "No Go" in World Heritage properties.
8.6a-d Protected Areas	Conformance	The Entity's existing manufacturing plants are placed within Industrial parks or established neighbourhoods where there are no conflicts with Protected Areas. The Entity continues reviewing the location of Protected Areas within its Area of Influence.
8.6e Protected Areas - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9. HUMAN RIGHTS		
9.1a-d Human Rights Due Diligence	Conformance	<p>The Entity has an established Human Rights Policy most recently updated in July 2024, which can be accessed by all employees and by key Stakeholders, available at: https://www.ball.com/getattachment/06ef799c-8a92-45b8-8f7d-078f8fc03b20/GP-Comp-012-004_Human-Rights.pdf</p> <p>The Entity has established the Human Rights Policy and the Slavery and Human Trafficking Statement to support their commitment to treat people with dignity and respect. These Policies further the protection of Human Rights by prohibiting slavery and Human Trafficking, and address non-compliance by employees and vendors working with and for the Entity.</p> <p>The Entity observes the UN Guiding Principles on Business and Human Rights by following the corporate Policies and national and international regulations regarding Human Rights.</p> <p>The Entity undertakes a SMETA 4-Pillar audit every three years, covering the areas of Labour Rights, Freedom of Association, Child Labour, working hours, Migrant Workers and Health and Safety.</p>
9.2a-e Gender Equity and Women's Empowerment	Conformance	<p>The Entity rewards all employees equitably based on competitive labour market data, performance levels and contributions to their success while ensuring adherence to all Applicable Laws and regulations. The Entity is committed to empowering women and enabling a community of support via the internal Women's Network (BRG), celebrating International Women's Day, community outreach and engagement, bi-monthly educational events, and a continued focus on developing women in leadership roles.</p> <p>Related disclosures are included in the 2024 Combined Annual & Sustainability Report, page 301: https://www.ball.com/getattachment/802cde78-9dcc-4292-9a83-6e9a284b52db/Combined-Report-2024-1-1.pdf</p> <p>And also in the 2024 GRI Content Index: https://www.ball.com/getattachment/318cdc87-5e97-4291-b42e-79bbad714665/GRI-REPORT-2024-March-Update.pdf</p>
9.3a-i Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity as no Indigenous Peoples are present in the Area of Influence of each site.
9.4a Free, Prior, and Informed Consent (FPIC) - New Projects or Major Changes	Conformance	The Entity's Land and Property Global Policy address the rights of Indigenous Peoples and the need for consultation over activities that may impact them, should the Entity impact in the future. At this time, there are no impacts identified.
9.4b Free, Prior, and Informed Consent (FPIC) - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4c Free, Prior, and Informed Consent (FPIC) - Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity, the presence of Indigenous Peoples or their lands, territories and resources, have not been identified in any of the Entities locations.

CRITERION	RATING	COMMENT
9.5a Cultural and Sacred Heritage - Identification	Conformance	There are no sacred or cultural heritage sites and values within the Entity's Area of Influence. The Entity does not operate in Cultural Sacred Heritage sites. The Entity's Due Diligence process for New Projects avoids locations where Cultural or Sacred Heritage sites may be impacted.
9.5b Cultural and Sacred Heritage - Impacts	Not Applicable	This Criterion is not applicable to the Entity, as there are no sacred or cultural heritage sites and values within the Entity's Area of Influence The Entity's plant locations are not located on cultural and scared heritage sites. As part of their Due Diligence process when they assess locations for new plants, they avoid locations that include any sacred and/or cultural heritage sites. Most of the Entity's Facilities are located within industrial complexes.
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity as no Resettlements have been considered or occurred during since the Entity was established or since joining ASI.
9.7a-h Affected Populations and Organisations	Conformance	The Entity respects the rights and interests of Local Communities and has developed an inclusive and open dialogue with Communities. The Entity engages with Local Communities when new permits or changes to existing permits are required. There are no Material or negative impacts on potentially Affected Populations and Organisations from the Entity's operations.
9.8a Conflict-Affected and High-Risk Areas - Strong Management Systems	Conformance	The Entity has established Human Rights and Human Trafficking Policies, conducts Human Rights Due Diligence, and SMETA 4-Pillar audits every three years. Suppliers must comply with its standards, corrective actions are taken when needed, and employees can report concerns anonymously via an ethics hotline.
9.8b Conflict-Affected and High-Risk Areas - Identify and assess risks	Conformance	The Entity has implemented Supplier Guiding Principles and all Aluminium suppliers are regularly audited and must adhere to the Entity's Supplier Guiding Principles.
9.8c Conflict-Affected and High-Risk Areas - Strategy to respond to risks	Not Applicable	The Criterion is not applicable to the Entity, as its assessment processes did not identify any actual or potential risks. The Entity does not source material from Conflicted-Affected and High-Risk Areas, and does not source directly or indirectly any Bauxite, Alumina or Primary Aluminium. The Entity does not operate in Conflict-Affected and High-Risk Areas (CAHRAs).
9.8d Conflict-Affected and High-Risk Areas - Audit of due diligence	Conformance	The Entity's Due Diligence processes were included in the scope of this ASI Performance Standard Certification Audit and addresses this requirement.
9.8e Conflict-Affected and High-Risk Areas - Report annually	Conformance	The Entity supply chain Due Diligence are reported in the 2024 Combined Annual & Sustainability Report, page 38: https://www.ball.com/sustainability/sustainability-reporting/2024-combined-report .
9.9 Security practice	Conformance	The Entity aims to provide safety and security to all its plant and office locations. This is provided using closed-circuit surveillance, contracted security guards, and secure electronic access with Contracted Security personnel at some sites. Contracted security companies

CRITERION	RATING	COMMENT
		<p>must adhere to the Entity's Supplier Guiding Principles. Visitors at plant locations and office locations are required to register before entering the Facility.</p> <p>The Entity's Human Rights Policy stipulates that proportionate security arrangements be adopted. The Entity ensures that the provision of security is consistent with local laws and relevant international standards and guidelines.</p>
10. LABOUR RIGHTS		
10.1a-c Freedom of Association and Right to Collective Bargaining	Conformance	The Entity respects Freedom of Association and its employees' right to Collective Bargaining as outlined in the Human Rights Policy. Workers at the Entity's Facilities are free to associate and join a Union with the freedom to conduct Collective Bargaining.
10.1d Freedom of Association and Right to Collective Bargaining - Alternative means in context of Applicable Law	Not Applicable	This Criterion is not applicable to the Entity, as all the Entity's sites are located within countries where Freedom of Association and the Right to Collective Bargaining is written into law.
10.2a Child Labour	Conformance	The Entity's Child and Forced Labour Policy indicates the minimum age for employees on-site is 18 years. The Entity does not allow hazardous or prohibited work for Workers under 18 years and these Workers are always supervised. Suppliers are also required to prohibit Child Labour.
10.3a-c Forced Labour	Conformance	<p>The Entity has a 'zero-tolerance Policy' on the use of Forced Labour or Human Trafficking practices and has the same expectations of businesses within its supply chain. It neither engages in nor supports the use of Forced Labour, nor demands any deposits from its employees and forced bondage.</p> <p>Employees have freedom of movement inside work areas and can terminate their contracts with reasonable notice as per the mutually agreed employment contract.</p> <p>The Entity's Slavery and Human Trafficking Statement is available at: https://www.ball.com/getattachment/d88355f4-d9fc-4dc2-b44a-204c5e37145c/Slavery-and-Human-Trafficking-Statement-2025-V3.pdf</p>
10.4a-c Non-Discrimination	Conformance	The Entity's commitment to non-Discrimination is outlined in a corporate Policy and communicated on its website. The Entity does not tolerate Discrimination in the workplace and has a Discrimination, Harassment and Retaliation Policy. An ethics compliance hotline is available for anonymous reporting.
10.5 Communication and engagement	Conformance	There are clear communication channels between Management and Workers, and each Facility has various processes to assure employee consultation and participation, including communication boards, monthly all-hands meetings (safety, quality, and production), suggestion boxes, and celebrations of employee work anniversaries.
10.6a-g Violence and Harassment	Conformance	The Entity has established and documented disciplinary Policies that are outlined in each site-specific Employee Handbook and communicated during the onboarding process.

CRITERION	RATING	COMMENT
10.7a-c Remuneration	Conformance	<p>The Entity respects the rights of Workers to a living wage, and complies with local, state, and federal regulations to ensure that wages paid for a normal working week meet at least legal or industry minimum standards.</p> <p>The Entity makes timely wage payments in legal tender and are fully documented.</p>
10.8a-c Working Time	Conformance	<p>The Entity has implemented time-card systems for hourly employees to ensure it complies with Applicable Law and industry standards on Working Time (including Overtime working hours), public holidays and paid annual leave.</p>
10.9a-b Informing Workers of Rights	Conformance	<p>The Entity has developed a Human Rights Policy that addresses a commitment to inform workers on their rights, and respecting Freedom of Association in accordance with national and international laws.</p> <p>A review was conducted of the current Human Rights Policy and site-specific Employee Handbooks. Discussions with Human Resources during the Audit confirmed employees are informed of their rights. These rights are detailed both in the employment contracts signed by all employees, and in the Collective Bargaining Contracts.</p>

11. OCCUPATIONAL HEALTH AND SAFETY

11.1a Occupational Health and Safety (OH&S) Management System	Conformance	<p>The Entity's Facilities have established and implemented Environmental, Health, and Safety (EHS) Management System including procedures and maintained the associated records for managing hazards and controlling risks, identifying, and complying with legal requirements, communicating, and engaging with employees, responding and reporting to incidents and emergency situations, training and measuring EHS performance.</p>
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Minor Non-Conformance	<p>The Entity maintains ISO Certifications, including ISO 9001, 14001 and 45001, and conducts regular management reviews that are disclosed with key Stakeholders. In addition, the Entity's sites are completing regular SMETA audits that are disclosed on the SEDEX platform.</p> <p>The Entity currently monitors both leading and lagging safety performance indicators for all locations, however, the Entity has not completed a comparative analysis nor disclosed performance indicators against industry peers.</p> <p>OH&S related disclosures are in the 2024 GRI Content Index, pages 31-34: https://www.ball.com/getattachment/318cdc87-5e97-4291-b42e-79bbad714665/GRI-REPORT-2024-March-Update.pdf</p>
11.2 Employee engagement on Health and Safety	Conformance	<p>The Entity has established processes for employees to participate and provide input specific to environmental or safety concerns. Employees communicate with management via Safety Committee meetings, Plant Safety Team participation, suggestion boxes, and regular shift meetings.</p>

ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable Law, or will avoid any Breach from occurring.

DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	30 January 2023	Initial Certification Audit - Full Certification
1	15 July 2025	Updated to remove the Ahmedabad (India) site following divestment of Ball Aerosol Packaging India; the site has been transferred to Certification 489.
2	17 April 2026	Re-Certification Audit and Scope Change - Full Certification; Scope Change to include Ball Aerosol Packaging Llinars del Vallès and Ball Aerosol Packaging Lummen.
