

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

Guangya Aluminum Co., Ltd.

CERTIFICATE NUMBER

558

ASI STANDARD

PERFORMANCE
STANDARD
(V3.1 2023)

CERTIFICATION LEVEL

FULL
CERTIFICATION

ASI ACCREDITED
AUDITING FIRM

CHINA QUALITY
MARK
CERTIFICATION
GROUP

DATE OF ISSUE

11 JUNE 2026

DATE OF EXPIRY

10 JUNE 2029

CERTIFIED SINCE

11 JUNE 2026

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H.', with a long horizontal line extending to the right.

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
info@aluminium-stewardship.org

*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at
www.aluminium-stewardship.org*

CERTIFICATION SCOPE

Production of Aluminium alloy
architectural profiles and industrial
profiles, and related management
activities at Guangya Aluminum
Co., Ltd, located in Nanhai District,
Foshan City, Guangdong Province,
China.

AUDIT REPORT PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	Guangya Aluminum Co., Ltd.
ENTITY NAME	Guangya Aluminum Co., Ltd.
CERTIFICATION SCOPE	Production of Aluminium alloy architectural profiles and industrial profiles, and related management activities at Guangya Aluminum Co., Ltd., located in Nanhai District, Foshan City, Guangdong Province, China.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">Semi-Fabrication
ASI STANDARD	Performance Standard V3.1
AUDIT TYPE	<ul style="list-style-type: none">Initial Certification Audit
AUDIT FIRM	China Quality Mark Certification Group
AUDIT DATE	<ul style="list-style-type: none">23 – 24 March 2026
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">7 April 2026
AUDIT SCOPE	<p>The Audit Scope included the production processes of Aluminium alloy construction profiles (powder-coated profiles) and general industrial Aluminium alloy extruded profiles.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">Semi-Fabrication <p>All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.</p>
AUDIT OUTCOME	<ul style="list-style-type: none">Certification
AUDIT METHODOLOGY DECLARATION	<p>The Auditors confirm that:</p> <ul style="list-style-type: none"><input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.<input checked="" type="checkbox"/> The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.<input checked="" type="checkbox"/> The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.<input checked="" type="checkbox"/> The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
CERTIFICATION PERIOD	11 June 2026 – 10 June 2029

NEXT AUDIT TYPE Surveillance Audit

NEXT AUDIT DATE 10 December 2027

CERTIFICATE NUMBER 558



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Guangya Aluminium Co., Ltd. (the 'Entity'), established in 1996, is located in Nanhai District, Foshan City, China, covering a total area of over 260,000 square metres. The Entity has an annual designed production capacity of approximately 120,000 tonnes of aluminium profiles.

Since 2021, the Entity has been fully controlled by Guangcheng City (Guangzhou) Investment Group Co., Ltd. The Entity's main Products include Aluminium alloy building profiles (powder-coated) and general industrial Aluminium extrusion profiles, which are supplied for office buildings, hotels, and residential construction projects across China, Europe, and Australia.

The Entity currently operates 11 extrusion production lines and two powder coating production lines. Key physical facilities include 11 production lines, three raw material warehouses, and three finished goods warehouses. Ancillary infrastructure comprises access roads, office buildings, employee accommodation, parking areas, as well as additional storage and utility facilities.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of Systems, Residual Risk and Performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	Medium	Medium	Medium	MEDIUM
RISKS	Medium	Medium	Medium	MEDIUM
PERFORMANCE	Medium	Medium	Medium	MEDIUM
OVERALL	MEDIUM			

FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	The Entity has established legal and regulatory identification and Compliance evaluation procedures. Its Quality Management Centre evaluates the applicability of relevant laws and regulations, assesses Compliance, and ensures adherence to all applicable requirements.
1.2 Anti-Corruption	Conformance	In accordance with the Anti-Bribery Management Procedure, the Entity has established an anti-Bribery Policy, formed anti-Bribery management and risk assessment teams, and conducts annual reviews and evaluations of anti-Bribery activities and their effectiveness.
1.3a-e Code of Conduct	Conformance	The Entity has established a Code of Conduct in accordance with its Environmental, Social, and Governance (ESG) Policies and conducted annual reviews to ensure its continued effectiveness. The Code of Conduct is available at: https://www.guangyaal.com/file/news/7a/f5/7af5203aacba0fbd.pdf
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Conformance	The Entity has established its Environmental, Social, and Governance (ESG) Policy within the ASI Management Manual and reviews it annually. The Policy also requires timely review whenever significant changes occur. To date, no Material changes to ESG risks have been identified. The ESG Policy is communicated internally through training, workplace displays, and other awareness activities, and externally through promotional materials, corporate presentations, and briefings. The Policy is also available at: https://www.guangyaal.com/file/news/c0/81/c081418ca90ed629.pdf
2.2a-c Leadership	Conformance	The Entity has appointed a senior management member as the ASI Management Representative, responsible for overseeing the implementation of the Entity's Policies and ensuring Compliance with applicable standard requirements.
2.3a Environmental and Social Management Systems – Environmental	Conformance	The Entity holds a valid Environmental Management System certificate, and its scope covers the activities included within the Entity's ASI Performance Standard Certification Scope.
2.3b Environmental and Social Management Systems – Social	Conformance	The Entity has established Social Management System requirements within the ASI Management Manual, including provisions on Human Rights and Labour Rights.
2.4a-e Responsible Sourcing	Conformance	The Entity has established and implemented a Procurement Control Procedure in accordance with its ASI Policy. The procedure incorporates ESG considerations. The latest ASI Procurement Policy and Procedures are available at: https://www.guangyaal.com/file/news/95/cf/95cfb07a192c4379.pdf

CRITERION	RATING	COMMENT
2.5a-g Environmental and Social Impact Assessments	Not Applicable	<p>This Criterion is not applicable to the Entity, as there have been no New Projects or Major Changes since it became an ASI member.</p> <p>The Entity's ASI Management Manual requires environmental, social, cultural, and Human Rights Impact Assessments for significant changes to New Projects or existing Facilities. It has also established a Control Procedure for Environmental and Occupational Health and Safety Assessment of New Projects, covering project application, impact and risk assessment, and documentation requirements.</p>
2.6a-h Human Rights Impact Assessment	Not Applicable	<p>This Criterion is not applicable to the Entity, as there have been no New Projects or Major Changes since it became an ASI member.</p> <p>The Entity has established a Human Rights Impact Assessment Procedure, which has been implemented and maintained.</p>
2.7a-f Emergency Response Plan	Minor Non-Conformance	<p>The Entity has developed safety and environmental emergency response plans that include the participation of internal and external Stakeholders. Regular simulations and emergency drills are conducted to evaluate their effectiveness. The Emergency Response and Preparedness Plans are available at: https://www.guangaal.com/file/news/13/01/13018d53a96f5cc2.pdf</p> <p>The disclosed information, however, is not comprehensive.</p>
2.8a-d Suspended Operations	Conformance	<p>The Entity has established a Shutdown and Resumption of Work Management Procedure to address production suspension or conversion due to a Force Majeure situation. The procedure considers mitigation of Material ESG impacts and is reviewed whenever changes within the Entity alter the ESG risk profile. To date, no such changes have occurred.</p>
2.9a-b Mergers and Acquisitions	Conformance	<p>The Entity has established a Merger and Acquisition Procedure covering pre-implementation preparation, potential risks, and risk mitigation during transactions. ESG factors are key considerations in merger and acquisition activities. No mergers or acquisitions have occurred since the Entity established its ASI framework.</p>
2.10a-b Closure, Decommissioning and Divestment	Conformance	<p>The Entity has defined requirements for closure, decommissioning, and divestment in the ASI Management Manual and established a Control Procedure for Closure, Decommissioning and Divestment in accordance with ASI requirements. To date, no such instances have occurred to the Entity.</p>
3. TRANSPARENCY		
3.1a-b Sustainability Reporting	Minor Non-Conformance	<p>The Entity has defined Sustainability Reporting requirements in the ASI Management Manual and has established and implemented corresponding Policies, systems, and procedures. The Entity has publicly disclosed its Sustainability Report (annual report) at: https://www.guangaal.com/file/news/e1/d0/e1d07bd3c888003b.pdf</p> <p>The quantitative disclosures in the report, however, are insufficient to fully describe the Entity's performance.</p>
3.2 Non-compliance and Liabilities	Conformance	<p>The Entity has defined requirements for non-Compliance and liabilities in the ASI Management Manual. The Entity's Sustainability Report discloses Material fines, judgments, penalties, and non-monetary</p>

CRITERION	RATING	COMMENT
		sanctions, confirming that no instances of non-Compliance or related liabilities have occurred. Relevant information is available in the Entity's Sustainability Report, page 8, at: https://www.guangyaal.com/file/news/e1/d0/eld07bd3c888003b.pdf
3.3a-c Payments to Governments	Minor Non-Conformance	In accordance with the Entity's anti-Corruption Policy, the Entity ensures that all payments to government authorities are made in Compliance with Applicable Law and tender contracts. Financial records are maintained for each payment and are subject to regular audits to verify their legality and accuracy. Relevant information is available in the Entity's Sustainability Report at: https://www.guangyaal.com/file/news/e1/d0/eld07bd3c888003b.pdf Specific quantitative details, however, are not included in the report.
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	The Entity has implemented a Complaints Resolution Mechanism and procedures to address Stakeholder complaints, grievances, and information requests. The Integrated Management Centre is responsible for tracking and managing Stakeholder feedback. The Entity's Complaints Resolution Mechanism is available at: https://www.guangyaal.com/file/news/74/a6/74a6885ebfb84125.pdf
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Minor Non-Conformance	The Entity takes into account the environmental impacts of its Aluminium Products across the full life cycle during environmental identification. A Type III Environmental Product Declaration for Aluminium Alloy Architectural Profiles (Powder Coated Type) has been developed, including a Life Cycle Assessment (LCA). For certain emission factors, such as those related to purchased Aluminium, which involve Material emissions, however their use is not appropriate.
4.1b-c Environmental Life Cycle Assessment - Disclosure	Minor Non-Conformance	The Entity has developed a Type III Environmental Product Declaration for Aluminium Alloy Building Profiles (Powder Coated Type) to provide customers with LCA information. The Entity's LCA is available at: https://www.guangyaal.com/file/news/14/81/148103cf6b016de2.pdf The data available in the LCA report however is insufficient.
4.2 Product Design	Conformance	The Entity has defined product design requirements in the ASI Management Manual and established Design and Development Control Procedures. A LCA programme has been implemented during the Product design process. Through production process controls, these requirements support the achievement sustainability objectives.
4.3a-b Aluminium Process Scrap	Conformance	The Entity has established Process Scrap targets, which are allocated to each process and evaluated monthly. A 100% classification and recycling rate has been achieved as a baseline.
4.4a-c Collection and Recycling of Products at End of Life - Material Conversion and other Manufacturing	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
4.4d Collection and Recycling of Products at End of Life	Conformance	The Entity participates in and is committed to local, regional, and national initiatives to promote the development of collection and recycling systems, thereby improving recovery rates.
5. GREENHOUSE GAS EMISSIONS		
5.1a-b Disclosure of GHG Emissions and Energy Use	Minor Non-Conformance	<p>The Entity has defined requirements for the disclosure of Greenhouse Gas (GHG) emissions and energy use data in the ASI Management Manual. The Entity has published its 2025 Energy Utilisation Status Report, available at: https://www.guangyaal.com/file/news/f9/38/f93872b872d4502e.pdf</p> <p>The Entity's 2025 Greenhouse Gas Emission Report, independently verified by a Third Party, is available at: https://www.guangyaal.com/file/news/39/65/39650572c928066d.pdf</p> <p>The use of inappropriate emission factors, underestimation of procurement volumes, and exclusion of Scrap components may however result in an inaccurate calculation of the Entity's total emissions.</p>
5.2a Aluminium Smelter GHG Emissions Intensity - Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity - In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a GHG Emissions Reduction Plans	Minor Non-Conformance	<p>The Entity has adopted the ASI GHG Emission Reduction Pathway methodology and calculation tools to develop and implement a GHG Emissions Reduction Plan. The Plan covers emission reduction pathways for both processes and procurement and is consistent with the 1.5°C warming scenario. The baseline year is 2025.</p> <p>The GHG Emissions Reduction Plan, however, should use procured Aluminium intensity data obtained directly from suppliers, rather than relying on the Entity's own process intensity.</p>
5.3b-e GHG Emissions Reduction Plans - Targets, review and disclosure	Minor Non-Conformance	<p>The Entity has adopted the ASI GHG Emission Reduction Pathway methodology and calculation tools to develop and implement a GHG Emissions Reduction Plan. The Plan covers emission reduction pathways for both processes and procurement, includes Intermediate Targets, and uses 2025 as the baseline year. The Entity's GHG Emission Reduction Plan is available at: https://www.guangyaal.com/file/news/cb/8b/cb8b3fd4ea4918b0.pdf</p> <p>Inconsistencies, however, were identified between the charts and the accompanying text in the Plan.</p>
5.4 GHG Emissions Management	Conformance	The Entity has established and implemented procedures to support its GHG Emission Reduction Plan and to assess whether actual emissions reduction performance meets the established targets.

6. EMISSIONS, EFFLUENTS AND WASTE

CRITERION	RATING	COMMENT
6.1a-f Emissions to Air	Conformance	<p>The Entity's Pollution Permit specifies and quantifies exhaust gas emission outlets, pollutant types, and maximum allowable emission concentrations. The Entity has installed and operated air pollution control facilities and conducts regular monitoring of exhaust gas emissions in accordance with the Permit requirements and applicable legal obligations. Monitoring results demonstrate Compliance with local statutory emission standards. The Entity has publicly disclosed its 2025 Air Pollutant Emissions Report, available at: https://www.guangyaal.com/file/news/02/3f/023fa8784d0a6fc2.pdf</p> <p>The Entity has developed and implemented a Pollutant Emissions Reduction Plan and Targets that define objectives and reduction measures. The Plan is reviewed annually and available at: https://www.guangyaal.com/file/news/bf/3b/bf3b46da2a6a8173.pdf</p>
6.2a-g Discharges to Water	Conformance	<p>The Entity's Pollution Permit specifies the types of water pollutants and the applicable discharge concentration limits. The Entity has installed and operates wastewater treatment facilities. Real-time monitoring of wastewater discharge is connected to the local environmental protection authority, and monitoring results demonstrate Compliance with applicable discharge requirements. The Entity has disclosed its 2025 Water Pollutant Emissions Report, available at: https://www.guangyaal.com/file/news/e1/82/e1828d8f69b6fc75.pdf</p> <p>The Entity has established the Pollutant Emissions Reduction Plan and Targets, which is reviewed annually and requires implementation of corrective actions in the event of significant changes or non-Compliance. The Plan is available at: https://www.guangyaal.com/file/news/bf/3b/bf3b46da2a6a8173.pdf</p>
6.3a-g Assessment and Management of Spills and Leakages	Conformance	<p>The Entity has developed an Emergency Plan for Environmental Incidents, which is reviewed every three years. Potential Spills and Leakages events associated with operations are assessed and classified by risk level, with corresponding response measures established for each risk category. No Spills or Leakages have occurred since the Entity commenced operation. The Entity has publicly disclosed its Spills and Leakages Risk Identification and Management Schedule at: https://www.guangyaal.com/file/news/1f/7c/1f7cde12f14cld47.pdf</p>
6.4a-b Public Disclosure of Spills and Leakages	Conformance	<p>The Entity's ASI Management Manual requires disclosure in accordance with applicable requirements in the event of Spills or Leakages. No Spill or Leakages incidents have occurred since the commencement of operations. This information has been disclosed in the Entity's Sustainability Report, available at: https://www.guangyaal.com/file/news/e1/d0/e1d07bd3c888003b.pdf</p>
6.5a-c Waste Management and Reporting	Conformance	<p>The Entity has established and implemented an Environmental Management System that includes a Waste management strategy. As part of this system, the Entity has developed and implemented a Waste Management Procedure and annually discloses Waste generation quantities and disposal methods. An overview of the management of major Hazardous and Non-Hazardous solid Wastes is available at: https://www.guangyaal.com/file/news/e9/bb/e9bbd36b55d4fd2.pdf</p>
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7. WATER STEWARDSHIP		
7.1a-b Water Assessment and Disclosure	Conformance	The Entity sources water from the Nanhai Tap Water Company's pipeline network, as stated in the Environmental Impact Assessment Report. The Entity primarily uses water for production processes and for employees' needs. The water resource risks have been assessed as low. The Entity's Water Risk Assessment, which includes water withdrawal and use, is available at: https://www.guangyaal.com/file/news/38/96/3896bed0bf0e8eb2.pdf
7.2a-e Water Management	Not Applicable	This Criterion is not applicable to the Entity, as water-related risks have been assessed as low within the Entity's Area of Influence.
8. BIODIVERSITY AND ECOSYSTEM SERVICES		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Conformance	The Entity is not located in any Protected Area and poses no significant risk or potential impact to Biodiversity or Ecosystem Services. It is assessed as low risk with low potential impact. The Entity's Biodiversity Risk Assessment Report is available at: https://www.guangyaal.com/file/news/7e/b6/7eb6aee7e44dbdf4.pdf
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity, as the Biodiversity assessment did not identify significant risk or impact on Biodiversity or Ecosystem Services.
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable to the Entity, as the Biodiversity assessment did not identify significant risk or impact on Biodiversity or Ecosystem Services.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity, as no Priority Ecosystem Services have been identified.
8.4 Alien Species	Conformance	The Entity has conducted annual assessments of invasive Alien Species and implemented control measures for identified high-risk sources. No invasive Alien Species have been introduced to date.
8.5a-b Commitment to "No Go" in World Heritage Properties	Conformance	The Entity is located in the National High-tech Industrial Development Zone of Shilin Town, Nanhai District, Foshan City, Guangdong Province, and is not situated within a World Heritage Site. The Entity has committed not to conduct exploration activities or develop New Projects within any World Heritage Properties.
8.6a-d Protected Areas	Conformance	The Entity is located in the National High-tech Industrial Development Zone of Shilin Town, Nanhai District, Foshan City, Guangdong Province, and is not situated within any relevant Protected Area. Environmental Impact Assessments were conducted during the construction phase in accordance with Applicable Laws and regulations.

CRITERION	RATING	COMMENT
8.6e Protected Areas – Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9. HUMAN RIGHTS		
9.1a-d Human Rights Due Diligence	Conformance	<p>The Entity is committed to respecting Human Rights and has established a Human Rights Policy, available at: https://www.guangyaal.com/file/news/71/74/7174ccf958481f3a.pdf</p> <p>The Human Rights Due Diligence Evaluation Form has been reviewed and has indicated conformance with the applicable management requirements. The Entity's Human Rights Due Diligence Evaluation Form is available at: https://www.guangyaal.com/file/news/8d/7e/8d7e5da835bb0eb1.pdf</p>
9.2a-e Gender Equity and Women's Empowerment	Conformance	<p>The Entity has developed a Female Employee Protection Management Procedure. Through its implementation, the Entity ensures respect for the rights and interests of female employees. The effectiveness of female employee protection measures is available at: https://www.guangyaal.com/file/news/0b/88/0b882a112633d618.pdf</p>
9.3a-i Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples within the Entity's Area of Influence.
9.4a Free, Prior, and Informed Consent (FPIC) – New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples within the Entity's Area of Influence.
9.4b Free, Prior, and Informed Consent (FPIC) – Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples within the Entity's Area of Influence.
9.4c Free, Prior, and Informed Consent (FPIC) – Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples within the Entity's Area of Influence.
9.5a Cultural and Sacred Heritage – Identification	Conformance	The Entity has established and implemented the Procedure for Handling Cultural Sacred Heritage Sites, enabling it to identify local cultural heritage and sacred sites and take appropriate protection measures in a timely manner. The Entity's Survey Record Form for Indigenous Peoples, Cultural and Sacred Sites indicates no such sites are located in the vicinity of the Entity.
9.5b Cultural and Sacred Heritage – Impacts	Not Applicable	This Criterion is not applicable to the Entity, as no presence of Indigenous Peoples or their lands, territories and resources has been identified.
9.6a-i Displacement	Conformance	The Entity has established a Resettlement Procedure, which ensures that during the design of New Projects, the Entity prioritises feasible alternatives to avoid or minimise population displacement. To date, the Entity has no projects underway that require resettlement.

CRITERION	RATING	COMMENT
9.7a-h Affected Populations and Organisations	Conformance	The Entity has established and implemented a Stakeholder Management Procedure that respects the legal and customary rights of Local Communities regarding land, livelihoods, and the use of natural resources. No conflicts have been identified between the Entity and surrounding villages concerning land use, customs, traditions, or other related matters. Control measures have been developed and implemented to address identified impacts on Local Communities. To date, no complaints have been received from Local Communities. The Entity's Plan for the Management of Impacts to Affected Populations and Organisations is available at: https://guangyaal.com/file/news/7b/a3/7ba3827ad87c0a6c.pdf
9.8a Conflict-Affected and High-Risk Areas - Strong management systems	Conformance	The Entity's ASI Management Manual stipulates that the Entity shall not contribute to armed conflict or Human Rights abuses. The Entity conducts an annual review to determine whether it operates or has direct raw material suppliers in Conflict-Affected or High-Risk Areas (CAHRAs). It is committed to avoiding involvement in conflict, whether directly or through its Business relationships. The Entity has established a Responsible Sourcing Policy, available at: https://www.guangyaal.com/file/news/95/cf/95cfb07a192c4379.pdf A review of the Entity's 2025 Qualified Supplier List indicates that raw materials are primarily sourced from third-party traders through indirect procurement. None of the Entity's materials are sourced from CAHRAs.
9.8b Conflict-Affected and High-Risk Areas - Identify and assess risks	Conformance	The Entity's raw materials are primarily sourced from manufacturers and third-party traders. A review of the Qualified Supplier List confirms that all listed suppliers are located outside CAHRAs. The Entity conducts annual supplier performance evaluations and maintains Supplier Performance Evaluation Forms on record.
9.8c Conflict-Affected and High-Risk Areas - Strategy to respond to risks	Not Applicable	This Criterion is not applicable to the Entity, as no actual or potential risks have been identified.
9.8d Conflict-Affected and High-Risk Areas - Audit of due diligence	Conformance	The Entity reviews the implementation of the Procurement Control Procedure during its annual ISO 9001:2015, ISO 14001:2015, and ISO 45001:2018 certification audits to assess its suitability and effectiveness. The Entity's Due Diligence processes were included in the scope of this ASI Performance Standard Certification Audit, which fully addresses this requirement.
9.8e Conflict-Affected and High-Risk Areas - Report annually	Conformance	The Entity publicly reports on its supply chain Due Diligence practices, available at: https://guangyaal.com/file/news/f4/1b/f41b0953b35b69b8.pdf
9.9 Security practice	Conformance	The Entity has established and implemented Security Management Regulations that define the responsibilities of security personnel. Training is provided to ensure security staff understand their duties and operate in a manner that respects Human Rights. To date, no complaints or allegations related to security have been received.

10. LABOUR RIGHTS

CRITERION	RATING	COMMENT
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	This Criterion is not applicable to the Entity, as the right to Freedom of Association and Collective Bargaining is addressed in accordance with Applicable Law in China.
10.1d Freedom of Association and Right to Collective Bargaining - Alternative means in context of Applicable Law	Conformance	The Entity has established a Labour Union in accordance with Applicable Law in China. Workers freely select their own representatives. When necessary, the Entity assists each department in electing employee representatives responsible for monitoring and raising issues related to Occupational Health and Safety (OH&S), welfare, and ASI social management.
10.2a-c Child Labour	Conformance	The Entity has established and implemented a Procedure for the Management of Child Labour and Young Workers, prohibiting the use of Child Labour and strictly preventing the employment of underage Workers. The Entity does not employ any child or young Workers, and the youngest Worker is over 18 years old.
10.3a-c Forced Labour	Conformance	The Entity has established and implemented a Procedure for the Prohibition of Forced Labour. It does not engage in Forced Labour or Human Trafficking, does not collect any form of security deposit from employees during recruitment, does not restrict Workers' freedom of movement in the workplace, and does not impose unreasonable restrictions on employees' freedom. The Entity's Modern Slavery Statement is available at: https://www.guangyaal.com/file/news/1c/67/1c6710b058efe325.pdf
10.4a-c Non-Discrimination	Conformance	The Entity has established and implemented a Procedure for the Prohibition of Discrimination and a monitoring mechanism, and ensures the principle of non-Discrimination is applied across all Business activities through Human Rights Due Diligence questionnaires.
10.5 Communication and engagement	Conformance	The Entity has established and implemented a Procedure for Consultation, Communication and Information Exchange, encouraging employee participation in the ASI Management System. Employees may directly contact Worker representatives or a member of the Production Safety Management Committee regarding any issues. Interviewees confirmed that the communication mechanism is effective and communication channels are open.
10.6a-g Violence and Harassment	Conformance	The Entity has established a Policy to eliminate Violence and Harassment in the workplace in accordance with the requirements of the Procedure for the Management of Disciplinary Measures, available at: https://www.guangyaal.com/file/news/af/e2/afe224dd01199be8.pdf
10.7a-c Remuneration	Conformance	The Entity ensures that wages and benefits fully comply with Applicable Law and are paid in a manner convenient for employees. All Overtime work is compensated with Overtime pay in accordance with the labour laws.
10.8a-c Working Time	Conformance	The Entity's working hours comply with applicable national laws, regulations, and labour contracts. The standard working week does not exceed 40 hours, and statutory holidays and paid annual leave are provided in accordance with the China labour laws. Employees

CRITERION	RATING	COMMENT
		receive at least one rest day after every six consecutive working days. Overtime is voluntary, limited to 12 hours per week, and compensated in accordance with applicable requirements. Interviews confirmed Compliance with legal requirements on working hours and remuneration, and that staff have a basic understanding of ASI related requirements.
10.9a-b Informing Workers of Rights	Conformance	The Entity has informed employees of their rights through document issuance and ensures their protection. The Entity fulfils its obligation to inform employees through the Workers' Congress and the Labour Union. Employees may also access information on their rights and obligations via letters, email, telephone, face-to-face meetings, and other communication channels.

11. OCCUPATIONAL HEALTH AND SAFETY

11.1a Occupational Health and Safety (OH&S) Management System	Conformance	The Entity has established and implemented an Occupational Health and Safety (OH&S) Management System in accordance with ISO 45001:2018. The Entity holds a valid ISO 45001:2018 certification and undergoes annual surveillance audits.
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Minor Non-Conformance	The Entity has maintained its OH&S Management System through regular safety meetings, annual Compliance evaluations, ISO 45001:2018 internal audits, and management reviews. The system and related Policies are reviewed whenever changes occur or control deficiencies are identified. All 2025 OH&S objectives and targets were achieved. The effectiveness of the OH&S Management System is available at: https://www.guangyaal.com/file/news/d7/59/d7599b2696b15102.pdf The Entity's 2025 Occupational Health and Safety Performance Statement, however, indicates no analysis of leading or lagging OH&S indicators in comparison with industry peers.
11.2 Employee engagement on Health and Safety	Conformance	The Entity has established a mechanism for collecting employee feedback on OH&S, including a Labour Union, an Environment and Safety Committee, and ad hoc Worker interviews. Relevant records have been maintained since their establishment.

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DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	11 June 2026	Initial Certification Audit – Full Certification