

ASI CERTIFICATION CHAIN OF CUSTODY STANDARD



PRESENTED TO

Guangdong JMA Aluminum Profile Factory (Group) Co., Ltd.

CERTIFICATE NUMBER

561

ASI STANDARD

CHAIN OF CUSTODY
STANDARD
(V2 2022)

CERTIFICATION LEVEL

FULL
CERTIFICATION

ASI ACCREDITED
AUDITING FIRM

CHINA QUALITY
MARK
CERTIFICATION
GROUP

DATE OF ISSUE

12 MAY 2026

DATE OF EXPIRY

11 MAY 2029

CERTIFIED SINCE

12 MAY 2026

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'JMA', with a long horizontal line extending to the right.

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
info@aluminium-stewardship.org

*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at
www.aluminium-stewardship.org*

CERTIFICATION SCOPE

The design and production of
Aluminium alloy profiles and
related management activities of
Guangdong Jianmei Aluminum
Profiles (Group) Co., Ltd., located in
Xiaotang Nanhai Nonferrous Metals
Industrial Park, Shishan Town,
Nanhai District, Foshan City,
Guangdong Province, China.

AUDIT REPORT

CHAIN OF CUSTODY

STANDARD

OVERVIEW

MEMBER NAME	Guangdong JMA Aluminium Profile Factory (Group) Co., Ltd.
ENTITY NAME	Guangdong JMA Aluminium Profile Factory (Group) Co., Ltd.
CERTIFICATION SCOPE	The design and production of Aluminium alloy profiles and related management activities of Guangdong Jianmei Aluminum Profiles (Group) Co., Ltd., located in Xiaotang Nanhai Nonferrous Metals Industrial Park, Shishan Town, Nanhai District, Foshan City, Guangdong Province, China.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">Aluminium Re-melting/RefiningCasthousesPost-Casthouse
ASI STANDARD	<ul style="list-style-type: none">Chain of Custody Standard V2
AUDIT TYPE	<ul style="list-style-type: none">Initial Certification Audit
AUDIT FIRM	China Quality Mark Certification Group
AUDIT DATE	<ul style="list-style-type: none">26 – 28 March 2026
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">10 April 2026
AUDIT SCOPE	<p>The Audit Scope includes the production of Aluminium components at the design and production of Aluminium alloy profiles and related management activities of Guangdong Jianmei Aluminum Profiles (Group) Co., Ltd., located in Xiaotang Nanhai Nonferrous Metals Industrial Park, Shishan Town, Nanhai District, Foshan City, Guangdong Province, China.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">Aluminium Re-melting/RefiningCasthousesPost-Casthouse <p>All relevant Criteria from the ASI Chain of Custody Standard were included in the Audit Scope.</p>
AUDIT OUTCOME	Certification
AUDIT METHODOLOGY DECLARATION	<p>The Auditors confirm that:</p> <ul style="list-style-type: none"><input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this Report.<input checked="" type="checkbox"/> The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.

-
- ☑ The Audit Scope and Audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
 - ☑ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
-

CERTIFICATION PERIOD 12 May 2026 – 11 May 2029

NEXT AUDIT TYPE Surveillance Audit

NEXT AUDIT DUE DATE 11 November 2027

CERTIFICATE NUMBER 561



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Guangdong Jianmei Aluminum Profile Factory (Group) Co., Ltd. (the 'Entity') was established in 1993 and integrates the research, design, production and sales of Aluminium alloy building profiles, industrial profiles and Aluminium alloy doors, windows and curtain walls. The Entity is located in the Shixi Nonferrous Metals Industrial Park, Shishan Town, Nanhai District, Foshan City, Guangdong Province.

The Entity's production facilities cover an area of approximately 80 hectares (ha) and is equipped with 18 efficient and energy-saving melting and casting production lines, including 16 square-row horizontal hot-top casting Aluminium rod production equipment and seven hot-top gas-slide hydraulic casting Aluminium rod production equipment. It also has 62 multi-tonnage, multi-specification and high-efficiency automated extrusion production lines built with intelligent extrusion equipment imported from Italy, Germany and Japan. The Entity also operates a fully automatic warehouse, mould processing centre and deep processing centre.

The Entity's raw materials use includes both self-produced and purchased Aluminium rods. For 2025, the total output of the Entity was approximately 174,000 tonnes of casting products and approximately 272,000 tonnes of post-casting products, with the export portion accounting for approximately 15%.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

OVERALL	
SYSTEMS	Medium
RISKS	Medium
PERFORMANCE	Medium
OVERALL	MEDIUM

FINDINGS

CRITERION	RATING	COMMENT
1. MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI Membership	Conformance	The Entity became an ASI Member in the 'Production and Transformation' class in August 2025. The Entity commits to fulfilling all ASI membership obligations and conducting production and business activities in accordance with the ASI requirements.
1.2 CoC Management System	Conformance	<p>The Entity has established a corresponding Management System to meet the requirements of the ASI Chain of Custody (CoC) Standard, integrating various CoC management activities into other Management Systems including the ASI Performance Standard Management System, quality Management System, and environmental Management System.</p> <p>The Entity provides sufficient human and material resources to ensure the smooth implementation of CoC-related activities.</p>
1.3 CoC Management System Monitoring	Conformance	The Entity conducts periodic reviews of the CoC Management System in accordance with the ASI CoC Standard and implementation experience, addressing any non-conformities identified during system operation.
1.4 Management Representative	Conformance	The Entity has appointed a senior executive as the ASI Manager Representative, with their responsibilities clearly defined in an appointment letter and who is responsible for organizing and coordinating all matters related to ASI performance and the application of CoC Standard requirements.
1.5 Communications and Training	Conformance	The Entity's Human Resources Department has developed an annual training program aligned with the CoC Standard, organising relevant departments to conduct regular training on key aspects and processes of the CoC Management System.
1.6 Records Management	Conformance	The Entity mandates that CoC Management System operation records be retained for at least five years to facilitate system operation reviews and traceability.
1.7a Reporting to ASI (Inputs and Outputs of CoC Material)	Conformance	The Entity's ASI Management Manual specifies that it must submit the previous year's CoC material accounting information to the ASI Secretariat by June 30 each year. The Entity is undergoing initial CoC Certification and therefore has no Input or Output of CoC Material. The Entity commits to submitting the required CoC material accounting information to the ASI Secretariat annually after obtaining CoC Certification.
1.7b Reporting to ASI (Inputs and Outputs of Eligible Scrap)	Conformance	The Entity's ASI Management Manual specifies that it must submit the previous year's CoC material accounting information to the ASI Secretariat by June 30 each year. The Entity is undergoing initial CoC Certification and therefore has no Input or Output of CoC Material. The Entity commits to submitting the required CoC material accounting information, including Inputs and Outputs of Eligible Scrap, where applicable to the ASI Secretariat annually after obtaining CoC Certification.

CRITERION	RATING	COMMENT
1.7c Reporting to ASI (Inflows and Outflows of Non-CoC Material)	Conformance	The Entity's ASI Management Manual specifies that it must submit the previous year's CoC material accounting information to the ASI Secretariat by June 30 each year. The Entity is undergoing initial CoC Certification and therefore has no Input or Output of CoC Material. The Entity commits to submitting the required CoC material accounting information, including Inflows and Outflows of Non-CoC Material, where applicable to the ASI Secretariat annually after obtaining CoC Certification.
1.7d Reporting to ASI (Positive Balance carried over)	Conformance	The Entity's ASI Management Manual specifies that it must submit the previous year's CoC material accounting information to the ASI Secretariat by June 30 each year. The Entity is undergoing initial CoC Certification and therefore has no Input or Output of CoC Material. The Entity commits to submitting the required CoC Material accounting information, including Positive Balance carried over (If any) to the ASI Secretariat annually after obtaining CoC Certification.
1.7e Reporting to ASI (Positive Balance used)	Conformance	The Entity's ASI Management Manual specifies that it must submit the previous year's CoC material accounting information to the ASI Secretariat by June 30 each year. The Entity is undergoing initial CoC Certification and therefore has no Input or Output of CoC Material. The Entity commits to submitting the required CoC Material accounting information, including any Positive Balance used to the ASI Secretariat annually after obtaining CoC Certification.
1.7f Reporting to ASI (Internal Overdraw drawn down)	Conformance	The Entity's ASI Management Manual specifies that it must submit the previous year's CoC material accounting information to the ASI Secretariat by June 30 each year. The Entity is undergoing initial CoC Certification and therefore has no Input or Output of CoC Material. The Entity commits to submitting the required CoC Material accounting information, including any Internal Overdraw drawn down (if applicable) to the ASI Secretariat annually after obtaining CoC Certification.
1.7g Reporting to ASI (Intra-Entity Flows)	Conformance	The Entity's ASI Management Manual specifies that it must submit the previous year's CoC material accounting information to the ASI Secretariat by June 30 each year. The Entity is undergoing initial CoC Certification and therefore has no Input or Output of CoC Material. The Entity commits to submitting the required CoC Material accounting information, including Intra-Entity Flows to the ASI Secretariat annually after obtaining CoC Certification.

2. OUTSOURCING CONTRACTORS

2.1 Certification Scope	Not Applicable	This Criterion is not applicable to the Entity, as it does not have Outsourcing Contractors included within the Certification Scope.
2.2a Control of CoC Material (Legal ownership or control)	Not Applicable	This Criterion is not applicable to the Entity, as it does not have Outsourcing Contractors included within the Certification Scope.
2.2b Control of CoC Material (No further outsourcing)	Not Applicable	This Criterion is not applicable to the Entity, as it does not have Outsourcing Contractors included within the Certification Scope.

CRITERION	RATING	COMMENT
2.2c Control of CoC Material (Risk assessment)	Not Applicable	This Criterion is not applicable to the Entity, as it does not have Outsourcing Contractors included within the Certification Scope.
2.3 Information on Quantity of CoC Material Output and Returned	Not Applicable	This Criterion is not applicable to the Entity, as it does not have Outsourcing Contractors included within the Certification Scope.
2.4 Consistency in Inflow and Outflow Quantity of CoC Material to/from Outsourcing Contractor	Not Applicable	This Criterion is not applicable to the Entity, as it does not have Outsourcing Contractors included within the Certification Scope.
2.5 Error (Outsourcing Contractor)	Not Applicable	This Criterion is not applicable to the Entity, as it does not have Outsourcing Contractors included within the Certification Scope.

3. PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI ALUMINIUM

3.1a ASI Bauxite (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1b ASI Bauxite (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1c ASI Bauxite (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2a ASI Alumina (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2b ASI Alumina (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2c ASI Alumina (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3b ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3c ASI Aluminium (Alumina sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

4. RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP

4.1a Recycled Aluminium (CoC Certification Scope)	Conformance	The Entity's ASI Management Manual and management procedures prescribe that Recycled Aluminium must be produced exclusively by CoC Certified Entities. The CoC Certification Scope of the Entity includes its remelting/refining activities for Recycled Aluminium production.
---	-------------	--

CRITERION	RATING	COMMENT
4.1b Recycled Aluminium (Performance Standard)	Conformance	The Entity's ASI Management Manual and management procedures prescribe that Recycled Aluminium can only be produced in facilities certified to the ASI Performance Standards. The Entity's ASI Performance Standard Certification Scope includes its remelting/refining activities used for Recycled Aluminium production.
4.2a Eligible Scrap (Pre-Consumer)	Conformance	The Entity has established and implemented a supplier Due Diligence procedure to evaluate potential suppliers of Pre-Consumer Scrap for ASI Aluminium. At present, the Entity does not recycle Pre-Consumer Scrap.
4.2b Eligible Scrap (Post-Consumer)	Conformance	The Entity recycles Post-Consumer Scrap as Eligible Scrap and conducts Due Diligence on suppliers in accordance with its Due Diligence procedures.
4.2c Eligible Scrap (Dross)	Conformance	The Entity's Aluminium ash refining facility does not source Aluminium ash or other refining materials from external suppliers.
4.3a Records Management for Direct Suppliers of Recyclable Scrap Material (Suppliers)	Conformance	The Entity has developed and implemented a Supplier ASI Performance Survey Form to record information including the identity, responsible person, and operational location of direct suppliers of recyclable waste.
4.3b Records Management for Direct Suppliers of Recyclable Scrap Material (Financial transactions)	Conformance	The Entity's purchase orders and payment vouchers for recycling waste materials from suppliers comply with legal requirements, with payments made through electronic transactions, and not in cash.
5. CASTHOUSES: CRITERIA FOR ASI ALUMINIUM		
5.1a ASI Aluminium (CoC Certification Scope)	Conformance	The foundry of the Entity is included in this CoC Certification Scope. Products manufactured by the foundry can be traced within its own production system.
5.1b ASI Aluminium (Performance Standard)	Conformance	The foundry of the Entity is included in the ASI Performance Standard Certification Scope. Products manufactured by the foundry can be traced within its own production system.
5.1c ASI Aluminium (Aluminium sourcing)	Not Applicable	This Criterion is not applicable to the Entity, as it has not currently procured ASI Aluminium materials. In future any purchased materials will be included in the material balance accounting table.
5.2 Unique Identification	Conformance	The Entity has established and implemented an accounting and labelling system for marking the Aluminium product output of the melting and casting plant. Currently, all Aluminium products manufactured by the Entity's smelting and casting facilities are labelled with production batch numbers to ensure traceability.
6. POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM		
6.1a Post-Casthouse ASI Aluminium (CoC Certification Scope)	Conformance	The Entity's post-melting processing workshop is included in this CoC Certification Scope. Products manufactured by the foundry can be traced within its own production system.

CRITERION	RATING	COMMENT
6.1b Post-Casthouse ASI Aluminium (Performance Standard)	Conformance	The Entity has established an ASI Management System and related procedures to control its production processes, with the commitment to obtain certification related to ASI Performance Standard.
6.1c Post-Casthouse ASI Aluminium (Aluminium sourcing)	Not Applicable	This Criterion is not applicable to the Entity, as it procures all ASI Aluminium from its own melt casting workshop within the CoC Certification Scope, rather than from other CoC Certified Entities or traders.

7. DUE DILIGENCE FOR NON-COC MATERIAL, COC MATERIAL ACQUIRED THROUGH A TRADER AND RECYCLABLE SCRAP MATERIAL

7.1a Responsible Sourcing Policy (Anti-corruption)	Conformance	The Entity has established and implemented a Responsible Procurement Policy, which has been communicated to relevant suppliers. Suppliers have been surveyed and evaluated, including an assessment of anti-Corruption guidelines. The Entity's supplier Due Diligence evaluation report includes anti-Bribery clauses.
7.1b Responsible Sourcing Policy (Responsible sourcing)	Conformance	The Entity has developed and implemented procurement policies, including assessments of responsible procurement. The Entity conducts investigations and evaluations of suppliers. The procurement contracts signed with suppliers include an annex that covers requirements related to anti-Bribery, Human Rights, and environmental aspects.
7.1c Responsible Sourcing Policy (Human Rights Due Diligence)	Conformance	The Entity establishes and implements procurement control procedures, conducting thorough investigations and evaluations of suppliers prior to procurement. The procurement contract includes additional requirements including anti-Bribery, Human Rights, environmental, and the ASI Performance Standard.
7.1d Responsible Sourcing Policy (Conflict-Affected and High-Risk Areas)	Conformance	The Entity establishes and implements procurement control procedures, conducting thorough investigations and evaluations of suppliers prior to procurement. The procurement contract includes additional requirements including anti-Bribery, Human Rights, environmental, and the ASI Performance Standard. No suppliers of the Entity are located in Conflict-Affected and High-Risk Areas.
7.2 Risk Assessment and Mitigation	Conformance	The Entity has conducted Due Diligence assessments on all suppliers, including Aluminium ingot, alloy, and Scrap suppliers, and performed risk evaluations to determine their qualification status. Additionally, the Entity undertakes annual second-party audits of suppliers, resulting in supplier audit reports.
7.3 Complaints Resolution Mechanism	Conformance	The Entity has established a complaint handling mechanism for collecting, processing, and responding to complaints from all relevant parties. It tracks and monitors complaints, ensuring timely acceptance and resolution.

8. MASS BALANCE SYSTEM: COC MATERIAL AND ASI ALUMINIUM

8.1 Material Accounting System	Conformance	The Entity has established a Material Accounting System to ensure that, within the Certification Scope and during the Material Accounting Period, the total Output of CoC Materials and/or Eligible Scrap does not exceed the proportion of Input CoC Material and/or Eligible Scrap.
--------------------------------	-------------	---

CRITERION	RATING	COMMENT
8.2 Material Accounting Period	Conformance	The ASI Management Manual specifies the accounting cycle as January to December each year, whilst the ASI Material Balance Accounting Table also adopts a calendar year as the statistical cycle.
8.3 Input and Inflow Quantities	Conformance	The Entity has established procedures to record the Quantity of CoC Material and Eligible Scrap Input during each Material Accounting Period, as well as the Inflow of Non-CoC Materials and Recyclable Scrap within the Certification Scope. The inflow of Eligible Scrap and Recyclable Scrap should be assessed based on Aluminium content.
8.4 Output Quantities of CoC Material	Conformance	The Entity has established a quality balance system. During Material Accounting Periods, the CoC Material Input Percentage is calculated as: $(\text{CoC Material Input Quantity}) / (\text{CoC Material Input Quantity} + \text{Non-CoC Material Input Quantity})$.
8.5 Indivisibility of CoC Material	Conformance	The Entity's ASI Management Manual specifies that the Output of CoC Material may constitute only a portion of total production volume yet must still be classified as 100% CoC Material.
8.6 Output Quantity of Eligible Scrap	Conformance	The Entity's ASI Management Manual specifies that for waste materials generated during production processes, if the Entity wishes to designate a specific proportion as Eligible Scrap, it shall use the same percentage to determine the Output of ASI Aluminium during the Material Accounting Period.
8.7 Consistency Between Input Percentage and Total Output	Conformance	The Entity's ASI Management Manual specifies that during a Material Accounting Period, it must ensure that the Output of CoC Material and/or Eligible Scrap does not exceed the Input Percentage of CoC Material and/or Eligible Scrap in terms of proportion.
8.8a Internal Overdraw (Not exceed 20%)	Conformance	The Entity's ASI Management Manual specifies that Internal Overdrafts shall not exceed 20% of the total CoC Material input volume during the accounting period. The Entity did not have any overdrafts in 2025.
8.8b Internal Overdraw (Not exceed force majeure situation)	Conformance	The Entity's ASI Management Manual specifies that Internal Overdrafts shall not exceed 20% of the total CoC Material input volume during the accounting period. The Entity did not have any overdrafts in 2025.
8.8c Internal Overdraw (Made up within subsequent Material Accounting Period)	Conformance	The Entity's ASI Management Manual specifies that Internal Overdrafts shall be replenished during the subsequent Material Accounting Period. At the time of the Audit, CoC Material has not been traded.
8.9a Positive Balance (Carry over)	Conformance	The Entity's ASI Management Manual specifies that if CoC Material shows a Positive Balance at the end of an accounting period, it may be carried forward to the next period. The Material Accounting System will automatically identify and mark such forward carry-over items. At the time of the Audit, CoC Material has not been traded.
8.9b Positive Balance (Expiry)	Conformance	The Entity's ASI Management Manual specifies that any Positive Balance generated during an accounting period and carried forward to subsequent Material Accounting Periods shall expire at the end of the year if not utilised in the following period. At the time of the Audit, CoC Material has not been traded.

CRITERION	RATING	COMMENT
9. ISSUING COC DOCUMENTS		
9.1 CoC Document	Conformance	The Entity's ASI Management Manual specifies that CoC Documents shall be sent with each batch of CoC Material to other CoC Certified Entities or Traders. At the time of the Audit, CoC Material has not been traded.
9.2a CoC Document Content (Date of issue)	Conformance	The Entity's CoC Document template includes the issuance date. At the time of the Audit, CoC Material has not been traded.
9.2b CoC Document Content (Reference number)	Conformance	The Entity's ASI Management Manual specifies that the CoC Document reference number must be linked to the Entity's Material Accounting System and be traceable and verifiable.
9.2c CoC Document Content (Issuing Entity)	Conformance	The Entity's ASI Management Manual specifies that the Document must include the Entity's name, address, and CoC Certification Number.
9.2d CoC Document Content (Receiving customer)	Conformance	The Entity's ASI Management Manual specifies that the CoC Document must include the recipient's name and address. If the recipient is a CoC Certified Entity, their CoC Certification number must also be provided.
9.2e CoC Document Content (Responsible employee)	Conformance	The Entity's ASI Management Manual specifies that the CoC Document must include detailed information about the Entity's responsible person, who is required to verify the information before sending the CoC Document to the client. A CoC Document has not yet been issued.
9.2f CoC Document Content (Conformance statement)	Conformance	The Entity's ASI Management Manual specifies that all issued CoC Documents shall include a Confirmation Statement "indicating that the information provided in the CoC Documents complies with ASI CoC Standard. A CoC Document has not yet been issued.
9.2g CoC Document Content (Type of CoC Material)	Conformance	The Entity's ASI Management Manual specifies that the CoC Document must include the type of CoC Material contained in the goods. A CoC Document has not yet been issued.
9.2h CoC Document Content (Mass of CoC Material)	Conformance	The Entity's ASI Management Manual specifies that all issued CoC Documents must include the quantity of all CoC Material to be shipped. A CoC Document has not yet been issued.
9.2i CoC Document Content (Mass of total material)	Conformance	The Entity's ASI Management Manual specifies that all issued CoC Documents must include the total quantity of goods to be shipped. A CoC Document has not yet been issued.
9.3a Sustainability Data (optional) - Carbon footprint	Conformance	The Entity's ASI Management Manual specifies that the CoC Document shall include relevant Sustainability Data, including the average carbon footprint of CoC Material and the accounting methodology. At the time of the Audit, no CoC Material has been delivered .

CRITERION	RATING	COMMENT
9.3b Sustainability Data (optional) - Origin information	Conformance	The Entity's ASI Management Manual specifies that the CoC Document shall include relevant Sustainability Data, such as information supporting Aluminium sources. At the time of the Audit, no CoC Material has been delivered.
9.3c Sustainability Data (optional) - Recycled content	Conformance	The Entity's ASI Management Manual specifies that CoC Documents shall include corresponding Sustainability Data, such as the Aluminium recovery content of CoC Material, encompassing both Pre-Consumer and Post-Consumer Scrap. At the time of the Audit, no CoC Material has been delivered.
9.3d Sustainability Data (optional) - Post-Casthouse ASI Certification status	Conformance	The Entity's ASI Management Manual specifies that the CoC Document shall include relevant Sustainability Data, such as the certification status of ASI Performance Standard for the Entity and/or facility issuing the CoC Document.
9.4 Supplementary Information (optional) - Objective evidence	Conformance	The Entity's ASI Management Manual specifies that if the CoC Document includes Supplementary Information regarding the Entity or CoC Material, objective evidence must be provided. This includes ISO Standards, ASI Certifications, and packing lists.
9.5 Verification of Information	Conformance	The Entity's ASI Management Manual specifies it is responsible for responding to information validation requests specified in the CoC Documents and providing support to relevant parties. Corresponding procedures have been established, with designated personnel assigned for this task.
9.6 Error (Shipping)	Conformance	The Entity's ASI Management Manual and Corrective and Preventive Action Procedure specifies that if an error occurs, the cause should be analysed, corrective actions should be implemented, and corrective measures should be taken to prevent recurrence.

10. RECEIVING COC DOCUMENTS

10.1 Verification of CoC Documents	Conformance	The Entity has established procedures to verify that the received CoC Documents include the required information.
10.2 Verification of Consistency Between CoC Documents and CoC Material	Conformance	The Entity's ASI Management Manual specifies that after verifying the consistency between the CoC Documents and the provided materials or products, the Entity shall record this information in its Material Accounting System as input for CoC Material.
10.3 Verification of Supplier's ASI CoC Certification	Conformance	The Entity has established procedures to conduct regular checks on the ASI website, verifying the supplier's ASI CoC Certification Status and identifying any changes.
10.4 Error (Reception)	Conformance	The Entity's ASI Management Manual and Corrective and Preventive Action Procedure Document specify that if an error occurs, the cause should be analysed, corrective actions should be implemented, and corrective measures should be taken to prevent recurrence.

11. CLAIMS AND COMMUNICATIONS

CRITERION	RATING	COMMENT
11.1a Claims and Communications (ASI Claims Guide)	Conformance	The Entity's ASI Management Manual prescribes that all declarations must comply with ASI-related Standards and align with the ASI Claims Guide, specifying relevant responsibilities and authorities. At the time of the Audit, no declarations have been found to violate ASI requirements.
11.1b Claims and Communications (Verifiable evidence)	Conformance	The Entity's ASI Management Manual, including the Declaration Management Procedure and Document and Record Procedure, specifies that all declarations must be supported by verifiable evidence.
11.1c Claims and Communications (Employee training)	Conformance	The Entity has provided employees with training on the ASI Standards and ASI declaration (claims) guidelines, and has retained employee training records.

ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable law, or will avoid any Breach from occurring.

DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	12 May 2026	Initial Certification Audit – Full Certification