

ASI CERTIFICATION  
CHAIN OF CUSTODY  
STANDARD



PRESENTED TO

# Laminazione Sottile Group

CERTIFICATE NUMBER

555

ASI STANDARD

CHAIN OF CUSTODY  
STANDARD  
(V2 2022)

CERTIFICATION LEVEL

FULL  
CERTIFICATION

ASI ACCREDITED  
AUDITING FIRM

BUREAU VERITAS  
CERTIFICATION

DATE OF ISSUE

20 MAY 2026

DATE OF EXPIRY

19 MAY 2029

CERTIFIED SINCE

20 MAY 2026

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H.', followed by a horizontal line.

Aluminium Stewardship Initiative Ltd  
ACN 606 661 125, Australia  
info@aluminium-stewardship.org

*Validity of this Certificate is subject to  
continued conformance with the  
applicable ASI Standard and can be  
verified at*

[www.aluminium-stewardship.org](http://www.aluminium-stewardship.org)

CERTIFICATION SCOPE

Manufacturing of semi-finished  
Aluminium coils and sheets at  
Laminazione Sottile Plant (Italy), coil  
coating at Italcot Plant (Italy) and  
coating/printing at IPS Industrial  
Packaging Solution Plant (Italy).

# AUDIT REPORT

## CHAIN OF CUSTODY

### STANDARD

#### OVERVIEW

|                               |  |
|-------------------------------|--|
| MEMBER NAME                   | Laminazione Sottile S.p.A  |
| ENTITY NAME                   | Laminazione Sottile Group  |
| CERTIFICATION SCOPE           | Manufacturing of semi-finished Aluminium coils and sheets at Laminazione Sottile Plant (Italy), coil coating at Italcot Plant (Italy) and coating/printing at IPS Industrial Packaging Solution Plant (Italy).   |
| SUPPLY CHAIN ACTIVITIES       | <ul style="list-style-type: none"><li>Aluminium Re-melting/Refining</li><li>Casthouses</li><li>Post-Casthouse</li></ul>  |
| ASI STANDARD                  | Chain of Custody Standard V2   |
| AUDIT TYPE                    | <ul style="list-style-type: none"><li>Initial Certification Audit</li></ul>  |
| AUDIT FIRM                    | Bureau Veritas Certification   |
| AUDIT DATE                    | <ul style="list-style-type: none"><li>2 – 7 March 2026</li></ul>   |
| AUDIT REPORT SUBMISSION       | <ul style="list-style-type: none"><li>19 March 2026</li></ul>  |
| AUDIT SCOPE                   | <p>The Audit Scope included the manufacturing of semi-finished Aluminium coils and sheets at Laminazione Sottile Plant (Italy), coil coating at Italcot Plant (Italy) and coating/printing at IPS Industrial Packaging Solution Plant (Italy).</p> <p>The supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none"><li>Aluminium Re-melting/Refining</li><li>Casthouses</li><li>Post-Casthouse</li></ul> <p>All relevant Criteria in the ASI Chain of Custody Standard were included in the Audit Scope.</p>   |
| AUDIT OUTCOME                 | Certification  |
| AUDIT METHODOLOGY DECLARATION | <p>The Auditors confirm that:</p> <ul style="list-style-type: none"><li><input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this Report.</li><li><input checked="" type="checkbox"/> The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.</li><li><input checked="" type="checkbox"/> The Audit Scope and Audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.</li></ul> |

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The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

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CERTIFICATION PERIOD 20 May 2026 – 19 May 2029

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NEXT AUDIT TYPE Surveillance Audit

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NEXT AUDIT DUE DATE 19 November 2027

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CERTIFICATE NUMBER 555

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If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

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## ENTITY OVERVIEW

The Laminazione Sottile Group specialises in the transformation of Aluminium and comprises ten companies operating across the full Aluminium supply chain. These include **Laminazione Sottile**, **Italcoat**, **Contital**, and **IPS Industrial Packaging Solution** in Italy; the **i2r** Group with facilities in the United Kingdom, South Korea, and the United States; **PLLANA GmbH** in Germany; and **Contital Türkiye**. The Group produces Aluminium coils in various shapes, alloys, and surface treatments (including painting and printing), as well as Aluminium trays primarily for the food and beverage sector. Three companies are included in the Certification Scope and consist of the 'Entity':

**Laminazione Sottile S.p.A.** – Founded in 1923 and based in San Marco Evangelista (Caserta), Italy, Laminazione Sottile S.p.A. operates a 15-hectare site, currently employing approximately 700 Workers. The facility produces 130,000 tonnes per year of semi-finished Aluminium coils and sheets for a range of industries, including packaging (e.g. cans, containers, closures, capsules), HVAC-R (e.g. evaporators, condensers, rotors), automotive and aerospace (e.g. heat shields, honeycomb panels), and construction (e.g. panels, tiles).

The production cycle encompasses foundry operations, hot, semi-hot and cold rolling, thermal and chemical treatments, degreasing, slitting, and warehousing. Infrastructure includes four foundry lines, multiple rolling mills, degreasing lines, slitters, two warehouses (finished products and stock), administrative offices, a training academy, on-site first-aid room (not permanently staffed), quality control and R&D laboratories, parking areas, and a high-voltage electrical substation.

- Sensitive receptors: The towns of Marcianise and San Marco Evangelista, and the Caserta Royal Palace.
- Stakeholders: Local Communities, public offices, regulators, EAFA, Confindustria, Consorzio CIAL, employees, Trade Unions, customers, suppliers, and shareholders.

**Italcoat S.r.l.** – Established in 1991 and commencing production in 1993 at its 25-hectare site in Pignataro Maggiore (Caserta), Italcoat S.r.l. focuses on the surface treatment and coating of rolled Aluminium coils in gauges from 40 to 400 microns. It currently employs around 80 Workers and 40 contractors. With a capacity of over 24,000 tonnes annually and 21,000 tonnes sold in 2023, Italcoat uses lacquering, printing, and slitting processes. Its coatings enhance Aluminium's properties, offering corrosion resistance, food contact compliance, UV resistance, and improved thermal exchange.

Facilities include three coating lines, slitting lines, warehouses, offices, a medical clinic, and a quality control lab.

- Sensitive receptor: Pignataro Maggiore.
- Stakeholders: Local Communities, public offices, regulators, EAFA, Confindustria, employees, Trade Unions, customers, suppliers, and shareholders.

**IPS Industrial Packaging Solution S.r.l.** – Founded in 2015 and located in Spinetta Marengo (Alessandria), IPS supplies lacquered, laminated, embossed, and printed Aluminium foil for flexible packaging. In 2023, it sold 3,750 tonnes of products to the food, beverage, and pharmaceutical sectors. Its 3-hectare facility currently employs approximately 55 Workers and 18 contractors. Operations include coating, printing, and slitting, supported by two coating/printing lines, slitting lines, warehouses, administrative offices, a medical clinic, and a quality control laboratory.

- Sensitive receptor: Spinetta Marengo.
- Stakeholders: Local Communities, public offices, regulators, EAFA, Confindustria, employees, Trade Unions, customers, suppliers, and shareholders.

## MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of Systems, Residual Risk and Performance.

Maturity ratings are not a direct assessment of conformance to the Standard.

| OVERALL     |        |
|-------------|--------|
| SYSTEMS     | Medium |
| RISKS       | High   |
| PERFORMANCE | High   |
| OVERALL     | HIGH   |

## FINDINGS

| CRITERION  | RATING                | COMMENT  |
|--|-----------------------|--|
| <b>1. MANAGEMENT SYSTEM AND RESPONSIBILITIES</b>                 |                       |  |
| 1.1 ASI Membership   | Conformance           | The Entity is committed to complying with ASI Membership requirements. Certification status can be confirmed on the ASI website: <a href="https://aluminium-stewardship.org/wp-content/uploads/2025/08/ASI-Audit-Report-Laminazione-Sottile-Certificate-124-PS-Rev-4.pdf">https://aluminium-stewardship.org/wp-content/uploads/2025/08/ASI-Audit-Report-Laminazione-Sottile-Certificate-124-PS-Rev-4.pdf</a> |
| 1.2 CoC Management System  | Conformance           | The Entity has established a Group-level ASI Management System with a manual, policies, procedures and processes that has the capacity to manage ASI CoC Material accountability.  |
| 1.3 CoC Management System Monitoring                             | Conformance           | The Entity has established a documented procedure to periodically review its Management System to assess the effectiveness of CoC management and address potential areas of Non-Conformance and improvement.   |
| 1.4 Management Representative                                    | Conformance           | The Entity has appointed a member of management as the person responsible for the implementation of the ASI Chain of Custody Standard and has defined the roles and responsibilities for the management of the CoC Management System.  |
| 1.5 Communications and Training                                  | Conformance           | The Entity maintains up-to-date records in its Management System that addresses all applicable requirements of the ASI CoC Standard. The Entity's Record Manager Procedure defines the retention time as required by the ASI CoC Standard.   |
| 1.6 Records Management   | Conformance           | The Entity maintains up-to-date records in its Management System that addresses all applicable requirements of the CoC Standard. The Entity's Records Management Procedure defines the retention time as required by the ASI CoC Standard.   |
| 1.7a Reporting to ASI (Inputs and Outputs of CoC Material)       | Not Applicable        | This Criterion is not applicable to the Entity, as this is the Entity's initial Certification Audit and information on the Input and Output Quantities of CoC Material has not yet been generated and is therefore not available for reporting to ASI.   |
| 1.7b Reporting to ASI (Inputs and Outputs of Eligible Scrap)     | Not Applicable        | This Criterion is not applicable to the Entity, as this is the Entity's initial Certification Audit, information on the Input and Output Quantities of Eligible Scrap has not yet been generated and is therefore not available for reporting to ASI.  |
| 1.7c Reporting to ASI (Inflows and Outflows of Non-CoC Material) | Minor Non-Conformance | The Entity's requirements for annual reporting of the Inflow and Outflow Quantities of Non-CoC Material to the ASI Secretariat is not yet documented in a systemic procedure.  |
| 1.7d Reporting to ASI (Positive Balance carried over)            | Not Applicable        | This Criterion is not applicable to the Entity, as this is the Entity's initial Certification Audit, a Positive Balance carried over is not yet available for reporting to ASI.  |

| CRITERION  | RATING         | COMMENT   |
|--|----------------|---|
| 1.7e Reporting to ASI (Positive Balance used)        | Not Applicable | This Criterion is not applicable to the Entity, as this is the Entity's initial Certification Audit, a Positive Balance used is not yet available for reporting to ASI.             |
| 1.7f Reporting to ASI (Internal Overdraw drawn down) | Not Applicable | This Criterion is not applicable to the Entity, as this is the Entity's initial Certification Audit, an Internal Overdraw drawn down is not yet available for the reporting to ASI. |
| 1.7g Reporting to ASI (Intra-Entity Flows)           | Not Applicable | This Criterion is not applicable to the Entity, as this is the Entity's initial Certification Audit, Intra-Entity Flows are not yet available for the reporting to ASI.             |

## 2. OUTSOURCING CONTRACTORS

|   |                |  |
|---|----------------|--|
| 2.1 Certification Scope   | Not Applicable | This Criterion is not applicable to the Entity, as it does not, nor is planning to outsource any CoC Materials or processes. |
| 2.2a Control of CoC Material (Legal ownership or control)                                     | Not Applicable | This Criterion is not applicable to the Entity, as it does not, nor is planning to outsource any CoC Materials or processes. |
| 2.2b Control of CoC Material (No further outsourcing)   | Not Applicable | This Criterion is not applicable to the Entity, as it does not, nor is planning to outsource any CoC Materials or processes. |
| 2.2c Control of CoC Material (Risk assessment)  | Not Applicable | This Criterion is not applicable to the Entity, as it does not, nor is planning to outsource any CoC Materials or processes. |
| 2.3 Information on Quantity of CoC Material Output and Returned                               | Not Applicable | This Criterion is not applicable to the Entity, as it does not, nor is planning to outsource any CoC Materials or processes. |
| 2.4 Consistency in Inflow and Outflow Quantity of CoC Material to/from Outsourcing Contractor | Not Applicable | This Criterion is not applicable to the Entity, as it does not, nor is planning to outsource any CoC Materials or processes. |
| 2.5 Error (Outsourcing Contractor)  | Not Applicable | This Criterion is not applicable to the Entity, as it does not, nor is planning to outsource any CoC Materials or processes. |

## 3. PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI ALUMINIUM

|  |                |   |
|--|----------------|---|
| 3.1a ASI Bauxite (CoC Certification Scope) | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope. |
| 3.1b ASI Bauxite (Performance Standard)    | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope. |
| 3.1c ASI Bauxite (Bauxite sourcing)        | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope. |

| CRITERION                                    | RATING         | COMMENT   |
|--|----------------|---|
| 3.2a ASI Alumina (CoC Certification Scope)   | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope. |
| 3.2b ASI Alumina (Performance Standard)      | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope. |
| 3.2c ASI Alumina (Bauxite sourcing)          | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope. |
| 3.3b ASI Aluminium (Performance Standard)    | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope. |
| 3.3c ASI Aluminium (Alumina sourcing)        | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope. |
| 3.3a ASI Aluminium (CoC Certification Scope) | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope. |

#### 4. RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP

|  |             |  |
|--|-------------|--|
| 4.1a Recycled Aluminium (CoC Certification Scope)  | Conformance | The Entity's Laminazione Sottile S.p.A plant is engaged in Aluminium Re-Melting/Refining activities and is within the Entity's CoC Certification Scope.  |
| 4.1b Recycled Aluminium (Performance Standard)   | Conformance | The Entity's Laminazione Sottile S.p.A plant is engaged in Aluminium Re-Melting/Refining activities and is within the Certification Scope of the Entity ASI Performance Standard Certification.  |
| 4.2a Eligible Scrap (Pre-Consumer)   | Conformance | The Entity has established procedures and a Material Accounting System to ensure only eligible material is used. As this is an Initial Certification Audit, production data are not yet available.   |
| 4.2b Eligible Scrap (Post-Consumer)  | Conformance | The Entity has established procedures to ensure only Post-Consumer Scrap is accounted as Eligible Scrap. As this is an Initial Certification Audit, production data are not yet available.   |
| 4.2c Eligible Scrap (Dross)  | Conformance | The Entity has established procedures and a Material Accounting System to account for Eligible Scrap from Aluminium recovered from Dross and other Aluminium-containing wastes. As this is an Initial Certification Audit, production data are not yet available.  |
| 4.3a Records Management for Direct Suppliers of Recyclable Scrap Material (Suppliers)              | Conformance | The Entity has established a system to document the identity, principles and place/s of operation of all direct suppliers of Recyclable Scrap Material.  |
| 4.3b Records Management for Direct Suppliers of Recyclable Scrap Material (Financial transactions) | Conformance | In Italy, cash payments are permitted by law up to a maximum of €4,999. The Entity does not make cash payments to direct suppliers of Recyclable Scrap Material. All financial transactions are traceable and recorded in the accounting system.<br><br>The Entity's Code of Conduct provides further information on authorised payment methods. |

| CRITERION  | RATING      | COMMENT  |
|--|-------------|--|
| <b>5. CASTHOUSES: CRITERIA FOR ASI ALUMINIUM</b>   |             |  |
| 5.1a ASI Aluminium (CoC Certification Scope)   | Conformance | The Entity's Casthouse is within its CoC Certification Scope and it has established effective systems to ensure Casthouse Products originate exclusively from its Casthouse, or that from a legally linked external ASI Certified Entity.  |
| 5.1b ASI Aluminium (Performance Standard)  | Conformance | The Entity has established controls to ensure ASI Aluminium is sourced from Casthouses certified against the ASI Performance Standard. The Entity's Casthouse is also certified against the ASI Performance Standard.  |
| 5.1c ASI Aluminium (Aluminium sourcing)  | Conformance | The Entity has implemented appropriate controls to ensure it sources ASI Aluminium only from an ASI CoC Certified Entity, either directly or via a Trader.   |
| 5.2 Unique Identification  | Conformance | The Entity's ERP system and the traceability procedure used at the Casthouse support accurate material tracking and enable the provision of required reporting and issuance of predefined documentation.   |
| <b>6. POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM</b>   |             |  |
| 6.1a Post-Casthouse ASI Aluminium (CoC Certification Scope)  | Conformance | The Entity's facility that is engaged in Post-Casthouse operations is in the same CoC certification scope as the Casthouse and is certified against the ASI Performance Standard (since September 2021). Where ASI Aluminium is sourced directly from another CoC Entity, correct CoC documentation is obligatory.   |
| 6.1b Post-Casthouse ASI Aluminium (Performance Standard)   | Conformance | Post-Casthouse operations are undertaken at the rolling mill of Laminazione Sottile S.p.A., and at the IPS and ITALCOAT facilities. These facilities are within the Certification Scope and the Entity is certified against the ASI Performance Standard.  |
| 6.1c Post-Casthouse ASI Aluminium (Aluminium sourcing)   | Conformance | The Entity has established a Material Accounting System that ensures it sources ASI Aluminium only from an ASI Certified Entity, either directly or via a Trader.  |
| <b>7. DUE DILIGENCE FOR NON-COC MATERIAL, COC MATERIAL ACQUIRED THROUGH A TRADER AND RECYCLABLE SCRAP MATERIAL</b> |             |  |
| 7.1a Responsible Sourcing Policy (Anti-corruption)   | Conformance | <p>The Entity has implemented the Laminazione Sottile Group Anti-Corruption and Anti-Fraud Policy. As part of the supplier qualification process, suppliers are required to comply with the Code of Conduct for Suppliers. The principles were developed with input from key Stakeholders, including customers and investors, and require that suppliers certify and demonstrate compliance. These address employment practices, Human Rights, environment, Health and Safety, antitrust, Bribery and anti-Corruption.</p> <p>The Policy is available at:<br/> <a href="https://issuu.com/laminazione-sottile-group/docs/lsg_-_anti-corruption_anti-fraud_policy?fr=sODUxNzg0MDMIMzY">https://issuu.com/laminazione-sottile-group/docs/lsg_-_anti-corruption_anti-fraud_policy?fr=sODUxNzg0MDMIMzY</a></p> |

| CRITERION  | RATING      | COMMENT  |
|--|-------------|--|
| 7.1b Responsible Sourcing Policy (Responsible sourcing)                  | Conformance | <p>The Entity requires its suppliers to comply with the Laminazione Sottile Group Sustainable Procurement Policy. As part of the supplier qualification process, suppliers are required to comply with the Code of Conduct for Suppliers. The principles were developed with input from key Stakeholders, including customers and investors, and require that suppliers certify and demonstrate compliance. These address employment practices, Human Rights, environment, Health and Safety, antitrust, Bribery and anti-Corruption.</p> <p>The Policy is available at: <a href="https://www.laminazionecottile.com/wp-content/uploads/2025/06/LS-Social-Responsibility-Policy-2025-05-31.pdf">https://www.laminazionecottile.com/wp-content/uploads/2025/06/LS-Social-Responsibility-Policy-2025-05-31.pdf</a></p>   |
| 7.1c Responsible Sourcing Policy (Human rights due diligence)            | Conformance | <p>The Entity requires its suppliers to comply with the Laminazione Sottile Group Human Rights Policy and the Due Diligence Policy. As part of the supplier qualification process, suppliers are required to comply with the Code of Conduct for Suppliers. The principles were developed with input from key Stakeholders, including customers and investors, and require that suppliers certify and demonstrate compliance. These address employment practices, Human Rights, environment, Health and Safety, antitrust, Bribery and anti-Corruption.</p> <p>The Human Rights Policy is available at: <a href="https://issuu.com/laminazionecottilegroup/docs/human_rights_policy_-_laminazione_sottile_group?fr=xKAE9_zMzMW">https://issuu.com/laminazionecottilegroup/docs/human_rights_policy_-_laminazione_sottile_group?fr=xKAE9_zMzMW</a></p> <p>The ESG Due Diligence Policy is available at: <a href="https://issuu.com/laminazionecottilegroup/docs/lsg_policy_esg_due_diligence_eng">https://issuu.com/laminazionecottilegroup/docs/lsg_policy_esg_due_diligence_eng</a></p> |
| 7.1d Responsible Sourcing Policy (Conflict-affected and high-risk areas) | Conformance | <p>The Entity has implemented the Laminazione Sottile Group Sustainable Procurement Policy and the ESG Due Diligence Policy. These Policies are communicated to the personnel of all Group companies and are made available to third parties on the Entity's websites.</p> <p>Furthermore, the Entity encourages all Suppliers to disseminate the principles set forth in these documents to their employees and collaborators and throughout its supply chain.</p> <p>The Sustainable Procurement Policy is available at: <a href="https://www.laminazionecottile.com/wp-content/uploads/2025/06/LS-Social-Responsibility-Policy-2025-05-31.pdf">https://www.laminazionecottile.com/wp-content/uploads/2025/06/LS-Social-Responsibility-Policy-2025-05-31.pdf</a></p> <p>The ESG Due Diligence Policy is available at: <a href="https://issuu.com/laminazionecottilegroup/docs/lsg_policy_esg_due_diligence_eng">https://issuu.com/laminazionecottilegroup/docs/lsg_policy_esg_due_diligence_eng</a></p>  |
| 7.2 Risk Assessment and Mitigation                                       | Conformance | <p>The Entity has established a system to evaluate risks in the supply chain annually. Based on the identified risks, the annual supplier audit plan is created and audits are conducted.</p>  |
| 7.3 Complaints Resolution Mechanism                                      | Conformance | <p>The Entity maintains a Global Business Ethics Code of Conduct, published on the Entity's website, which lists the Group Whistleblowing Policy: <a href="https://www.laminazionecottile.com/it/whistleblowing/">https://www.laminazionecottile.com/it/whistleblowing/</a></p>  |

| CRITERION   | RATING      | COMMENT   |
|---|-------------|---|
| <b>8. MATERIAL ACCOUNTING SYSTEM : CoC MATERIAL AND ASI ALUMINIUM</b>         |             |   |
| 8.1 Material Accounting System  | Conformance | The Entity has established a Material Accounting System that safeguards the integrity of CoC Material and Eligible Scrap and records the Input Quantity and Output Quantity of CoC Material and Non-CoC Material, by mass.  |
| 8.2 Material Accounting Period  | Conformance | The Entity has defined the calendar year as the Material Accounting Period within its Material Accounting System.   |
| 8.3 Input and Inflow Quantities   | Conformance | The Entity has established a Material Accounting System that records the Input Quantity and Inflow Quantity of CoC Material and Non-CoC Material, by mass.  |
| 8.4 Output Quantities of CoC Material   | Conformance | The Entity has established a Material Accounting System that records the Input Quantity and Output Quantity of CoC Material and Non-CoC Material, by mass.  |
| 8.5 Indivisibility of CoC Material  | Conformance | The Entity has established a Material Accounting System that ensures the Output of CoC Material is only either 100% CoC Material or Non-CoC Material.   |
| 8.6 Output Quantity of Eligible Scrap   | Conformance | The Entity is not engaged in trading of Eligible Scrap.   |
| 8.7 Consistency Between Input Percentage and Total Output                     | Conformance | The Entity has established a Material Accounting System that ensures the total output of CoC Material and/or Eligible Scrap does not proportionally exceed the Input Percentage of CoC Material and/or Eligible Scrap over the Material Accounting Period.  |
| 8.8a Internal Overdraw (Not exceed 20%)                                       | Conformance | The Entity has defined within its ASI procedure, that in the case of a Force Majeure situation, the Material Accounting System can carry over an Internal Overdraw of up to 20% of total Input Quantity of CoC Material to the subsequent accounting period.  |
| 8.8b Internal Overdraw (Not exceed force majeure situation)                   | Conformance | The Entity has defined within its ASI procedure, that in the case of a Force Majeure situation, the Material Accounting System can carry over an Internal Overdraw, which must not exceed the amount of CoC Material affected of the Force Majeure situation.   |
| 8.8c Internal Overdraw (Made up within subsequent Material Accounting Period) | Conformance | The Entity has defined within its ASI procedure, that in the case of a Force Majeure situation, any Internal Overdraw is compensated for in the following accounting period.  |
| 8.9a Positive Balance (Carry over)  | Conformance | The Entity has defined within its ASI procedure, any Positive Balance at the end of the accounting period may be carried over to the next period, where must be utilized.   |
| 8.9b Positive Balance (Expiry)  | Conformance | The Entity has defined within its ASI procedure, any Positive Balance at the end of the accounting period may be carried over to the next period, where must be utilised. Where the Positive Balance is not drawn down, the quantity is erased from the mass balance of CoC Material and loses status as ASI Aluminium. |

| CRITERION  | RATING      | COMMENT  |
|--|-------------|--|
| <b>9. ISSUING CoC DOCUMENTS</b>                          |             |  |
| 9.1 CoC Document   | Conformance | The Entity has established a Material Accounting System where all CoC Material is marked as ASI Material and all relevant information is printed on the according documents (delivery documents, invoices, pallet labels etc.). The Entity's Customer Quality Department is responsible for issuing CoC Documents. |
| 9.2a CoC Document Content (Date of issue)                | Conformance | The Entity has integrated the necessary CoC information into its existing shipping documentation, which includes the date of issue.  |
| 9.2b CoC Document Content (Reference number)             | Conformance | The Entity has integrated the necessary CoC information into its existing shipping documentation, which includes a reference number.   |
| 9.2c CoC Document Content (issuing Entity)               | Conformance | The Entity has integrated the necessary CoC information into its existing shipping documentation, which includes identity, address and CoC Certification number of the Entity.   |
| 9.2d CoC Document Content (Receiving customer)           | Conformance | The Entity has integrated the necessary CoC information into its existing shipping documentation, which includes identity and address of the receiving customer.   |
| 9.2e CoC Document Content (Responsible employee)         | Conformance | The Entity has integrated the necessary CoC information into its existing shipping documentation, which includes details of the responsible employee who can verify the information contained in the document.   |
| 9.2f CoC Document Content (Conformance statement)        | Conformance | The Entity has integrated the necessary CoC information into its existing shipping documentation, which includes the statement confirming that 'The information provided in the CoC Document is in Conformance with the ASI CoC Standard.'   |
| 9.2g CoC Document Content (Type of CoC Material)         | Conformance | The Entity has integrated the necessary CoC information into its existing shipping documentation, which includes the type of CoC Material in the shipment.   |
| 9.2h CoC Document Content (Mass of CoC Material)         | Conformance | The Entity has integrated the necessary CoC information into its existing shipping documentation, which includes the mass of CoC Material in the shipment.   |
| 9.2i CoC Document Content (Mass of total material)       | Conformance | The Entity has integrated the necessary CoC information into its existing shipping documentation, which includes the mass of total Material in the shipment.   |
| 9.3a Sustainability Data (optional) - Carbon footprint   | Conformance | Data on Greenhouse Gas emissions and the calculation methodology will be provided in the CoC Document upon the customer's request.   |
| 9.3b Sustainability Data (optional) - Origin information | Conformance | Data on the origin of CoC Material will be provided in the CoC Document upon customer request.   |

| CRITERION   | RATING      | COMMENT  |
|---|-------------|--|
| 9.3c Sustainability Data (optional) – Recycled content                        | Conformance | Sustainability Data related to the recycled content of the CoC Material will be provided in the CoC Document upon customer request.  |
| 9.3d Sustainability Data (optional) – Post-Casthouse ASI Certification status | Conformance | Sustainability Data related to the Entity's ASI Performance Standard Certification Status will be provided in the CoC Document upon customer request.  |
| 9.4 Supplementary Information (optional) – Objective evidence                 | Conformance | Whilst the Entity has decided not to provide Supplementary Information, it can respond to customer requests.   |
| 9.5 Verification of Information   | Conformance | The Entity maintains a structured system to ensure verification of information in issued CoC Documents should it be requested by the customer. The name and contact details of person responsible for responding to verification requests is included in the CoC Document. |
| 9.6 Error (Shipping)  | Conformance | The Entity has established a process that, should an error be discovered after CoC Material is shipped, the error and the agreed corrective action will be documented.   |

## 10. RECEIVING COC DOCUMENTS

|   |             |   |
|---|-------------|---|
| 10.1 Verification of CoC Documents                                      | Conformance | The Entity has established a system where ASI Material is ordered as a 'special' material. Upon delivery, the CoC Documents, the quantity of CoC Material and the material codes are verified by the Metal Procurement Department and/or the CoC Responsible Person before details are entered into the Material Accounting System. |
| 10.2 Verification of Consistency Between CoC Documents and CoC Material | Conformance | The Entity has established a process to verify the consistency of received CoC Documents with the accompanying CoC Material before recording information in its Material Accounting System.   |
| 10.3 Verification of Supplier's ASI CoC Certification                   | Conformance | The Entity has established a process to review the ASI website regularly to verify the validity and scope of the supplier's ASI CoC Certification for any changes that might affect the status of the supplied CoC Material.  |
| 10.4 Error (Reception)  | Conformance | The Entity has established a process that, should an error be identified after CoC Material is received, the Entity and the supplier will document the error and agree on the corrective action required to avoid any recurrence.   |

## 11. CLAIMS AND COMMUNICATIONS

|   |             |  |
|---|-------------|--|
| 11.1a Claims and Communications (ASI Claims Guide)    | Conformance | The Entity has established an ASI CoC Manual that addresses the requirement to ensure that any claims made are consistent with the ASI Claims Guide. The Entity has not made any claims. |
| 11.1b Claims and Communications (Verifiable evidence) | Conformance | The Entity has established an ASI CoC Manual that addresses the requirement to ensure that there is verifiable evidence to support any claims made. The Entity has not made any claims.  |

| CRITERION   | RATING      | COMMENT   |
|---|-------------|---|
| 11.1c Claims and Communications (Employee training) | Conformance | The Entity has not made claims about CoC Material, however the Entity has systems in place to ensure that appropriate training is provided for relevant employees to properly understand and communicate the claims and/or representations. |

#### ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable law, or will avoid any Breach from occurring.

#### DOCUMENT CONTROL AND VERSION HISTORY

| REVISION | DATE        | NOTES  |
|----------|-------------|--|
| 0        | 20 May 2026 | Initial Certification Audit – Full Certification<br>The Entity's corresponding ASI PS Certificate 124 will be corrected at the next ASI Audit to include the supply chain activities 'Aluminium Re-melting/Refining' and 'Casthouses'. |