

# ASI CERTIFICATION CHAIN OF CUSTODY STANDARD



PRESENTED TO

# Guangya Aluminum Co.,Ltd.

CERTIFICATE NUMBER

559

ASI STANDARD

CHAIN OF CUSTODY  
STANDARD  
(V2 2022)

CERTIFICATION LEVEL

FULL  
CERTIFICATION

ASI ACCREDITED  
AUDITING FIRM

CHINA QUALITY  
MARK  
CERTIFICATION  
GROUP

DATE OF ISSUE

11 JUNE 2026

DATE OF EXPIRY

10 JUNE 2029

CERTIFIED SINCE

11 JUNE 2026

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H. A.', with a long horizontal line extending to the right.

Aluminium Stewardship Initiative Ltd  
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*Validity of this Certificate is subject to  
continued conformance with the  
applicable ASI Standard and can be  
verified at*

[www.aluminium-stewardship.org](http://www.aluminium-stewardship.org)

CERTIFICATION SCOPE

Production of Aluminium alloy  
architectural profiles and industrial  
profiles, and related management  
activities at Guangya Aluminum  
Co., Ltd., located in Nanhai District,  
Foshan City, Guangdong Province,  
China.

# SUMMARY AUDIT REPORT

## CHAIN OF CUSTODY STANDARD

### OVERVIEW

MEMBER NAME	Guangya Aluminum Co., Ltd.
ENTITY NAME	Guangya Aluminum Co., Ltd.
CERTIFICATION SCOPE	Production of Aluminium alloy architectural profiles and industrial profiles, and related management activities at Guangya Aluminum Co., Ltd., located in Nanhai District, Foshan City, Guangdong Province, China.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none"><li>• Post-Casthouse</li></ul>
ASI STANDARD	Chain of Custody Standard V2
AUDIT TYPE	<ul style="list-style-type: none"><li>• Initial Certification Audit</li></ul>
AUDIT FIRM	China Quality Mark Certification Group
AUDIT DATE	<ul style="list-style-type: none"><li>• 25 March 2026</li></ul>
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none"><li>• 7 April 2026</li></ul>
AUDIT SCOPE	<p>The Audit Scope included the production processes of Aluminium alloy construction profiles (powder-coated profiles) and general industrial Aluminium alloy extruded profiles.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none"><li>• Post-Casthouse</li></ul> <p>All relevant Criteria in the ASI Chain of Custody Standard were included in the Audit Scope.</p>
AUDIT OUTCOME	Certification
AUDIT METHODOLOGY DECLARATION	<p>The Auditors confirm that:</p> <ul style="list-style-type: none"><li><input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this Report.</li><li><input checked="" type="checkbox"/> The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.</li><li><input checked="" type="checkbox"/> The Audit Scope and Audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.</li><li><input checked="" type="checkbox"/> The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.</li></ul>

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CERTIFICATION PERIOD 11 June 2026 – 10 June 2029

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NEXT AUDIT TYPE Surveillance Audit

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NEXT AUDIT DUE DATE 10 December 2027

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CERTIFICATE NUMBER 559

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If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

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## ENTITY OVERVIEW

Guangya Aluminium Co., Ltd. (the 'Entity'), established in 1996, is located in Nanhai District, Foshan City, China, covering a total area of over 260,000 square metres. The Entity has an annual designed production capacity of approximately 120,000 tonnes of aluminium profiles.

Since 2021, the Entity has been fully controlled by Guangcheng City (Guangzhou) Investment Group Co., Ltd. The Entity's main Products include Aluminium alloy building profiles (powder-coated) and general industrial Aluminium extrusion profiles, which are supplied for office buildings, hotels, and residential construction projects across China, Europe, and Australia.

The Entity currently operates 11 extrusion production lines and two powder coating production lines. Key physical facilities include 11 production lines, three raw material warehouses, and three finished goods warehouses. Ancillary infrastructure comprises access roads, office buildings, employee accommodation, parking areas, as well as additional storage and utility facilities.

## MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of Systems, Residual Risk and Performance.

Maturity ratings are not a direct assessment of conformance to the Standard.

	OVERALL
<b>SYSTEMS</b>	Medium
<b>RISKS</b>	Medium
<b>PERFORMANCE</b>	Medium
<b>OVERALL</b>	<b>MEDIUM</b>

## FINDINGS

CRITERION	RATING	COMMENT
<b>1. MANAGEMENT SYSTEM AND RESPONSIBILITIES</b>		
1.1 ASI Membership	Conformance	The Entity became an ASI member in the 'Production and Transformation' class in 2025, and is committed to fulfilling its membership obligations and conducting its operations in accordance with the ASI Chain of Custody (CoC) Standard.
1.2 CoC Management System	Conformance	The Entity has established a Management System that meets the requirements of the ASI Chain of Custody Standard and has integrated CoC management activities into its existing Management Systems, including the ASI Performance Standard, Quality, Environmental Management, and Social Responsibility Management Systems. The Entity provides adequate personnel and resources to support the implementation of CoC activities.
1.3 CoC Management System Monitoring	Conformance	The Entity regularly reviews its CoC Management System in accordance with the ASI Chain of Custody Standard requirements and implementation experience, and addresses any non-conformities identified during its operation.
1.4 Management Representative	Conformance	The Entity has appointed a Senior Executive as the ASI Management Representative, with responsibilities defined in the appointment letter. The Representative is responsible for overseeing and coordinating conformance with all applicable requirements of the ASI Performance Standard and Chain of Custody Standard.
1.5 Communications and Training	Conformance	The Entity's Human Resources Centre has implemented an annual training plan and has provided training to relevant departments on the key requirements and processes of the CoC Management System. Training records are maintained.
1.6 Records Management	Conformance	The Entity's Policy requires CoC Management System records to be retained for a minimum of five years to ensure the reviewability and traceability of the System operations.
1.7a Reporting to ASI (Inputs and Outputs of CoC Material)	Conformance	The Entity's ASI Manual details the requirement for information relating to the calculation of CoC Material volumes for the previous year to be submitted to the ASI Secretariat by 30 June each year. As this Audit was the Initial Certification Audit, the Entity has no Inputs or Outputs of CoC Material to report. The Entity's Management System is ready to support future reporting.
1.7b Reporting to ASI (Inputs and Outputs of Eligible Scrap)	Conformance	The Entity currently has no CoC transactions. The Entity's Management System is ready to support future reporting to the ASI Secretariat annually, including the reporting of Inputs and Outputs of Eligible Scrap.
1.7c Reporting to ASI (Inflows and Outflows of Non-CoC Material)	Conformance	The Entity currently has no CoC transactions. The Entity's Management System is ready to support future reporting to the ASI Secretariat annually, including the reporting of Inflows and Outflows of Non-CoC Material.

CRITERION	RATING	COMMENT
1.7d Reporting to ASI (Positive Balance carried over)	Conformance	The Entity currently has no CoC transactions. The Entity's Management System is ready to support future reporting to the ASI Secretariat annually, including the reporting of Positive Balance carried over.
1.7e Reporting to ASI (Positive Balance used)	Conformance	The Entity currently has no CoC transactions. The Entity's Management System is ready to support future reporting to the ASI Secretariat annually, including the reporting of including Positive Balance used.
1.7f Reporting to ASI (Internal Overdraw drawn down)	Conformance	The Entity currently has no CoC transactions. The Entity's Management System is ready to support future reporting to the ASI Secretariat annually, including the reporting of Internal Overdraw drawn down.
1.7g Reporting to ASI (Intra-Entity Flows)	Conformance	The Entity currently has no CoC transactions. The Entity's Management System is ready to support future reporting to the ASI Secretariat annually, including the reporting of Intra-Entity Flows (if necessary).

## 2. OUTSOURCING CONTRACTORS

2.1 Certification Scope	Not Applicable	This Criterion is not applicable as the Entity does not use Outsourcing Contractors.
2.2a Control of CoC Material (Legal ownership or control)	Not Applicable	This Criterion is not applicable as the Entity does not use Outsourcing Contractors.
2.2b Control of CoC Material (No further outsourcing)	Not Applicable	This Criterion is not applicable as the Entity does not use Outsourcing Contractors.
2.2c Control of CoC Material (Risk assessment)	Not Applicable	This Criterion is not applicable as the Entity does not use Outsourcing Contractors.
2.3 Information on Quantity of CoC Material Output and Returned	Not Applicable	This Criterion is not applicable as the Entity does not use Outsourcing Contractors.
2.4 Consistency in Inflow and Outflow Quantity of CoC Material to/from Outsourcing Contractor	Not Applicable	This Criterion is not applicable as the Entity does not use Outsourcing Contractors.
2.5 Error (Outsourcing Contractor)	Not Applicable	This Criterion is not applicable as the Entity does not use Outsourcing Contractors.

## 3. PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI ALUMINIUM

3.1a ASI Bauxite (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1b ASI Bauxite (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
3.1c ASI Bauxite (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2a ASI Alumina (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2b ASI Alumina (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2c ASI Alumina (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3b ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3c ASI Aluminium (Alumina sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

#### 4. RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP

4.1a Recycled Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.1b Recycled Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2a Eligible Scrap (Pre-Consumer)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2b Eligible Scrap (Post-Consumer)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2c Eligible Scrap (Dross)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3a Records Management for Direct Suppliers of Recyclable Scrap Material (Suppliers)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3b Records Management for Direct Suppliers of Recyclable Scrap Material (Financial transactions)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

#### 5. CASTHOUSES: CRITERIA FOR ASI ALUMINIUM

5.1a ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
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CRITERION	RATING	COMMENT
5.1b ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.1c ASI Aluminium (Aluminium sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2 Unique Identification	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

#### 6. POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM

6.1a Post-Casthouse ASI Aluminium (CoC Certification Scope)	Conformance	The Entity has established a CoC Management System to ensure that it verifies the ASI Certification Status of sourced Aluminium ingots and it produces ASI Aluminium only at the Facility within its CoC Certification Scope. The Entity currently does not have any transactions of ASI Material.
6.1b Post-Casthouse ASI Aluminium (Performance Standard)	Conformance	The Entity has implemented systems to ensure that ASI Aluminium is produced only at the Facility within its ASI Performance Standard Certification Scope.
6.1c Post-Casthouse ASI Aluminium (Aluminium sourcing)	Conformance	The Entity has established a CoC Management System to ensure that ASI Aluminium is sourced from Entities holding ASI CoC Certification or from Traders handling verified ASI Aluminium. The Entity currently does not have any transactions of ASI Aluminium.

#### 7. DUE DILIGENCE FOR NON-COC MATERIAL, COC MATERIAL ACQUIRED THROUGH A TRADER AND RECYCLABLE SCRAP MATERIAL

7.1a Responsible Sourcing Policy (Anti-corruption)	Conformance	<p>The Entity has disclosed its ASI Responsible Sourcing Policy and Procedures and has communicated this Policy to its suppliers. The Policy is available at:  <a href="https://www.guangyaal.com/file/news/95/cf/95cfb07a192c4379.pdf">https://www.guangyaal.com/file/news/95/cf/95cfb07a192c4379.pdf</a></p> <p>The Entity has established procurement control procedures to conduct Due Diligence and evaluate suppliers prior to procurement. Procurement contracts include annexes outlining ASI-specified requirements related to anti-Bribery, Human Rights and environmental protection.</p>
7.1b Responsible Sourcing Policy (Responsible sourcing)	Conformance	<p>The Entity has disclosed its ASI Responsible Sourcing Policy and Procedures and has communicated this Policy to its suppliers.</p> <p>The Entity has established procurement control procedures to conduct Due Diligence and evaluate suppliers prior to procurement. Procurement contracts include annexes outlining ASI-specified requirements related to anti-Bribery, Human Rights and environmental protection.</p>
7.1c Responsible Sourcing Policy (Human rights due diligence)	Conformance	<p>The Entity has disclosed its ASI Responsible Sourcing Policy and Procedures and has communicated this Policy to its suppliers.</p> <p>The Entity has established procurement control procedures to conduct Due Diligence and evaluate suppliers prior to procurement. Procurement contracts include annexes outlining ASI-specified requirements related to anti-Bribery, Human Rights and environmental protection.</p>

CRITERION	RATING	COMMENT
7.1d Responsible Sourcing Policy (Conflict-affected and high-risk areas)	Conformance	The Entity has publicly disclosed its ASI Responsible Sourcing Policy and Procedures, which address Conflict-Affected and High-Risk Areas (CAHRAs), and has communicated this Policy to its suppliers.
7.2 Risk Assessment and Mitigation	Conformance	The Entity has established Procurement Control Procedures and conducts Due Diligence and evaluations of suppliers prior to procurement. Procurement contracts include requirements related to anti-Bribery, Human Rights, environmental protection, and other standards in accordance with the ASI Chain of Custody Standard. The Entity has confirmed that none of its suppliers are located in CAHRAs.
7.3 Complaints Resolution Mechanism	Conformance	The Entity has established a Complaints Resolution Mechanism to receive, investigate, and respond to complaints from all Stakeholders. Complaints can be submitted via email or through a dedicated hotline. The Entity's Complaints Resolution Mechanism is also available at: <a href="https://www.guangyaal.com/file/news/1e/02/1e0257f47e97f6f5.pdf">https://www.guangyaal.com/file/news/1e/02/1e0257f47e97f6f5.pdf</a>

## 8. MATERIAL ACCOUNTING SYSTEM: COC MATERIAL AND ASI ALUMINIUM

8.1 Material Accounting System	Conformance	The Entity has established a Material Accounting System and management procedures to track and record the Inputs and Outputs of CoC Material, Non-CoC Material and Eligible Scrap within its Certification Scope. The System also records the internal flow of CoC Material and the transfer of Non-CoC Material between supply chain activities. This supports the integrity and accuracy of the Mass Balance for CoC Material and Eligible Scrap throughout the Certification Scope.
8.2 Material Accounting Period	Conformance	The Entity has established a 12-month Material Accounting Period for the tracking and reconciliation of materials within the CoC Management System.
8.3 Input and Inflow Quantities	Conformance	The Entity has established and implemented a Material Accounting System to ensure that, during the Material Accounting Period, the Quantities of each CoC Material and Eligible Scrap Input, as well as the Quantities of Non-CoC Materials and Recyclable Scrap Material Inflow within the Certification Scope, are accurately recorded. The Inflow Quantity of Eligible Scrap and Recyclable Scrap are assessed and recorded based on their Aluminium content.
8.4 Output Quantities of CoC Material	Conformance	During each Material Accounting Period, the Entity determines the Percentage of CoC Material Inputs by dividing the Quantity of CoC Material inputs by the total Quantity of CoC Material and Non-CoC Material Inputs. This Input Percentage is then applied to the total Output Quantity to calculate the Quantity of Output material that may be designated as CoC Material under the Mass Balance model.
8.5 Indivisibility of CoC Material	Conformance	The Entity's ASI Management Manual stipulates that any Output designated as CoC Material, even when it represents only a portion of the total production Output, shall be classified and sold as 100% CoC Material in accordance with the Mass Balance methodology.
8.6 Output Quantity of Eligible Scrap	Conformance	The Entity's ASI Management Manual stipulates that internally generated production Scrap may be designated as Eligible Scrap. Where such designation is applied, the proportion of ASI Material and

CRITERION	RATING	COMMENT
		Eligible Scrap Outputs during the Material Accounting Period shall use the same proportion of Output of ASI Material for the same period.
8.7 Consistency Between Input Percentage and Total Output	Conformance	The Entity's ASI Management Manual stipulates that, during the Material Accounting Period, the Outputs of CoC Material and Eligible Scrap shall not exceed the corresponding percentage of CoC Material and Eligible Scrap Inputs.
8.8a Internal Overdraw (Not exceed 20%)	Conformance	The Entity's ASI Management Manual stipulates that Internal Overdraws shall not exceed 20% of the total CoC Material Input during the Accounting Period. The Entity did not record any Overdraws in 2025.
8.8b Internal Overdraw (Not exceed force majeure situation)	Conformance	The Entity's ASI Management Manual stipulates that Internal Overdraws must not exceed the Quantity of CoC Material affected by the Force Majeure situation.
8.8c Internal Overdraw (Made up within subsequent Material Accounting Period)	Conformance	The Entity's ASI Management Manual stipulates that any Internal Overdraws must be replenished in the subsequent Material Accounting Period.
8.9a Positive Balance (Carry over)	Conformance	The Entity's ASI Management Manual stipulates that, at the end of an Accounting Period, any Positive Balance of CoC Material may be carried forward to the next Material Accounting Period. Such carryover items must be clearly identified and marked within the Material Accounting System.
8.9b Positive Balance (Expiry)	Conformance	The Entity's ASI Management Manual stipulates that any Positive Balance generated during a Material Accounting Period and carried over to the next period shall expire if it is not drawn down by the end of that subsequent Accounting Period.
<b>9. ISSUING COC DOCUMENTS</b>		
9.1 CoC Document	Conformance	The Entity's ASI Management Manual stipulates that a CoC Document must accompany every shipment or transfer of CoC Material to a CoC Certified Entity or Trader.
9.2a CoC Document Content (Date of issue)	Conformance	The Entity's ASI Management Manual stipulates that CoC Documents shall include the date of issue.
9.2b CoC Document Content (Reference number)	Conformance	The Entity's ASI Management Manual stipulates that CoC Documents shall include a reference number, which is linked to the Entity's Material Accounting System and is traceable and verifiable.
9.2c CoC Document Content (Issuing Entity)	Conformance	The Entity's ASI Management Manual stipulates that CoC Documents shall include the name, address and the CoC Certification number of the Entity.
9.2d CoC Document Content (Receiving customer)	Conformance	The Entity's ASI Management Manual stipulates that CoC Documents shall include the name and address of the customer receiving the CoC Material. If the customer is another CoC Certified Entity, the CoC Document shall also include their CoC Certification number.

CRITERION	RATING	COMMENT
9.2e CoC Document Content (Responsible employee)	Conformance	The Entity's ASI Management Manual stipulates that, prior to issuance to the customer, the CoC Document must include the name of the Entity's representative responsible for verifying the information contained in the CoC Document. No CoC Documents have been issued to date.
9.2f CoC Document Content (Conformance statement)	Conformance	The Entity's ASI Management Manual stipulates that all issued CoC Documents must include a confirmation statement declaring that 'The information provided in the CoC Document is in Conformance with the ASI CoC Standard.'
9.2g CoC Document Content (Type of CoC Material)	Conformance	The Entity's ASI Management Manual stipulates that all issued CoC Documents shall include the type of CoC Material included in the shipment.
9.2h CoC Document Content (Mass of CoC Material)	Conformance	The Entity's ASI Management Manual stipulates that all issued CoC Documents shall include the mass of CoC Material in the shipment.
9.2i CoC Document Content (Mass of total material)	Conformance	The Entity's ASI Management Manual stipulates that all issued CoC Documents shall include the mass of total material shipped.
9.3a Sustainability Data (optional) - Carbon footprint	Conformance	The Entity's ASI Management Manual stipulates that CoC Documents shall include relevant Sustainability Data, including the average carbon footprint of the CoC Material and accounting method. There are currently no instances of CoC Material being shipped to other Entities.
9.3b Sustainability Data (optional) - Origin information	Conformance	The Entity's ASI Management Manual stipulates that CoC Documentation shall include relevant Sustainability Data, including information supporting the origin of Aluminium in accordance with ASI Performance Standard Criterion 9.8.
9.3c Sustainability Data (optional) - Recycled content	Conformance	The Entity's ASI Management Manual stipulates that CoC Documents shall include relevant Sustainability Data, including the recycled Aluminium content of CoC Material and the methods used to determine Pre-Consumer and Post-Consumer Scrap.
9.3d Sustainability Data (optional) - Post-Casthouse ASI Certification status	Conformance	The Entity's ASI Management Manual stipulates that CoC Documents shall include relevant Sustainability Data, including the ASI Performance Standard Certification status of the Entity.
9.4 Supplementary Information (optional) - Objective evidence	Conformance	The Entity's ASI Management Manual stipulates that any Supplementary Information included in a CoC Document regarding the Entity or CoC Material must be supported by Objective Evidence.
9.5 Verification of Information	Conformance	The Entity's ASI Management Manual stipulates that the Entity is responsible for responding to requests to verify information contained in CoC Documents and providing verification support to relevant parties.
9.6 Error (Shipping)	Conformance	The Entity has obtained ISO 9001 Quality Management System certification. The related procedures require that any errors be subject

CRITERION	RATING	COMMENT
		to root cause analysis, with appropriate corrective actions implemented to prevent recurrence. There are currently no records of CoC Material shipments.
<b>10. RECEIVING COC DOCUMENTS</b>		
10.1 Verification of CoC Documents	Conformance	The Entity has established procedures in accordance with ASI Chain of Custody Standard requirements to verify the information contained in received CoC Documents.
10.2 Verification of Consistency Between CoC Documents and CoC Material	Conformance	The Entity's ASI Management Manual stipulates that, upon receipt of CoC Material and related documents, the Entity shall verify whether the received CoC Documents correspond to the accompanying CoC Material or Eligible Scrap before recording them in the Material Accounting System.
10.3 Verification of Supplier's ASI CoC Certification	Conformance	The Entity has established procedures to verify the ASI CoC Certification Status of suppliers and regularly check the ASI website to confirm suppliers' ASI CoC Certification Status.
10.4 Error (Reception)	Conformance	The Entity analyses the causes of errors in conjunction with its ASI Performance Management System and Quality Management System, and implements appropriate corrective actions to prevent recurrence.
<b>11. CLAIMS AND COMMUNICATIONS</b>		
11.1a Claims and Communications (ASI Claims Guide)	Conformance	The Entity's ASI Management Manual stipulates that all claims shall comply with the ASI Claims Guide and defines the relevant responsibilities and authorities. There have been no instances to date of claims that do not comply with relevant requirements.
11.1b Claims and Communications (Verifiable evidence)	Conformance	The Entity's ASI Management Manual has established claims procedures and implements documentation and record-keeping processes to ensure that all records are verifiable.
11.1c Claims and Communications (Employee training)	Conformance	The Entity has provided training on claims to employees in accordance with the ASI Claims Guide, and maintained training records.

#### ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable law, or will avoid any Breach from occurring.

#### DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	11 June 2026	Initial Certification Audit – Full Certification