

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

Mercedes-Benz Group AG

CERTIFICATE
NUMBER

181

ASI STANDARD

PERFORMANCE
STANDARD
(V3.1 2023)

DATE OF ISSUE

31 MAY 2025

CERTIFICATION LEVEL

FULL
CERTIFICATION

DATE OF EXPIRY

31 MAY 2028

ASI ACCREDITED
AUDITING FIRM

GUTCERT (AFNOR
GROUP)

CERTIFIED SINCE

31 MAY 2022

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H.', with a long horizontal line extending to the right.

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*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at
www.aluminium-stewardship.org*

CERTIFICATION SCOPE

Mercedes-Benz Cars Press Shops
Europe for Aluminium Parts at the
Kuppenheim, Sindelfingen, Bremen
and Hamburg plants in Germany
and the Kecskemét plant in
Hungary.

AUDIT REPORT PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	Mercedes-Benz Group AG
ENTITY NAME	Mercedes-Benz Group AG
CERTIFICATION SCOPE	Mercedes-Benz Cars Press Shops Europe for Aluminium Parts at the Kuppenheim, Sindelfingen, Bremen and Hamburg plants in Germany and the Kecskemét plant in Hungary.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">Material Conversion – Principles 1 to 4 (transition)
ASI STANDARD	<ul style="list-style-type: none">Performance Standard V3.1
AUDIT TYPE	<ul style="list-style-type: none">Initial Certification Audit (8 – 12 November 2021)Surveillance Audit (24 April 2024)Re-Certification Audit and Scope Change (7 – 13 May 2025)
AUDIT FIRM	GUTcert (AFNOR Group)
AUDIT DATE	<ul style="list-style-type: none">8 – 12 November 2021 (Initial Certification Audit)24 April 2024 (Surveillance Audit)7 – 13 May 2025 (Re-Certification Audit and Scope Change)
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">21 January 2022 (Initial Certification Audit)26 June 2024 (Surveillance Audit)19 September 2025 (Re-Certification Audit and Scope Change)
AUDIT SCOPE	<p><u>Initial Certification Audit (8 – 12 November 2021)</u></p> <p>The Audit Scope includes Mercedes-Benz Cars Press Shops Europe for Aluminium Parts at the Kuppenheim, Sindelfingen, Bremen and Hamburg plants in Germany and the Kecskemét plant in Hungary.</p> <p>The Supply Chain Activities included in the Audit scope:</p> <ul style="list-style-type: none">Material Conversion (Industrial User) <p>All Criteria from Principle 4 Material Stewardship in the ASI Performance Standard were included in the Audit Scope.</p> <p>At the time of the audit access to all the sites was not possible, due to COVID-19 related travel restrictions. The audit at Sindelfingen and Kuppenheim plants was undertaken on-site, whilst the Hamburg, Bremen and Kecskemét plants were audited remotely, in accordance with ASI Interim Policy regarding Audits, Audit-Related Travel and Coronavirus (v4), and included a remote review of relevant documentation.</p>

Surveillance Audit (24 April 2024)

The Audit Scope includes Mercedes-Benz Cars Press Shops Europe for Aluminium Parts at the Kuppenheim, Sindelfingen, Bremen and Hamburg plants in Germany and the Kecskemét plant in Hungary.

The Supply Chain Activities included in the Audit Scope:

- Material Conversion (Industrial User)

Re-Certification Audit and Scope Change (7 – 13 May 2025)

The Audit Scope includes Mercedes-Benz Cars Press Shops Europe for Aluminium Parts at the Sindelfingen plant in Germany and the Kecskemét plant in Hungary.

The Supply Chain Activities included in the Audit Scope:

- Material Conversion – Principles 1 to 4 (transition)

The ASI multi-site sampling approach was undertaken for the sites listed.

All applicable criteria from Principles 1 to 4 in the ASI Performance Standard were included in the Audit Scope.

AUDIT OUTCOME

- Certification

AUDIT METHODOLOGY DECLARATION

The Auditors confirm that:

- The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
- The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
- The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
- The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

CERTIFICATION PERIOD

31 May 2025 - 31 May 2028

NEXT AUDIT TYPE

Re-Certification Audit

NEXT AUDIT DATE

31 May 2028

CERTIFICATE NUMBER

181



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

The Mercedes-Benz Group (the 'Entity') has a long-standing tradition that dates back to the inventors of the automobile, Gottlieb Daimler and Carl Benz, and is shaped by pioneering achievements in automotive engineering. The company is among the leading providers of high-end passenger cars and premium vans. The Mercedes-Benz brand was officially established in 1926, following the merger of Benz & Cie. and Daimler-Motoren-Gesellschaft (DMG).

Mercedes-Benz AG, headquartered in Stuttgart, Germany, is responsible for the global business of Mercedes-Benz passenger cars and vans, employing over 175,000 people worldwide. The company focuses on the development, production, and sales of premium and luxury vehicles, as well as related services. In 2024, approximately 2.4 million vehicles were sold worldwide.

The Certification covers the Mercedes-Benz Cars press shops in Kuppenheim, Sindelfingen, Bremen, Hamburg (Germany), and Kecskemét (Hungary). These plants manufacture high-quality body exterior and structural components, as well as axle and exhaust system parts made from Aluminium and steel. They supply all European assembly plants – and in some cases worldwide – with components for the entire vehicle portfolio, ranging from compact cars to luxury-class vehicles. The press shops operate highly automated stamping lines that process Aluminium sheets through cutting, stamping, and forming.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	Medium	Medium	Medium	MEDIUM
RISKS	High	Medium	Medium	MEDIUM
PERFORMANCE	High	Medium	High	HIGH
OVERALL	MEDIUM			

FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	<p>As a part of the Mercedes-Benz Group, the Entity has established a structured compliance Management System and developed and implemented Policies, systems, procedures, and processes to maintain awareness of, and compliance with legal requirements applicable to its operations covering environmental, social and governance facets. Legal compliance is organised at both the corporate and site levels.</p> <p>Legal developments are closely monitored in the countries where the Entity operates, and the Group is connected to a variety of professional associations and working groups (e.g., German Industry Association (BDI), Association of the German Car Manufacturers (VDA)). The Group has a dedicated department for product certification in place.</p>
1.2 Anti-Corruption	Conformance	<p>The Entity as part of the Mercedes-Benz Group has established a compliance Management System to combat Corruption, including policies, training, risk assessments and a 'whistleblower' line (as Business Process Outsourcing (BPO)), accessible via: https://group.mercedes-benz.com/sustainability/society-governance/compliance-integrity/bpo.html</p> <p>A Group-wide Code of Conduct ('Integrity Code') is in place and is available at: https://group.mercedes-benz.com/documents/company/compliance/mercedes-benz-group-ag-integrity-code.pdf</p> <p>A dedicated compliance organisation oversees the Group's integrity efforts. Integrity Champions are active at every location. The Entity's employees participate in mandatory governance training. For more information, refer to: https://group.mercedes-benz.com/sustainability/society-governance/compliance-integrity/no-corruption.html</p>
1.3a-e Code of Conduct	Conformance	<p>As part of the Mercedes-Benz Group, the Entity has issued, communicated, and implemented its Code of Conduct (Integrity Code), including principles relevant to environmental, social, and governance performance. The Code is available at: https://group.mercedes-benz.com/documents/company/compliance/mercedes-benz-group-ag-integrity-code.pdf</p>
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Conformance	<p>As part of the Mercedes-Benz Group, the Entity has issued and communicated its commitment to Environmental, Social and Governance (ESG) principles and its related Policies in a variety of documents. The Entity has implemented Structured Management Systems, addressing ESG across its operations.</p> <p>Further information on Mercedes-Benz Group's Management Systems is available at: https://group.mercedes-benz.com/sustainability/society-governance/compliance-integrity/compliance-management-system.html</p>

CRITERION	RATING	COMMENT
		<p>https://group.mercedes-benz.com/sustainability/environment-climate/environmental-management</p> <p>https://group.mercedes-benz.com/sustainability/human-rights/principles</p>
2.2a-c Leadership	Conformance	<p>A Mercedes-Benz Group's Board Member who is responsible for sustainability across the Group has been assigned the overall responsibility and authority for ensuring conformance with the ASI Performance Standard and providing sufficient resources to support its implementation. Specific responsibilities and authority, including the implementation and communication of the Policies are delegated to site-level management. During the Audit, the Entity provided evidence that resources for the implementation are provided (e.g. certified management systems, organisational structures, and financial budget).</p>
2.3a Environmental and Social Management Systems - Environmental	Conformance	<p>The Entity has implemented an Environmental Management System, ensuring compliance with ISO 14001 and the European Eco-Management and Audit Scheme (EMAS) across all certified sites. The German sites are also certified according to ISO 50001 (Energy Management System).</p> <p>Details are available at: https://group.mercedes-benz.com/nachhaltigkeit/umwelt-klima/umweltmanagement/umwelterklaerungen.html</p>
2.3b Environmental and Social Management Systems - Social	Conformance	<p>The Entity is committed to the principles of social responsibility and Human Rights and has implemented a Social Compliance Management System. Refer to the Mercedes-Benz Principles of Social Responsibility and Human Rights, available at: https://group.mercedes-benz.com/sustainability/human-rights/principles).</p> <p>Systems, processes, and procedures are in place to monitor and evaluate its social management performance.</p>
2.4a-e Responsible Sourcing	Conformance	<p>As part of the Mercedes-Benz Group, the Entity has issued and publicly communicated its Responsible Sourcing Standard, which integrates environmental, social and governance principles into its procurement strategy. It is consistent with the principles of the ASI Performance Standard. The Responsible Sourcing Standards is subject to regular review and is available at: https://supplier.mercedes-benz.com/docs/DOC-2672</p> <p>The Entity's implementation of this Policy is considered robust.</p>
2.5a-g Environmental and Social Impact Assessments	Not Applicable	<p>This Criterion is not applicable to the Entity, as there have been no New Projects or Major Changes to the existing Facilities within the Certification Scope since they joined as an ASI member which would require a related impact assessment, and there are not any currently planned.</p> <p>In accordance with legal requirements, a systematic approach to assess environmental impacts has been established, however. This was demonstrated on a project outside the scope of the Audit.</p>
2.6a-h Human Rights Impact Assessment	Not Applicable	<p>This Criterion is not applicable to the Entity, as there have been no New Projects or Major Changes to the existing Facilities within the</p>

CRITERION	RATING	COMMENT
		<p>Certification Scope since they joined as an ASI member which would require a related impact assessment, and there are not any currently planned.</p> <p>In accordance with legal requirements, a systematic approach to assess environmental impacts has been established, This was demonstrated on a project outside the scope of the Audit.</p>
2.7a-f Emergency Response Plan	Conformance	The Entity has implemented a structured emergency preparedness and response system, covering occupational safety, corporate security, and crisis management. The emergency plans were developed in collaboration with relevant Stakeholders such as the fire brigades. The plans are made available to relevant Stakeholders upon request. The Entity's sites currently hold valid ISO 14001 Certificates and are Eco-Management and Audit Scheme (EMAS) validated. All except one site are also certified to ISO 45001.
2.8a-d Suspended Operations	Conformance	The Entity has implemented procedures and contingency plans to ensure business continuity and risk mitigation in cases of suspended operations. The Entity has established Policies, defined emergency roles, and developed scenario-based business continuity plans to address operational disruptions effectively.
2.9a-b Mergers and Acquisitions	Conformance	At the time of the Audit, the Entity was not in a post-merger or acquisition phase. However, Mergers and acquisitions are not managed at the local level but by the Entity's corporate headquarters where a process and procedure are in place. The Mercedes-Benz Group systematically reviews environmental, social and governance issues as part of its planning and Due Diligence processes.
2.10a-b Closure, Decommissioning and Divestment	Conformance	At the time of the Audit, no plan for closure, decommissioning or divestment of either parts of, or the entire Entity was announced. Closure, Decommissioning and Divestments (CDD) are not managed at the local level but determined at the business unit level and supported by Mercedes-Benz' corporate property management. At that level, processes and procedures are in place to systematically review environmental, social and governance issues related to CCD of a site.
3. TRANSPARENCY		
3.1a-b Sustainability Reporting	Conformance	<p>As part of the Mercedes-Benz Group, the Entity reports annually on its governance approach to environmental, social and economic impacts and its impacts related to ASI Performance Standard principles in the Mercedes-Benz Sustainability Report (see https://group.mercedes-benz.com/sustainability/sustainability-report.html) and the Entity's sites publish annual Environmental Statements according to EMAS (European Eco-Management and Audit Scheme) (see https://group.mercedes-benz.com/sustainability/environment-climate/environmental-management/environmental-statements.html).</p> <p>Further information is available at:</p> <p>ESG at Mercedes-Benz: https://group.mercedes-benz.com/investors/esg/</p>

CRITERION	RATING	COMMENT
		Taking Responsibility for Raw Material Supply Chains: https://group.mercedes-benz.com/sustainability/human-rights/supply-chains/raw-materials-report.html ¶
3.2 Non-compliance and Liabilities	Conformance	As part of the Mercedes-Benz Group, the Entity publishes an annual report that publicly discloses information on significant legal proceedings, fines, judgments, penalties, and non-monetary sanctions for failure to comply with Applicable Law, following Global Reporting Initiative (GRI) 419-1 guidelines. Refer to the Mercedes-Benz Group Annual Report 2024 which including combined Management Report MBG AG, pages 107, 212, 242, and 374: https://group.mercedes-benz.com/documents/investors/reports/annual-report/mercedes-benz/mercedes-benz-annual-report-2024-incl-combined-management-report-mbg-ag.pdf
3.3a-c Payments to Governments	Conformance	As part of the Mercedes-Benz Group, the Entity has regulated its contributions to political recipients. Donations and sponsorships were audited by an independent auditor. Donations and sponsorships for the 2024 reporting year with potential political influence are published in the Annual Report 2024. See Mercedes-Benz Group Annual Report 2024 including combined management report MBG AG; page 244: https://group.mercedes-benz.com/documents/investors/reports/annual-report/mercedes-benz/mercedes-benz-annual-report-2024-incl-combined-management-report-mbg-ag.pdf
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	As part of the Mercedes-Benz Group, the Entity has implemented and communicated its complaints resolution mechanism. A key instrument to enable Stakeholders worldwide to report legal and regulatory violations is the Group's whistleblower system the Business & People Protection Office (BPO). The BPO whistleblower system and the Group's handling of legal and regulatory violations are described in detail in the 'Governance Information' chapter under 'Corporate Culture' in Annual Report 2024. See Mercedes-Benz Group Annual Report 2024, page 242: https://group.mercedes-benz.com/documents/investors/reports/annual-report/mercedes-benz/mercedes-benz-annual-report-2024-incl-combined-management-report-mbg-ag.pdf Additional information and the list of different reporting channels and contacts can be accessed at: https://group.mercedes-benz.com/nachhaltigkeit/gesellschaft-governance/compliance-integrtaet/bpo.html
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Conformance	Life Cycle Assessments (LCA) of the Entity's major Product lines have been undertaken, as confirmed by document review and the Entity's interviews with representatives. The Life Cycle Assessments of the Entity's passenger cars are publicly accessible through the Entity's website in German and English: https://group.mercedes-benz.com/verantwortung/nachhaltigkeit/klima-umwelt/umweltcheck https://group.mercedes-benz.com/responsibility/sustainability/climate-environment/environmental-check

CRITERION	RATING	COMMENT
		The LCAs are based on ISO 14040, 14044 and 14062 standards and are reviewed by an independent verifier.
4.1b-c Environmental Life Cycle Assessment - Disclosure	Conformance	<p>The Life Cycle Assessments (LCAs) for the Mercedes-Benz passenger cars has been undertaken. The LCAs (called Umweltcheck or Environmental Check) are publicly available on the Entity's website, in German and English. They address GHG emissions for Scopes 1, 2, and 3, utilising a 'cradle-to-grave' approach.</p> <p>The LCAs Reports are accessible at:</p> <p>https://group.mercedes-benz.com/verantwortung/nachhaltigkeit/klima-umwelt/umweltcheck</p> <p>https://group.mercedes-benz.com/responsibility/sustainability/climate-environment/environmental-check</p> <p>Underlying assumptions, including system boundaries are communicated in the LCA documents.</p>
4.2 Product Design	Conformance	<p>The Entity has based its Product design on recognised environmental standards, including ISO 14001 and ISO 14006. Following its sustainability strategy, the Entity works to decouple resource consumption from sales growth. Internal standards require the use of recycled materials, and the Entity works to 'close the loop' through the separation of alloys to reduce the demand for primary raw materials.</p> <p>The Entity's sustainability strategy, 'Ambition 2039', aims to achieve a net CO₂-neutral new car fleet over the vehicles' entire life cycle by 2039. Net carbon-neutral means that carbon emissions that are not avoided or reduced at Mercedes-Benz are compensated for by certified offsetting projects.</p> <p>Information on a project to support the Entity's actions to decarbonise its Aluminium supply chain is available at:</p> <p>German: https://group.mercedes-benz.com/verantwortung/nachhaltigkeit/klima-umwelt/hydro-gruenes-aluminium.html</p> <p>English: https://group.mercedes-benz.com/responsibility/sustainability/climate-environment/hydro-green-aluminium.html</p>
4.3a-b Aluminium Process Scrap	Conformance	<p>Aluminium is an important cost factor for the Entity, and incorporated into its sustainability engagement, the Entity continually works to minimise the scrap generated during production and collects almost 100% of Aluminium Process Scrap for recycling.</p> <p>The Entity works to improve Aluminium recycling through the separation of different alloys with 'state-of-the-art' separation technology or the avoidance of the use of different alloys by using the 'uni-alloy' approach. Feasibility studies have been undertaken, and project implementation is ongoing.</p>
4.4a-c Collection and Recycling of Products at End of Life - Material Conversion and other Manufacturing	Minor Non-Conformance	The Entity as part of the Mercedes-Benz Group actively seeks to improve the circularity of its products. For example, a hydrometallurgical battery recycling plant has been established in Kuppenheim (Germany), second-life projects for parts have commenced and the Group is co-operating with recycling companies to improve the re-integration of recycled materials. Within the

CRITERION	RATING	COMMENT
		<p>European Union (EU) and in certain other countries, there are clear legal requirements for recycling End-of-Life Vehicles (ELV).</p> <p>Whilst the Entity follows and meets these requirements, it does not however demonstrate that it has an effective specific collection and recycling strategy, including specific timelines, activities, and targets, for geographic regions outside the scope of existing ELV regulations.</p>
4.4d Collection and Recycling of Products at End of Life	Minor Non-Conformance	<p>In major markets, including China, EU, South Korea and the United States, the recycling of ELV is regulated by law. The Entity has already considered these requirements during the product development phase. The Entity provides dismantling information to ELV recyclers.</p> <p>The Entity as part of the Mercedes-Benz Group actively seeks to improve the circularity of its products. For example, a battery recycling plant has been established in Kuppenheim, Germany (see https://group.mercedes-benz.com/company/news/recycling-factory-kuppenheim.html).</p> <p>The Entity has also established and operates its own recycling system to remove certain materials from maintenance and repair shops. The Entity's engagement in regions with low enforcement of vehicle recycling regulations or lacking proper collection and recycling systems, however, is limited and needs to support accurate measurement and efforts to increase recycling rates in its respective markets.</p>
5. GREENHOUSE GAS EMISSIONS		
5.1a-b Disclosure of GHG Emissions and Energy Use	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2a Aluminium Smelter GHG Emissions Intensity - Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity - In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a-e GHG Emissions Reduction Plans	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.4 GHG Emissions Management	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6. EMISSIONS, EFFLUENTS AND WASTE		
6.1a-f Emissions to Air	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.2a-g Discharges to Water	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
6.3a-g Assessment and Management of Spills and Leakages	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.4a-b Public Disclosure of Spills and Leakages	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.5a-c Waste Management and Reporting	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7. WATER STEWARDSHIP		
7.1a-b Water Assessment and Disclosure	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7.2a-e Water Management	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8. BIODIVERSITY AND ECOSYSTEM SERVICES		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.4 Alien Species	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.5a-b Commitment to "No Go" in World Heritage Properties	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.6a-d Protected Areas	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
8.6e Protected Areas - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9. HUMAN RIGHTS		
9.1a-d Human Rights Due Diligence	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.2a-e Gender Equity and Women's Empowerment	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.3a-i Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4a Free, Prior, and Informed Consent (FPIC) - New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4b Free, Prior, and Informed Consent (FPIC) - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4c Free, Prior, and Informed Consent (FPIC) - Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.5a Cultural and Sacred Heritage - Identification	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.5b Cultural and Sacred Heritage - Impacts	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.7a-h Affected Populations and Organisations	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8a Conflict-Affected and High-Risk Areas - Strong Management Systems	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8b Conflict-Affected and High-Risk Areas - Identify and assess risks	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8c Conflict-Affected and High-Risk Areas - Strategy to respond to risks	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
9.8d Conflict-Affected and High-Risk Areas - Audit of due diligence	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8e Conflict-Affected and High-Risk Areas - Report annually	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.9 Security practice	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10. LABOUR RIGHTS		
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.1d Freedom of Association and Right to Collective Bargaining - Alternative means in context of Applicable Law	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.2a-c Child Labour	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.3a-c Forced Labour	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.4a-c Non-Discrimination	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.5 Communication and engagement	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.6a-g Violence and Harassment	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.7a-c Remuneration	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.8a-c Working Time	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.9a-b Informing Workers of Rights	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
11. OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Management System	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
11.2 Employee engagement on Health and Safety	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable Law, or will avoid any Breach from occurring.

DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	18 September 2025	Initial Certification Audit – Full Certification; Certification Expiry set for 31 May 2027 as per ASI Assurance Manual requirement for all Entities to be certified to the PS (PI-11) without exception by this date.
1	26 July 2024	Surveillance Audit
2	31 May 2025	Re-Certification Audit and Scope Change; Scope Change to Supply Chain Activities from 'Material Conversion (Industrial Users)' to 'Material Conversion – Principles 1 to 4 (transition)' and from V2 to V3.1 of the ASI Performance Standard.
3	6 May 2026	Extension to the Certification expiry date (from 31 May 2027) and revision to Next Audit Type to 'Surveillance Audit', consistent with ASI approval for extension to PS V3 PI-4 certifications