

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

Ball Beverage Packaging EMEA (Europe, Middle East and Africa)

CERTIFICATE NUMBER

52

ASI STANDARD

PERFORMANCE
STANDARD
(V3.1 2023)

CERTIFICATION LEVEL

FULL
CERTIFICATION

ASI ACCREDITED
AUDITING FIRM

TÜV RHEINLAND
CERT GMBH

DATE OF ISSUE

13 DECEMBER 2025

DATE OF EXPIRY

12 DECEMBER 2028

CERTIFIED SINCE

13 DECEMBER 2019

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. Hall', with a long horizontal line extending to the right.

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Validity of this Certificate is subject to continued conformance with the applicable ASI Standard and can be verified at:

www.aluminium-stewardship.org

CERTIFICATION SCOPE

Manufacturing of beverage can bodies at facilities in Austria (Ludesch), Czechia (Ejpovice and Pilsen), Denmark (Fredericia), Egypt (Cairo), Finland (Mantsala), France (Bierne), Germany (Gelsenkirchen), Italy (Nogara), Serbia (Belgrade), Spain (Cabanillas del Campo and La Selva), Sweden (Fosie), Switzerland (Widnau), Turkey (Manisa) and United Kingdom (Kettering, Milton Keynes and Wakefield).

Manufacturing of beverage can ends at facilities in France (Mont), Ireland (Waterford) and Poland (Lublin).

Manufacturing of beverage can bodies and ends at facilities in India (Taloja and Sri City).

Administrative activities in facilities in United Kingdom (Headquarters in Luton).

AUDIT REPORT PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	Ball Corporation
ENTITY NAME	Ball Beverage Packaging EMEA (Europe, Middle East and Africa)
CERTIFICATION SCOPE	<p>Manufacturing of beverage can bodies at facilities in Austria (Ludesch), Czechia (Ejpvovice and Pilsen), Denmark (Fredericia), Egypt (Cairo), Finland (Mantsala), France (Bierne), Germany (Gelsenkirchen), Italy (Nogara), Serbia (Belgrade), Spain (Cabanillas del Campo and La Selva), Sweden (Fosie), Switzerland (Widnau), Turkey (Manisa) and United Kingdom (Kettering, Milton Keynes and Wakefield).</p> <p>Manufacturing of beverage can ends at facilities in France (Mont), Ireland (Waterford) and Poland (Lublin).</p> <p>Manufacturing of beverage can bodies and ends at facilities in India (Taloja and Sri City).</p> <p>Administrative activities in facilities in United Kingdom (Headquarters in Luton).</p>
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">Material Conversion
ASI STANDARD	Performance Standard V3.1
AUDIT TYPE	<ul style="list-style-type: none">Initial Certification Audit (18 August – 4 October 2019)Re-Certification Audit and Scope Change (18 – 27 January 2023)Re-Certification Audit and Scope Change (16 September 2025 – 27 March 2026)
AUDIT FIRM	TÜV Rheinland Cert GmbH
AUDIT DATE	<ul style="list-style-type: none">18 August – 04 October 2019 (Initial Certification Audit)18 – 27 January 2023 (Re-Certification Audit and Scope Change)16 September 2025 – 27 March 2026 (Re-Certification Audit and Scope Change)
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">19 November 2019 (Initial Certification Audit)26 May 2023 (Re-Certification Audit and Scope Change)28 March 2026 (Re-Certification Audit and Scope Change)
AUDIT SCOPE	<p><u>Initial Certification Audit (18 August – 4 October 2019)</u></p> <p>The scope of the Certification Audit covers Ball Beverage Packaging EMEA (Europe, Middle East and Africa) at the Head Office Luton with site visits to Ball Beverage Packaging Belgrade (Serbia), Ball Beverage Packaging Gelsenkirchen (Germany), Ball Beverage Packaging Ludesch (Austria), Ball Beverage Packaging Nogara (Italy) and Ball Beverage Packaging Waterford (Ireland).</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">Material Conversion (Production and Transformation)

All applicable criteria in the ASI Performance Standard were included in the Audit Scope.

Re-Certification Audit and Scope Change (18 – 27 January 2023)

The Audit Scope covers Ball Beverage Packaging EMEA (Europe, Middle East and Africa) at the Head Office Luton with site visits to facilities located at Milton Keynes and Wakefield (United Kingdom), Lublin (Poland), Manisa (Turkey), Widnau (Switzerland) and the Technical Centre located at Tongwell (United Kingdom).

Supply chain activities included in the Audit Scope:

- Material Conversion (Production and Transformation)

All applicable criteria in the ASI Performance Standard were included in the Audit Scope.

Re-Certification Audit and Scope Change (16 September 2025 – 27 March 2026)

The Audit Scope used the ASI multi-site sampling approach which covers Ball Beverage Packaging EMEA (Europe, Middle East and Africa) at the Head Office Luton with site visits to facilities located at India (Sri City), United Kingdom (Kettering), Spain (La Selva), Finland (Mantsala), France (Mont), Ball and Czechia (Pilsen).

Supply chain activities included in the Audit Scope:

- Material Conversion

All relevant criteria in the ASI Performance Standard were included in the Audit Scope.

AUDIT OUTCOME

- Certification

AUDIT METHODOLOGY
DECLARATION

The Auditors confirm that:

- The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
- The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
- The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
- The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

CERTIFICATION PERIOD

13 December 2025 – 12 December 2028

NEXT AUDIT TYPE

Surveillance Audit

NEXT AUDIT DATE

13 June 2027

CERTIFICATE NUMBER

52



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Ball Corporation produces sustainable Aluminium packaging solutions, serving customers in the beverage, personal care and household products industries. The company employs approximately 16,000 people across more than 70 manufacturing plants and facilities worldwide, including 27 plants in the EMEA region (Europe, the Middle East and Africa). In 2025, Ball reported net sales of \$13.16 billion, with Ball Beverage Packaging EMEA contributing \$3.98 billion. For more information, visit [Ball.com](https://www.ball.com) and connect with us on [Ball Corporation | LinkedIn](#).

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	Medium	Medium	Medium	MEDIUM
RISKS	High	High	High	HIGH
PERFORMANCE	Medium	High	Medium	MEDIUM
OVERALL				MEDIUM

FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Minor Non-Conformance	<p>The Entity is part of the Ball Corporation. The Entity and the Group have systems, processes, and procedures in place to ensure awareness of and Compliance with Applicable Law and other binding obligations.</p> <p>All production sites (except at the time of the Audit, the new sites Kettering and Pilsen) are certified against ISO 14001 (environment) and ISO 45001 (occupational health & safety) standards. Ensuring compliance is a shared responsibility between central functions and the local sites.</p> <p>At the time of the Audit, the sites in Pilsen (UK) and Mantsala (Finland) however did not provide a site-specific documented process to ensure legal compliance.</p>
1.2 Anti-Corruption	Conformance	<p>The Entity has established and implemented a system to eliminate Corruption and Bribery in all its forms. A Business Ethics Code of Conduct has been issued and communicated within the Entity and made publicly available. It has been translated into several languages and is available at: https://www.ball.com/codeofconduct</p> <p>Compliance hotlines are communicated on notice boards, the website and in the Code of Conduct, available at: https://www.ball.com/our-company/code-of-conduct</p>
1.3a-e Code of Conduct	Conformance	<p>As part of Ball Corporation, the Entity has issued and communicated the Ball Business Ethics Code of Conduct, which has been globally implemented. It is translated in several languages and is available at: https://www.ball.com/codeofconduct</p> <p>Employees receive training on the Code and suppliers are requested to sign and adhere to the Code.</p>
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Conformance	<p>As part of Ball Corporation, the Entity has a set of global and regional policies, addressing governance, environmental and social aspects. While most policies are only available internally, policies which are publicly available include the Human Rights, Child and Forced Labour, Business Ethics Code of Conduct, Prohibition of Discrimination, Harassment, & Retaliation, and the Global Supplier Code of Conduct. These documents are available at: https://www.ball.com/sustainability/social-impact/talent-development/human-rights</p> <p>The Entity has a commitment to the implementation of its environmental, social and governance Policy. Management Systems are implemented, and the necessary resources are provided to effectively implement these policies. Policies are regularly reviewed.</p>
2.2a-c Leadership	Minor Non-Conformance	<p>The Vice President for Operations has overall responsibility for the Entity's certification according to the ASI Performance Standard, who is supported by a regional ASI team, local responsibilities are defined on plant level.</p> <p>For one Facility (Mont) however, via interviews with management undertaken during the Audit noted that the only employees whom</p>

CRITERION	RATING	COMMENT
		had received information on the ASI Standards were Quality and Human Resources managers, but neither the Plant Manager nor the EH&S managers or the Supply Chain Manager had received any information or training related to the ASI Standards.
2.3a Environmental and Social Management Systems – Environmental	Minor Non-Conformance	<p>The Entity's Facilities production sites (except the new sites at Kettering and Pilsen) are certified to the ISO 14001 Environmental Management System (EMS) Standard.</p> <p>The Environmental Management System is not yet fully effective however, as two elements are absent at the Pilsen (UK) site including a documented action plan to achieve the environmental targets and periodic internal audits.</p>
2.3b Environmental and Social Management Systems – Social	Minor Non-Conformance	<p>The Entity has implemented a Social Management System. Ball Corporation is member of SEDEX (Supplier Ethical Data Exchange) and (except at the time of the audit, the newly acquired sites at Kettering and Pilsen) the Entity have its sites audited against the SMETA standard. These sites are certified against ISO 45001 (Occupational Health & Safety Management System).</p> <p>The management system framework is absent at most Facilities however, that would specify and describe the elements, including policies, targets, action plans, responsibilities, processes, audits, and management reviews.</p>
2.4a-e Responsible Sourcing	Minor Non-Conformance	<p>As part of the Ball Corporation, the Entity has issued its Global Supplier Code of Conduct(https://www.ball.com/getmedia/c7a1cfb9-1532-4a41-9cad-6af6e69e4be5/Supplier-Code-of-Conduct_Final_Nov2022.pdf) and supplier guiding principles (https://www.ball.com/getattachment/92088cce-5835-4cf6-ae0-4e8504d32f42/Supplier-Guiding-Principles-and-other-exhibits.pdf?lang=en-001) to which all suppliers must adhere.</p> <p>All suppliers are managed centrally are evaluated on their overall performance, including environmental, social, and governance (ESG) risk aspects.</p> <p>For locally sourced materials and services in one Facility, it was not demonstrated that the same rigour was applied. Including that local suppliers are also requested to adhere to the Business Ethics Code of Conduct and supplier guiding principles, but the systematic assessment and evaluation regarding ESG aspects were not documented.</p>
2.5a-g Environmental and Social Impact Assessments	Not Applicable	<p>This Criterion is not applicable to the Entity as since the previous audit, there were no projects or Major Changes to existing sites.</p> <p>The Entity has a procedure in place specifying how to manage large projects. The Entity assesses cultural and Human Rights impacts according to legal requirements.</p>
2.6a-h Human Rights Impact Assessment	Not Applicable	<p>This Criterion is not applicable to the Entity as since the previous audit, there were no projects or Major Changes to existing sites.</p> <p>The Entity has a procedure in place specifying how to manage large projects. The Entity assesses cultural and Human Rights impacts according to legal requirements.</p>

CRITERION	RATING	COMMENT
2.7a-f Emergency Response Plan	Minor Non-Conformance	<p>Site-specific emergency response plans are in place at all sites. They are developed with stakeholder groups including employees and external experts or, where applicable, in discussion and coordination with local authorities. The plans are available for relevant Stakeholders (e.g., authorities) on request.</p> <p>For the Mantsala (Finland) Facility however, evacuation drills were not conducted within adequate time periods and records were not available.</p>
2.8a-d Suspended Operations	Conformance	The Entity has established a business contingency plan, and sites have implemented business continuity plans, and risk assessments are undertaken to identify risks (e.g., increasing energy prices).
2.9a-b Mergers and Acquisitions	Conformance	<p>A process exists for mergers and acquisitions. As part of the Due Diligence process, ESG issues are reviewed. The Entity has not been engaged in mergers or acquisitions since the previous certification audit.</p> <p>Two new sites have recently been acquired (Kettering and Pilsen). For these projects, Impact Assessments were demonstrated as appropriate. Both sites are part of much larger industrial parks which underwent an approval process that included ESG considerations.</p> <p>The social aspects of the site selection and construction of the plants were limited to topics which have been identified as Material, such as personnel issues, diversity, and cost of living.</p>
2.10a-b Closure, Decommissioning and Divestment	Conformance	<p>ESG issues are included in the process of closure, decommissioning, and divestment of plants. This is both required by local law and internal procedures. Environmental surveys are carried out to identify any environmental issues that must be handled, employees are supported through severance agreements and transfer agencies, and machinery is transported to other plants for further use.</p> <p>Since the previous Audit, the Damman production site (Saudi Arabia) has been sold to majority owner and the laboratory in Tongwell (UK) has been relocated to Luton and Kettering (UK).</p>
3. TRANSPARENCY		
3.1a-b Sustainability Reporting	Conformance	<p>The Entity publishes a Sustainability Report annually. The Report addresses environmental, governance and social issues and are available at: https://investors.ball.com/company-information/annual-reports</p> <p>Annual environmental and occupational safety data (including energy, water, waste, greenhouse gas, safety, and air emissions) for the previous five years is available at: https://www.ball.com/sustainability/sustainability-reporting/data-center</p>
3.2 Non-compliance and Liabilities	Conformance	The Entity communicates information on any non-compliances and liabilities in its 2025 GRI Content Index (GRI Index 419-1, page 42) "Ball has not received any significant fines for non-compliance with laws and regulations concerning the provision and use of products and services in 2024" (GRI 206-1, page 10) "No legal actions are pending or were completed during 2024 regarding anti-competitive behaviour and violations of anti-trust and monopoly legislation."

CRITERION	RATING	COMMENT
		Refer to: https://www.ball.com/sustainability/sustainability-reporting/downloads
3.3a-c Payments to Governments	Conformance	The Entity has implemented a system of policies and procedures on anti-Corruption and Bribery and provides training to employees and publishes information on payments to governments and political contributions in its 2025 GRI Content Index, GRI 201-1, page 7 and GRI 415-1, page 40: https://www.ball.com/na/vision/sustainability/reporting-hub/global-reporting-initiative
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	The Entity communicates its main Stakeholders on the website (https://www.ball.com/sustainability/our-approach). The compliance hotline is communicated in the Global Supplier Code of Conduct (https://www.ball.com/codeofconduct). All reports made through the hotline are investigated. A dedicated webpage for reporting compliance issues is in place (https://app.convercent.com/en-US/LandingPage/ea2d5425-6f27-e611-80c8-000d3ab06827). The Grievance Mechanism is accessible in many languages at: https://app.convercent.com/en-US/LandingPage/ea2d5425-6f27-e611-80c8-000d3ab06827
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Conformance	The Entity has conducted Life Cycle Assessments (LCAs) with an internal tool and has participated in LCAs of the metal packaging branch. The results were published through Metal Packaging Europe, available at: https://www.metallpackagingeurope.org/sustainability/life-cycle-assessment-lca/ Additional information on LCAs is available at: https://www.ball.com/sustainability/product-stewardship/life-cycle
4.1b-c Environmental Life Cycle Assessment - Disclosure	Conformance	The Entity participated in the development of LCAs, which were published by Metal Packaging Europe. Upon customer request, the Entity provides product-specific instant LCAs that considers the size of the can, its production site, and country of sale. The published summary of Metal Packaging Europe includes the underlying assumptions used. The LCA summary is available at: https://www.metallpackagingeurope.org/sustainability/life-cycle-assessment-lca/
4.2 Product Design	Conformance	The Entity has a focus on the environmental and health aspects of its products. Clear objectives in the product development process are defined. Important aspects are the weight reduction of the cans and removal of any materials of concern from inks and coatings. Further information is available in the 2024 Annual Report, pages 15 and 23-24: https://www.ball.com/getattachment/802cde78-9dcc-4292-9a83-6e9a284b52db/Combined-Report-2024-1-1.pdf
4.3a-b Aluminium Process Scrap	Conformance	The Entity has established instructions for the minimisation of process Scrap. Spoilage and efficiency rates are performance indicators used by the Entity and are monitored and reported at both site and Entity levels. All process Scrap is collected at the sites and sent for recycling. All process Scrap is collected separately (blank Aluminium and

CRITERION	RATING	COMMENT
		lacquered Aluminium) and sent for recycling. Only one alloy is used per site.
4.4a-c Collection and Recycling of Products at End of Life - Material Conversion and other Manufacturing	Conformance	<p>As recycling is an important contribution to reducing the environmental impact of Aluminium, the Entity has established its recycling strategy. Whilst legal requirements define the framework for recycling, the Entity sets and supports many activities to improve the recycling rates in Europe, the Middle East and Africa (EMEA) to 100% by 2030. This includes raising awareness among consumers and cooperation with Metal Packaging Europe and European Aluminium, and with local authorities in the countries of operation to develop 'roadmaps' for national recycling strategies.</p> <p>Information on the Entity's recycling efforts is available at: https://www.ball.com/sustainability/real-circularity</p>
4.4d Collection and Recycling of Products at End of Life	Conformance	The Entity co-operates with Metal Packaging Europe and European Aluminium on implemented a 'roadmap' to increase recycling rates in Europe. Activities are set at a local level to increase recycling rates.
5. GREENHOUSE GAS EMISSIONS		
5.1a-b Disclosure of GHG Emissions and Energy Use	Conformance	<p>Entity specific energy data are disclosed at: https://www.ball.com/sustainability/sustainability-reporting/data-center</p> <p>Ball Corporate reports its global energy use (split by direct and indirect energy) and GHG emissions (scopes 1, 2 and 3) on a global level, available at:</p> <p>Data Center: https://www.ball.com/sustainability/sustainability-reporting/data-center</p> <p>2024 Combined Annual & Sustainability Report, page 41: https://www.ball.com/getmedia/182162bf-ee62-434d-952a-da92302f37a7/Combined-Report-2024.pdf</p> <p>Country specific GHG data is publicly available in Ball Corporation 2025 CDP Corporate Questionnaire: https://www.ball.com/getattachment/28887c5e-2cad-4f66-b4f6-ca884582d796/Ball-Corporation_CDP2025_PUBLIC.pdf</p> <p>ESG data has been independently verified, refer to: https://www.ball.com/getattachment/8ccd389c-d900-4890-adc4-5fbfcf2c7fe9/FY24-PwC-ESG-Assurance-Report-Management-Assertion-signed.pdf</p>
5.2a Aluminium Smelter GHG Emissions Intensity - Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity - In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a GHG Emissions Reduction Plans	Conformance	After completing a validation process with the Science Based Targets initiative (SBTi), Ball Corporation is committed to achieving a 55% absolute reduction in scopes 1 and 2 GHG emissions (direct and

CRITERION	RATING	COMMENT
		<p>certain indirect emissions, respectively) by 2030. Ball Corporation has submitted its commitment to SBTi to reduce its scope 3 emissions by 55% until 2030 as well.</p> <p>The Entity has established a GHG Emissions Reduction Plan and pathway consistent with a 1.5°C warming scenario, using the ASI methodology.</p>
5.3b-e GHG Emissions Reduction Plans - Targets, review and disclosure	Conformance	<p>The GHG Emissions Reduction Pathway with a 2017 baseline and an Emissions Reduction Plan included in the Entity's climate transition plan update overview, available at: https://www.ball.com/getmedia/8646e4bf-b04a-480a-8316-c990fa64c9bf/Climate-Transition-Plan-Update-2025-2.pdf</p> <p>Further information on the GHG Emissions Reduction Plan is available at: https://www.ball.com/sustainability/climate-leadership</p>
5.4 GHG Emissions Management	Conformance	<p>The Entity has established a system, procedures, and operating controls in place to achieve performance aligned to its GHG Emissions Reduction Plan and targets.</p> <p>By end of 2024, Ball Corporation's absolute Greenhouse Gases (GHG) emissions were reduced by 21%, compared to a baseline 2017 (Scopes 1 and 2: - 48%, Scope 3: -18%). The beverage can business achieved a reduction of 25% in that period. It was achieved predominantly through a focus on renewables and increasing recycled content.</p> <p>The Group is implementing its climate transition plan and reports publicly on its progress, refer to: https://www.ball.com/sustainability/climate-leadership</p>
6. EMISSIONS, EFFLUENTS AND WASTE		
6.1a-f Emissions to Air	Conformance	<p>The Entity reports relevant Emissions to Air (volatile organic compounds (VOCs), nitrogen oxides (NOx), sulphur oxides (SOx), and particulate matters (PM)), annually on the Entity level at: https://www.ball.com/sustainability/sustainability-reporting/data-center</p> <p>The Entity has issued and implements its climate transition plan, which will lead to reduced emissions of other airborne pollutants. Refer to: https://www.ball.com/getmedia/8646e4bf-b04a-480a-8316-c990fa64c9bf/Climate-Transition-Plan-Update-2025-2.pdf</p> <p>The Entity demonstrates that legal threshold limit values are achieved. As Emissions to Air are typically significantly below the threshold limits set in the sites' environmental permits, an emission reduction plan is not required.</p>
6.2a-g Discharges to Water	Minor Non-Conformance	<p>Discharged water is equivalent to the volume of extracted/consumed water (less the evaporation during production). Information on consumption is disclosed at: https://www.ball.com/sustainability/sustainability-reporting/data-center</p> <p>It was identified that only water withdrawals are reported however, with no water discharge or pollutant data.</p> <p>A water minimisation plan at the site-level has also not been made publicly available.</p>

CRITERION	RATING	COMMENT
6.3a-g Assessment and Management of Spills and Leakages	Conformance	The Entity's sites have assessed major risk areas of operations, including Spills and Leakages within the frame of environmental aspects and impact analysis. All sites are certified according to ISO 14001. Any potential spills and Leakage are defined in the emergency response plan, major Spills and Leakages are managed and communicated by the emergency response teams.
6.4a-b Public Disclosure of Spills and Leakages	Conformance	Spills are reported immediately within the Entity. Depending on the severity of the spill and in compliance with local legislation, additional steps are taken to inform potential affected parties. Significant Spills are disclosed in the 2025 GRI Content Index, page 25: https://www.ball.com/getattachment/c694baf1-30d3-4f86-9a20-12c4a9ba0420/Global-Reporting-Index-Report-2025.pdf
6.5a-c Waste Management and Reporting	Conformance	The Entity aims towards 100% recycling rates of its products. Following the Waste Mitigation Hierarchy, the Entity communicates its focus on systematically reducing the amount of waste generated, increasing recycling rates and eliminating waste sent to landfills. Information on its achievements are available at: (https://www.ball.com/sustainability/product-stewardship/resource-efficiency), in the 2024 Combined Annual & Sustainability Report (page 41) (https://www.ball.com/getmedia/182162bf-ee62-434d-952a-da92302f37a7/Combined-Report-2024.pdf) and in the 2025 GRI Content Index (https://www.ball.com/getattachment/c694baf1-30d3-4f86-9a20-12c4a9ba0420/Global-Reporting-Index-Report-2025.pdf). The Entity has developed a waste policy where it defines its strategy (according to the waste hierarchy). Waste is managed at the site level within the respective Environmental Management Systems. Volumes of waste and the waste disposal method are communicated as part of the global Sustainability Reporting. Refer to: https://www.ball.com/data-center
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7. WATER STEWARDSHIP		
7.1a-b Water Assessment and Disclosure	Conformance	The Entity's sites demonstrate that its water withdrawal and use by source and type has been identified and mapped. Water consumption is monitored as KPI within the Environmental Management System, the consumption is reported online. Refer to: https://www.ball.com/sustainability/sustainability-reporting/data-center The Entity has evaluated its sites against Watershed stress areas in its Area of Influence. Water is an important factor for the Entity (especially for the washing of cans). Water related risks were identified for one site within the Audit Scope, as the site is in a high-water stress area. Water-related issues are addressed by the ISO 14001 Management Systems at each site, and the Entity works continually to reduce its specific water consumption.

CRITERION	RATING	COMMENT
		Water-related risks in Watersheds in the Entity's Area of Influence have been publicly disclosed at the 2025 GRI Content Index page 15: https://www.ball.com/getattachment/c694baf1-30d3-4f86-9a20-12c4a9ba0420/Global-Reporting-Index-Report-2025.pdf
7.2a-e Water Management	Minor Non-Conformance	Water-related risks were identified for one site within the Audit Scope, as the site is in a high-water stress area. Water management plans are in place on the site level however, the Entity has not yet publicly disclosed its water management plan.
8. BIODIVERSITY AND ECOSYSTEM SERVICES		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Conformance	<p>A Biodiversity assessment was conducted for all sites of the Entity with the Integrated Biodiversity Assessment Tool (IBAT) and a checklist to assess the risk to and potential impacts on Biodiversity. No site is not located within a key Biodiversity area or otherwise protected area.</p> <p>The scope of the assessment included the land surrounding the Entity's sites in its Area of Influence including any sensitive areas identified by the IBAT tool. A Biodiversity checklist is the basis for Biodiversity assessments at the sites and the elaboration of local Biodiversity plans, which aim to improve the Biodiversity at the site.</p>
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity, as the Biodiversity risk assessment concluded that the sites have no Material impacts on Biodiversity.
8.2a-g Biodiversity Management	Not Applicable	<p>This Criterion is not applicable to the Entity, as the Biodiversity risk assessment concluded that the sites have no Material impacts on Biodiversity.</p> <p>However, document review confirmed that site-specific Biodiversity Action Plans are established and monitored. An overall Biodiversity assessment was conducted with the IBAT and additional tools.</p>
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity, as the Biodiversity risk assessment concluded that it does not depend on Priority Ecosystem Services.
8.4 Alien Species	Conformance	All the Entity's sites have implemented pest monitoring programs. The risk of introduction of Alien Species is rated as very low. Wooden pallets are either transported one-way, treated or of plastic construction.
8.5a-b Commitment to "No Go" in World Heritage Properties	Conformance	In its Biodiversity policy, the Entity "will strive to protect and enhance the quality and extent of the natural environment in the assets we own, lease or manage", where possible. The Entity's production sites are not located in, nor adjacent to World Heritage Properties.
8.6a-d Protected Areas	Conformance	The Entity's sites are certified against ISO 14001 Environmental Management Systems (EMS) (except, at the time of the Audit, the new sites Kettering (UK) and Pilsen (Czechia), which will be included in the next ISO 14001 audit cycle). The locations are not situated in a Protected Area, as confirmed by management and online research.

CRITERION	RATING	COMMENT
8.6e Protected Areas - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9. HUMAN RIGHTS		
9.1a-d Human Rights Due Diligence	Minor Non-Conformance	<p>The Entity is committed to the principles of the Bill of Human Rights and the International Labour Organization. It has established and communicated its commitment through a Human Rights Policy, a business ethics code of conduct, and supplier guiding principles, which are also available on its website. Additionally, the Entity has implemented a Human Rights Due Diligence process, supported by these policies and its Modern Slavery Statement. Refer to https://www.ball.com/sustainability/social-impact/talent-development/human-rights</p> <p>The Entity has identified where adverse Human Rights impacts from Human Trafficking and conflict minerals may occur and asks its suppliers to comply with the Global Supplier Code of Conduct and to sign the code. All sites (except the new sites at Kettering and Pilsen) have SMETA audits conducted every three years. All suppliers are required to comply with the Global Supplier Code of Conduct and main suppliers must be audited against SMETA. An internal 'whistleblower' hotline exists, where actual or potential Human Rights violations can be raised. Internal audit teams check compliance with the Human Rights Policy and all applicable regulations. If any Human Rights violations are identified, action plans to mitigate these negative impacts in the future and best practice sharing across sites would be initiated.</p> <p>The Entity is committed to the implementation and fulfilment of Human Rights and has policies and procedures in place to manage situations which may require remediation. Suppliers are expected to demonstrate compliance with the supplier guiding principles.</p> <p>It was identified that the Human Rights Due Diligence process is not fully effective however, as for most sites, the Human Rights risk assessment did not identify site-specific risks, and the site management was not aware of the central risk assessment.</p>
9.2a-e Gender Equity and Women's Empowerment	Minor Non-Conformance	<p>Women's rights are integrated in Ball Corporate Policies such as the Human Rights Policy, Business Ethics Code of Conduct, Non-Discrimination, and Equal Opportunity Policy Site-specific and adequate plans were however not available for the sites in Pilsen (Czechia) and Sri City (India).</p> <p>Disclosures on diversity and equal opportunity is available in the 2025 GRI Content Index, pages 34-36: https://www.ball.com/getattachment/c694baf1-30d3-4f86-9a20-12c4a9ba0420/Global-Reporting-Index-Report-2025.pdf</p>
9.3a-i Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity, as production sites within the Certification Scope are not located on or near lands, territories, or resources of Indigenous Peoples.
9.4a Free, Prior, and Informed Consent (FPIC) -	Not Applicable	This Criterion is not applicable to the Entity, as no Indigenous Peoples are present in the Area of Influence of each site.

CRITERION	RATING	COMMENT
New Projects or Major Changes		
9.4b Free, Prior, and Informed Consent (FPIC) - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4c Free, Prior, and Informed Consent (FPIC) - Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity, as no Indigenous Peoples are present in the Area of Influence of each site.
9.5a Cultural and Sacred Heritage – Identification	Conformance	The Entity has assessed for the presence of sacred and cultural heritage sites in its collective Area of Influence. As all sites are located in industrial areas, no such sites were identified.
9.5b Cultural and Sacred Heritage – Impacts	Not Applicable	This Criterion is not applicable to the Entity, as there are no sacred or cultural heritage sites and values within the Entity's plant locations. As part of the Due Diligence process for the selection of locations for new sites, the Entity avoids locations that include any sacred and/or cultural heritage sites.
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity, as no Resettlements have been considered or taken place as the result of their operations or activities.
9.7a-h Affected Populations and Organisations	Conformance	The Entity contributes to the communities in which they operate in multiple ways. Refer to the 'Community Impact Snapshot' at: https://www.ball.com/getmedia/d846026a-14b2-48ae-936d-45088d045b91/Website-Data-2023-2025.pdf and the 2024 Combined Annual & Sustainability Report, pages 32-34: https://www.ball.com/getmedia/182162bf-ee62-434d-952a-da92302f37a7/Combined-Report-2024.pdf There are no significant impacts on potentially Affected Populations and Organisations were identified. The majority of sites, including the newly constructed sites in Kettering (UK) and Pilsen (Czechia) form part of larger industrial parks, during their permitting processes as well as internal processes, potential impacts have been assessed and where relevant, mitigation measures embedded within the permits (e.g. VOC emission reductions through regenerative thermal oxidisers).
9.8a Conflict-Affected and High-Risk Areas - Strong management systems	Conformance	The Entity commits itself and has implemented a process to not source material from conflict areas and requests from its suppliers to comply with their supplier guiding principles and to sign certifications regarding conflict minerals. Refer to the Responsible Sourcing Framework: https://www.ball.com/na/vision/sustainability/product-stewardship/supply-chain/responsible-sourcing-framework At the time of the Audit, all the Entity's Aluminium suppliers were certified against both the ASI Performance Standard and ASI Chain of Custody Standard.
9.8b Conflict-Affected and High-Risk Areas - Identify and assess risks	Conformance	The Entity identifies in the value chain of its products where adverse Human Rights impacts from conflict minerals might occur. Suppliers must sign an additional certification document regarding conflict minerals. The Conflict Minerals Policy includes information on how they

CRITERION	RATING	COMMENT
		support laws related to ending the Violence and Human Rights violations in the mining of certain minerals.
9.8c Conflict-Affected and High-Risk Areas - Strategy to respond to risks	Minor Non-Conformance	<p>The Entity discloses its approach on responsible sourcing high-level on its website, refer to: https://www.ball.com/sustainability/product-stewardship/responsible-sourcing</p> <p>Each supplying rolling mill has ASI Performance Standard certification and is moving towards a 2030 goal of having 100% of its Aluminium supply be ASI Certified. This is an effective way to address high-risk areas/countries and appropriate behaviour throughout the Aluminium value chain.</p> <p>At the time of the Audit, a documented strategy to respond to (potential and actual) identified risks in its Aluminium supply chain in accordance with the OECD Due Diligence Guidance of Minerals from Conflict-Affected and High-Risk Areas (CAHRAs) was not available.</p>
9.8d Conflict-Affected and High-Risk Areas - Audit of due diligence	Conformance	The Entity's Due Diligence processes were included in the scope of this ASI Performance Standard Certification Audit and addresses this requirement.
9.8e Conflict-Affected and High-Risk Areas - Report annually	Minor Non-Conformance	<p>In the 2024 Annual Report, 27% of its sourced Aluminium volume in 2024 was ASI Certified and 46% of critical suppliers have been assessed in 2024. A conflict minerals report is published annually and is available at: https://www.ball.com/getattachment/6d91a651-8b6f-4e90-84c2-61766ffd7d66/2024-Conflict-Minerals-Report.pdf</p> <p>The publicly available information does not fully meet the OECD Due Diligence Guidance of Minerals from Conflict-Affected and High-Risk Areas step 5 requirements on supply chain Due Diligence reporting however, as information on the management systems, risk assessment in the supply chain, risk management and audits is not disclosed. The conflict minerals report also does not specifically address Aluminium.</p>
9.9 Security practice	Conformance	<p>The Entity commits to its Human Rights Policy, available at: https://www.ball.com/getmedia/51aba6db-ecd1-48dd-9be1-63cd9a9f850a/Human-Rights-GP-03-012-003.pdf</p> <p>The provision of security is consistent with the laws of the relevant country and relevant international standards and guidelines. Regulations exist, and depending on local regulations and necessities, plant security is either outsourced to specialised licensed service providers or is conducted by its own staff.</p>
10. LABOUR RIGHTS		
10.1a-c Freedom of Association and Right to Collective Bargaining	Conformance	<p>The Entity respects the Freedom of Association and the employees' right to Collective Bargaining. This is communicated within the Entity's Human Rights Policy, page 2: https://www.ball.com/getattachment/06ef799c-8a92-45b8-8fd-078f8fc03b20/GP-Comp-012-004_Human-Rights.pdf</p> <p>The majority of the Entity's sites are audited against SEDEX/SMETA to confirm conformance with these requirements.</p>
10.1d Freedom of Association and Right to Collective Bargaining -	Conformance	Whilst most countries in which Ball Packaging Europe operates, Freedom of Association and the right to Collective Bargaining are recognised by law, the Entity's Indian site has not ratified ILO

CRITERION	RATING	COMMENT
Alternative means in context of Applicable Law		<p>Conventions C87 and ILO C98. Freedom of Association is recognised in national law, however some limitations exist, particularly regarding Union recognition and Collective Bargaining obligations.</p> <p>At the Sri City (India) site, Unions are active on-site and a Collective Bargaining process is in place.</p>
10.2a-c Child Labour	Conformance	<p>The Entity has implemented a Child and Forced Labor Policy and a Human Rights Policy. It adheres to all regulations and laws related to Child Labour laws and forced or compulsory labour and does not employ individuals under the age of 18 in a manufacturing environment, or in any other hazardous working environment. Where national apprenticeship programs exist, young persons under the age of 18 may be employed within these programs, however no person under the age of 16 is employed at any site.</p> <p>The Entity requires its suppliers to not employ anyone under the legal working age, refer to: https://www.ball.com/sustainability/social-impact/talent-development/human-rights</p>
10.3a-c Forced Labour	Conformance	<p>The Entity as part of Ball Corporation has a zero-tolerance policy to Forced Labour and articulates the same expectations within its supply chain. The Human Rights Policy prohibits Child Labour, Forced or compulsory Labour, servitude, slavery, and Human Trafficking and to demonstrate compliance, the Entity presents an annual Slavery and Human Trafficking Statement based on the UK <i>Modern Slavery Act</i>. Refer to: https://www.ball.com/na/additional-site-content/special-pages/california-transparency-act-and-uk-modern-slavery</p> <p>The Entity is not involved in Forced Labour, either directly or via labour agencies. No deposits or security payments are required, and no form of Debt Bondage is permitted. Interviews confirmed that Workers are not required to lodge deposits or security payments at any time.</p> <p>Document reviews, site tours, and interviews undertaken during the Audit confirmed that the Entity does not unreasonably restrict Workers' freedom of movement in the workplace, does not retain original copies of Workers' identity papers, work permits, travel documents, or training certificates.</p> <p>Workers may terminate their employment at any time without penalty, provided they give reasonable notice. Notice periods are defined in employment contracts in accordance with applicable local laws.</p>
10.4a-c Non-Discrimination	Minor Non-Conformance	<p>The Entity does not tolerate any form of Discrimination and Harassment in the workplace and communicates this commitment through its Code of Conduct, employee training, and its website. There is a global compliance hotline to report any breaches of its code. The Entity's sites are audited against SMETA.</p> <p>It was identified at Sri City (India), that it has however not demonstrated that people with disabilities are not Discriminated against in the recruitment process.</p>
10.5 Communication and engagement	Conformance	<p>The Entity has implemented several communication channels including annual anonymous employee surveys, 'town hall' meetings, team meetings, meetings Worker/Union representatives, Health and Safety committee meetings, and an annual Ball employee communication forum.</p>

CRITERION	RATING	COMMENT
10.6a-g Violence and Harassment	Conformance	<p>Mitigation of Violence and Harassment is addressed in the Entity's Business Ethics Code of Conduct, available at: https://www.ball.com/our-company/code-of-conduct</p> <p>The Entity communicates to all Workers and contractors that it does not tolerate any form of Harassment. It regulates policies and procedures on how to deal with any incident. Disciplinary measures are regulated by law.</p>
10.7a-c Remuneration	Conformance	<p>Document review and interviews with Workers, Worker representatives and management undertaken during the Audit confirmed that wages meet or exceed legal minimums, where such exist.</p> <p>It was also confirmed that all Workers received written contracts and that payments are documented and submitted to the employees' bank accounts in a timely manner.</p>
10.8a-c Working Time	Conformance	<p>The Entity has committed in its Business Ethics Code of Conduct to provide reasonable working hours and fair wages in compliance with local laws and expects the same from its suppliers.</p> <p>The Entity demonstrates Compliance with Applicable Law on Working Time, public holidays and paid annual leave.</p> <p>Working Time is incorporated into each employment contract.</p> <p>Clocking-in systems are in place to monitor Working Hours.</p>
10.9a-b Informing Workers of Rights	Conformance	<p>As confirmed by interviews, observations during the site tours and a document review, the Entity informs its Workers on their rights through training (e.g., the Code of Conduct), intranet, the work contract and Worker representatives.</p>
11. OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Management System	Minor Non-Conformance	<p>The Entity's sites are certified against ISO 45001 (Occupational Health & Safety Management System Standard). Internal and external audits are conducted to confirm the effectiveness of the system.</p> <p>It was identified the system is not fully effective however, as it was noted as an isolated case at one plant that an emergency exit was blocked.</p>
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Minor Non-Conformance	<p>The Management System for Occupational Health and Safety (OH&S) is reviewed at least annually. The performance indicators indicating the effectiveness of the OH&S Management System are made publicly available on an annual basis.</p> <p>In publicly available documentation, OH&S performance data is provided at a global level only. Performance indicators are considered as incomplete, with a focus solely on the total recordable incident rate. The only current leading indicator disclosed is the global training hours.</p> <p>Refer to the 2025 GRI Content Index, page 29-31: https://www.ball.com/getattachment/c694baf1-30d3-4f86-9a20-12c4a9ba0420/Global-Reporting-Index-Report-2025.pdf</p>
11.2 Employee engagement on Health and Safety	Minor Non-Conformance	<p>The Entity has established and maintains an OH&S Management System that provides for the establishment of OH&S Committees at each of its sites.</p>

CRITERION	RATING	COMMENT
		At the time of the Audit, it was noted that the mechanism by which Workers can raise, discuss, and participate in the resolution of OH&S issues with management however was not yet fully effective. Production personnel (without supervisory or managerial roles) are not yet represented in the newly formed health and safety committee at the Pilsen (Czechia) site.

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DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	12 December 2019	Initial Certification Audit – Full Certification
1	20 June 2023	Re-Certification Audit and Scope Change – Full Certification
2	29 April 2026	Re-Certification Audit and Scope Change – Full Certification; Scope Change to include the following facilities, Ball Beverage Packaging Kettering and Ball Beverage Packaging Pilsen, removed the following facilities Ball Beverage Packaging Dammam, and Ball Beverage Packaging Office Tongwell and Supply Chain Activities changes from 'Material Conversion (Production and Transformation)' to 'Material Conversion'.