

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

Nanjing Yunhai Aluminum Co., Ltd.

CERTIFICATE NUMBER
529

ASI STANDARD
**PERFORMANCE
STANDARD
(V3.1 2023)**

CERTIFICATION LEVEL
**FULL
CERTIFICATION**

ASI ACCREDITED
AUDITING FIRM
**SGS-CSTC
STANDARDS
TECHNICAL SERVICES**

DATE OF ISSUE
15 APRIL 2026

DATE OF EXPIRY
14 APRIL 2029

CERTIFIED SINCE
15 APRIL 2026

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H.', with a long horizontal line extending to the right.

Aluminium Stewardship Initiative Ltd
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*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at
www.aluminium-stewardship.org*

CERTIFICATION SCOPE

The processes of melting, casting, cutting, homogenizing and profile extruding for the manufacture of Aluminium alloy ingots, bars and profiles at Nanjing Yunhai Aluminum Co., Ltd., Jiangsu Province, P.R. China.

AUDIT REPORT PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	Nanjing Yunhai Aluminum Co., Ltd.
ENTITY NAME	Nanjing Yunhai Aluminum Co., Ltd.
CERTIFICATION SCOPE	The processes of melting, casting, cutting, homogenizing and profile extruding for the manufacture of Aluminium alloy ingots, bars and profiles at Nanjing Yunhai Aluminum Co., Ltd., Jiangsu Province, P.R. China.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">Aluminium Re-melting/RefiningCasthousesSemi-Fabrication
ASI STANDARD	Performance Standard V3.1
AUDIT TYPE	<ul style="list-style-type: none">Initial Certification Audit
AUDIT FIRM	SGS-CSTC Standards Technical Services
AUDIT DATE	<ul style="list-style-type: none">19 – 21 November 2025
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">26 December 2025
AUDIT SCOPE	<p>The Audit Scope included the processes of melting, casting, cutting, homogenizing and profile extruding for the manufacture of aluminium alloy ingots, bars and profiles at Nanjing Yunhai Aluminum Co., Ltd., which located at Industrial Concentration Area, Dongping Street, Lishui District, Nanjing City, Jiangsu Province, P.R. China.</p> <p>The supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">Aluminium Re-melting/RefiningCasthousesSemi-Fabrication <p>All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.</p>
AUDIT OUTCOME	Certification
AUDIT METHODOLOGY DECLARATION	<p>The Auditors confirm that:</p> <ul style="list-style-type: none"><input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.<input checked="" type="checkbox"/> The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.

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- ☑ The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
 - ☑ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
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CERTIFICATION PERIOD 15 April 2026 – 14 April 2029

NEXT AUDIT TYPE Surveillance Audit

NEXT AUDIT DATE 14 October 2027

CERTIFICATE NUMBER 529



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Nanjing Yunhai Aluminum Co., Ltd. (the 'Entity') is a manufacturing enterprise wholly-owned by Baowu Magnesium Industry Technology Co., Ltd., and mainly engaged in non-ferrous metal smelting and rolling. It is located at the Industrial Concentration Area, Dongping Street, Lishui District, Nanjing City, Jiangsu Province, P.R. China. The Entity focuses on high-quality industrial Aluminium alloy cast rods, ingots, and extruded profiles, and the products are mainly applied to the fields of automotive light weighting, electronics and civil consumption.

The Entity has intelligent manufacturing equipment with three Aluminium alloy casting production lines, one Aluminium alloy ingot production line and seven Aluminium extrusion production lines, with an annual production capacity of 150,000 tonnes of high-performance Aluminium alloy rods, 50,000 tonnes of Aluminium alloy ingots, and 50,000 tonnes of Aluminium and magnesium extruded profiles.

The Entity has obtained certifications for SCS-RC Kingfisher, IATF 16949, ISO 9001, ISO 14001, ISO 45001 and other systems. Approximately 480 Workers are employed, including 40 women

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of Systems, Residual Risk and Performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	Medium	Medium	Medium	MEDIUM
RISKS	Medium	Medium	Medium	MEDIUM
PERFORMANCE	Medium	Medium	Medium	MEDIUM
OVERALL	MEDIUM			

FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Minor Non-Conformance	<p>The Entity has established a Laws and Regulations Collection and Compliance Evaluation Procedure that specifies the responsibilities, methods and timing for identifying and evaluating legal and regulatory Compliance applicable to environmental, social and governance topics.</p> <p>The Compliance evaluation report however does not address Applicable Law regarding carbon emissions.</p>
1.2 Anti-Corruption	Conformance	<p>The Entity has established a Business Ethics Control Procedure that prohibits Bribery in all business practices and transactions. The Responsible Procurement Policy also involves anti-Corruption issues, available at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/12/39b8b6d1-7ce9-42bd-8a61-b548e09185a0.pdf</p> <p>The Business Ethics Control Procedure and anti-Corruption Policies are communicated to and understood by employees and others acting on behalf of the Entity. The Entity provides different anonymous reporting channels, such as mail box and phone number, which can be found on the supervision notice board. The Entity has demonstrated that its anti-Corruption management system is effective.</p>
1.3a-e Code of Conduct	Conformance	<p>The Entity has published a Code of Conduct as part of the ASI Management Manual, available at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/23/8617b95b-70b1-417f-a721-376d13ff6138.pdf</p> <p>The Manual contains guidelines and policies related to Environmental, Social, and Governance (ESG) performance, which requires review and revision at least every five years.</p>
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Conformance	<p>The Entity has an integrated ESG Policy that includes various policies such as the Environmental Protection Policy, Occupational Health and Safety Policy, and Human Rights Policy. The Entity's Chief Executive Officer (CEO) has approved each of the Policies. Review of the ESG Policy is undertaken as part of the annual management review. The ESG Policy is available at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/23/d062744c-8deb-4cce-afe7-2d2ff6dc606e.pdf</p>
2.2a-c Leadership	Conformance	<p>The Entity has established a team for ASI implementation and identified all responsible persons for the different clauses of the ASI Performance Standard. The CEO has the overall responsibility for implementation.</p>
2.3a Environmental and Social Management Systems – Environmental	Conformance	<p>The Entity has implemented and documented an Environmental Management System and holds a valid ISO 14001:2015 certificate.</p>

CRITERION	RATING	COMMENT
2.3b Environmental and Social Management Systems – Social	Conformance	The Entity has implemented a Social Management System. Social impacts and relevant risks such as Human Rights and Occupational Health and Safety are identified and assessed. The Entity operates under its Social Responsibility Management System to mitigate social responsibility risks and updates the system in response to Stakeholder requirements.
2.4a-e Responsible Sourcing	Conformance	The Entity has implemented a Responsible Sourcing Policy covering environmental, social and governance issues, and provided evidence of communicating its responsible procurement guidelines with suppliers. The Entity's Responsible Sourcing Policy is disclosed on the website, available at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/12/39b8b6d1-7ce9-42bd-8a61-b548e09185a0.pdf
2.5a-g Environmental and Social Impact Assessments	Conformance	The Entity has established a New, Modified, Expanded, and Relocated Project Management Procedure for New Projects and Major Changes. The Entity has implemented the requirements of the Procedure and undertaken an Environmental Impact Assessment (EIA) for recent projects, including the Aluminium ash utilisation project, available at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/12/f3c14da5-27bd-42fc-b8c7-2c36c486d174.pdf The Environmental and Social Impact Management Plan is available at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/12/5eb39ba3-0f6c-46b5-8b55-cf052ef2c8f2.pdf
2.6a-h Human Rights Impact Assessment	Conformance	The Entity has established a Human Rights Impact Assessment process. The Entity completed a Human Rights Impact Assessment in 2025, available at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/15/1281cc23-82c6-40d0-ab6a-596a6a6a5b6f.pdf Impact Assessment of the Aluminium ash utilisation project, which involved commissioning new production facilities within the existing premises, concluded that there was no change in the Human Rights risks.
2.7a-f Emergency Response Plan	Conformance	The Entity has developed and implemented an Emergency Preparedness and Response Control Procedure and Emergency Response Plans. The Emergency Preparedness and Response Control Procedure is disclosed at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/15/73a6d77e-b9e6-40af-a919-412a35c98c55.pdf The Emergency Response Plans have been formally registered with relevant government agencies and are publicly disclosed on the Entity's website: Environmental Emergency Response Plan: https://serveradmin.rsm.com.cn/profile/upload/2025/12/12/b6946f8c-0f93-4325-b96a-6f231e2056ab.pdf Workplace Accident Emergency Rescue Plan: https://serveradmin.rsm.com.cn/profile/upload/2025/11/18/9fad9f17-8812-42db-8b22-7dc0a039526b.pdf

CRITERION	RATING	COMMENT
2.8a-d Suspended Operations	Conformance	The Entity has established procedures for managing the suspension of operations and contingency controls, as well as recovery and safety protocols. These procedures have considered ESG impacts such as employee resettlement. The Entity has not suspended its operations to date.
2.9a-b Mergers and Acquisitions	Conformance	The Entity has established a management procedure for the Due Diligence processes for mergers and acquisitions; however, the Entity has not been involved in the Group's merger or acquisition activities.
2.10a-b Closure, Decommissioning and Divestment	Conformance	The Entity has established a procedure for reviewing ESG issues in the planning process for closure, decommissioning, and divestment events. To date, no closure, decommissioning, or divestment has occurred.

3. TRANSPARENCY

3.1a-b Sustainability Reporting	Conformance	The Entity's Sustainable Development Report addresses its governance approach and the significant impacts to environmental, social and economic aspects, available at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/12/9924ccf2-017d-492c-aale-f5d9d1725c0f.pdf
3.2 Non-compliance and Liabilities	Conformance	The Entity has established an ESG Code of Conduct that requires annual disclosure of information on significant fines, judgments, penalties, and non-monetary sanctions resulting from non-compliance with Applicable Law. For detailed information, refer to the ASI Management Manual, clause A1.3: https://serveradmin.rsm.com.cn/profile/upload/2025/12/23/8617b95b-70b1-417f-a721-376d13ff6138.pdf The websites of the relevant government agencies and non-governmental organizations (IPES) do not list any fines or violations proposed by government agencies. In 2024, there were no significant fines, judgments, penalties, or non-monetary sanctions imposed for non-compliance with Applicable Law.
3.3a-c Payments to Governments	Minor Non-Conformance	The Entity has established a Business Ethics Control Procedure and financial rules stipulate that payments to the Government must undergo financial review and company approval. The payments to the government are included in the Entity's Financial Report, which is audited by a Third Party. All payments are made in accordance with legal requirements. But this information has not been publicly disclosed. Public welfare activities such as goods donations and condolences are disclosed in the Sustainable Development Report, part 6: https://serveradmin.rsm.com.cn/profile/upload/2025/12/12/9924ccf2-017d-492c-aale-f5d9d1725c0f.pdf
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	The Entity has implemented an accessible Complaints Resolution Mechanism, available at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/15/8594fee5-6fe4-42f4-ac7f-7ad7f0bafa64.pdf This mechanism is reviewed every five years and after any changes to the Business that alter significant environmental, social and governance risks or any signs of control gaps. On-site testing of the

CRITERION	RATING	COMMENT
		complaint reporting hotline showed no malfunction. The reporting number and email box information are available at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/15/8594fee5-6fe4-42f4-ac7f-7ad7f0bafa64.pdf
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Conformance	The Entity has completed Life Cycle Assessments (LCA) for its Aluminium extrusion Products in accordance with ISO 14040:2006 and ISO 14044:2006.
4.1b-c Environmental Life Cycle Assessment - Disclosure	Minor Non-Conformance	The latest LCA is available at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/15/34d89685-c38d-4ac8-bc61-88a015147bf5.pdf The Entity did not however update the LCA model with the obtained upstream raw material carbon footprint data, nor did it adopt the carbon emission factors for relevant localised electricity sources. The geographical relevance of the data needs to be strengthened.
4.2 Product Design	Conformance	The Entity has established the Product Quality Advanced Planning and Control Plan Procedure that requires the consideration of materials selection and utilisation during production development and process planning. This procedure aims to ensure appropriate process selection, improved process efficiency, equipment and load optimisation, process optimisation, extended service life, convenient maintenance and cost-saving, as well as improving Scrap management and disposal.
4.3a-b Aluminium Process Scrap	Conformance	The Entity has established a goal of achieving a 100% recycling rate for Aluminium Process Scrap generated during the production of Aluminium products and regularly evaluates its progress. The Entity manages three types of Aluminium alloy grades through brand classification.
4.4a-c Collection and Recycling of Products at End of Life - Material Conversion and other Manufacturing	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.4d Collection and Recycling of Products at End of Life	Conformance	The Entity collaborates with customers and suppliers to determine how to improve the recovery rate of products when they are scrapped. The Entity has publicly disclosed its 2024 – 2026 recycling strategy, as well as the actual amount of recycled Scrap Aluminium profiles and Aluminium Scrap, available at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/15/dcc718d1-d138-462d-8c9d-a82b8698aa21.pdf
5. GREENHOUSE GAS EMISSIONS		
5.1a-b Disclosure of GHG Emissions and Energy Use	Conformance	In accordance with the ISO 14064 standard, the Entity has conducted an inventory of its Greenhouse Gas (GHG) Emissions at the organisational level and engaged a Third Party to verify the relevant emissions data. The Entity's total GHG emissions in 2024 were calculated as 1,022,173.82 tonnes of CO ₂ e.

CRITERION	RATING	COMMENT
		The Entity's 2024 GHG Inventory and Declaration Report is available at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/15/a455a7f1-7e75-4da1-aca2-29132dc120ea.pdf
5.2a Aluminium Smelter GHG Emissions Intensity - Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity - In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a GHG Emissions Reduction Plans	Conformance	<p>The Entity has established a GHG Emissions Reduction Plan and GHG Emissions Reduction Pathways that are aligned with the scenario requirements for limiting the global temperature rise to 1.5°C, using the tools provided by ASI.</p> <p>As the Entity has used a 2024 baseline year it was not required to demonstrate its reduction performance during the Audit.</p> <p>The Entity's GHG Emission Reduction Plan is disclosed at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/12/5346f4d0-1003-478f-b338-59fbda895bfe.pdf</p>
5.3b-e GHG Emissions Reduction Plans – Targets, review and disclosure	Conformance	<p>The Entity has established a GHG Emissions Reduction Plan that includes Intermediate Targets for 2025–2029. The Entity's GHG emissions reduction targets cover Direct and Indirect GHG emissions, including Scopes 1, 2, and 3, using a 2024 baseline.</p> <p>The Entity undertakes annual review of its GHG emissions inventory and GHG Emissions Reduction Plan to consider and understand changes in its operations. The Plan and Pathway are disclosed at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/12/5346f4d0-1003-478f-b338-59fbda895bfe.pdf</p>
5.4 GHG Emissions Management	Conformance	The Entity has established an internal GHG Inventory Control Procedure to ensure the annual verification of GHG emissions data and progress against the GHG emissions Reduction Plan and targets is monitored. The Entity has plans to obtain ISO 50001 certification in the near future.
6. EMISSIONS, EFFLUENTS AND WASTE		
6.1a-f Emissions to Air	Conformance	<p>The Entity has publicly disclosed its exhaust emissions data and management in the Sustainable Development Report, section 3.3.2 Exhaust Gas Management , available at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/12/9924ccf2-017d-492c-aale-f5d9d1725c0f.pdf</p> <p>The Entity has established the Exhaust Gas Control Procedure. Further information is available in the 2024 Pollutant Emissions and 2025 Emission Reduction Plan: https://serveradmin.rsm.com.cn/profile/upload/2025/12/15/f381d023-5fd1-4f16-8698-df927adb124e.pdf</p>

CRITERION	RATING	COMMENT
6.2a-g Discharges to Water	Conformance	<p>The Entity does not produce industrial wastewater, only domestic wastewater. The Entity has publicly disclosed its wastewater discharge data and management in the Sustainable Development Report, section 3.3.3 Wastewater Management, available at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/12/9924ccf2-017d-492c-aale-f5d9d1725c0f.pdf</p> <p>The Environmental Impact Assessment, prepared by a Third Party, describes the treatment methods for domestic sewage and the regulatory required monitoring of factors such as pH, ammonia nitrogen, total phosphorus and total nitrogen. The Entity has established a Wastewater Control Procedure and signed a disposal agreement with a Third Party. A Third Party conducts annual testing of the treated wastewater discharge.</p>
6.3a-g Assessment and Management of Spills and Leakages	Conformance	<p>The Entity has developed an Environmental and Social Impact Management Plan to prevent, detect and remedy Material Leakages and Spills (including compliance control and monitoring plan). The Plan specifies the cycle and timeline for the five-year review.</p> <p>The Emergency Response Plan is available at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/12/b6946f8c-0f93-4325-b96a-6f231e2056ab.pdf</p> <p>The Emergency Drill Plan for Sudden Environmental Incident is available at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/15/4d376a5e-e09d-474c-94b6-5dca297ae0dd.pdf</p>
6.4a-b Public Disclosure of Spills and Leakages	Conformance	<p>The Emergency Preparedness and Response Control Procedure stipulates that public disclosure is required in the event of relevant situations. There have been no incidents of Leakage or Spills in recent three years.</p>
6.5a-c Waste Management and Reporting	Conformance	<p>The Entity has implemented a waste management strategy designed according to the Waste Mitigation Hierarchy. The quantity of Hazardous and Non-Hazardous Waste generated in 2024, as well as the associated waste disposal methods, is available at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/15/f381d023-5fd1-4f16-8698-df927adb124e.pdf</p> <p>Further information is available in the Sustainable Development Report, Chapter 3.3.4: https://serveradmin.rsm.com.cn/profile/upload/2025/12/12/9924ccf2-017d-492c-aale-f5d9d1725c0f.pdf</p>
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Conformance	<p>The Entity has implemented a waste management strategy that includes Dross and is designed according to the Waste Mitigation Hierarchy. A delegated contractor is responsible for the disposal and recycling of the Entity's Dross. The contractor has achieved 100% resource recovery of the Aluminium, and landfill is not required.</p>

CRITERION	RATING	COMMENT
7.1a-b Water Assessment and Disclosure	Conformance	<p>The Entity's sole water source is via the municipal water provider, and it has established a Water Resources Management Procedure for water management and conservation, available at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/15/b329e2eb-ac8a-4541-a296-cl2615bb6e94.pdf</p> <p>The Entity compiles and discloses annual water usage data, available at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/15/3943c6b1-b159-4101-9cea-cdc4d8069d55.pdf</p> <p>The Entity has undertaken a risk assessment and disclosed the Water Resource Risk Assessment Report, available at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/15/be051850-aa6e-4391-99da-039cda60f4bb.pdf</p>
7.2a-e Water Management	Not Applicable	This Criterion is not applicable to the Entity, as the water-related risk assessment identified the risks as low. However, the Entity develops an annual water management plan to address water management and conservation.
8. BIODIVERSITY AND ECOSYSTEM SERVICES		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Conformance	<p>Based on the approved Environmental Impact Assessment (EIA), the Entity further evaluated its impact on Biodiversity using an external database. Both the EIA and the Biodiversity Impact Assessment confirmed that the Entity's Area of Influence does not include any Biodiversity-sensitive areas, and the risk level has been assessed as low.</p> <p>The Entity's Biodiversity Assessment Report is available at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/15/c52c884a-8d78-4574-9c58-7b78bd1fe1e6.pdf</p>
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity, as the assessment on the risks to and potential impacts on Biodiversity and Ecosystem Services determined the risk as low.
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable to the Entity, as the assessment on the risks to and potential impacts on Biodiversity and Ecosystem Services determined the risk as low.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity, as the assessment on the risk to and potential impacts on Biodiversity and Ecosystem Services determined the risk as low.
8.4 Alien Species	Conformance	The Entity has established management procedures to prevent the introduction of Alien Species, identify potential Alien Species in the local area, and conducted training for employees on the findings.
8.5a-b Commitment to "No Go" in World Heritage Properties	Conformance	The Entity has established management procedures for the protection of cultural sites and religious sanctuaries and has explicitly committed in its ASI Management Manual not to explore or develop New Projects within World Heritage Sites. There are no World Heritage Sites within the Entity's Area of Influence.

CRITERION	RATING	COMMENT
8.6a-d Protected Areas	Conformance	The Entity has established the management procedure to ensure Compliance with Applicable Law and prevent the selection of Protected Areas for New Projects. The Entity does not operate within any Protected Areas.
8.6e Protected Areas - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9. HUMAN RIGHTS		
9.1a-d Human Rights Due Diligence	Conformance	<p>The Entity has developed a Human Rights Policy Commitment that is aligned with its ASI Code of Conduct, available at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/15/500ad15e-2236-4057-94a5-18dbb7d7d0c8.pdf</p> <p>The Entity has established a Labour Rights Protection Management System, disclosed at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/15/93ced705-4c8f-4304-972a-2cc5572f1939.pdf</p> <p>No Human Rights violations have been reported at the Entity. The Entity's Human Rights Due Diligence Report is available at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/15/1281cc23-82c6-40d0-ab6a-596a6a6a5b6f.pdf</p>
9.2a-e Gender Equity and Women's Empowerment	Conformance	<p>The Entity respects women's rights. It has established the Labour Rights Protection Management System, which clearly defines the legitimate rights and interests of female employees and implements control measures to ensure these rights are fully upheld.</p> <p>The Entity provides practical examples of safeguarding female employees' rights in its Sustainable Development Report, available at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/12/9924ccf2-017d-492c-aale-f5d9d1725c0f.pdf</p>
9.3a-i Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples within its Area of Influence. The Entity respects the rights of Indigenous Peoples and the latest version of its Indigenous Peoples Protection Management Procedure is disclosed, available at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/15/4c1992c2-6829-4a43-b8dd-d5060c518133.pdf
9.4a Free, Prior, and Informed Consent (FPIC) - New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples within its Area of Influence. However, the Entity has established a management procedure to avoid affecting Indigenous Peoples should it become relevant and ensure respect for the customs and rights of ethnic minorities in the area.
9.4b Free, Prior, and Informed Consent (FPIC) - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4c Free, Prior, and Informed Consent (FPIC) - Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity, as it is located in an area with no presence of Indigenous Peoples.

CRITERION	RATING	COMMENT
9.5a Cultural and Sacred Heritage – Identification	Conformance	The Entity complies with Applicable Law by selecting land designated for industrial use for its premises. During the project construction phase, the Entity solicits and publicly discloses feedback from Affected Populations and Organisations, ensuring full consultation with impacted Stakeholders. The Entity has established a procedure to guarantee that site selection avoids cultural and sacred heritage sites and values.
9.5b Cultural and Sacred Heritage – Impacts	Not Applicable	This Criterion is not applicable to the Entity, as it is located within an industrial area with no presence of Indigenous Peoples or cultural or heritage sites.
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity as it is located within an industrial area developed by the local Government, and the Entity has no New Projects related to physical and/or economic displacement.
9.7a-h Affected Populations and Organisations	Conformance	<p>The Entity is located within an industrial area developed by the local Government. A Stakeholders Distribution and Assessment Report determined that there are limited Local Communities near the Entity, available at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/15/2fb3947e-48ba-4bc5-a8d3-960ea0ef2249.pdf</p> <p>The Entity continues to allocate resources for community development initiatives. Detailed information is disclosed in the Sustainable Development Report: https://serveradmin.rsm.com.cn/profile/upload/2025/12/12/9924ccf2-017d-492c-aale-f5d9d1725c0f.pdf</p>
9.8a Conflict-Affected and High-Risk Areas – Strong management systems	Conformance	<p>The Entity has established a Responsible Supply Chain Management Policy, available at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/15/0dbbb7d9-696e-4faa-9e2a-425a4854bdd5.pdf</p> <p>The Entity has established procedures related to sourcing management and supplier audits, which includes a process for identifying issues relating to conflict minerals. It has identified and evaluated risks in its supply chain via its sourcing system and confirmed that its business or purchasing function is currently not related to conflict minerals.</p> <p>The Entity has established dedicated reporting channels for all conflict minerals-related complaints and ‘whistleblowing’ cases, disclosed at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/15/8594fee5-6fe4-42f4-ac7f-7ad7f0bafa64.pdf</p>
9.8b Conflict-Affected and High-Risk Areas – Identify and assess risks	Conformance	<p>The Entity has established a risk inventory in accordance with Step 1 of the OECD Five-Step Framework and conducted Supplier Due Diligence per Step 2 to identify and evaluate risks. The Entity has identified and evaluated risks in its supply chain via its sourcing system and confirmed that its business or purchasing function is currently not related to conflict minerals.</p> <p>Due Diligence investigations on key material suppliers are ongoing.</p>
9.8c Conflict-Affected and High-Risk Areas – Strategy to respond to risks	Conformance	The Entity does not source any material from Conflict-Affected and High-Risk Areas (CAHRAs). Nevertheless, the Entity has established procedures for supplier management and conflict minerals management, and methodologies to evaluate risk levels based on

CRITERION	RATING	COMMENT
		identified risks and implement next-step measures aligned with each risk tier.
9.8d Conflict-Affected and High-Risk Areas - Audit of due diligence	Conformance	The Entity conducts supply chain Due Diligence independently and proves the system effectiveness. The Entity's Due Diligence practices have been audited as part of this ASI Audit, which satisfies this requirement.
9.8e Conflict-Affected and High-Risk Areas - Report annually	Conformance	The 2024 Mineral Supply Chain Due Diligence Report is publicly available at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/15/e16810d4-9955-4b96-92a5-6bc94ed025fc.pdf
9.9 Security practice	Conformance	The Entity has established management regulations to respect Human Rights. All security guards have been trained in Human Rights requirements. To date, no security-related Human Rights violations have received.
10. LABOUR RIGHTS		
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	The Criterion is not applicable to the Entity, as it adheres to Applicable Law in China regarding Freedom of Association and the right to Collective Bargaining.
10.1d Freedom of Association and Right to Collective Bargaining - Alternative means in context of Applicable Law	Conformance	The Entity has established a Labour Union with approximately 485 members among its staff. The Labour Union Management Manual outlines the Union representatives' election process and members' rights.
10.2a-c Child Labour	Conformance	The Entity has developed the Labour Human Rights Protection Management System in alignment with the International Labour Organization Conventions C138 and C182, which stipulate that Workers under the age of 16 shall not be employed and underage Workers or prohibited to be engaged in hazardous work. During the Audit, it was confirmed that the youngest employee at the Entity was 20 years of age.
10.3a-c Forced Labour	Conformance	The Entity has established the Labour Human Rights Protection Management System that prohibits Forced Labour. Interviews with employees including management and operators confirmed that they are employed freely and voluntarily. The Entity does not retain employees' personal belongings, nor requires payment of deposits or fees or security deposits. The Entity has disclosed of an Aanti-Slavery and Anti-Human Trafficking Statement, available at: https://serveradmin.rsm.com.cn/profile/upload/2025/12/15/1c97ce94-1e2b-4865-ab40-79f774618688.pdf
10.4a-c Non-Discrimination	Conformance	The Entity has established a Labour Human Rights Protection Management System to ensure that there is no recruitment restrictions based on race, nationality, political affiliation, gender, race, sexual orientation, marital status, family responsibilities, age, or any other circumstances that may lead to Discrimination. All employees have received training on Human Rights and anti-Discrimination.

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		There are no cases of dismissal due to Discrimination, and all resignations are voluntary.
10.5 Communication and engagement	Conformance	The Entity has established an Employee Handbook and implements the Complaint Management Regulations, which address the communication channel information for employee and employee representatives and provide protection that 'whistleblowers' will not be threatened with retaliation, intimidation or harassment. During the Audit, the reporting hotline was tested and confirmed as available.
10.6a-g Violence and Harassment	Conformance	The Entity's ESG Policy includes a commitment to eliminate Violence and Harassment: https://serveradmin.rsm.com.cn/profile/upload/2025/12/23/d062744c-8deb-4cce-afe7-2d2ff6dc606e.pdf The Entity's ASI Management Manual stipulates that a review shall be conducted every five years or when there are significant changes or defects. Interviews with employee representatives, female employees, and supplier employees confirmed that there were no incidents of Violence or Harassment.
10.7a-c Remuneration	Conformance	The Entity has established the Personal Rank Regulations for management of working hours and salary to ensure timely payment of wages, payment in legal currency and sufficient recording. The calculation of Overtime hours complies with regulations. Interviews with employees confirmed that they are satisfied with their salaries.
10.8a-c Working Time	Conformance	The Entity has established the Working Time in accordance with legal requirements, and the calculation method for Overtime compensation complies with regulations. A sampling survey was conducted on the schedules of six employees for six consecutive months, and the results confirmed that they met the average working time limit of eight hours per day.
10.9a-b Informing Workers of Rights	Conformance	The Entity informs employees of their rights ahead of signing their employment contract and in the Employee Handbook. The Labour and Human Rights- related training provided to employees covers the legal Freedom of Association.
11. OCCUPATIONAL HEALTH AND SAFE		
11.1a Occupational Health and Safety (OH&S) Management System	Conformance	The Entity has established an ISO 45001:2018 certified Occupational Health and Safety (OH&S) Management System, which undergoes internal and external audits annually. The latest external audit of the Entity's OH&S Management System identified no major non-conformities, with one minor non-conformity raised and corrective actions finalised.
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Conformance	The Entity has publicly disclosed the performance of its OH&S Management System in the Sustainable Development Report, Section 5.3.2: https://serveradmin.rsm.com.cn/profile/upload/2025/12/12/9924ccf2-017d-492c-aale-f5d9d1725c0f.pdf
11.2 Employee engagement on Health and Safety	Conformance	The Entity has established the Safety Production Committee and Labour Union. The Safety Production Committee is responsible for

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		regularly organizing safety production checks and keeping records, collecting employees' OH&S suggestions or opinions, and providing the implementation results of corrective actions.

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DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	15 April 2026	Initial Certification Audit - Full Certification