

# ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

# Jupiter Aluminum

CERTIFICATE NUMBER

61

ASI STANDARD

PERFORMANCE  
STANDARD  
(V3.1 2023)

CERTIFICATION LEVEL

PROVISIONAL  
CERTIFICATION

ASI ACCREDITED  
AUDITING FIRM

DNV BUSINESS  
ASSURANCE  
SERVICES UK LTD.

DATE OF ISSUE

7 APRIL 2026

DATE OF EXPIRY

6 APRIL 2029

CERTIFIED SINCE

30 JANUARY 2020

AUTHORISED BY

Aluminium Stewardship Initiative Ltd  
ACN 606 661 125, Australia  
[info@aluminium-stewardship.org](mailto:info@aluminium-stewardship.org)

*Validity of this Certificate is subject to continued conformance with the applicable ASI Standard and can be verified at*  
[www.aluminium-stewardship.org](http://www.aluminium-stewardship.org)

CERTIFICATION SCOPE

Jupiter Aluminum's scrap re-melting, cold rolling, annealing, and finishing operations located in Hammond, Indiana. Jupiter's coil coating facilities located in Beech Bottom, West Virginia and Fairland, Indiana, USA.

\* Provisional Certification is valid for the period of one year, during which the company can address the non-conformances assessed and subsequently seek full certification

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# AUDIT REPORT PERFORMANCE STANDARD

## OVERVIEW

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|             |                  |
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| MEMBER NAME | Jupiter Aluminum |
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| ENTITY NAME | Jupiter Aluminum |
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| CERTIFICATION SCOPE | Jupiter Aluminum's scrap re-melting, cold rolling, annealing, and finishing operations located in Hammond, Indiana. Jupiter's coil coating facilities located in Beech Bottom, West Virginia and Fairland, Indiana, USA. |
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| SUPPLY CHAIN ACTIVITIES | <ul style="list-style-type: none"><li>Aluminium Re-melting/Refining</li><li>Casthouses</li><li>Semi-Fabrication</li><li>Material Conversion</li></ul> |
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| ASI STANDARD | Performance Standard V3.1 |
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| AUDIT TYPE | <ul style="list-style-type: none"><li>Certification Audit (19 – 20 November 2019)</li><li>Scope Change Audit (8 – 9 December 2020)</li><li>Surveillance Audit (19 – 20 May 2021)</li><li>Re-Certification Audit (20 November – 1 December 2022)</li><li>Re-Certification Audit and Scope Change (9 – 12 March 2026)</li></ul> |
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| AUDIT FIRM | DNV Business Assurance Services UK Ltd. |
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| AUDIT DATE | <ul style="list-style-type: none"><li>19 – 20 November 2019 (Certification Audit)</li><li>8 – 9 December 2020 (Scope Change Audit)</li><li>19 – 20 May (Surveillance Audit)</li><li>20 November – 1 December 2022 (Re-Certification Audit)</li><li>9 – 12 March 2026 (Re-Certification Audit and Scope Change)</li></ul> |
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| AUDIT REPORT SUBMISSION | <ul style="list-style-type: none"><li>12 December 2019 (Certification Audit)</li><li>29 January 2021 (Scope Change Audit)</li><li>18 June 2021 (Surveillance Audit)</li><li>12 January 2022 (Re-Certification Audit)</li><li>21 April 2026 (Re-Certification Audit and Scope Change)</li></ul> |
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| AUDIT SCOPE | <p><u>Certification Audit (19 – 20 November 2019)</u></p> <p>The Audit Scope covered the activities at Jupiter Aluminum operations located in Hammond, Indiana USA.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none"><li>Aluminium Re-melting/Refining</li><li>Casthouses</li><li>Semi-Fabrication</li></ul> |
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All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.

Scope Change Audit (8 – 9 December 2020)

The Audit Scope covered the activities at Jupiter Aluminum operations located in Jupiter Aluminum Beech Bottom and Jupiter Aluminum Fairland.

Supply chain activities included in the Audit Scope:

- Material Conversion (Production and Transformation)

All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.

Surveillance Audit (19 – 20 May 2021)

The Audit Scope covered Jupiter Aluminum operations located in Hammond, Indiana, Beech Bottom, West Virginia and Fairland, Indiana, USA.

Supply chain activities included in the Audit Scope:

- Aluminium Re-melting/Refining
- Casthouses
- Semi-Fabrication
- Material Conversion (Production and Transformation)

All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.

At the time of the Audit (May 2021), access to the site was not possible, due to COVID-19 related travel restrictions. The Audit has been undertaken as a 'desktop' exercise, in accordance with ASI Interim Policy regarding Audits, Audit-Related Travel and Coronavirus (v4), and included a remote review of relevant documentation and a remote site tour.

Re-Certification Audit (20 November – 1 December 2022)

The Audit Scope covers Jupiter Aluminum operations located in Hammond, Indiana, and Fairland, Indiana, USA.

The ASI multi-site sampling approach was undertaken for the Facilities listed within the Audit Scope and does not include the coil coating facility located in Beech Bottom, West Virginia.

Supply chain activities included in the Audit Scope:

- Aluminium Re-melting/Refining
- Casthouses
- Semi-Fabrication
- Material conversion (Production and Transformation)

All relevant criteria in the ASI Performance Standard were included in the Audit Scope.

Re-Certification Audit and Scope Change (9 – 12 March 2026)

The Audit Scope covered Jupiter Aluminum operations located in Hammond, Indiana, Beech Bottom, West Virginia and Fairland, Indiana, USA.

Supply chain activities included in the Audit Scope:

- Aluminium Re-melting/Refining
- Casthouses
- Semi-Fabrication
- Material Conversion

All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.

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AUDIT OUTCOME

- Provisional Certification
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AUDIT METHODOLOGY  
DECLARATION

The Auditors confirm that:

- ☑ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
- ☑ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
- ☑ The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
- ☑ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

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CERTIFICATION PERIOD

7 April 2026 – 6 April 2027

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NEXT AUDIT TYPE

Surveillance Audit

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NEXT AUDIT DATE

6 April 2027

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CERTIFICATE NUMBER

61



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

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## ENTITY OVERVIEW

Jupiter Aluminum operations (the 'Entity') comprise three U.S.-based facilities located in Beech Bottom, West Virginia; Hammond, Indiana; and Fairland, Indiana. Across these sites, the Entity conducts Aluminium re-melting, coil production, and coil coating activities for sale to customers across a range of building, construction, transportation, license plate, and agricultural markets. The Beech Bottom coating facility commenced operation in 2014, the Hammond re-melting and rolling facility has operated since 1992, and the Fairland coating facility has been in operation since 1995. Across the three facilities, the Entity currently employs approximately 430 Workers, including contractors, with approximately fifty women employed across the three sites.

At the Beech Bottom facility, approximately 10,000 metric tonnes of coated Aluminium coils are produced annually through coating, slitting, embossing, and packaging activities. The operation runs within the Beech Bottom Industrial Park, where the Entity owns and operates its equipment while leasing the physical space. Key physical features include two coil coating lines, a slitting line, embossing line, packaging line, and paint and coil storage areas. The nearest township is Beech Bottom, and the Ohio River forms the nearest sensitive receptor. Primary external stakeholders include the U.S. Environmental Protection Agency (EPA), the West Virginia Department of Environmental Protection, and the Village of Beech Bottom.

The Hammond facility functions as the Entity's primary re-melting, casting, and rolling operation, producing approximately 90,000 metric tonnes of bare or coated Aluminium coils annually. Activities include Scrap Aluminium melting, casting, hot and cold rolling, annealing, slitting, and tension leveling. The facility is situated in an industrial park that includes several other businesses. Nearby sensitive receptors include an elementary school (approximately 1.5 kilometres away), residential areas (one kilometre), the Grand Calumet River (three kilometres), Lake Michigan (ten kilometres), and the Gibson Woods Nature Preserve (five kilometres). Key external stakeholders include the U.S. EPA, the Indiana Department of Environmental Management, and the City of Hammond.

The Fairland facility conducts coil coating and finishing activities, producing approximately 54,000 metric tonnes of coated and bare Aluminium coils annually. Key infrastructure includes two coating lines, three slitting lines, a packing line, warehouse, and maintenance areas. The site is located in a rural area with an active farm adjacent to the plant and a small residential area within two kilometres. Sensitive receptors are limited, with no major industrial or ecological features directly bordering the facility. External stakeholders include the U.S. EPA, the Indiana Department of Environmental Management, and the Town of Fairland.

Across all three sites, the Entity's production is primarily destined for customers in the United States. No major construction, expansion, decommissioning, or upgrade activities are currently underway or planned at the facilities.

## MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of Systems, Residual Risk and Performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

|                    | GOVERNANCE    | ENVIRONMENT | SOCIAL | COMBINED RATING |
|--------------------|---------------|-------------|--------|-----------------|
| <b>SYSTEMS</b>     | High          | Medium      | Medium | MEDIUM          |
| <b>RISKS</b>       | Medium        | Medium      | Medium | MEDIUM          |
| <b>PERFORMANCE</b> | Medium        | Medium      | High   | MEDIUM          |
| <b>OVERALL</b>     | <b>MEDIUM</b> |             |        |                 |

## FINDINGS

| CRITERION   | RATING      | COMMENT  |
|---|-------------|--|
| <b>1. BUSINESS INTEGRITY</b>                        |             |  |
| 1.1 Legal Compliance                                | Conformance | The Entity has systems in place to maintain awareness of and ensure Compliance with Applicable Law. They have identified responsible personnel for each department who draw on their professional memberships, regulatory publications and have agreements with several law firms to provide regular updates on changes in regulatory requirements.  |
| 1.2 Anti-Corruption                                 | Conformance | <p>The Entity maintains Policies and procedures to manage risks of Bribery and Corruption. It's commitment and expectation are outlined in its Code of Conduct available at: <a href="https://www.jupiteraluminum.com/Main/Responsibility">https://www.jupiteraluminum.com/Main/Responsibility</a></p> <p>The Entity's governance framework documents the prohibition of Bribery in all business practices and transactions, and the Whistleblower Policy. The Governance Framework Policy has been communicated to employees through employee training and employees have signed training attendance records. It is available at: <a href="https://www.jupiteraluminum.com/assets/images/about/JAC_Governance.pdf">https://www.jupiteraluminum.com/assets/images/about/JAC_Governance.pdf</a></p>   |
| 1.3a-e Code of Conduct                              | Conformance | <p>The Entity has implemented the Code of Conduct Policy document that includes principles relevant to environmental, social and governance performance, available at: <a href="https://www.jupiteraluminum.com/Main/Responsibility">https://www.jupiteraluminum.com/Main/Responsibility</a></p> <p>The employees have been trained on the Code of Conduct. The document is a controlled document and has been reviewed and approved by management. The Entity has maintained a training log and signed training attendance records.</p>   |
| <b>2. POLICY AND MANAGEMENT</b>                     |             |  |
| 2.1a-f Environmental, Social, and Governance Policy | Conformance | <p>The Entity has developed a series of Policies that demonstrate its commitment to the environmental, social, and governance practices included in the ASI Performance Standard. These Policies are available, under the headings Environmental Management System, Social Management System, and Governance Framework at: <a href="https://www.jupiteraluminum.com/Main/Responsibility">https://www.jupiteraluminum.com/Main/Responsibility</a></p> <p>The Entity's Policies define management sponsors who are responsible for the implementation and maintenance of each Policy. All Policies are reviewed by senior management and formally approved by the company President. There is a Policy review schedule.</p> <p>The Entity effectively communicates its Policies to both internal and external Stakeholders. Policies are available to internal and external Stakeholders via the Entity's website.</p> |
| 2.2a-c Leadership                                   | Conformance | The Vice President of Continuous Improvement is the designated overall accountable party. According to the Jupiter Environmental Management System (EMS), the Entity's Environment, Health, and Safety (EHS) Director has delegated responsibility for ensuring social, environmental, and governance requirements are reflected in the Entity's Management System. The Vice President of Human Resources has delegated responsibility for social aspects. The EMS document is   |

| CRITERION  | RATING         | COMMENT   |
|--|----------------|---|
|  |                | available at:<br><a href="https://www.jupitaluminum.com/assets/images/about/JAC_Environment.pdf">https://www.jupitaluminum.com/assets/images/about/JAC_Environment.pdf</a>  |
| 2.3a Environmental and Social Management Systems – Environmental | Conformance    | The Entity has defined and is implementing an Environmental Management System designed to identify and mitigate environmental risks. The system is defined in the Jupiter Aluminum Environmental Management System document, available at:<br><a href="https://www.jupitaluminum.com/assets/images/about/JAC_Environment.pdf">https://www.jupitaluminum.com/assets/images/about/JAC_Environment.pdf</a>   |
| 2.3b Environmental and Social Management Systems – Social        | Conformance    | The Entity has developed, and is implementing, a Social Management System to identify and mitigate the impact of its operations on Stakeholders, available at:<br><a href="https://www.jupitaluminum.com/assets/images/about/JAC_Social.pdf">https://www.jupitaluminum.com/assets/images/about/JAC_Social.pdf</a><br><br>The Management System is overseen by the Vice President of Human Resources and is reviewed annually by senior management.  |
| 2.4a-e Responsible Sourcing                                      | Conformance    | The Entity's Responsible Sourcing Policy addresses supplier requirements for environmental, governance and social standards, available at:<br><a href="https://www.jupitaluminum.com/assets/images/about/JAC_Responsible_Sourcing_Policy.pdf">https://www.jupitaluminum.com/assets/images/about/JAC_Responsible_Sourcing_Policy.pdf</a><br><br>Vendors are required to acknowledge purchase orders and the company Code of Conduct, which is available on the Entity website, under the headings Global Code of Conduct and Terms and Conditions of Purchases. Refer to:<br><a href="https://www.jupitaluminum.com/Main/Responsibility">https://www.jupitaluminum.com/Main/Responsibility</a><br><br>These documents define vendor social, environmental, and governance practices.   |
| 2.5a-g Environmental and Social Impact Assessments               | Not Applicable | This Criterion is not applicable to the Entity, as there have been no significant changes or New Projects over the previous three years.  |
| 2.6a-h Human Rights Impact Assessment                            | Not Applicable | This Criterion is not applicable to the Entity, as there have been no significant changes or New Projects over the previous three years.  |
| 2.7a-f Emergency Response Plan                                   | Conformance    | The Entity's Emergency Action Plan includes evacuation procedures and communication protocols for various types of emergencies and defines training and record-keeping requirements. Workers are aware of what to do in emergency situations. The emergency action plan was developed to meet regulatory requirements, which were developed with input from Stakeholders and there is an annual requirement to update the emergency plans.<br><br>The Entity's facilities are within industrial parks/rural areas which limits external Stakeholder exposure to emergencies. Emergency Action Plans have been provided to relevant public authorities. An Emergency Action Plan summary is available at:<br><a href="https://www.jupitaluminum.com/assets/images/about/JAC_EmergencyResponse.pdf">https://www.jupitaluminum.com/assets/images/about/JAC_EmergencyResponse.pdf</a> |

| CRITERION                                       | RATING                | COMMENT   |
|---|-----------------------|---|
| 2.8a-d Suspended Operations                     | Conformance           | The Entity has a Disaster Response Plan implemented that covers procedures to address risks that could result in suspension of operations. There have not been any suspensions to date.   |
| 2.9a-b Mergers and Acquisitions                 | Conformance           | <p>The Entity's Governance Framework requires that it engages with external specialists to perform environmental, social, and fiscal Due Diligence on a merger or acquisition target:<br/> <a href="https://www.jupitaluminum.com/assets/images/about/JAC_Governance.pdf">https://www.jupitaluminum.com/assets/images/about/JAC_Governance.pdf</a></p> <p>The Entity has been involved in one merger and acquisition (M&amp;A) in twenty years, which closed in December 2018. This occurred prior to the governance framework policy, however the Entity did contract with an environmental consultant (RAMBOL) and a local law firm, for Due Diligence purposes.</p>  |
| 2.10a-b Closure, Decommissioning and Divestment | Conformance           | <p>The Entity's Governance Framework procedures require that it follows all legal requirements to mitigate potential health, social and environmental impacts in the event of a site closure or decommissioning event, including following Indiana Department of Environmental Management (IDEM) guidelines, West Virginia Department of Environmental Protection guideline, and the Department of Labor (DOL) Worker Adjustment and Retraining Notification Act.</p> <p>The Governance Framework is available at:<br/> <a href="https://www.jupitaluminum.com/assets/images/about/JAC_Governance.pdf">https://www.jupitaluminum.com/assets/images/about/JAC_Governance.pdf</a></p> <p>The Entity has had no closures/decommissioning over the 28 years of operation.</p> |
| <b>3. TRANSPARENCY</b>                          |                       |   |
| 3.1a-b Sustainability Reporting                 | Conformance           | <p>The Entity discloses its Material environmental, social, and economic impacts in its Sustainability Report, and associated documents available at:<br/> <a href="https://www.jupitaluminum.com/Main/Responsibility">https://www.jupitaluminum.com/Main/Responsibility</a></p> <p>The Report highlights the impact of its 'low-carbon' Aluminium coils on the Circular Economy. It addresses energy consumption and Greenhouse Gases (GHG) Emissions, water use and wastewater discharge, Hazardous and non-Hazardous Waste, governance, customers and economy, people, including Health and Safety and Local Communities.</p>  |
| 3.2 Non-compliance and Liabilities              | Conformance           | <p>Information on the Entity's significant fines, judgments, penalties, and non-monetary sanctions are available through public databases, as follows:</p> <p>OSHA: <a href="https://www.osha.gov/pls/imis/establishment.html">https://www.osha.gov/pls/imis/establishment.html</a></p> <p>IDEM (Public Records Request Form):<br/> <a href="https://www.in.gov/idem/5157.htm">https://www.in.gov/idem/5157.htm</a></p> <p>West Virginia DEP: <a href="https://dep.wv.gov/Pages/default.aspx">https://dep.wv.gov/Pages/default.aspx</a></p> <p>US EPA: <a href="https://echo.epa.gov/facilities/facility-search">https://echo.epa.gov/facilities/facility-search</a></p>  |
| 3.3a-c Payments to Governments                  | Minor Non-Conformance | The Entity has the required policies, training, and oversight to ensure that payments made to Governments are only on a legal/contractual   |

| CRITERION  | RATING                | COMMENT  |
|--|-----------------------|--|
|  |                       | <p>basis. The Entity's Governance Framework establishes a zero-tolerance position on Bribery and Corruption. The Framework outlines what is and is not acceptable for vendor gifts, Facilitation Payments, political contributions, and charitable contributions, and establishes regular auditing requirements and responsibilities. All payments are tracked which enables the nature of the payments to be confirmed.</p> <p>The Entity's property tax payments are available at:</p> <p>Hammond: <a href="https://in-lake.publicaccessnow.com/PropertyTax/TaxSearch/Account.aspx?p=451233199200&amp;a=45-123-31992-00">https://in-lake.publicaccessnow.com/PropertyTax/TaxSearch/Account.aspx?p=451233199200&amp;a=45-123-31992-00</a></p> <p>Fairland: <a href="http://treasurer.shelbycounty73.us/cgi.exe?CALL_PROGRAM=C009INFO&amp;FINDINFO=01286829342&amp;TAXYEAR=2025&amp;ORIGIN=A">http://treasurer.shelbycounty73.us/cgi.exe?CALL_PROGRAM=C009INFO&amp;FINDINFO=01286829342&amp;TAXYEAR=2025&amp;ORIGIN=A</a></p> <p>Beech Bottom: <a href="https://brooke.wvassessor.com/prc.aspx?PARID=01BB36000100000000">https://brooke.wvassessor.com/prc.aspx?PARID=01BB36000100000000</a></p> <p>At the time of the Audit, the Entity was not however publicly disclosing tax payments.</p> |
| 3.4a-f Stakeholder Complaints, Grievances and Requests for Information | Minor Non-Conformance | <p>The Entity has developed and implemented a Grievance Mechanism, which is described in the Collective Bargaining Agreement and the Employee Handbook, while external Stakeholders can utilise regulatory agency hotlines to file complaints. For instance, they may contact the City of Hammond's Department of Environmental Management or the City's Code Enforcement Division, (or the West Virginia Department of Environment.</p> <p>There is however no public description of the Complaints Resolution Mechanism. If it is an environmental issue, complaints can be made via an appropriate agency or directly to the site or the owners of the facility.</p>  |
| <b>4. MATERIAL STEWARDSHIP</b>   |                       |  |
| 4.1a Environmental Life Cycle Assessment                               | Conformance           | <p>The Entity has provided internal production data to the American Aluminum Association to create a comprehensive analysis of its emissions, waste, water, and energy impacts. The first Life Cycle Assessment (LCA) analysis was published in 2013, and the second edition was completed in January 2022. The LCA developed by the Aluminum Association is from 'cradle to gate' and covers the main Product lines produced by the Entity.</p>   |
| 4.1b-c Environmental Life Cycle Assessment - Disclosure                | Conformance           | <p>The Entity's LCA is available at: <a href="https://www.aluminum.org/sites/default/files/2022-01/2022_Semi-Fab_LCA_Report.pdf">https://www.aluminum.org/sites/default/files/2022-01/2022_Semi-Fab_LCA_Report.pdf</a></p> <p>The relevant product group for the Entity is 'Rolled Products'. The LCA is a detailed report and includes the underlying assumptions including system boundaries.</p>  |
| 4.2 Product Design   | Not Applicable        | <p>This Criterion is not applicable to the Entity as it does not directly nor indirectly contribute to the design of the Products it manufactures.</p>   |
| 4.3a-b Aluminium Process Scrap   | Conformance           | <p>Scrap produced internally by the Entity at all three sites is used as raw material. The Entity reuses 100% of the Scrap that is produced internally. The Entity manufactures coils comprising 93% Recycled</p>  |

| CRITERION  | RATING      | COMMENT   |
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|  |             | Aluminium Scrap and 7% Primary Aluminium. (91% for the painted product, 7% primary, 2% coating).<br><br>All input Scrap material is managed based on its alloy, grade and other characteristics to suit the operations.   |
| 4.4a-c Collection and Recycling of Products at End of Life - Material Conversion and other Manufacturing | Conformance | The Entity's strategy is to source much of its Scrap through third-party Scrap brokers (suppliers) to increase Scrap collection from products at 'end of life'. These suppliers work closely with Scrap generators, including customers of the Entity, to provide the physical equipment needed to sort, store and transport Scrap that is at the end of its useful life. |
| 4.4d Collection and Recycling of Products at End of Life   | Conformance | The Entity is a member of the American Aluminum Association and is involved in providing input to the end clients and the Aluminium community on the recyclability of the Product. The Aluminum Association provides support and information to its members to drive increases in Aluminium recycling rates.  |

## 5. GREENHOUSE GAS EMISSIONS

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|---|-----------------------|---|
| 5.1a-b Disclosure of GHG Emissions and Energy Use                                       | Minor Non-Conformance | The Entity calculates its direct Greenhouse Gas (GHG) emissions and indirect electricity use emissions (Scope 1 and 2 Emissions) using EPA conversion factors and the GHG protocol. These are publicly reported in the annual Sustainability Report:<br><a href="https://www.jupitaluminum.com/assets/images/about/JAC_Sustainability.pdf">https://www.jupitaluminum.com/assets/images/about/JAC_Sustainability.pdf</a><br><br>In addition to the main emission sources from the furnaces, Scope 1 data includes emissions from diesel and propane used to power the forklift trucks.<br><br>There is no public disclosure of Scope 3 GHG emissions however, and no independent verification of these data. |
| 5.2a Aluminium Smelter GHG Emissions Intensity - Started production after 2020          | Not Applicable        | This Criterion is not applicable to the Entity's Certification Scope.   |
| 5.2b Aluminium Smelter GHG Emissions Intensity - In production up to and including 2020 | Not Applicable        | This Criterion is not applicable to the Entity's Certification Scope.   |
| 5.3a GHG Emissions Reduction Plans  | Conformance           | The Entity has committed to continuing to manage the operations under its control to reduce GHG emissions and its GHG emissions levels are currently below the thresholds generated using the ASI methodology, consistent with a 1.5°C pathway. Further emissions reductions are significantly dependent on aligned policy and further technology advancement over time.  |
| 5.3b-e GHG Emissions Reduction Plans - Targets, review and disclosure                   | Major Non-Conformance | The Entity has published GHG emissions reduction targets to 2025 in its Sustainability Report and is reviewing and updating the targets to be published in future reports, available at:<br><a href="https://www.jupitaluminum.com/assets/images/about/JAC_Sustainability.pdf">https://www.jupitaluminum.com/assets/images/about/JAC_Sustainability.pdf</a>   |

| CRITERION                                | RATING                | COMMENT  |
|--|-----------------------|--|
|  |                       | <p>It is noted that the Entity is structurally limited in the further actions it can take to reduce its GHG emissions further.</p> <p>Whilst noting that the Entity uses a high percentage of recycled content and that the Entity internally calculates GHG Emissions Reduction Pathways using the ASI Methodology and emission levels are below the Pathways, these Pathways are not publicly disclosed, there is no current Intermediate Target, and the reduction plans require additional detail.</p>   |
| 5.4 GHG Emissions Management             | Minor Non-Conformance | The Entity's Environmental Management System contains an Output Reduction Plan, with general commitment to reduce energy consumption and reduce GHG emissions, however there is currently insufficient detail provided in the associated management plan.  |
| <b>6. EMISSIONS, EFFLUENTS AND WASTE</b> |                       |  |
| 6.1a-f Emissions to Air                  | Conformance           | <p>The Entity has systems implemented to quantify and report Emissions to Air that have adverse effects on humans or the environment and are included as requirements in the permit issued by the Indiana Department of Environmental Management, the West Virginia Department of Environmental Protection, and the Division of Air Quality.</p> <p>Compliance with the permit includes the requirement to implement plans to minimise adverse impacts through periodic emissions testing, monitoring, and maintenance programs. The Entity has procedures in place to supervise, monitor, and continuously measure Emissions to Air. The Entity is regulated for and monitors criteria pollutants such as PM<sub>10</sub>, PM<sub>2.5</sub>, and Volatile Organic Compounds (VOCs) (Hammond); VOCs (Fairland); and VOCs and Hazardous Air Pollutants (HAPs) (Beech Bottom).</p>   |
| 6.2a-g Discharges to Water               | Conformance           | <p>The Entity's Hammond facility has implemented systems to quantify and monitor water effluents to Publicly Owned Treatment Works (POTW) / sewage treatment plants that have adverse effects on humans or the environment and is included in the permit issued by the Sanitary District of Hammond Indiana. The permit includes requirements to implement plans to minimise these adverse impacts such as pre-treatment standards and the Entity has documented that such plans exist and that procedures are in place to demonstrate Compliance.</p> <p>The Entity's Beech Bottom facility has installed a wastewater plant that treats the wastewater prior to discharge to a Publicly Owned Treatment Works (POTW) / sewage treatment plant. The WV/NPDES (West Virginia National Pollutant Discharge Elimination Permit) includes requirements to implement plans to minimise these adverse impacts such as pre-treatment standards and the Entity has documented that such plans exist and that procedures are in place to demonstrate compliance.</p> <p>It is important to note that Jupiter Hammond and Beech Bottom have no direct discharge to a body of water. All process-related water and a majority of stormwater are directed to the local POTW for treatment prior to discharge.</p> <p>The Entity's Fairland facility does not discharge any water. Fairland has a septic system for domestic sewage. The NPDES permit is for the discharge of the reverse osmosis process for the drinking water supply.</p> |

| CRITERION   | RATING                | COMMENT  |
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| 6.3a-g Assessment and Management of Spills and Leakages | Conformance           | <p>The Entity has developed a spill prevention control and countermeasure plan for each site (Hammond, Beech Bottom and Fairland). The plan is developed to meet the United States Environmental Protection Agency (USEPA) regulations contained in 40 CFR Part 112 – Oil Pollution Prevention. The major risk area identified is oil storage and the plan is developed accordingly.</p> <p>There have been no significant incidents of Spills or Leakages in the last five years.</p> <p>Procedures for communicating Spills and Leakages to affected personal and external Stakeholders are in place.</p>  |
| 6.4a-b Public Disclosure of Spills and Leakages         | Conformance           | <p>The spill prevention control and countermeasure plan includes procedures with the documented methodology for determining the volume, type, and potential impact of significant Spills; and a procedure for immediate reporting and communication of volume, type, and potential impact of significant Spills to affected personnel and external Stakeholders.</p> <p>Spill information is available publicly via IDEM virtual filing cabinet, available at: <a href="https://www.in.gov/idem/legal/public-records/virtual-file-cabinet/">https://www.in.gov/idem/legal/public-records/virtual-file-cabinet/</a></p>   |
| 6.5a-c Waste Management and Reporting                   | Conformance           | <p>The Entity has a documented waste management strategy and plan that profiles all waste streams, key risks and impacts associated with waste streams and identifies management routes. The strategy is designed in accordance with the Waste Mitigation Hierarchy. The Entity has a 30% municipal solid waste reduction target by 2025 from a 2019 baseline.</p> <p>The Entity annually discloses the quantity of Hazardous and Non-Hazardous Waste generated by the Entity, and associated waste disposal methods. This is available at a Federal level via the waste dashboard at: <a href="http://rcrainfo.epa.gov">http://rcrainfo.epa.gov</a></p> <p>Summary information is available in the Sustainability Report: <a href="https://www.jupiteraluminum.com/assets/images/about/JAC_Sustainability.pdf">https://www.jupiteraluminum.com/assets/images/about/JAC_Sustainability.pdf</a></p> |
| 6.6a-g Bauxite Residue                                  | Not Applicable        | This Criterion is not applicable to the Entity's Certification Scope.  |
| 6.7a-f Spent Pot Lining (SPL)                           | Not Applicable        | This Criterion is not applicable to the Entity's Certification Scope.  |
| 6.8a-d Dross  | Conformance           | <p>The Entity processes a proportion of Dross onsite before further recovery processes and landfill of Dross fines from pollution control output. It recovers a small percentage of Aluminium. The Dross is sent to an external Aluminium recycling company (Sceptor and Smelter Services) to further recover Aluminium from Dross. Recovered Aluminium is purchased by the Entity from the external recycler. The Entity does not send any of its Dross Residue to landfill.</p>  |
| <b>7. WATER STEWARDSHIP</b>                             |                       |  |
| 7.1a-b Water Assessment and Disclosure                  | Minor Non-Conformance | <p>Jupiter's Hammond's water supply is sourced by the Hammond Waterworks Department, which has the sole authority to withdraw from Lake Michigan. All discharge is via a single sewer where the Entity pays for all treatment needs. The Entity's water use presents no risk to</p>  |

| CRITERION  | RATING         | COMMENT  |
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|  |                | <p>the Watershed or water quality. The Entity's Beech Bottom facility uses Municipal water. The Entity's Fairland facility extracts water from one well within the boundary of the Facility. There is another well which is unused. Fairland uses estimated consumption data.</p> <p>The Entity's Area of Influence includes the industrial park on which Hammond resides, its immediate air shed and Watershed (Valparaiso Moraine of the Lake Michigan Watershed), the Watershed at the Upper Ohio South River for the Beech Bottom site and the Big Blue River Watershed for the Fairland plant.</p> <p>The Entity's water use information data report provides a summary of its water intake, use and discharge only, and has not however been itemised by source.</p> |
| 7.2a-e Water Management  | Not Applicable | This Criterion is not applicable to the Entity, as no significant water management related risks were identified.  |
| <b>8. BIODIVERSITY AND ECOSYSTEM SERVICES</b>                                  |                |  |
| 8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment            | Conformance    | The Entity commissioned a third party to conduct Biodiversity risk assessments to assess the risk and Materiality of the impacts on Biodiversity from its operations at each site. The assessment concludes that there are no key Biodiversity areas (according to the Integrated Assessment Tool) within the each sites Area of Influence and that the risks to Biodiversity and Ecosystem Services are low.  |
| 8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority | Not Applicable | This Criterion is not applicable to the Entity, as no significant Biodiversity or Ecosystem Services related risks were identified.  |
| 8.2a-g Biodiversity Management   | Not Applicable | This Criterion is not applicable to the Entity, as no significant Biodiversity or Ecosystem Services related risks were identified.  |
| 8.3a-c Management of Priority Ecosystem Services                               | Not Applicable | This Criterion is not applicable to the Entity, as no Priority Ecosystem Services relevant to affected populations have been identified.   |
| 8.4 Alien Species  | Conformance    | The Entity has assessed the risks of introducing Alien Species through its operations and managing potential risks, including utilising Contractors that are implementing proper housekeeping and maintenance, and completing routine inspections of wood pallets, to ensure they are not carrying Alien Species.  |
| 8.5a-b Commitment to "No Go" in World Heritage Properties                      | Conformance    | The Entity's Biodiversity and Protected Areas assessment noted that there are no World Heritage Properties within or adjacent to the Entity's Area of Influence. The Entity has no New Projects or Major Changes conducted or planned.   |
| 8.6a-d Protected Areas   | Conformance    | The Entity has conducted Biodiversity Risk Assessments for all sites, and there are no Protected Areas in the Entity's Area of Influence. The Entity engages with regulators to ensure Compliance with environmental permits, which also includes requirements for Protected Areas.  |

| CRITERION   | RATING                | COMMENT  |
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| 8.6e Protected Areas - Bauxite Mining   | Not Applicable        | This Criterion is not applicable to the Entity's Certification Scope.  |
| 8.7a-i Mine Rehabilitation  | Not Applicable        | This Criterion is not applicable to the Entity's Certification Scope.  |
| <b>9. HUMAN RIGHTS</b>  |                       |  |
| 9.1a-d Human Rights Due Diligence   | Conformance           | <p>The Entity's Social and Ethical Management Standards include the policy commitment to respect Human Rights. The Policy refers to the United Nations (UN) Guiding Principles. The Policy has been communicated to the employees through formal training.</p> <p>The Entity has conducted a Human Rights Due Diligence process. The Vice President of Human Resources and the Sustainability Manager, with input from management and employees, was utilised in conducting the Due Diligence. Based on the risk level assigned to the identified criteria, prevention and mitigation plans are developed.</p> <p>The Entity's Human Rights Due Diligence process did not identify any adverse Human Rights impacts. The Entity demonstrated procedures and policies in place to respect Human Rights according to the UN Guiding Principles on Business and Human Rights. This is incorporated in the Code of Conduct applicable to all employees, as well as suppliers and partner companies. The Entity has implemented a Grievance Mechanism to report any complaints including Human Rights violations.</p> |
| 9.2a-e Gender Equity and Women's Empowerment                                  | Minor Non-Conformance | <p>The Entity's Social and Ethical Management Standard has a combined Human and Women's Rights Policy and considers the UN Guiding Principles on Business and Human Rights - The UN Convention on the Elimination of all Forms of Discrimination Against Women. The Entity encourages women to apply for new job openings and is an Equal Opportunity Employer. The Entity ensures that women working on-site have access to appropriate locker rooms, facilities, and PPE. Non-Discrimination and Workers rights information is available at <a href="https://www.jupitaluminum.com/assets/images/about/JAC_Social.pdf">https://www.jupitaluminum.com/assets/images/about/JAC_Social.pdf</a></p> <p>Whilst there are no indications of women's rights infringements, there is no public disclosure provided of the effectiveness of the relevant Policies and actions undertaken.</p>   |
| 9.3a-i Indigenous Peoples   | Not Applicable        | <p>This Criterion is not applicable to the Entity as location-specific assessments confirm that no Indigenous Peoples, lands, territories, or resources are affected by the Entity's operations.</p> <p>The Entity's Social and Ethical Management Standard however includes the policy on Indigenous Peoples' rights. It further states that they aim to abide by recommendations put in place by: Indiana Native American Indian Affairs Commission (INAIAC); The American Declaration on the Rights of Indigenous Peoples; and US Agency for International Development (USAID) regarding Free and Prior Informed Consent (FPIC), Resettlement standards, and cultural and sacred heritage.</p>  |
| 9.4a Free, Prior, and Informed Consent (FPIC) - New Projects or Major Changes | Not Applicable        | This Criterion is not applicable to the Entity, as Indigenous Peoples or their lands, territories and resources are not present or directly affected by the Entity's operations.   |

| CRITERION   | RATING         | COMMENT   |
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| 9.4b Free, Prior, and Informed Consent (FPIC) - Bauxite Mining            | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope.   |
| 9.4c Free, Prior, and Informed Consent (FPIC) - Demonstrate support       | Not Applicable | This Criterion is not applicable to the Entity, as Indigenous Peoples or their lands, territories and resources are not present or directly affected by the Entity's operations.  |
| 9.5a Cultural and Sacred Heritage - Identification                        | Not Applicable | This Criterion is not applicable to the Entity, as it has assessed, and confirmed that there are no cultural or sacred heritage sites or values present within the Entity's Area of Influence.  |
| 9.5b Cultural and Sacred Heritage - Impacts                               | Not Applicable | This Criterion is not applicable to the Entity, as it has assessed, and confirmed that there are no cultural or sacred heritage sites or values present within the Entity's Area of Influence.  |
| 9.6a-i Displacement   | Conformance    | The Entity's Facilities are all located in industrial areas since inception and there has been no resettlement required at any site.  |
| 9.7a-h Affected Populations and Organisations                             | Not Applicable | This Criterion is not applicable to the Entity, as the Facilities are located in industrial areas and rural communities and the Human Rights Due Diligence did not identify any communities whose livelihoods and use of natural resources are adversely affected by the Entity.  |
| 9.8a Conflict-Affected and High-Risk Areas - Strong management systems    | Conformance    | The Entity is located in the United States and does not operate in high-conflict zones. The Entity has a Conflict Minerals Policy available at: <a href="https://www.jupitaluminum.com/Main/Responsibility">https://www.jupitaluminum.com/Main/Responsibility</a> and its application is used to ensure it does not contribute to armed conflict or Human Rights abuses in conflict-affected areas. The Entity has ongoing engagement with its suppliers including a Due Diligence questionnaire. |
| 9.8b Conflict-Affected and High-Risk Areas - Identify and assess risks    | Conformance    | The Entity has assessed risks in their supply chain through ongoing engagement with its suppliers including a Due Diligence questionnaire.  |
| 9.8c Conflict-Affected and High-Risk Areas - Strategy to respond to risks | Conformance    | The Entity is located in the United States and does not operate in high-conflict zones. The Entity has a conflict minerals policy available at: <a href="https://www.jupitaluminum.com/Main/Responsibility">https://www.jupitaluminum.com/Main/Responsibility</a> and its application is used to ensure it does not contribute to armed conflict or Human Rights abuses in conflict-affected areas. The Entity has ongoing engagement with its suppliers including a Due Diligence questionnaire. |
| 9.8d Conflict-Affected and High-Risk Areas - Audit of due diligence       | Conformance    | This ASI Performance Standard Audit satisfies the requirements of this Criterion.   |
| 9.8e Conflict-Affected and High-Risk Areas - Report annually              | Conformance    | The Entity reports on supply chain Due Diligence through its Conflict Minerals Policy document, available at: <a href="https://www.jupitaluminum.com/assets/images/about/JAC_CMP.pdf">https://www.jupitaluminum.com/assets/images/about/JAC_CMP.pdf</a>   |

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| 9.9 Security practice  | Conformance           | The Entity has appropriate security measures for all sites and respects Human Rights of all employees and visitors whilst on-site.   |
| <b>10. LABOUR RIGHTS</b>   |                       |  |
| 10.1a-c Freedom of Association and Right to Collective Bargaining  | Conformance           | <p>The Social and Ethical Management Standards include a policy on the rights of Workers to associate freely in Labour Unions in accordance with ILO Conventions C87 and C98. The Employee Handbook is provided to all employees as part of training, which includes these policies and procedures.</p> <p>The Entity respects the rights of Workers to Collectively Bargain, and Workers participate in the Collective Bargaining process and adhere to Collective Bargaining Agreements (CBA). The Entity's CBA applies only to the Hammond site and not to the Beech Bottom and Fairland sites.</p>   |
| 10.1d Freedom of Association and Right to Collective Bargaining - Alternative means in context of Applicable Law | Not Applicable        | This Criterion is not applicable as the Entity operates in a jurisdiction where Freedom of Association and Collective Bargaining are governed by United States labour law.   |
| 10.2a-c Child Labour   | Conformance           | The Entity has implemented a Child Labor Policy in the Social and Ethical Management Standards which is in line with ILO Conventions C138 and C182. The Entity does not hire anyone under the age of eighteen years of age.  |
| 10.3a-c Forced Labour  | Minor Non-Conformance | <p>The Entity has implemented a Forced Labor Policy in the Social and Ethical Management Standards which is in line with ILO Conventions C29, along with Protocol P29 (2014) to this Convention, and C105. They hire all employees directly and have controls in place when using employment agencies including direct interviews with all candidates.</p> <p>There is currently no public disclosure however of an annual Modern Slavery Statement.</p>   |
| 10.4a-c Non-Discrimination   | Conformance           | The Entity has implemented a Non-Discrimination Policy in the Social and Ethical Management Standards which is in accordance with ILO Conventions C100 and C111. The Policy addresses race, colour, origin, gender, sexual orientation, pregnancies, age, disability, religion, genetic information, marital status, family responsibilities and political or union affiliation.   |
| 10.5 Communication and engagement  | Conformance           | <p>The Entity's Social and Ethical Management Standard includes policies on Freedom of Association and communication. At the Hammond facility, Workers have a Collective Bargaining Agreement (CBA) that provides procedures that state their approach to communication, consultation and engagement with Workers, procedures, and templates for the resolution of workplace issues and compensation without threat of reprisal and procedures for fair treatment and disciplinary processes.</p> <p>For the Beech Bottom and Fairland facilities, the Grievance Mechanism is included in the Employee Handbook and is shared with Workers separately. The Grievance Mechanism is also posted on the notice boards throughout the facilities, which also display other timely or</p> |

| CRITERION  | RATING      | COMMENT   |
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|  |             | relevant company-wide communications. The Entity uses a text alert system to issue company-wide communications.   |
| 10.6a-g Violence and Harassment  | Conformance | The disciplinary procedure is documented in the Employee Handbook and CBA. The Entity does not engage in, nor tolerates the use of corporal punishment, mental or physical coercion, harassment, and gender-based violence including sexual harassment, or verbal abuse of Workers. The Entity has implemented a mature grievance reporting, tracking, and handling process.  |
| 10.7a-c Remuneration   | Conformance | Workers are paid above the minimum legal wage. The CBA reflects the pay grade and wage based on roles. Overtime is voluntary and is paid at 1.5 times the standard wage rate. A review of pay slips and time sheets during the Audit confirms that salaries are paid on time and correctly.   |
| 10.8a-c Working Time   | Conformance | The Entity's CBA and the Employee Handbook details the working hours, sick days, vacation, and Overtime details. This was further verified during the Audit against the payroll records. Working hours comply to the local law and are communicated to all employees.   |
| 10.9a-b Informing Workers of Rights  | Conformance | The Entity ensures Workers are informed of their rights via orientation training, posting of mandatory federal and state labour posters, and, for Hammond, the Collective Bargaining Agreement.   |
| <b>11. OCCUPATIONAL HEALTH AND SAFETY</b>  |             |   |
| 11.1a Occupational Health and Safety (OH&S) Management System                            | Conformance | The Entity has developed an Occupational Health and Safety (O&S) Management System, including OHS Policy that meets applicable national and international standards, available at:<br><a href="https://www.jupitaluminum.com/Main/Responsibility">https://www.jupitaluminum.com/Main/Responsibility</a><br><br>The Employee Handbook & OH&S Policy state that the Entity, its employees, vendors and outside Contractors will comply with all OSHA requirements, while the Global Code of Conduct states that 'Jupiter must comply with United States Federal and State Laws, as well as the International Labor Organization (ILO) guidelines regarding workplace rights' which include Occupational Health and Safety considerations. |
| 11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure | Conformance | The Entity conducts ongoing review and monitoring of the OH&S Management System, and the Entity's leading and lagging safety indicators, and benchmarking strategy, are available in the Sustainability Report:<br><a href="https://www.jupitaluminum.com/assets/images/about/JAC_Sustainability.pdf">https://www.jupitaluminum.com/assets/images/about/JAC_Sustainability.pdf</a>  |
| 11.2 Employee engagement on Health and Safety  | Conformance | The Entity has implemented Safety Committees at the Beech Bottom and Fairland Facilities, by which employees can raise, discuss, and participate in the resolution of OH&S issues with management.<br><br>At the Entity's Hammond Facility, the CBA has established a Plant Safety Committee consisting of Union and non-Union employees through which they can raise, discuss, and participate in the resolution of OH&S issues with management  |

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#### DOCUMENT CONTROL AND VERSION HISTORY

| REVISION | DATE             | NOTES  |
|----------|------------------|--|
| 0        | 7 January 2020   | Initial Certification  |
| 1        | 18 February 2021 | Updated to reflect Certification Scope change with addition of sites at Beech Bottom and Fairland.   |
| 2        | 26 July 2021     | Surveillance Audit; Update to Audit Scope (Certification Audit) to correct the Hammond facility location from Illinois to Indiana.                                 |
| 3        | 18 January 2022  | Update to inactive hyperlink for Criterion 4.1b.   |
| 4        | 8 February 2023  | Re-Certification Audit - Full Certification  |
| 5        | 2 June 2026      | Re-Certification Audit and Scope Change - Provisional Certification<br>Scope Change to apply ASI Performance Standard V3.1. Three-month extension approved by ASI. |

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