

ASI CERTIFICATION CHAIN OF CUSTODY STANDARD



PRESENTED TO

Ball Beverage Packaging EMEA (Europe, Middle East and Africa)

CERTIFICATE NUMBER

73

ASI STANDARD

CHAIN OF CUSTODY
(V2 2022)

CERTIFICATION LEVEL

FULL
CERTIFICATION

ASI ACCREDITED
AUDITING FIRM

TÜV RHEINLAND CERT
GMBH

DATE OF ISSUE

14 FEBRUARY 2026

DATE OF EXPIRY

13 FEBRUARY 2029

CERTIFIED SINCE

14 FEBRUARY 2023

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. Hall'.

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
info@aluminium-stewardship.org

Validity of this Certificate is subject to continued conformance with the applicable ASI Standard and can be verified at

www.aluminium-stewardship.org

CERTIFICATION SCOPE

Manufacturing of beverage can bodies at facilities in Austria (Ludesch), Czechia (Ejpovice and Pilsen), Denmark (Fredericia), Egypt (Cairo), Finland (Mantsala), France (Bierne), Germany (Gelsenkirchen), Italy (Nogara), Serbia (Belgrade), Spain (Cabanillas del Campo and La Selva), Sweden (Fosie), Switzerland (Widnau), Turkey (Manisa) and United Kingdom (Kettering, Milton Keynes and Wakefield).

Manufacturing of beverage can ends at facilities in France (Mont), Ireland (Waterford) and Poland (Lublin).

Manufacturing of beverage can bodies and ends at facilities in India (Taloja and Sri City).

Administrative activities in facilities in United Kingdom (Headquarters in Luton).

AUDIT REPORT

CHAIN OF CUSTODY

STANDARD

OVERVIEW

MEMBER NAME	Ball Corporation
ENTITY NAME	Ball Beverage Packaging EMEA (Europe, Middle East and Africa)
CERTIFICATION SCOPE	<p>Manufacturing of beverage can bodies at facilities in Austria (Ludesch), Czechia (Ejpovice and Pilsen), Denmark (Fredericia), Egypt (Cairo), Finland (Mantsala), France (Bierne), Germany (Gelsenkirchen), Italy (Nogara), Serbia (Belgrade), Spain (Cabanillas del Campo and La Selva), Sweden (Fosie), Switzerland (Widnau), Turkey (Manisa) and United Kingdom (Kettering, Milton Keynes and Wakefield).</p> <p>Manufacturing of beverage can ends at facilities in France (Mont), Ireland (Waterford) and Poland (Lublin).</p> <p>Manufacturing of beverage can bodies and ends at facilities in India (Taloja and Sri City).</p> <p>Administrative activities in facilities in United Kingdom (Headquarters in Luton).</p>
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">• Post-Casthouse
ASI STANDARD	<ul style="list-style-type: none">• Chain of Custody Standard V2
AUDIT TYPE	<ul style="list-style-type: none">• Initial Certification Audit (13 August – 11 October 2019)• Re-Certification Audit and Scope Change (18 January – 17 February 2023)• Re-Certification Audit and Scope Change (16 September – 27 March 2026)
AUDIT FIRM	TÜV Rheinland Cert GmbH
AUDIT DATE	<ul style="list-style-type: none">• 13 August – 11 October 2019 (Initial Certification Audit)• 18 January – 17 February 2023 (Re-Certification Audit and Scope Change)• 16 September – 27 March 2026 (Re-Certification Audit and Scope Change)
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">• 14 January 2020 (Initial Certification Audit)• 7 August 2023 (Re-Certification Audit and Scope Change)• 28 March 2026 (Re-Certification Audit and Scope Change)
AUDIT SCOPE	<p><u>Initial Certification Audit (13 August – 11 October 2019)</u></p> <p>The scope of the Certification Audit covers Ball Beverage Packaging EMEA (Europe, Middle East and Africa) at the Head Office Luton (United Kingdom) with site visits to Ball Beverage Packaging Belgrade (Serbia), Ball Beverage Packaging Gelsenkirchen (Germany), Ball Beverage Packaging Ludesch (Austria), Ball Beverage Packaging Nogara (Italy) and Ball Beverage Packaging Waterford (Ireland).</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">• Post-Casthouse

All applicable criteria in the ASI Chain of Custody Standard were included in the Audit Scope.

Re-Certification Audit and Scope Change (18 January – 17 February 2023)

The scope of the Certification Audit comprises Ball Beverage Packaging EMEA (Europe, Middle East and Africa) with its 22 manufacturing plants, the regional headquarter in Luton, United Kingdom (UK) and the technical center in Tongwell, UK. The ASI multi-site sampling approach was undertaken, the audited facilities included Ball Beverage Packaging Head Office Luton, Ball Beverage Packaging Lublin, Ball Beverage Packaging Manisa, Ball Beverage Packaging Milton Keynes, Ball Beverage Packaging Wakefield, Ball Beverage Packaging Widnau and Tongwell, UK.

Supply chain activities included in the Audit Scope:

- Post-Casthouse

All applicable criteria in the ASI Chain of Custody Standard were included in the Audit Scope.

Re-Certification Audit and Scope Change (16 September 2025 – 27 March 2026)

The Audit Scope used the ASI multi-site sampling approach which covers Ball Beverage Packaging EMEA (Europe, Middle East and Africa) at the Head Office Luton with site visits to facilities located at India (Sri City), United Kingdom (Kettering), Spain (La Selva), Finland (Mantsala), France (Mont), Ball and Czechia (Pilsen).

Supply chain activities included in the Audit Scope:

- Post-Casthouse

All applicable criteria in the ASI Chain of Custody Standard were included in the Audit Scope.

AUDIT OUTCOME

Certification

AUDIT METHODOLOGY
DECLARATION

The Auditors confirm that:

- The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this Report.
- The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
- The Audit Scope and Audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
- The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

CERTIFICATION PERIOD

14 February 2026 – 13 February 2029

NEXT AUDIT TYPE

Re-Certification Audit

NEXT AUDIT DUE DATE

13 February 2029

CERTIFICATE NUMBER

73



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Ball Corporation produces sustainable Aluminium packaging solutions, serving customers in the beverage, personal care and household products industries. The company employs approximately 16,000 people across more than 70 manufacturing plants and facilities worldwide, including 27 plants in the EMEA region (Europe, the Middle East and Africa). In 2025, Ball reported net sales of \$13.16 billion, with Ball Beverage Packaging EMEA contributing \$3.98 billion. For more information, visit [Ball.com](https://www.ball.com) and connect with us on [Ball Corporation | LinkedIn](#).

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	OVERALL
SYSTEMS	High
RISKS	Medium
PERFORMANCE	High
OVERALL	HIGH

FINDINGS

CRITERION	RATING	COMMENT
1. MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI Membership	Conformance	Ball Corporation is a founding member of the Aluminium Stewardship Initiative (ASI), which is communicated in the 2024 Combined Annual & Sustainability Report, page 25: https://www.ball.com/getmedia/182162bf-ee62-434d-952a-da92302f37a7/Combined-Report-2024.pdf and on the ASI membership website at: https://aluminium-stewardship.org/about-asi/asi-members/ball-corporation
1.2 CoC Management System	Conformance	The Entity has well-functioning Management Systems implemented with responsibilities both at the local and central levels. All Ball Beverage Packaging EMEA sites (except at the time of the Audit, the new sites at Kettering and Pilsen) are certified against ISO 9001 standard. The ASI Chain of Custody (CoC) requirements are addressed by documented procedures.
1.3 CoC Management System Monitoring	Conformance	As confirmed by document review and interviews undertaken during the Audit, the Entity and its local Ball Facilities conduct annual management reviews, where the results of internal and external developments, internal and external audits are reviewed.
1.4 Management Representative	Conformance	The Entity has defined the overall responsibility for ASI with the Vice President – Sourcing, supported by a central team. Local responsibilities are defined for all sites and documented in organisational charts.
1.5 Communications and Training	Conformance	The Entity has defined persons relevant for the implementation and functioning of ASI requirements. It has provided training on the requirements of the ASI Performance Standard and the ASI Chain of Custody Standard and has trained relevant personnel on these requirements.
1.6 Records Management	Conformance	Based on legal requirements and the requirements of the ASI Chain of Custody Standard, the Entity has defined the retention time for ASI related documents as five years.
1.7a Reporting to ASI (Inputs and Outputs of CoC Material)	Conformance	The Entity defines in its ASI related quality procedure, to report to the ASI Secretariat by 30 June of the following year all ASI related Input and Output Quantities.
1.7b Reporting to ASI (Inputs and Outputs of Eligible Scrap)	Not Applicable	This Criterion is not applicable to the Entity, as it does not engage in Aluminium Re-melting/Refining to produce Recycled Aluminium.
1.7c Reporting to ASI (Inflows and Outflows of Non-CoC Material)	Conformance	The Entity's operating procedure specifies that the Entity must report Inflow and Outflow Quantities of Non-CoC Material/s to/from the certified Entity over the calendar year, to the ASI Secretariat in time to meet the deadline of 30 June of the year following the end of each calendar year, which conforms to ASI CoC requirements. Consequently, the Entity reported its 2024 data until 30 th June 2025 to the ASI Secretariat.

CRITERION	RATING	COMMENT
1.7d Reporting to ASI (Positive Balance carried over)	Conformance	The Entity's operating procedure specifies that the Entity must report Positive Balance carried over to the subsequent Material Accounting Period, if any, to the ASI Secretariat in time to meet the deadline of 30 June of the year following the end of each calendar year, which conforms to ASI CoC requirements. Consequently, the Entity reported its 2024 data until 30 th June 2025 to the ASI Secretariat.
1.7e Reporting to ASI (Positive Balance used)	Conformance	The Entity's operating procedure specifies that the Entity must report any Positive Balance used to the ASI Secretariat in time to meet the deadline of 30 June of the year following the end of each calendar year, which conforms to ASI CoC requirements. Consequently, the Entity reported its 2024 data until 30 th June 2025 to the ASI Secretariat.
1.7f Reporting to ASI (Internal Overdraw drawn down)	Conformance	The Entity defines in its ASI related quality procedure, to report to the ASI Secretariat within three months after the end of each calendar year all ASI related information, including any Internal Overdraw of the positive material balance, if applicable (Internal Overdraw in 2020 and 2021: 0 metric tonnes). The annual report contained the required information and was submitted to the ASI Secretariat in time.
1.7g Reporting to ASI (Intra-Entity Flows)	Conformance	Via its annual ASI report, the Entity has reported the required Intra-Entity Flow information in full and on time to the ASI Secretariat.

2. OUTSOURCING CONTRACTORS

2.1 Certification Scope	Not Applicable	This Criterion is not applicable to the Entity, as there are no Outsourcing Contractors without CoC Certification that take custody of material for the purposes of further processing, treatment, or manufacturing.
2.2a Control of CoC Material (Legal ownership or control)	Not Applicable	This Criterion is not applicable to the Entity, as there are no Outsourcing Contractors without CoC Certification that take custody of material for the purposes of further processing, treatment, or manufacturing.
2.2b Control of CoC Material (No further outsourcing)	Not Applicable	This Criterion is not applicable to the Entity as there are no Outsourcing Contractors without CoC Certification that take custody of material for the purposes of further processing, treatment, or manufacturing.
2.2c Control of CoC Material (Risk assessment)	Not Applicable	This Criterion is not applicable to the Entity, as there are no Outsourcing Contractors without CoC Certification that take custody of material for the purposes of further processing, treatment, or manufacturing.
2.3 Information on Quantity of CoC Material Output and Returned	Not Applicable	This Criterion is not applicable to the Entity, as there are no Outsourcing Contractors without CoC Certification that take custody of material for the purposes of further processing, treatment, or manufacturing.
2.4 Consistency in Inflow and Outflow Quantity of	Not Applicable	This Criterion is not applicable to the Entity, as there are no Outsourcing Contractors without CoC Certification that take custody

CRITERION	RATING	COMMENT
CoC Material to/from Outsourcing Contractor		of material for the purposes of further processing, treatment, or manufacturing.
2.5 Error (Outsourcing Contractor)	Not Applicable	This Criterion is not applicable to the Entity as there are no Outsourcing Contractors without CoC Certification that take custody of material for the purposes of further processing, treatment, or manufacturing.
3. PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI ALUMINIUM		
3.1a ASI Bauxite (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1b ASI Bauxite (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1c ASI Bauxite (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2a ASI Alumina (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2b ASI Alumina (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2c ASI Alumina (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3b ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3c ASI Aluminium (Alumina sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4. RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP		
4.1a Recycled Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.1b Recycled Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2a Eligible Scrap (Pre-Consumer)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2b Eligible Scrap (Post-Consumer)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
4.2c Eligible Scrap (Dross)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3a Records Management for Direct Suppliers of Recyclable Scrap Material (Suppliers)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3b Records Management for Direct Suppliers of Recyclable Scrap Material (Financial transactions)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5. CASTHOUSES: CRITERIA FOR ASI ALUMINIUM		
5.1a ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.1b ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.1c ASI Aluminium (Aluminium sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2 Unique Identification	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6. POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM		
6.1a Post-Casthouse ASI Aluminium (CoC Certification Scope)	Conformance	The Entity has all cans and ends plants certified according to the ASI Chain of Custody Standard within its Certification Scope. It provides certified Products to its customers only through its own Facilities.
6.1b Post-Casthouse ASI Aluminium (Performance Standard)	Conformance	The Entity has all cans and ends plants certified within its Certification Scope, refer to: https://aluminium-stewardship.org/about-asi/members It provides ASI CoC Certified products to its customers through its plants and sources Aluminium for these products only from ASI CoC Certified suppliers.

6.1c Post-Casthouse ASI Aluminium (Aluminium sourcing)	Conformance	<p>The Entity is engaging all Aluminium can sheet suppliers to become certified to the ASI Standards, thus enabling it to source ASI Certified metal from multiple suppliers and working towards Ball Corporation's public long-term 2030 goal of having 100% of Aluminium purchased coming from certified sustainable sources.</p> <p>All ASI Aluminium is sourced either directly from another ASI CoC Certified Entity, or via a Trader, where the ASI CoC Certified Entity that is the source of the ASI Aluminium can be identified and can provide a verified CoC Document.</p>
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7. DUE DILIGENCE FOR NON-COC MATERIAL, COC MATERIAL ACQUIRED THROUGH A TRADER AND RECYCLABLE SCRAP MATERIAL

7.1a Responsible Sourcing Policy (Anti-corruption)	Conformance	<p>All sites directly supplying Aluminium rolling mills in 2022 have achieved both ASI Performance and ASI Chain of Custody Standards Certification.</p> <p>The Entity has issued and communicated its Supplier Guiding Principles (https://www.ball.com/getattachment/92088cce-5835-4cf6-ae0-4e8504d32f42/Supplier-Guiding-Principles-and-other-exhibits.pdf?lang=en-001) and a Supplier Code of Conduct (https://www.ball.com/getattachment/c71c8ea6-cd20-407d-b4c2-9a788ee19aeb/Supplier-Code-of-Conduct_Final_Nov2022.pdf) that cover anti-trust, Bribery and Anti-Corruption. The principles of these documents must be accepted and signed by all suppliers.</p>
7.1b Responsible Sourcing Policy (Responsible sourcing)	Conformance	<p>The Entity has issued and communicated its Supplier Guiding Principles (https://www.ball.com/getattachment/92088cce-5835-4cf6-ae0-4e8504d32f42/Supplier-Guiding-Principles-and-other-exhibits.pdf?lang=en-001) and a Supplier Code of Conduct (https://www.ball.com/getattachment/c71c8ea6-cd20-407d-b4c2-9a788ee19aeb/Supplier-Code-of-Conduct_Final_Nov2022.pdf) that address responsible sourcing. The principles of these documents must be accepted and signed by all suppliers.</p>
7.1c Responsible Sourcing Policy (Human Rights Due Diligence)	Conformance	<p>All the sites directly supplying Aluminium rolling mills in 2022 have achieved ASI Performance and ASI Chain of Custody Standards Certification.</p> <p>The Entity has issued and communicated its Human Rights Policy (https://www.ball.com/getattachment/06ef799c-8a92-45b8-8f7d-078f8fc03b20/Human-Rights.pdf) and a Supplier Code of Conduct (https://www.ball.com/getattachment/c71c8ea6-cd20-407d-b4c2-9a788ee19aeb/Supplier-Code-of-Conduct_Final_Nov2022.pdf) that address employment practices and Human Rights. The principles of these documents must be accepted and signed by all suppliers.</p>
7.1d Responsible Sourcing Policy (Conflict-Affected and High-Risk Areas)	Conformance	<p>All the sites directly supplying Aluminium rolling mills in 2022 have achieved ASI Performance and ASI Chain of Custody Standards Certification. The Entity has issued and communicated its Conflict Minerals Policy and elaborated a conflict minerals report (covering Tin, Tungsten, Tantalum and Gold), which are both available at: https://www.ball.com/na/vision/sustainability/product-stewardship/supply-chain/responsible-sourcing-framework</p> <p>These address Conflict-Affected and High-Risk Areas and the Entity's expectations towards suppliers of such materials.</p>

7.2 Risk Assessment and Mitigation	Conformance	<p>All sites directly supplying Aluminium rolling mills in 2022 have achieved ASI Performance Standard and ASI Chain of Custody Standards Certification.</p> <p>The Entity has established a Responsible Sourcing Framework and maintains a sustainability category profile for Aluminium, which lists the most Material risk factors for Aluminium related to Environmental, Social and Governance (ESG), assessing these risks in terms of operational, reputational, and economic impacts.</p>
7.3 Complaints Resolution Mechanism	Conformance	<p>The Entity's Business Ethics Code of Conduct describes the processes to submit complaints via compliance hotlines, including country-specific phone numbers. The hotlines can be reached by Ball employees, suppliers, and any other external Stakeholders. The Code of Conduct in several languages at and is available at: https://www.ball.com/codeofconduct</p>

8. MASS BALANCE SYSTEM: COC MATERIAL AND ASI ALUMINIUM

8.1 Material Accounting System	Conformance	The Entity has implemented a computer-based Material Accounting System that records Input Quantity and Output Quantity of CoC Material and Non-CoC Material, by mass.
8.2 Material Accounting Period	Conformance	The Entity has defined the Material Accounting Period as 12 months (the calendar year).
8.3 Input and Inflow Quantities	Conformance	The Entity has implemented a computer-based Material Accounting System that records Input Quantity and Output Quantity of CoC Material and Non-CoC Material, by mass.
8.4 Output Quantities of CoC Material	Conformance	The Entity has implemented a computer-based Material Accounting System that records Input Quantity and Output Quantity of CoC Material and Non-CoC Material, by mass.
8.5 Indivisibility of CoC Material	Conformance	As confirmed by document review and interviews undertaken during the Audit, the Entity's Material Accounting System ensures that the Output Quantity of CoC Material, which is a subset of total production, is designated as 100% CoC Material.
8.6 Output Quantity of Eligible Scrap	Conformance	As confirmed by interviews and document review undertaken during the Audit, the Entity uses the Input Percentage for the given Material Accounting Period to determine the Output Quantity of Eligible Scrap (Pre-Consumer Scrap from its production processes).
8.7 Consistency Between Input Percentage and Total Output	Conformance	The Entity adopted its Material Accounting System to ensure that the total Output of CoC Material and Eligible Scrap does not exceed the Input Percentage as applied to the total Input of CoC Material and Eligible Scrap over the Material Accounting Period.
8.8a Internal Overdraw (Not exceed 20%)	Conformance	The Entity has specified a procedure to implement in the event of a Force Majeure situation. The Material Accounting System can carry over an internal Overdraw (not to exceed 20% of the total Input Quantity of CoC Material) to the subsequent accounting period.
8.8b Internal Overdraw (Not exceed force majeure situation)	Conformance	The Entity has implemented a Force Majeure situation procedure. The Material Accounting System can carry over an Internal Overdraw (not to exceed 20% of the total Input Quantity of CoC Material and not to

		exceed the amount of CoC Material affected by the Force Majeure situation) to the subsequent accounting period.
8.8c Internal Overdraw (Made up within subsequent Material Accounting Period)	Conformance	The Entity has implemented a Force Majeure situation procedure The Material Accounting System can carry over an Internal Overdraw to the subsequent accounting period but must be made up within the subsequent Material Accounting Period.
8.9a Positive Balance (Carry over)	Conformance	The Entity has defined in a procedure that in the case of a Positive Balance of Output CoC Material at the end of a Material Accounting Period, this can be carried over to the subsequent Material Accounting Period and expires at the end of the period, if it is not drawn down. Relevant information is reported in the annual report to the ASI Secretariat.
8.9b Positive Balance (Expiry)	Conformance	The Entity has defined in a procedure that in the case of a Positive Balance of Output CoC Material at the end of a Material Accounting Period, this can be carried over to the subsequent Material Accounting Period and expires at the end of the period, if it is not drawn down.

9. ISSUING COC DOCUMENTS

9.1 CoC Document	Conformance	All shipments are identified and traceable throughout the production process. All shipments are accompanied by delivery notes that identify ASI Material and non-ASI Aluminium products and serve as a CoC Document. In addition to the delivery notes, the Entity can generate summary reports for each customer who received ASI Material that covers all required data points and information required for ASI documentation.
9.2a CoC Document Content (Date of issue)	Conformance	All the Entity's delivery notes include the date of delivery. A summary report is issued during the first week after an accounting period has ended and carries the date of issue of the ASI documentation and dates of delivery.
9.2b CoC Document Content (Reference number)	Conformance	All documentation includes reference numbers that are linked to the Entity's Material Accounting System. Through this number it is possible to verify that ASI Certified Material was used.
9.2c CoC Document Content (Issuing Entity)	Conformance	All documentation related to the ASI Material includes the identity, address and CoC Certification number of the Entity issuing the CoC Documents.
9.2d CoC Document Content (Receiving customer)	Conformance	All the Entity's delivery notes include the identity and the address of the customer. The summary reports sent to customers receiving CoC Material carry the name and CoC Certification number of the CoC Certified customers.
9.2e CoC Document Content (Responsible employee)	Conformance	All the Entity's shipping documents carry reference numbers to trace back all shipments to the persons who handled the shipments. The Entity issues summary reports for customers who receive CoC Material that carries the names of the responsible employees, who can verify the information in the CoC Documents.

9.2f CoC Document Content (Conformance statement)	Conformance	The summary reports that are sent to the clients receiving CoC Material include a statement confirming that 'The information provided in the Chain of Custody Document is in conformance with the ASI Chain of Custody Standard'.
9.2g CoC Document Content (Type of CoC Material)	Conformance	The summary report sent to customers receiving ASI Material contains information about the type of CoC Material in the shipment.
9.2h CoC Document Content (Mass of CoC Material)	Conformance	The summary report sent to customers who receive ASI Material provides information about the mass of CoC Material in the shipment.
9.2i CoC Document Content (Mass of total material)	Conformance	Summary reports sent to customers receiving ASI Material provide information about the mass of CoC Material and of total material in the shipment. The summary provides information on the mass of all Aluminium products shipped to the respective customer during the reporting period.
9.3a Sustainability Data (optional) - Carbon footprint	Not Applicable	This Criterion is not applicable to the Entity, as it does not receive any optional Sustainability Data from their suppliers on their CoC Documents. Hence, the Entity does not add or pass on any such data to CoC Documentation for its customers.
9.3b Sustainability Data (optional) - Origin information	Not Applicable	This Criterion is not applicable to the Entity as it has chosen not to include optional data in their COC Documents.
9.3c Sustainability Data (optional) - Recycled content	Not Applicable	This Criterion is not applicable to the Entity as it has chosen not to include optional data in their COC Documents.
9.3d Sustainability Data (optional) - Post-Casthouse ASI Certification status	Conformance	Summary reports sent to customers receiving ASI Material include information on the Entity's ASI Certification Status as it relates to both the Performance and Chain of Custody Standards.
9.4 Supplementary Information (optional) - Objective evidence	Not Applicable	This Criterion is not applicable to the Entity as it currently does not add Supplementary Information about the Entity or the CoC Material to CoC documentation for customers.
9.5 Verification of Information	Conformance	At the time of the Audit, the Entity had not received a request for the verification of information in CoC documents. Nevertheless, the Entity has implemented a system to manage such requests.
9.6 Error (Shipping)	Conformance	The Entity has implemented systems to handle errors discovered after the CoC Material has been shipped. If an error is discovered after the CoC Material has been shipped, the Entity will document the error and agree on steps with the receiving party to correct it. Detailed analyses are conducted to avoid a recurrence of the error.

10. RECEIVING COC DOCUMENTS

10.1 Verification of CoC Documents	Conformance	As confirmed by document review and interviews undertaken during the Audit, the Entity verifies that the required information in received CoC documents has been included.
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10.2 Verification of Consistency Between CoC Documents and CoC Material	Conformance	For each delivery, the Entity verifies the consistency of the received material with the accompanying documents, including for the CoC Material and the CoC documents before recording the information in the Entity's Material Accounting System.
10.3 Verification of Supplier's ASI CoC Certification	Conformance	The Entity regularly reviews the ASI Member website to verify the ASI status of its Aluminium suppliers to identify any changes that might affect the status of the supplied ASI Material.
10.4 Error (Reception)	Conformance	The Entity has systems and procedures in place to inspect incoming goods, to document any findings and to follow up with any non-compliances. If an error is discovered after the CoC Material is received, the Entity will document the error, investigate it together with the supplier and agree on steps to correct the error and avoid the recurrence.

11. CLAIMS AND COMMUNICATIONS

11.1a Claims and Communications (ASI Claims Guide)	Conformance	The Entity's Head of Sustainability has taken the responsibility to ensure that claims are consistent with the ASI Claims Guide.
11.1b Claims and Communications (Verifiable evidence)	Conformance	The Entity's Head of Sustainability has taken the responsibility to ensure that there is verifiable evidence to support the claims and/or representations made about CoC Material outside of CoC Documents.
11.1c Claims and Communications (Employee training)	Conformance	The Entity has demonstrated that it provides appropriate training for relevant employees to properly understand and communicate the claims and/or representations about CoC Material outside of CoC Documents.

ASI LIMITATION OF LIABILITY DISCLAIMER

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DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	14 February 2020	Issued (Full Certification)
1	22 June 2021	Audit scope corrected to reference the specific Entities audited
2	3 September 2023	Scope Change to include Sricity, India as a transfer of Certificate 168 (Certification Period validity of 17 December 2021 – 16 December 2024)) and Taloja, India as a transfer of Certificate 172 (certification period validity of 10 January 2022 – 9 January 2025); Scope Change to remove Facilities Argayash, Naro-Fominsk and Vsevolozhsk in Russia; Change of Audit Firm to TÜV Rheinland Cert GmbH A three month extension was granted by ASI to the Entity due to difficulties experienced relating to audit scheduling and auditor availability

Re-Certification Audit and Scope Change – Full Certification; Scope Change to include the following facilities, Ball Beverage Packaging Kettering and Ball Beverage Packaging Pilsen, removed the following facilities Ball Beverage Packaging Dammam, and Ball Beverage Packaging Office Tongwell.
