

# ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

# Henan Mingtai Al. Industrial Co., Ltd

CERTIFICATE NUMBER  
**549**

ASI STANDARD  
**PERFORMANCE  
STANDARD  
(V3.1 2023)**

CERTIFICATION LEVEL  
**FULL  
CERTIFICATION**

ASI ACCREDITED  
AUDITING FIRM  
**SGS-CSTC  
STANDARDS  
TECHNICAL SERVICES**

DATE OF ISSUE  
**17 APRIL 2026**

DATE OF EXPIRY  
**16 APRIL 2029**

CERTIFIED SINCE  
**17 APRIL 2026**

## AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H.', with a long horizontal line extending to the right.

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*Validity of this Certificate is subject to  
continued conformance with the  
applicable ASI Standard and can be  
verified at  
[www.aluminium-stewardship.org](http://www.aluminium-stewardship.org)*

## CERTIFICATION SCOPE

Manufacturing operations  
including Aluminium casting, hot  
rolling, cold rolling, precision  
finishing, and packaging processes,  
located in the Development Zone,  
Huiguozhen, Gongyi, Zhengzhou,  
Henan Province, China.

# AUDIT REPORT PERFORMANCE STANDARD

## OVERVIEW

MEMBER NAME	Henan Mingtai Al. Industrial Co., Ltd
ENTITY NAME	Henan Mingtai Al. Industrial Co., Ltd
CERTIFICATION SCOPE	Manufacturing operations including Aluminium casting, hot rolling, cold rolling, precision finishing, and packaging processes, located in the Development Zone, Huiguozen, Gongyi, Zhengzhou, Henan Province, China.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none"><li>Aluminium Re-melting/Refining</li><li>Casthouses</li><li>Semi-Fabrication</li></ul>
ASI STANDARD	Performance Standard V3.1
AUDIT TYPE	<ul style="list-style-type: none"><li>Initial Certification Audit</li></ul>
AUDIT FIRM	SGS-CSTC Standards Technical Services
AUDIT DATE	<ul style="list-style-type: none"><li>10 – 21 November 2025</li></ul>
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none"><li>31 January 2026</li></ul>
AUDIT SCOPE	<p>The Audit Scope included the manufacturing processes of casting, hot rolling, cold rolling, precision finishing, and packaging at Henan Mintai Al. Industrial Co., Ltd, located in Zhengzhou, Henan Province, China.</p> <p>Supply Chain Activities included in the Audit Scope:</p> <ul style="list-style-type: none"><li>Aluminium Re-melting/Refining</li><li>Casthouses</li><li>Semi-Fabrication</li></ul> <p>All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.</p>
AUDIT OUTCOME	<ul style="list-style-type: none"><li>Certification</li></ul>
AUDIT METHODOLOGY DECLARATION	<p>The Auditors confirm that:</p> <ul style="list-style-type: none"><li><input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.</li><li><input checked="" type="checkbox"/> The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.</li><li><input checked="" type="checkbox"/> The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.</li></ul>

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- The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
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CERTIFICATION PERIOD 17 April 2026 – 16 April 2029

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NEXT AUDIT TYPE Surveillance Audit

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NEXT AUDIT DATE 16 October 2027

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CERTIFICATE NUMBER 549

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If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

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## ENTITY OVERVIEW

Henan Mingtai Aluminum Industry Co., Ltd (the 'Entity') was established on 18 April 1997. It is located at the northwest corner of the intersection of National Highway 310 and Renwen Road, within the Advanced Manufacturing Development Zone of Huiguo Town, Gongyi City, Henan Province, China. The surrounding area contains no residential communities, schools, tourist attractions, or drinking water source protection zones, indicating a low level of environmental sensitivity. The Entity is approximately 30 kilometres from Luoyang and 80 kilometres from Zhengzhou. The straight-line distance to the nearest Protected Area, the Xiaoqinling National Nature Reserve, is approximately 150 kilometres.

The Entity occupies a total area of 250,000 square metres and employs 1,060 people, including 276 female employees. Its primary Products cover the full range of Aluminium sheet, strip, and foil from series 1 to series 8. These Products are supplied, either directly or indirectly, to enterprises such as Tesla, CATL, Muyuan Group, and BYD.

The Entity's production equipment includes seven melting & casting lines with an annual production capacity of 700,000 tonnes, a '1+4' hot tandem rolling production line with an annual production capacity of 600,000 tonnes, eight casting & rolling lines with an annual production capacity of 90,000 tonnes, two cold rolling lines with an annual production capacity of 100,000 tonnes. The Facility includes security checkpoints, office areas, a staff canteen, parking lots, a basketball court, a gymnasium, raw material warehouses, a testing centre, a sewage treatment station, fire hydrants and extinguishers and Waste warehouses.

The Entity has established an industrial internet intelligent platform. This platform encompasses nine functional modules: production management, material management, quality management, equipment management, visual management, mobile terminal applications, data acquisition & monitoring, system integration, and report management.

## MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of Systems, Residual Risk and Performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
<b>SYSTEMS</b>	Low	Medium	Low	LOW
<b>RISKS</b>	Low	High	Medium	MEDIUM
<b>PERFORMANCE</b>	Medium	Medium	Low	MEDIUM
<b>OVERALL</b>	<b>MEDIUM</b>			

## FINDINGS

CRITERION	RATING	COMMENT
<b>1. BUSINESS INTEGRITY</b>		
1.1 Legal Compliance	Conformance	The Entity has established a Laws and Regulations Management Procedure that defines responsibilities and outlines the methods, timing, and frequency for identifying and evaluating compliance with Environmental, Social, and Governance (ESG) laws and regulations. It also requires the preparation of a written compliance evaluation report and the identification of any changes in applicable legal requirements. The Entity assesses its compliance with these requirements annually.
1.2 Anti-Corruption	Conformance	The Entity has identified commercial Bribery as a high-risk area and established Policies and procedures that prohibit Bribery in all Business practices and transactions. Its Responsible Procurement Policy, which includes anti-Corruption provisions, is available at: <a href="https://www.hngymt.com//news/news/2004840692060393472.shtml">https://www.hngymt.com//news/news/2004840692060393472.shtml</a>  These anti-Bribery Policies and procedures have been communicated to, and are understood by, employees and others acting on behalf of the Entity. QR codes for complaints and reporting have been posted throughout the Facility under the 'Scan to Speak' initiative, allowing employees and relevant parties to access the complaint hotline via their mobile phones.
1.3a-e Code of Conduct	Conformance	The Entity has published the ASI Code of Conduct on its Headquarters website, available at: <a href="https://www.hngymt.com//news/news/2005447392480071680.shtml">https://www.hngymt.com//news/news/2005447392480071680.shtml</a>  The Codes of Conduct have established guidelines for the Entity's labour, health and safety, environmental, Business ethics, and governance performance. The Codes are required to be reviewed every five years, as well as whenever Business changes affect Material ESG risks or indicate a control gap.
<b>2. POLICY AND MANAGEMENT</b>		
2.1a-f Environmental, Social, and Governance Policy	Conformance	The Entity has developed and implemented an ESG Policy, available at: <a href="https://www.hngymt.com//news/news/2004841970769465344.shtml">https://www.hngymt.com//news/news/2004841970769465344.shtml</a>  The Policy covers environmental, social responsibility, and health and safety aspects and has been approved by the General Manager. The Entity has defined the relevant requirements.
2.2a-c Leadership	Conformance	The Entity has issued a Management Representative Appointment, approved by the General Manager. The Vice Production Manager has been designated as the senior ASI Management Representative, with overall responsibility and authority for ensuring conformance with the requirements of this Standard.
2.3a Environmental and Social Management Systems – Environmental	Conformance	The Entity has documented and implemented an Environmental Management System, which has been certified to ISO 14001:2015 by a third party. The most recent audit was conducted in July 2025, with no non-conformances identified. To date, there have been no fines or requests for corrective action from Government agencies or other Stakeholders.

CRITERION	RATING	COMMENT
2.3b Environmental and Social Management Systems – Social	Conformance	<p>The Entity has publicly disclosed its Social Responsibility Policy within its ESG Policy, available at: <a href="https://www.hngymt.com//news/news/2004841970769465344.shtml">https://www.hngymt.com//news/news/2004841970769465344.shtml</a></p> <p>The Entity has established a Social Management System, supported by a documented Social Management Manual and related procedures. The system operates in accordance with SA 8000:2014. The Entity conducts annual internal audits and management reviews against the SA8000 standard. To date, there have been no fines or requests for corrective actions from Government agencies or other Stakeholders.</p>
2.4a-e Responsible Sourcing	Conformance	<p>The Entity has established a sourcing management procedure in accordance with its Responsible Sourcing Policy. The Policy has been reviewed and is published on the Entity's Headquarters website at: <a href="https://www.hngymt.com//news/news/2004840692060393472.shtml">https://www.hngymt.com//news/news/2004840692060393472.shtml</a></p> <p>Based on the sourcing management procedure, the Responsible Sourcing Policy is required to be communicated to suppliers of raw and auxiliary materials and contractors. It covers environmental, social, and governance aspects for suppliers in conformance with the ASI Performance Standard.</p>
2.5a-g Environmental and Social Impact Assessments	Not Applicable	This Criterion is not applicable to the Entity, as there have been no New Projects or Major Changes since it became an ASI member.
2.6a-h Human Rights Impact Assessment	Not Applicable	This Criterion is not applicable to the Entity, as there have been no New Projects or Major Changes since it became an ASI member.
2.7a-f Emergency Response Plan	Minor Non-Conformance	<p>The Entity has developed Emergency Response Plans addressing molten Aluminium explosion risks, excessive discharge of domestic sewage, and emissions. The Entity has published its 2024 Emergency Plan for Sudden Environmental Incidents and its 2025 Emergency Drill Schedule, available at: <a href="https://www.hngymt.com//news/news/2004838167496232960.shtml">https://www.hngymt.com//news/news/2004838167496232960.shtml</a> and <a href="https://www.hngymt.com//news/news/2004838423428468736.shtml">https://www.hngymt.com//news/news/2004838423428468736.shtml</a></p> <p>All employees have been trained on the Emergency Response Plans, and drills are conducted regularly.</p> <p>The Entity has submitted its emergency plan to the local Ecology and Environment Bureau and the Emergency Management Centre. Apart from regulatory requirements from these authorities, no other Stakeholders have requested the plan.</p> <p>The publicly disclosed emergency plans, however, lack specific contingency measures.</p>
2.8a-d Suspended Operations	Conformance	The Entity has established systems for mergers, acquisitions, capital increases and reductions, closure, dissolution, and liquidation, including a Business resilience plan to address potential suspension or significant changes in operations due to external factors. The plan considers Material adverse ESG impacts. The Entity established a business resilience plan for 2024. To date, there have been no significant changes.
2.9a-b Mergers and Acquisitions	Conformance	The Entity has established systems for capital increases and reductions related to investments and acquisitions, including Due

CRITERION	RATING	COMMENT
		Diligence processes. To date, no mergers or acquisitions have occurred.
2.10a-b Closure, Decommissioning and Divestment	Conformance	The Entity has established a procedure for closure, decommissioning, and divestment in conformance with the requirements of the ASI Performance Standard. No such instance has occurred since the Entity joined in ASI.
<b>3. TRANSPARENCY</b>		
3.1a-b Sustainability Reporting	Minor Non-Conformance	<p>The Entity has disclosed its 2024 ESG report, available at: <a href="https://www.hngymt.com//news/news/2005451417019617280.shtml">https://www.hngymt.com//news/news/2005451417019617280.shtml</a></p> <p>The ESG report covers governance approaches to environmental, social, and economic impacts.</p> <p>The 2024 Greenhouse Gas (GHG) emissions data disclosed in the ESG report (Section 2, page 14), however, is not consistent with the third-party independently verified 2024 GHG emissions data.</p>
3.2 Non-compliance and Liabilities	Conformance	The Entity has established Information Disclosure Management Regulations, which define the procedural requirements for disclosing non-compliance information. Public credit information reports and NGO website searches indicate that the Entity has had no outstanding non-compliance penalties since joining ASI.
3.3a-c Payments to Governments	Conformance	<p>The Entity has established a Payment to Government Management Procedure, under which all payments to government authorities must be approved by the Chairperson and the Chief Financial Officer prior to execution. The Entity passed the 2024 financial audit conducted by external Certified Public Accountants and has disclosed screenshots of its 2024 tax payments in the Annual Statement of Payments to the Government, available at: <a href="https://www.cninfo.com.cn/new/disclosure/detail?plate=sse&amp;orgId=9900021432&amp;stockCode=601677&amp;announcementId=1223214680&amp;announcementTime=2025-04-23">https://www.cninfo.com.cn/new/disclosure/detail?plate=sse&amp;orgId=9900021432&amp;stockCode=601677&amp;announcementId=1223214680&amp;announcementTime=2025-04-23</a></p> <p>The financial audit results indicate that the Entity has not made any payments to the local Government other than those required under legal obligations.</p>
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	<p>The Entity has implemented an accessible, transparent, understandable, and culturally sensitive Complaints Resolution Mechanism that is adequate to address Stakeholder complaints, grievances, and information requests related to its operations, as detailed in the Complaints Management Control Procedure, available at: <a href="https://www.hngymt.com//news/news/2004836403904647168.shtml">https://www.hngymt.com//news/news/2004836403904647168.shtml</a></p>
<b>4. MATERIAL STEWARDSHIP</b>		
4.1a Environmental Life Cycle Assessment	Minor Non-Conformance	The Entity has developed the Life Cycle Assessment (LCA) of its major Aluminium Products using a cradle-to-gate approach, covering all stages from raw material acquisition and production processes to product transportation, use phase, and Waste disposal. Functional units are defined in each LCA report.

CRITERION	RATING	COMMENT
		<p>The Entity has calculated the environmental impact characteristics of one tonne of 3000 series Aluminium alloy Products, covering 11 environmental impact categories.</p> <p>The Greenhouse Gas (GHG) emissions factor for Primary Aluminium ingots used in the LCA report, however, is not considered appropriate for reflecting local conditions.</p>
4.1b-c Environmental Life Cycle Assessment - Disclosure	Conformance	<p>The system boundary of the LCA report for 3000 series Aluminium alloy Products disclosed by the Entity is cradle-to-gate (from resource extraction to factory gate delivery). It is divided into the raw material acquisition stage and the production stage. The production stage includes melting, casting, sawing, milling, heating, hot rolling, cold rolling, annealing, straightening, shearing, and product packaging processes, available in the LCA report, Section 3.3, page 6: <a href="https://www.hngymt.com/news/news/2005447792021082112.shtml">https://www.hngymt.com/news/news/2005447792021082112.shtml</a></p> <p>No customer has requested the Entity to provide LCA information for its Products.</p>
4.2 Product Design	Conformance	<p>The Entity has established a specification defining Product design principles, including lightweight design, increased use of Recycled Aluminium, improved Product recyclability, and restriction of hazardous substance levels. The specification sets targets such as achieving at least a 5% reduction in Product weight and ensuring that Recycled Aluminium content in newly developed Products reaches a minimum of 70%.</p>
4.3a-b Aluminium Process Scrap	Conformance	<p>Through process optimisation, the Entity has reduced the generation of Process Scrap. For instance, replacing Aluminium moulds with graphite moulds has reduced milling depth by 10 mm. Laser sorting equipment is used to efficiently classify and recycle Process Scrap into different grades. The Entity collects and stores Aluminium chips and Process Scrap separately by alloy grade and specification, records incoming weights, and calculates key metrics such as yield rates monthly. This has enabled 100% recovery of Process Scrap.</p>
4.4a-c Collection and Recycling of Products at End of Life - Material Conversion and other Manufacturing	Not Applicable	<p>This Criterion is not applicable to the Entity's Certification Scope.</p>
4.4d Collection and Recycling of Products at End of Life	Conformance	<p>The Entity has set a five-year development target (2024-2028) to increase the proportion of Recycled Aluminium used to 80% by 2028. This target has been disclosed on the Entity's website at: <a href="https://www.hngymt.com/news/news/2004844988906737664.shtml">https://www.hngymt.com/news/news/2004844988906737664.shtml</a></p> <p>Due to the absence of local or regional collection and recycling systems, the Entity has initiated a project to jointly establish a Post-Consumer Scrap recycling centre.</p>
<b>5. GREENHOUSE GAS EMISSIONS</b>		
5.1a-b Disclosure of GHG Emissions and Energy Use	Minor Non-Conformance	<p>The Entity disclosed its 2024 energy consumption by energy type, available at: <a href="https://www.hngymt.com/news/news/2035974354730356736.shtml">https://www.hngymt.com/news/news/2035974354730356736.shtml</a></p> <p>The Entity has disclosed its 2024 GHG emissions data for categories 1</p>

CRITERION	RATING	COMMENT
		<p>to 6 (covering Scopes 1, 2 and 3) in its GHG Emissions Reduction Targets, available at:  <a href="https://www.hngymt.com/news/news/2035975685608181760.shtml">https://www.hngymt.com/news/news/2035975685608181760.shtml</a></p> <p>These data have been verified by a third party prior to disclosure, available at:  <a href="https://www.hngymt.com/about-us/sustainable/#img-1">https://www.hngymt.com/about-us/sustainable/#img-1</a></p> <p>There is, however, an inconsistency between the total GHG emissions reported in the GHG Verification Statement and the total figure presented in the GHG Emission Reduction Targets.</p>
5.2a Aluminium Smelter GHG Emissions Intensity – Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity – In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a GHG Emissions Reduction Plans	Conformance	<p>The Entity has established a program to control its GHG emissions, including a GHG inventory, a GHG emissions reduction plan, and regular reviews of the program. The Entity has set GHG emissions reduction targets for the next five years and established a reduction pathway aligned with a 1.5°C warming scenario, available at:  <a href="https://www.hngymt.com/news/news/2035975685608181760.shtml">https://www.hngymt.com/news/news/2035975685608181760.shtml</a></p> <p>The GHG emissions reduction plan includes Intermediate Targets, with annual targets set for 2025–2029 based on 2024 emissions.</p>
5.3b-e GHG Emissions Reduction Plans – Targets, review and disclosure	Conformance	<p>The Entity has established a program to control its GHG emissions, including a GHG inventory, a GHG emissions reduction plan, and regular reviews of the program. The Entity has disclosed its GHG emissions reduction plan and pathway, available at:  <a href="https://www.hngymt.com/news/news/2035975685608181760.shtml">https://www.hngymt.com/news/news/2035975685608181760.shtml</a></p> <p>The reduction plan addresses all direct and indirect GHG emissions, and the GHG emissions reduction pathway is aligned with a 1.5°C warming scenario. The reduction targets include annual targets for 2025–2029, based on 2024 emissions.</p>
5.4 GHG Emissions Management	Conformance	<p>The Entity has established an Energy Management System in compliance with ISO 50001:2018 and a GHG Emissions Management Procedure to control its GHG emissions, including maintaining an emissions inventory, developing a GHG emissions reduction plan, and managing emissions. The Entity has set energy consumption targets, managed controllable direct and indirect GHG emissions, and minimised Scope 1 and Scope 2 emissions. The Entity also regularly accounts for and discloses its GHG emissions.</p>
<b>6. EMISSIONS, EFFLUENTS AND WASTE</b>		
6.1a-f Emissions to Air	Conformance	<p>The Entity has developed an exhaust gas emissions Management System that monitors the concentrations of atmospheric pollutants, including sulphur dioxide (SO<sub>2</sub>), particulate matter, non-methane total hydrocarbons (NMHC) annually, and nitrogen oxides (NO<sub>x</sub>) monthly. It sets annual targets for reducing these pollutants and evaluates</p>

CRITERION	RATING	COMMENT
		<p>progress toward those targets each year. A summary of air pollutant emissions is available at:  <a href="https://www.hngymt.com/news/news/2004837816953081856.shtml">https://www.hngymt.com/news/news/2004837816953081856.shtml</a></p> <p>The Entity has also disclosed its Air Pollutant Emissions Reduction Plan, available at:  <a href="https://www.hngymt.com/news/news/2004838894163595264.shtml">https://www.hngymt.com/news/news/2004838894163595264.shtml</a></p> <p>The Entity has developed an emissions management program, outlining requirements for managing atmospheric pollutant emissions, including a yearly-reviewed plan to minimise exposure to and impacts from air emissions. The Entity also has a monitoring plan as part of its Pollutant Discharge Permit. The pollutants monitored online were verified during the Audit.</p>
6.2a-g Discharges to Water	Conformance	<p>The Entity has developed a wastewater Management System that requires the online monitoring of water pollutants, including pH, chemical oxygen demand (COD), ammonia nitrogen (NH<sub>3</sub>-N), total phosphorus (TP), and total nitrogen (TN), with petroleum hydrocarbons monitored quarterly. It has established targets for reducing water pollutants and evaluates progress toward these targets annually. A summary of water pollutant emissions is available at:  <a href="https://www.hngymt.com/news/news/2005444785502031872.shtml">https://www.hngymt.com/news/news/2005444785502031872.shtml</a></p> <p>Additionally, the Entity has disclosed its Water Pollutant Emission Reduction Plan, available at:  <a href="https://www.hngymt.com/news/news/2004838894163595264.shtml">https://www.hngymt.com/news/news/2004838894163595264.shtml</a></p> <p>The Entity has developed a wastewater management program, specifying requirements for managing wastewater sources, sewage treatment measures, and the operation of sewage treatment plants, with key monitoring items reviewed annually. The Entity also has a monitoring plan as part of its Pollutant Discharge Permit. The pollutants monitored online were verified during the Audit.</p>
6.3a-g Assessment and Management of Spills and Leakages	Conformance	<p>The Entity has established a Spills and Leakage Management Procedure, which requires the identification and triennial assessment of risk sources that could potentially cause Spills and Leakages. Based on the risk assessment results, emergency plans have been developed, drills conducted, and their effectiveness evaluated. The Entity has disclosed its management plans, available at:  <a href="https://www.hngymt.com/news/news/2005453184021172224.shtml">https://www.hngymt.com/news/news/2005453184021172224.shtml</a></p>
6.4a-b Public Disclosure of Spills and Leakages	Conformance	<p>The Entity has established a Spills and Leakages Management Procedure, along with an external communication plan that outlines the process for communicating with and disclosing information to relevant parties following a Spill or Leakage event. Since its establishment, no such instance has occurred.</p>
6.5a-c Waste Management and Reporting	Conformance	<p>The Entity has developed Waste Management and Control Procedures to ensure proper management of Waste collection, storage, transportation, utilisation, and disposal. The procedures require that solid Waste disposal methods adhere to the Waste mitigation hierarchy. Prior to constructing New Projects, the Entity has conducted risk assessments to evaluate the environmental impact of potential solid Waste generation.</p> <p>The Entity follows the Hazardous Waste Management Plan to ensure all pollutants are treated in Compliance with relevant national laws and</p>

CRITERION	RATING	COMMENT
		<p>regulations. Wastes such as Aluminium Dross, dust removal ash, Waste emulsion, and Waste sludge are generated during the Entity's production processes. The Entity's Hazardous Waste ledger and transfer manifest were verified during the Audit. The Entity has disclosed the quantity of solid Waste generated and disposed of in 2024, available at:  <a href="https://www.hngymt.com//news/news/2004839550140157952.shtml">https://www.hngymt.com//news/news/2004839550140157952.shtml</a></p>
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Conformance	<p>The Entity has developed a Prevention and Control of Waste Pollution Procedure, which specifies the storage and management of Aluminium Dross and requires the annual review of alternative options to landfill Dross residues. An Aluminium Dross warehouse has been constructed in accordance with design specifications for Hazardous Waste storage Facilities. The Entity collects and temporarily stores generated Aluminium Dross in this warehouse, maintaining detailed in/out ledgers. Once a sufficient quantity is accumulated, the Dross is transferred to a licensed Hazardous Waste disposal company for treatment.</p> <p>The Entity has reported disposal information on the national Hazardous Waste management platform, including Facility details, receiving and transportation company information, and the type and quantity of Hazardous Waste transferred, generating a Hazardous Waste transfer consignment note. On-site observations during the Audit confirmed that rainproof, moisture-proof, sun-proof, and anti-leakage measures have been implemented for the Aluminium Dross storage Facilities.</p> <p>The Entity conducts annual Due Diligence on contractors handling Aluminium Dross, and the residual slag after Aluminium recovery is recycled into the building materials manufacturing process.</p>
<b>7. WATER STEWARDSHIP</b>		
7.1a-b Water Assessment and Disclosure	Minor Non-Conformance	<p>The Entity has disclosed its water withdrawal and use by source and type, available at:  <a href="https://www.hngymt.com//news/news/2005452814385549312.shtml">https://www.hngymt.com//news/news/2005452814385549312.shtml</a></p> <p>The Entity has identified and assessed its water resource risk as low, as detailed in its Risk Assessment of Water Resources, available at:  <a href="https://www.hngymt.com/news/news/2004837407060529152.shtml">https://www.hngymt.com/news/news/2004837407060529152.shtml</a></p> <p>The 2024 Water Resources Risk Assessment, however, lacks evidence of water balance testing and calibration of water monitoring instruments.</p>
7.2a-e Water Management	Minor Non-Conformance	<p>The Entity has established water resource management measures and set targets for water consumption per unit of Product. It conducts monthly statistics and performance evaluations of water conservation across all departments. As a major planned water user in Gongyi City, the Entity formulated a water resource management plan, which is disclosed at:  <a href="https://www.hngymt.com//news/news/2005452713353154560.shtml">https://www.hngymt.com//news/news/2005452713353154560.shtml</a></p>

CRITERION	RATING	COMMENT
		The Entity, however, has not conducted water balance testing since 2020 and has not provided water metre calibration certificates.
<b>8. BIODIVERSITY AND ECOSYSTEM SERVICES</b>		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Conformance	The Entity conducted a Biodiversity assessment covering the scope of its direct operations, using the Integrated Biodiversity Assessment Tool (IBAT) in 2024. The results show that no Ecosystem Service systems were identified within the Entity's Area of Influence. The Entity is located within an industrial park established by the local Government. The environmental impact assessment report indicates that there are no protected flora or fauna in the area. The risks and potential impacts on physical Biodiversity and Ecosystem Services are assessed as low. The Entity has publicly disclosed its 2024 Biodiversity and Ecosystem Services Assessment Report, available at: <a href="https://www.hngymt.com//news/news/2004835286625947648.shtml">https://www.hngymt.com//news/news/2004835286625947648.shtml</a>
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity, as the Biodiversity assessment did not identify significant risk or impact on Biodiversity or Ecosystem Services.
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable to the Entity, as the Biodiversity assessment did not identify significant risk or impact on Biodiversity or Ecosystem Services.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity, as no Priority Ecosystem Services have been identified.
8.4 Alien Species	Conformance	The Entity has developed a supporting Biodiversity procedure to prevent and control invasive Alien Species. The Entity has assessed regional Biodiversity and determined the risk of Alien Species to be low. Interviews with personnel in the Administrative Department responsible for greening outsourcing confirmed that the Entity verifies that procured plants are not listed as Alien Species prior to purchase.
8.5a-b Commitment to "No Go" in World Heritage Properties	Conformance	The Entity has developed a Non-Entry Commitment Letter for Heritage Sites, available at: <a href="https://www.hngymt.com//news/news/2005449132159930368.shtml">https://www.hngymt.com//news/news/2005449132159930368.shtml</a>  The Entity has committed not to conduct exploration or construct New Projects within Protected Areas, including World Heritage Properties. The Environmental Impact Assessment Report and Biodiversity Assessment Report indicate that the Entity is not located within any World Heritage Properties, and no Protected Areas have been identified in its vicinity.
8.6a-d Protected Areas	Conformance	The Entity has developed a Non-Entry into Protected Areas Pledge, available at: <a href="https://www.hngymt.com//news/news/2004833461503922176.shtml">https://www.hngymt.com//news/news/2004833461503922176.shtml</a>  The Entity has committed not to conduct exploration or construct New Projects within protected areas, including World Heritage Properties. The Environmental Impact Assessment Report and Biodiversity Assessment Report indicate that the Entity is not located within any World Heritage Properties, and no Protected Areas have been identified in its vicinity.

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8.6e Protected Areas - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
<b>9. HUMAN RIGHTS</b>		
9.1a-d Human Rights Due Diligence	Minor Non-Conformance	<p>The Entity has established procedures for Human Rights Due Diligence risk assessment and has published a Human Rights Policy Commitment, available at: <a href="https://www.hngymt.com/news/news/2004837035742990336.shtml">https://www.hngymt.com/news/news/2004837035742990336.shtml</a></p> <p>The Policy commits to respecting Human Rights, prohibiting Forced Labour, preventing Violence and Harassment, ensuring fairness and impartiality, and maintaining Compliance in its operations. The Entity has also established guidelines for identifying and assessing Human Rights risks and requires annual Human Rights Due Diligence.</p> <p>A review of the 2024 Human Rights Due Diligence Record and Statistical Report, covering internal employees and surrounding communities, however, shows that suppliers are excluded from the scope.</p>
9.2a-e Gender Equity and Women's Empowerment	Conformance	<p>The Entity has established a Gender Equality and Women's Empowerment Management System to promote gender equality in employment practices, training opportunities, resource allocation, participation processes, and management activities. It has also implemented special labour protection requirements for female employees during pregnancy, childbirth, and lactation, and developed a list of prohibited labour activities for female employees in Compliance with the Special Provisions on Labor Protection for Female Employees.</p> <p>In addition, the Entity has established an Anti-Harassment Control Procedure that defines Harassment behaviours and sets out a reporting mechanism, including requirements for report content, investigation and acceptance procedures, and investigation protocols.</p> <p>The Entity has disclosed its achievements in promoting gender equality, available at: <a href="https://www.hngymt.com/news/news/2035973787366854656.shtml">https://www.hngymt.com/news/news/2035973787366854656.shtml</a></p> <p>There are no instances of gender inequality or violations of women's empowerment within the Entity.</p>
9.3a-i Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples within the Entity's Area of Influence.
9.4a Free, Prior, and Informed Consent (FPIC) - New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples within the Entity's Area of Influence.
9.4b Free, Prior, and Informed Consent (FPIC) - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples within the Entity's Area of Influence.

CRITERION	RATING	COMMENT
9.4c Free, Prior, and Informed Consent (FPIC) - Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.5a Cultural and Sacred Heritage – Identification	Conformance	<p>The Entity is located in the Gongyi Industrial Cluster Zone in Henan Province, which was planned by the local Government. Following identification and assessment, no sacred or cultural heritage sites were identified within a five-kilometre radius of the Entity. There are no Indigenous Peoples within the Entity's Area of Influence.</p> <p>The Entity has mapped and compiled lists of potentially Affected Populations and Organisations. Based on the assessment, either no surrounding community residential areas or sensitive populations are present near the Facilities, or the potential impact is considered extremely low.</p>
9.5b Cultural and Sacred Heritage – Impacts	Not Applicable	This Criterion is not applicable to the Entity, as there are neither Indigenous People, nor cultural heritage sites and religious sanctuaries within the Entity's Area of Influence.
9.6a-i Displacement	Not Applicable	<p>This Criterion is not applicable to the Entity, as no land acquisition, relocation, or Resettlement activities have occurred since its establishment.</p> <p>The Entity, however, has developed a Resettlement Management Program, designating the Department of Government Affairs as responsible for the end-to-end process, including land acquisition approval, public notice and registration, property rights investigation, compensation planning, and agreement signing. The procedure prioritises avoiding involuntary Resettlement and, where unavoidable, requires the development of a Resettlement plan in collaboration with relevant Stakeholders in compliance with IFC Performance Standard No. 5.</p>
9.7a-h Affected Populations and Organisations	Conformance	This Entity has established a Human Right Due Diligence process to assess the presence of potentially Affected Populations and Organisations, and has also mapped and compiled relevant Stakeholder list. Based on the results of these assessments, no nearby residential areas or other sensitive populations surrounding the Facilities have been identified that result in low potential impact from the Entity's operations.
9.8a Conflict-Affected and High-Risk Areas - Strong management systems	Conformance	<p>The Entity has established an integrated Management System covering quality, environmental, Occupational Health and Safety, energy, and social responsibility, in Compliance with ISO 9001:2015, ISO 14001:2015, ISO 45001:2018, and ISO 50001:2018. The Entity has developed a Conflict-Affected and High-Risk Areas (CAHRAs) Due Diligence Management Procedure. The supply chain has also been incorporated into the Management System through a Responsible Procurement Policy, a conflict minerals and conflict-affected areas risk control procedure, and annual supplier Due Diligence.</p> <p>The Entity has published a Supply Chain Complaint Mechanism within its Internal and External Stakeholder Complaint and Appeal System, available at:  <a href="https://www.hngymt.com//news/news/2004836403904647168.shtml">https://www.hngymt.com//news/news/2004836403904647168.shtml</a></p>

CRITERION	RATING	COMMENT
9.8b Conflict-Affected and High-Risk Areas - Identify and assess risks	Conformance	The Entity has identified risks at three levels—country, company, and commodity, using tools such as conflict minerals questionnaires, Know Your Customer (KYC) forms, and supply chain traceability documents, and has established clear criteria for identifying ‘red flag’ warning signs. In 2025, it completed desktop assessments for six suppliers. Multi-dimensional risk evaluations were conducted for regions including Guinea, with no conflict-related risks identified.
9.8c Conflict-Affected and High-Risk Areas - Strategy to respond to risks	Not Applicable	This Criterion is not applicable to the Entity, as no actual or potential risks have been identified.
9.8d Conflict-Affected and High-Risk Areas - Audit of due diligence	Conformance	The Entity’s Due Diligence processes were included in the scope of this ASI Performance Standard Certification Audit and address this requirement.
9.8e Conflict-Affected and High-Risk Areas - Report annually	Conformance	The Entity has established a CAHRA Due Diligence Management Procedure, which requires the identification of CAHRAs in relation to Aluminium material suppliers during supplier Due Diligence. An annual supply chain Due Diligence report is available at: <a href="https://www.hngymt.com//news/news/2005449823913906176.shtml">https://www.hngymt.com//news/news/2005449823913906176.shtml</a>  The Entity has procured Aluminium materials exclusively within China and is not exposed to CAHRAs.
9.9 Security practice	Conformance	The Entity has implemented security practices that respect Human Rights. To date, no Human Rights violations related to security operations have been reported.
<b>10. LABOUR RIGHTS</b>		
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	This Criterion is not applicable to the Entity, as the right to Freedom of Association and Collective Bargaining is addressed in accordance with Chinese Applicable Law.
10.1d Freedom of Association and Right to Collective Bargaining - Alternative means in context of Applicable Law	Conformance	The Entity has established a Labour Union, and all employees are members. The Union’s constitution outlines the election process for Union representatives and members’ rights.
10.2a-c Child Labour	Conformance	The Entity has established a Personnel Management System, aligned with ILO Conventions C138 and C182 on Child Labour. These conventions stipulate that individuals under 16 may not be employed, and that underage Workers must not be engaged in hazardous work. Discussions with the Administration Manager and Human Resources (HR) staff confirmed that the Entity’s youngest employee was hired at 19 years old, which complies with applicable requirements.
10.3a-c Forced Labour	Conformance	The Entity has published a Modern Slavery Statement as part of its commitment to ethical Business practices and respect for Human Rights, ensuring that modern slavery is not occurring within its operations or supply chain. The Statement is available at: <a href="https://www.hngymt.com//news/news/2004835053191958528.shtml">https://www.hngymt.com//news/news/2004835053191958528.shtml</a>  The Entity has developed a comprehensive set of responsible Business conduct Policies, covering modern slavery, Forced Labour, and Human

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		<p>Trafficking. These Policies ensure that the Entity operates with integrity and respect for Human Rights at all levels. Interviews with employees across all levels, including management and operators, confirmed that nobody is forced to work for the Entity. The Entity is not involved in Forced Labour, does not retain employees' personal belongings, and does not require lodging deposits or security payments.</p>
10.4a-c Non-Discrimination	Conformance	<p>The Entity's Human Rights Policy and Code of Conduct stipulate that personnel must be recruited without Discrimination based on ethnicity, nationality, party affiliation, gender, race, political opinion, sexual orientation, marital status, family responsibilities, age, or other characteristics that could give rise to Discrimination. An Anti-Discrimination Control Procedure has also been established, explicitly prohibiting discriminatory practices based on gender, race, religion, and other factors across key areas including recruitment, compensation, training, promotion, and termination. Female employees are granted the same rights as male employees with regard to promotion, career development, and welfare benefits, with additional protections where applicable. The Entity also provides Human Rights education and training for employees. Interviews with employees at all levels, including management and operators, confirmed that there were no involuntary dismissals, and all departures were voluntary.</p>
10.5 Communication and engagement	Conformance	<p>Employees have multiple channels for communication and engagement regarding working conditions, as well as for resolving workplace and compensation-related issues. A transparent helpline is available at:  <a href="https://www.hngymt.com//news/news/2004836403904647168.shtml">https://www.hngymt.com//news/news/2004836403904647168.shtml</a></p> <p>These channels also include a fixed telephone line, an online message function on the official website, and QR codes labelled 'Scan to Report.' The Entity is committed to enabling employees to confidentially report concerns or potential Policy violations, ensuring their voices are heard.</p> <p>During the Audit, the complaint hotline was tested on-site and responded to appropriately. Interviews with employees at all levels, including management and operators, confirmed that there are no communication barriers within the Entity.</p>
10.6a-g Violence and Harassment	Conformance	<p>The Entity has developed a Human Rights Policy including a commitment to preventing Violence and Harassment in the workplace. The Policy is available at:  <a href="https://www.hngymt.com//news/news/2004837035742990336.shtml">https://www.hngymt.com//news/news/2004837035742990336.shtml</a></p> <p>The Entity has established Violence and Harassment Management Regulations, which explicitly prohibit inappropriate behaviours such as corporal punishment and verbal abuse, and require that the regulations be posted or otherwise always made readily accessible. In addition, employee representative communication channels, suggestion boxes, and other Grievance Mechanisms have been established.</p> <p>Through risk identification and rating forms, as well as social responsibility risk assessments, the Entity has identified seven categories of risks, including unreasonable disciplinary practices, violent threats, and sexual harassment, and has defined corresponding prevention and control measures for high and very high-risk levels. No related violations have been identified. All staff have received training on Violence and Harassment prevention.</p>

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		Interviews with employees at all levels, including management and operators, confirmed that no instances of Violence and Harassment have occurred within the Entity.
10.7a-c Remuneration	Conformance	The Entity has established procedures for working hours, payroll, and benefits administration, as well as an employee handbook, to ensure wages are paid on time, in legal tender, and are fully documented. Overtime compensation is calculated in accordance with regulatory requirements. Employee interviews indicate general satisfaction with salary levels.
10.8a-c Working Time	Minor Non-Conformance	<p>The Entity has developed an Employee Handbook and Work Time Management Program to regulate working hours. The HR Management System, including attendance records, was reviewed. Some frontline operators work in three shifts, while other employees work one shift. The review of attendance records from December 2024 to November 2025 indicates an average of eight working hours per day, with Overtime generally below 36 hours per month. Overall, the Entity's working time management meets the requirement of an average eight-hour workday over a six-month period.</p> <p>The 2025 attendance records, however, only show attendance dates and cumulative days worked, without detailed records of actual working hours. During the on-site Audit, it was also observed that security guards operate on a two-shift system. A security guard reported having only two rest days in October, which does not comply with relevant requirements of China's Labour Law.</p>
10.9a-b Informing Workers of Rights	Conformance	<p>The Entity communicates employees' rights through labour contract signings and the employee handbook. Pre-job training is provided to new employees, including Human Rights training. Social responsibility training is also conducted for employees and covers topics such as lawful Freedom of Association.</p> <p>Interviews with employees at all levels, including management and operators, confirmed awareness of the rights to Freedom of Association and Collective Bargaining, with no indication of punishment or retaliation for exercising these rights.</p>
<b>11. OCCUPATIONAL HEALTH AND SAFETY</b>		
11.1a Occupational Health and Safety (OH&S) Management System	Minor Non-Conformance	<p>The Entity has established an Occupational Health and Safety (OH&amp;S) Integrated Management System and obtained ISO 45001:2018 Certification issued by a third party. Internal audits and management reviews of the system are conducted annually.</p> <p>During the on-site Audit, however, it was found that the Entity had not publicly displayed the results of occupational hazard testing at the site, as required by Clause 24 of the Law of China on the Prevention and Control of Occupational Diseases.</p>
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Conformance	<p>The Entity has publicly disclosed its 2024 OH&amp;S Management Performance Analysis Report, available at: <a href="https://www.hngymt.com//news/news/2005453319841124352.shtml">https://www.hngymt.com//news/news/2005453319841124352.shtml</a></p> <p>The report outlines the performance objectives of the OH&amp;S Management System, including both lagging and leading indicators,</p>

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		all of which have been achieved. Internal audits and management reviews of the system are conducted annually.
11.2 Employee engagement on Health and Safety	Conformance	<p>The Entity has established a Health and Safety Committee that meets quarterly to discuss major safety risks and develop solutions. Additionally, the Entity holds an annual Workers' Congress to elect employee representatives, including non-management personnel from the workshop. These representatives regularly participate in on-site near-miss observations and are involved in addressing safety hazards.</p> <p>Production employees can immediately report potential safety hazards to the Environmental, Health, and Safety (EHS) Department by scanning the 'Scan to Report' QR code posted on-site.</p>

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#### DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	17 April 2026	Initial Certification Audit – Full Certification