

ASI CERTIFICATION  
PERFORMANCE  
STANDARD



PRESENTED TO

# Raviraj Foils Ltd Unit 2

CERTIFICATE NUMBER  
448

ASI STANDARD  
PERFORMANCE  
STANDARD  
(V3.1 2023)

CERTIFICATION LEVEL  
FULL  
CERTIFICATION

ASI ACCREDITED  
AUDITING FIRM  
CETIZION VERIFICA

DATE OF ISSUE  
21 FEBRUARY 2025

DATE OF EXPIRY  
31 MAY 2027

CERTIFIED SINCE  
21 FEBRUARY 2025

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'Jha', with a long horizontal line extending to the right.

Aluminium Stewardship Initiative Ltd  
ACN 606 661 125, Australia  
info@aluminium-stewardship.org

*Validity of this Certificate is subject to  
continued conformance with the  
applicable ASI Standard and can be  
verified at  
[www.aluminium-stewardship.org](http://www.aluminium-stewardship.org)*

CERTIFICATION SCOPE

The manufacture of various  
Aluminium Foil products at Unit 2 of  
Raviraj Foils, Sanand, Gujarat, India.

# AUDIT REPORT PERFORMANCE STANDARD

## OVERVIEW

MEMBER NAME	Raviraj Foils Limited
ENTITY NAME	Raviraj Foils Ltd Unit 2
CERTIFICATION SCOPE	The manufacture of various Aluminium Foil products at Unit 2 of Raviraj Foils, Sanand, Gujarat, India.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none"><li>Material Conversion – Principles 1 to 4 (transition)</li></ul>
ASI STANDARD	Performance Standard V3.1
AUDIT TYPE	<ul style="list-style-type: none"><li>Initial Certification Audit</li></ul>
AUDIT FIRM	Cetizion Verifica
AUDIT DATE	<ul style="list-style-type: none"><li>25 – 26 January 2025</li></ul>
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none"><li>7 February 2025</li></ul>
AUDIT SCOPE	<p>The Audit Scope includes the manufacture of Aluminium Foil products at Unit 2 of Raviraj Foils, Sanand, Gujarat, India.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none"><li>Material Conversion – Principles 1 to 4 (transition)</li></ul> <p>All applicable criteria from Principles 1 to 4 in the ASI Performance Standard were included in the Audit Scope.</p>
AUDIT OUTCOME	<ul style="list-style-type: none"><li>Certification</li></ul>
AUDIT METHODOLOGY DECLARATION	<p>The Auditors confirm that:</p> <ul style="list-style-type: none"><li><input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.</li><li><input checked="" type="checkbox"/> The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.</li><li><input checked="" type="checkbox"/> The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the company's defined Certification Scope.</li><li><input checked="" type="checkbox"/> The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.</li></ul>
CERTIFICATION PERIOD	21 January 2025 – 31 May 2028
NEXT AUDIT TYPE	Surveillance Audit

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NEXT AUDIT DATE 31 May 2027

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CERTIFICATE NUMBER 448

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If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

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## ENTITY OVERVIEW

Raviraj Foils Limited, Unit 2 (the 'Entity') was established and commissioned in 2019 and manufactures and supplies a variety of Aluminium foil products. The Entity utilises modern roll grinding equipment, annealing furnaces and foil separators. The Entity is located in the industrial city of Sanand, Gujarat which is well connected by road and rail to the capital of Gujarat Province, Ahmedabad. The Entity produces approximately 13,000 metric tonnes of Aluminium foil annually, specialising in:

1. Ultra-Light Foil for the application for flexible and FMCG packaging;
2. Medium Gauge Foil for pharmaceutical applications;
3. Semi-Rigid Container Foil for the food industry;
4. Household Foil for consumer packaging; and,
5. Capacitor Foil for electrical applications.

The Entity provides high-quality foils material to flexible packaging companies across India, Europe (e.g. Italy, Spain, Germany, and France), and the United States. The Entity currently employs approximately 100 persons.

## MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
<b>SYSTEMS</b>	Medium	Medium	Medium	MEDIUM
<b>RISKS</b>	Low	Medium	Medium	MEDIUM
<b>PERFORMANCE</b>	Medium	Medium	Medium	MEDIUM
<b>OVERALL</b>	<b>MEDIUM</b>			

## FINDINGS

CRITERION	RATING	COMMENT
<b>1. BUSINESS INTEGRITY</b>		
1.1 Legal Compliance	Conformance	<p>The Entity has developed and implemented a Legal Compliance Policy (operating procedure) which is available at: <a href="https://www.ravirajfoils.com/pdf_file/16-01-25-06-31-44RFL-EHS-PR-39%20(Legal%20Compliance%20Policy).pdf">https://www.ravirajfoils.com/pdf_file/16-01-25-06-31-44RFL-EHS-PR-39%20(Legal%20Compliance%20Policy).pdf</a></p> <p>The Entity has identified and periodically updates applicable legal requirements that address Environmental, Social and Governance (ESG) issues. The legal Compliance status is periodically checked as part of the internal audit process and discussed during senior management reviews.</p>
1.2 Anti-Corruption	Conformance	<p>The Entity has developed and implemented an Anti-Bribery Policy, which is publicly available at: <a href="https://www.ravirajfoils.com/pdf_file/16-01-25-06-32-22RFL-EHS-PR-41%20(Anti-Corruption%20Policy).pdf">https://www.ravirajfoils.com/pdf_file/16-01-25-06-32-22RFL-EHS-PR-41%20(Anti-Corruption%20Policy).pdf</a></p> <p>Anti-Bribery-related risks have been identified by the Entity and assessed along with relevant mitigation measures.</p>
1.3a-e Code of Conduct	Conformance	<p>The Entity has developed a Code of Conduct, which is publicly available at: <a href="https://www.ravirajfoils.com/pdf_file/16-01-25-06-32-43RFL-EHS-PR-42%20(Code%20of%20Conduct).pdf">https://www.ravirajfoils.com/pdf_file/16-01-25-06-32-43RFL-EHS-PR-42%20(Code%20of%20Conduct).pdf</a></p> <p>The Entity's employees have been provided training on the Code and records of this training are maintained.</p>
<b>2. POLICY AND MANAGEMENT</b>		
2.1a-f Environmental, Social, and Governance Policy	Conformance	<p>The Entity has developed an Environmental, Social, and Governance Policy (ESG) Policy which is available at: <a href="https://www.ravirajfoils.com/pdf_file/16-01-25-07-05-22RFL-EHS-PR-49%20(Environmental,%20Social,%20and%20Governance%20(ESG)%20Policy%20).pdf">https://www.ravirajfoils.com/pdf_file/16-01-25-07-05-22RFL-EHS-PR-49%20(Environmental,%20Social,%20and%20Governance%20(ESG)%20Policy%20).pdf</a></p> <p>Other related Policies including business ethics and payments to Government are also made publicly available at: <a href="https://www.ravirajfoils.com/investor-relation?link_id=56">https://www.ravirajfoils.com/investor-relation?link_id=56</a></p> <p>The Entity's employees have been provided training on the Policy and records of this training are maintained.</p>
2.2a-c Leadership	Conformance	<p>The Entity's senior management team has designated one of the management team for the overall effective implementation of the ASI Performance Standard requirement as evident from approval of Policies, and provision of infrastructures as found during the Audit.</p>
2.3a Environmental and Social Management Systems - Environmental	Conformance	<p>The Entity has developed and implemented an Environmental Management System based on ISO 14001:2015 and is certified by a third party. The Certificate is available at: <a href="https://www.ravirajfoils.com/images/cer-03.jpg">https://www.ravirajfoils.com/images/cer-03.jpg</a></p>

CRITERION	RATING	COMMENT
2.3b Environmental and Social Management Systems – Social	Conformance	<p>The Entity has developed and implemented a Social Management System which includes Health and Safety management through Policies, procedures, the establishment of Key Performance Indicators (KPIs), provision of resources, periodic reviews and disclosures.</p> <p>The Entity's Social policies are available at: <a href="https://www.ravirajfoils.com/investor-relation?link_id=56">https://www.ravirajfoils.com/investor-relation?link_id=56</a></p> <p>Sustainability Report, Section 8: <a href="https://www.ravirajfoils.com/pdf_file/23-01-25-06-42-49SUSTAINABILITY%20REPORT%20FOR%20RAVIRAJ%20FOILS%20LTD-%20Final%202.pdf">https://www.ravirajfoils.com/pdf_file/23-01-25-06-42-49SUSTAINABILITY%20REPORT%20FOR%20RAVIRAJ%20FOILS%20LTD-%20Final%202.pdf</a></p>
2.4a-e Responsible Sourcing	Minor Non-Conformance	<p>The Entity has developed a Responsible Sourcing Policy which has been made publicly available at: <a href="https://www.ravirajfoils.com/pdf_file/16-01-25-07-25-27RFL-EHS-PR-103%20(Responsible%20Sourcing%20Procedure).pdf">https://www.ravirajfoils.com/pdf_file/16-01-25-07-25-27RFL-EHS-PR-103%20(Responsible%20Sourcing%20Procedure).pdf</a></p> <p>There is also a Supplier Code of Conduct, which defines the minimum level of ESG performance expected from the Entity's suppliers.</p> <p>However, the implementation guidelines are not adequately defined for the supplier audit program in terms of communication, frequency of audit, coverage or criteria of audit, competencies of auditor (internal and/or external), or acceptable standards such as the ASI Performance Standard implemented by suppliers.</p>
2.5a-g Environmental and Social Impact Assessments	Not Applicable	<p>This Criterion is not applicable to the Entity as there have been no New Projects or Major Changes to the existing Facility since ASI membership, nor are there any currently planned.</p> <p>However, the Entity has developed an operating procedure for Environmental and Social Impact Assessment detailing the categories of impact and existing mitigation measures, which is available at: <a href="https://www.ravirajfoils.com/pdf_file/16-01-25-07-26-12RFL-EHS-PR-106%20(Environmental%20and%20Social%20Impact%20Assessment%20Table%20).pdf">https://www.ravirajfoils.com/pdf_file/16-01-25-07-26-12RFL-EHS-PR-106%20(Environmental%20and%20Social%20Impact%20Assessment%20Table%20).pdf</a></p> <p>The Entity has also developed an Environmental and Social Impact Management Plan (typical for future projects), available at: <a href="https://www.ravirajfoils.com/pdf_file/17-01-25-09-52-34RFL-EHS-PR-109%20(Environmental%20and%20Social%20Impact%20Management%20Plan).pdf">https://www.ravirajfoils.com/pdf_file/17-01-25-09-52-34RFL-EHS-PR-109%20(Environmental%20and%20Social%20Impact%20Management%20Plan).pdf</a></p>
2.6a-h Human Rights Impact Assessment	Not Applicable	<p>This Criterion is not applicable to the Entity as there have been no New Projects or Major Changes to the existing Facility since ASI membership, nor are there any currently planned.</p> <p>However, the Entity has developed an operating procedure for Human Rights Impact Assessment detailing category of impact and existing mitigation measures, available at: <a href="http://www.ravirajfoils.com/pdf_file/17-01-25-09-52-19RFL-EHS-PR-">http://www.ravirajfoils.com/pdf_file/17-01-25-09-52-19RFL-EHS-PR-</a></p>

CRITERION	RATING	COMMENT
		<a href="#"><u>108(Human%20Rights%20Impact%20%20Assessment%20Procedure).pdf</u></a>
2.7a-f Emergency Response Plan	Conformance	<p>The Entity has developed and implemented an Emergency Response Plan, which is available at: <a href="https://www.ravirajfoils.com//pdf_file/16-01-25-07-24-21RFL-EHS-PR-100%20(Procedure%20for%20Emergency%20Response%20Plans).pdf"><u>https://www.ravirajfoils.com//pdf_file/16-01-25-07-24-21RFL-EHS-PR-100%20(Procedure%20for%20Emergency%20Response%20Plans).pdf</u></a></p> <p>The Entity submitted the Plan to the Directorate of Industrial Safety and Health (DISH). During the Audit, it was found that emergency response provisions are maintained, and periodic drills are conducted and records are maintained.</p>
2.8a-d Suspended Operations	Conformance	<p>The Entity has developed guidelines to address suspended operations, available at: <a href="https://www.ravirajfoils.com//pdf_file/16-01-25-07-25-58RFL-EHS-PR-105%20(Procedure%20for%20Suspended%20Operations).pdf"><u>https://www.ravirajfoils.com//pdf_file/16-01-25-07-25-58RFL-EHS-PR-105%20(Procedure%20for%20Suspended%20Operations).pdf</u></a></p>
2.9a-b Mergers and Acquisitions	Conformance	<p>The Entity has developed guidelines to address merger and acquisition activities, available at: <a href="https://www.ravirajfoils.com//pdf_file/16-01-25-07-24-58RFL-EHS-PR-101%20(Mergers%20and%20Acquisitions%20Procedure).pdf"><u>https://www.ravirajfoils.com//pdf_file/16-01-25-07-24-58RFL-EHS-PR-101%20(Mergers%20and%20Acquisitions%20Procedure).pdf</u></a></p> <p>There are no merger or acquisition activities currently planned.</p>
2.10a-b Closure, Decommissioning and Divestment	Conformance	<p>The Entity has developed guidelines to address closure, decommissioning and divestment available at: <a href="https://www.ravirajfoils.com//pdf_file/16-01-25-07-25-13RFL-EHS-PR-102%20(Procedure%20for%20Closure,%20Decommissioning,%20and%20Divestment).pdf"><u>https://www.ravirajfoils.com//pdf_file/16-01-25-07-25-13RFL-EHS-PR-102%20(Procedure%20for%20Closure,%20Decommissioning,%20and%20Divestment).pdf</u></a></p>
<b>3. TRANSPARENCY</b>		
3.1a-b Sustainability Reporting	Conformance	<p>The Entity has developed a Sustainability Report as per the Global Reporting Initiative (GRI) framework for the period 1st April 2023 to 31st March 2024 (FY 2024). The Environment, Social and Governance (ESG) Material topics and their management approach with performance disclosures are presented.</p> <p>The Sustainability Report 2024 is available at: <a href="https://www.ravirajfoils.com//pdf_file/23-01-25-06-42-49SUSTAINABILITY%20REPORT%20FOR%20RAVIRAJ%20FOILS%20LTD-%20Final%202.pdf"><u>https://www.ravirajfoils.com//pdf_file/23-01-25-06-42-49SUSTAINABILITY%20REPORT%20FOR%20RAVIRAJ%20FOILS%20LTD-%20Final%202.pdf</u></a></p>
3.2 Non-compliance and Liabilities	Conformance	<p>The Entity has developed and disclosed a Non-compliances and Liabilities Policy which is available at: <a href="https://www.ravirajfoils.com//pdf_file/16-01-25-07-06-19RFL-EHS-PR-52%20(Non-Compliance%20and%20Liabilities%20Policy%20).pdf"><u>https://www.ravirajfoils.com//pdf_file/16-01-25-07-06-19RFL-EHS-PR-52%20(Non-Compliance%20and%20Liabilities%20Policy%20).pdf</u></a></p> <p>The Entity has disclosed information about Material fines, judgments, penalties and non-monetary sanctions for failure to comply with Applicable Law in the Sustainability Report, page 57: <a href="https://www.ravirajfoils.com//pdf_file/23-01-25-06-42-49SUSTAINABILITY%20REPORT%20FOR%20RAVIRAJ%20FOILS%20LTD-%20Final%202.pdf"><u>https://www.ravirajfoils.com//pdf_file/23-01-25-06-42-49SUSTAINABILITY%20REPORT%20FOR%20RAVIRAJ%20FOILS%20LTD-%20Final%202.pdf</u></a></p>

CRITERION	RATING	COMMENT
		There have been no Material fines, judgments, penalties and non-monetary sanctions for failure to comply with Applicable Law.
3.3a-c Payments to Governments	Conformance	<p>The Entity has developed and disclosed a Payment to Government Policy, which is available at: <a href="https://www.ravirajfoils.com//pdf_file/16-01-25-07-06-57RFL-EHS-PR-53%20(Payments%20to%20Governments%20Policy%20).pdf">https://www.ravirajfoils.com//pdf_file/16-01-25-07-06-57RFL-EHS-PR-53%20(Payments%20to%20Governments%20Policy%20).pdf</a></p> <p>The Entity has disclosed information on payments to Government on page 58 of the Sustainability Report: <a href="https://www.ravirajfoils.com//pdf_file/23-01-25-06-42-49SUSTAINABILITY%20REPORT%20FOR%20RAVIRAJ%20FOILS%20LTD-%20Final%202.pdf">https://www.ravirajfoils.com//pdf_file/23-01-25-06-42-49SUSTAINABILITY%20REPORT%20FOR%20RAVIRAJ%20FOILS%20LTD-%20Final%202.pdf</a></p>
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Minor Non-Conformance	<p>The Entity has developed a Stakeholder Complaints, Grievances, and Requests for Information Policy, which is available at: <a href="https://www.ravirajfoils.com//pdf_file/16-01-25-07-07-22RFL-EHS-PR-54%20(Stakeholder%20Complaints,%20Grievances,%20and%20Requests%20for%20Information%20Policy%20).pdf">https://www.ravirajfoils.com//pdf_file/16-01-25-07-07-22RFL-EHS-PR-54%20(Stakeholder%20Complaints,%20Grievances,%20and%20Requests%20for%20Information%20Policy%20).pdf</a></p> <p>There has been no Stakeholder complaints, grievances and requests for information relating to its operations.</p> <p>However, the Complaint Resolution Mechanism is not adequate in terms of contact details, investigation process, confidentiality to address Affected Populations and Organisations' complaints, grievances and requests for information relating to its operations.</p>
<b>MINOR NON-CONFORMANCE</b>		
4.1a Environmental Life Cycle Assessment	Conformance	<p>The Entity has developed and disclosed a Life Cycle Assessment (LCA) Policy, available at: <a href="https://www.ravirajfoils.com//pdf_file/16-01-25-07-08-25RFL-EHS-PR-57%20(Life%20Cycle%20Assessment%20(LCA)%20Policy).pdf">https://www.ravirajfoils.com//pdf_file/16-01-25-07-08-25RFL-EHS-PR-57%20(Life%20Cycle%20Assessment%20(LCA)%20Policy).pdf</a></p> <p>The Entity has conducted its LCA with a functional unit of 'one square metre' of foil with various thickness mix, following a 'cradle-to-grave' approach. The related disclosures are made on page 34 of the Sustainability Report, available at: <a href="https://www.ravirajfoils.com//pdf_file/23-01-25-06-42-49SUSTAINABILITY%20REPORT%20FOR%20RAVIRAJ%20FOILS%20LTD-%20Final%202.pdf">https://www.ravirajfoils.com//pdf_file/23-01-25-06-42-49SUSTAINABILITY%20REPORT%20FOR%20RAVIRAJ%20FOILS%20LTD-%20Final%202.pdf</a></p>
4.1b-c Environmental Life Cycle Assessment - Disclosure	Conformance	<p>The Entity has developed and disclosed a Life Cycle Assessment (LCA) Policy, available at: <a href="https://www.ravirajfoils.com//pdf_file/16-01-25-07-08-25RFL-EHS-PR-57%20(Life%20Cycle%20Assessment%20(LCA)%20Policy).pdf">https://www.ravirajfoils.com//pdf_file/16-01-25-07-08-25RFL-EHS-PR-57%20(Life%20Cycle%20Assessment%20(LCA)%20Policy).pdf</a></p> <p>The Entity provides public disclosures on page 34 of the Sustainability Report, available at: <a href="https://www.ravirajfoils.com//pdf_file/23-01-25-06-42-49SUSTAINABILITY%20REPORT%20FOR%20RAVIRAJ%20FOILS%20LTD-%20Final%202.pdf">https://www.ravirajfoils.com//pdf_file/23-01-25-06-42-49SUSTAINABILITY%20REPORT%20FOR%20RAVIRAJ%20FOILS%20LTD-%20Final%202.pdf</a></p>
4.2 Product Design	Conformance	The Entity has developed an operating procedure on Product design which is publicly disclosed at:

CRITERION	RATING	COMMENT
		<p><a href="https://www.ravirajfoils.com//pdf_file/16-01-25-07-08-41RFL-EHS-PR-58%20(Product%20Design%20Policy).pdf">https://www.ravirajfoils.com//pdf_file/16-01-25-07-08-41RFL-EHS-PR-58%20(Product%20Design%20Policy).pdf</a></p> <p>The Entity has publicly disclosed information on its Product capabilities and major technical specifications at:  <a href="https://www.ravirajfoils.com/light-gauge-foil">https://www.ravirajfoils.com/light-gauge-foil</a></p> <p>The customer technical specifications are mutually agreed as part of commercial arrangements that form the basis of finished goods specification, which mainly focus on chemical and mechanical properties. The Entity considers sustainability aspects during product and process developments, wherever feasible. There are some process improvements resulting into an overall sustainability improvement.</p>
4.3a-b Aluminium Process Scrap	Conformance	<p>The Entity collects Process Scrap from different Products via a vacuumised chute and/or manual collection, as per Aluminium grade, which is subsequently bailed in a cube and sold to an external recycler. The quantities generated and disposed of are recorded.</p> <p>The Entity has implemented a 100% target of Process Scrap for collection, recycling and/or re-use. The Entity is working with primary Aluminium (Foil stock) supplier to improve the manufacturability and quality which will reduce generation of Process Scrap. Other process improvements such as annealing cycle re-adjustment has also resulted into reduction of Process Scrap generation.</p>
4.4a-c Collection and Recycling of Products at End of Life - Material Conversion and other Manufacturing	Minor Non-Conformance	<p>The Entity has developed a Collection and Recycling of Products at End of Life Policy, which is available at:  <a href="https://www.ravirajfoils.com//pdf_file/16-01-25-07-09-37RFL-EHS-PR-60%20(Collection%20and%20Recycling%20of%20Products%20at%20End%20of%20Life%20Policy).pdf">https://www.ravirajfoils.com//pdf_file/16-01-25-07-09-37RFL-EHS-PR-60%20(Collection%20and%20Recycling%20of%20Products%20at%20End%20of%20Life%20Policy).pdf</a></p> <p>The Entity's recycling strategy does not however include documented information on specific timelines, activities, and targets aimed at increasing the recycling rates of Aluminium.</p>
4.4d Collection and Recycling of Products at End of Life	Conformance	<p>The Entity has developed a Collection and Recycling of Products at End of Life Policy, which is available at:  <a href="https://www.ravirajfoils.com//pdf_file/16-01-25-07-09-37RFL-EHS-PR-60%20(Collection%20and%20Recycling%20of%20Products%20at%20End%20of%20Life%20Policy).pdf">https://www.ravirajfoils.com//pdf_file/16-01-25-07-09-37RFL-EHS-PR-60%20(Collection%20and%20Recycling%20of%20Products%20at%20End%20of%20Life%20Policy).pdf</a></p> <p>The Entity is working with Foil Industry Associations to work towards sustainability initiatives, including improving recycling ecosystem through policy advocacy and working with recyclers.</p>
<b>5. GREENHOUSE GAS EMISSIONS</b>		
5.1a-b Disclosure of GHG Emissions and Energy Use	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
5.2a Aluminium Smelter GHG Emissions Intensity - Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity - In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a GHG Emissions Reduction Plans	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3b-e GHG Emissions Reduction Plans - Targets, review and disclosure	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.4 GHG Emissions Management	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
<b>6. EMISSIONS, EFFLUENTS AND WASTE</b>		
6.1a-f Emissions to Air	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.2a-g Discharges to Water	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.3a-g Assessment and Management of Spills and Leakages	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.4a-b Public Disclosure of Spills and Leakages	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5a-c Waste Management and Reporting	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
<b>7. WATER STEWARDSHIP</b>		
7.1a-b Water Assessment and Disclosure	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7.2a-e Water Management	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
<b>8. BIODIVERSITY AND ECOSYSTEM SERVICES</b>		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.4 Alien Species	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.5a-b Commitment to "No Go" in World Heritage Properties	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.6a-d Protected Areas	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.6e Protected Areas - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
<b>9. HUMAN RIGHTS</b>		
9.1a-d Human Rights Due Diligence	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.2a-e Gender Equity and Women's Empowerment	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.3a-l Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4a Free, Prior, and Informed Consent (FPIC)-- New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4b Free, Prior, and Informed Consent (FPIC)-- Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4c Free, Prior, and Informed Consent (FPIC)-- Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
9.5a Cultural and Sacred Heritage-- Identification	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.5b Cultural and Sacred Heritage - Impacts	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.7a-h Affected Populations and Organisations	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8a Conflict-Affected and High-Risk Areas - Strong management systems	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8b Conflict-Affected and High-Risk Areas - Identify and assess risks	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8c Conflict-Affected and High-Risk Areas - Strategy to respond to risks	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8d Conflict-Affected and High-Risk Areas - Audit of due diligence	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8e Conflict-Affected and High-Risk Areas - Report annually	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.9 Security practice	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
<b>10. LABOUR RIGHTS</b>		
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.1d Freedom of Association and Right to Collective Bargaining - Alternative means in context of Applicable Law	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.2a-c Child Labour	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.3a-c Forced Labour	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.4a-c Non-Discrimination	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
10.5 Communication and engagement	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.6a-g Violence and Harassment	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.7a-d Remuneration	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.8a-c Working Time	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.9a-b Informing Workers of Rights	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

## 11. OCCUPATIONAL HEALTH AND SAFETY

11.1a Occupational Health and Safety (OH&S) Management System	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
11.1b-e Occupational Health and Safety (OH&S) Management System – Reviews and disclosure	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
11.2 Employee engagement on Health and Safety	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

### ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable law, or will avoid any Breach from occurring.

### DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	21 February 2025	Initial Certification Audit – Full Certification Certification Expiry set for 31 May 2027 as per ASI Assurance Manual requirement for all Entities to be certified to the PS (1-11) without exception by this date.
1	6 May 2026	Extension to the Certification expiry date (from 31 May 2027) with revision to Next Audit Due Date (from 20 July 2026), consistent with ASI approval for extension to PS V3 P1-4 certifications