

ASI CERTIFICATION CHAIN OF CUSTODY STANDARD



PRESENTED TO

Qingyuan Jinyang Aluminium Co., Ltd.

CERTIFICATE NUMBER

568

ASI STANDARD

CHAIN OF CUSTODY
STANDARD
(V2 2022)

DATE OF ISSUE

6 JUNE 2026

CERTIFICATION LEVEL

FULL
CERTIFICATION

DATE OF EXPIRY

5 JUNE 2029

ASI ACCREDITED
AUDITING FIRM

CHINA QUALITY MARK
CERTIFICATION GROUP

CERTIFIED SINCE

6 JUNE 2026

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H. A.', followed by a horizontal line extending to the right.

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
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*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at*

www.aluminium-stewardship.org

CERTIFICATION SCOPE

Production and management of related activities for non-building Aluminium alloy decorative profiles, alloy building profiles (including base materials, anodised profiles, electrophoretic painted profiles, and powder-coated profiles), and general industrial and alloy extruded profiles at Jinyang Aluminum Industry Co., Ltd. located in Qingyuan City, China.

AUDIT REPORT

CHAIN OF CUSTODY

STANDARD

OVERVIEW

MEMBER NAME	Qingyuan Jinyang Aluminium Co., Ltd.
ENTITY NAME	Qingyuan Jinyang Aluminium Co., Ltd.
CERTIFICATION SCOPE	Production and management of related activities for non-building Aluminium alloy decorative profiles, alloy building profiles (including base materials, anodised profiles, electrophoretic painted profiles, and powder-coated profiles), and general industrial and alloy extruded profiles at Jinyang Aluminum Industry Co., Ltd. located in Qingyuan City, China.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">• Casthouses• Semi-Fabrication
ASI STANDARD	Chain of Custody Standard V2
AUDIT TYPE	<ul style="list-style-type: none">• Initial Certification Audit
AUDIT FIRM	China Quality Mark Certification Group
AUDIT DATE	<ul style="list-style-type: none">• 19 April 2026
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">• 9 May 2026
AUDIT SCOPE	<p>The Audit Scope included the production and management of related activities for non-building Aluminium alloy decorative profiles, alloy building profiles (including base materials, anodised profiles, electrophoretic painted profiles and powder-coated profiles), and general industrial and alloy extruded profiles at Jinyang Aluminum Industry Co., Ltd. located in Qingyuan City, China.</p> <p>The supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">• Casthouses• Semi-Fabrication <p>All relevant Criteria in the ASI Chain of Custody Standard were included in the Audit Scope.</p>
AUDIT OUTCOME	Certification
AUDIT METHODOLOGY DECLARATION	<p>The Auditors confirm that:</p> <ul style="list-style-type: none"><input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this Report.<input checked="" type="checkbox"/> The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.

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- ✔ The Audit Scope and Audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
 - ✔ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
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CERTIFICATION PERIOD 6 June 2026 - 5 June 2029

NEXT AUDIT TYPE Surveillance Audit

NEXT AUDIT DUE DATE 6 December 2027

CERTIFICATE NUMBER 568



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Founded in 2011, Qingyuan Jinyang Aluminium Co., Ltd. (the 'Entity') is located at C18, Circular Economy Industrial Park, Shijiao Town, Qingcheng District, Qingyuan City, Guangdong Province, P.R. China. It employs 220 staff members, including 60 female employees. The Entity occupies a total area of 65,000 square meters (m²), with a factory building area of 45,000m². It is equipped with comprehensive and advanced production facilities, including multiple melting and casting reactors, several extrusion production lines, anodic oxidation lines, electrophoretic coating lines, powder spraying lines, thermal insulation lines (for strip lamination and adhesive injection), and wood grain production lines. The Facility is also outfitted with testing and inspection equipment.

The Entity's annual production capacity for Aluminium profiles exceeds 30,800 tonnes. The Entity produces over 1,000 varieties of Aluminium alloy building profiles, including oxidized profiles, electrophoretic profiles, spray-coated profiles, thermal insulation profiles, decorative profiles, and industrial profiles. These products are sold nationwide, as well as in the Middle East, Europe, and the United States.

The primary technical equipment and testing devices employ state-of-the-art technologies. In addition to conventional architectural products such as flat-opening windows, sliding windows, glass curtain wall profiles, and general industrial profiles, our Entity has developed various decorative Aluminium profiles and specialized industrial profiles in response to market demands. The Facility currently operates three casting furnaces and 12 extruders, with an annual production capacity of 50,000 tonnes.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of Systems, Residual Risk and Performance.

Maturity ratings are not a direct assessment of conformance to the Standard.

	OVERALL
SYSTEMS	Medium
RISKS	Medium
PERFORMANCE	Medium
OVERALL	MEDIUM

FINDINGS

CRITERION	RATING	COMMENT
1. MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI Membership	Conformance	The Entity became an ASI Member in the Production and Transformation category in April 2026. The Entity has committed to fulfilling its ASI membership obligations and conducting its production and operational activities in accordance with the ASI charter.
1.2 CoC Management System	Conformance	<p>The Entity has established a corresponding Management System in accordance with the ASI Chain of Custody (CoC) Standard and integrated various CoC management activities into other existing Management Systems, such as the ASI Performance Management System, Quality Management System, and Environmental Management System.</p> <p>The Entity has allocated sufficient human and material resources to ensure the smooth conduct of CoC activities.</p>
1.3 CoC Management System Monitoring	Conformance	The Entity has established a mechanism for the regular review of its Management System in accordance with the ASI CoC Management System Manual. Periodically, the Entity conducts reviews of the CoC Management System based on Chain of Custody Standard requirements and implementation experience, and addresses any non-conformities identified during system operation.
1.4 Management Representative	Conformance	The Entity has appointed a senior executive as the ASI Manager Representative, with their responsibilities clearly outlined in the appointment letter. This individual is responsible for organising and coordinating all matters pertaining to ASI performance and the applicable requirements of the Chain of Custody Standard.
1.5 Communications and Training	Conformance	The Entity has conducted training for relevant personnel to enhance their understanding of the ASI guideline requirements, ensuring they fully comprehend and can fulfill their duties. The Entity's administration department has established an annual training program for the CoC Standard and has organised relevant departments to receive training on the key aspects and procedures of the CoC Management System.
1.6 Records Management	Conformance	The Entity mandates that CoC Management System records can be retained for at least five years to facilitate the review and traceability of the system's operational processes.
1.7a Reporting to ASI (Inputs and Outputs of CoC Material)	Conformance	The ASI Management Manual prescribes that the Entity must submit the accounting information for the previous year's CoC Materials to the ASI Secretariat by June 30 each year. As this is the Entity's first CoC Certification, there are no Input or Output volumes of CoC Material. The Entity commits to submitting the required CoC Material Accounting information to the ASI Secretariat annually after obtaining CoC Certification.
1.7b Reporting to ASI (Inputs and Outputs of Eligible Scrap)	Conformance	The ASI Management Manual prescribes that the Entity must submit the accounting information for the previous year's CoC Material to the ASI Secretariat by June 30 each year.

CRITERION	RATING	COMMENT
1.7c Reporting to ASI (Inflows and Outflows of Non-CoC Material)	Conformance	The ASI Management Manual prescribes that the Entity must submit the accounting information for the previous year's CoC Material to the ASI Secretariat by June 30 each year.
1.7d Reporting to ASI (Positive Balance carried over)	Conformance	The ASI Management Manual prescribes that the Entity must submit the accounting information for the previous year's CoC Material to the ASI Secretariat by June 30 each year.
1.7e Reporting to ASI (Positive Balance used)	Conformance	The ASI Management Manual prescribes that the Entity must submit the accounting information for the previous year's CoC Material to the ASI Secretariat by June 30 each year.
1.7f Reporting to ASI (Internal Overdraw drawn down)	Conformance	The ASI Management Manual prescribes that the Entity must submit the accounting information for the previous year's CoC Material to the ASI Secretariat by June 30 each year.
1.7g Reporting to ASI (Intra-Entity Flows)	Conformance	The ASI Management Manual prescribes that the Entity must submit the accounting information for the previous year's CoC Material to the ASI Secretariat by June 30 each year.

2. OUTSOURCING CONTRACTORS

2.1 Certification Scope	Not Applicable	This Criterion is not applicable to the Entity as there are no Outsourcing Contractors who receive and use materials for processing and handling.
2.2a Control of CoC Material (Legal ownership or control)	Not Applicable	This Criterion is not applicable to the Entity as there are no Outsourcing Contractors who receive and use materials for processing and handling.
2.2b Control of CoC Material (No further outsourcing)	Not Applicable	This Criterion is not applicable to the Entity as there are no Outsourcing Contractors who receive and use materials for processing and handling.
2.2c Control of CoC Material (Risk assessment)	Not Applicable	This Criterion is not applicable to the Entity as there are no Outsourcing Contractors who receive and use materials for processing and handling.
2.3 Information on Quantity of CoC Material Output and Returned	Not Applicable	This Criterion is not applicable to the Entity as there are no Outsourcing Contractors who receive and use materials for processing and handling.
2.4 Consistency in Inflow and Outflow Quantity of CoC Material to/from Outsourcing Contractor	Not Applicable	This Criterion is not applicable to the Entity as there are no Outsourcing Contractors who receive and use materials for processing and handling.
2.5 Error (Outsourcing Contractor)	Not Applicable	This Criterion is not applicable to the Entity as there are no Outsourcing Contractors who receive and use materials for processing and handling.

CRITERION	RATING	COMMENT
3. PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI ALUMINIUM		
3.1a ASI Bauxite (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1b ASI Bauxite (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1c ASI Bauxite (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2a ASI Alumina (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2b ASI Alumina (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2c ASI Alumina (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3b ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3c ASI Aluminium (Alumina sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4. RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP		
4.1a Recycled Aluminium (CoC Certification Scope)	Conformance	<p>The Entity has established relevant procedures and systems to ensure that ASI Aluminium is produced exclusively at Facilities within its own ASI CoC Certification Scope or sourced from suppliers Certified under the ASI Performance Standard.</p> <p>The Entity's CoC Certification covers its smelting/refining activities for producing Recycled Aluminium. As of the Audit date, there was no CoC-certified Recycled Aluminium that had been processed or recorded.</p>
4.1b Recycled Aluminium (Performance Standard)	Conformance	<p>The Entity has established relevant processes and systems to ensure that ASI Aluminium is produced exclusively at Facilities within its own ASI CoC Certification Scope or sourced from suppliers Certified under the ASI Performance Standard.</p> <p>The Entity's CoC Certification covers its smelting/refining activities used for producing Recycled Aluminium.</p>
4.2a Eligible Scrap (Pre-Consumer)	Conformance	The Entity has established and implemented a supplier Due Diligence procedure that defines the criteria and management processes for identifying qualified waste materials and their suppliers. Due Diligence has been conducted on pre-consumption waste suppliers, including performance-related investigation requirements to evaluate suppliers of pre-consumption waste suitable for use as ASI Aluminium.

CRITERION	RATING	COMMENT
		The Entity does not currently recycle pre-consumption waste.
4.2b Eligible Scrap (Post-Consumer)	Conformance	The Entity recycles post-consumer waste as compliant waste and conducts Due Diligence on suppliers in accordance with established procedures.
4.2c Eligible Scrap (Dross)	Not Applicable	This Criterion is not applicable to the Entity, as it does not purchase qualified waste materials from suppliers of processed Aluminium slag or Aluminium recovered from Aluminium ash and other Aluminium-containing wastes.
4.3a Records Management for Direct Suppliers of Recyclable Scrap Material (Suppliers)	Not Applicable	This Criterion is not applicable to the Entity as it does not purchase recyclable waste from suppliers.
4.3b Records Management for Direct Suppliers of Recyclable Scrap Material (Financial transactions)	Not Applicable	This Criterion is not applicable to the Entity as it does not purchase recyclable waste from suppliers.

5. CASTHOUSES: CRITERIA FOR ASI ALUMINIUM

5.1a ASI Aluminium (CoC Certification Scope)	Conformance	The Entity operates a Casting workshop that has been included in the CoC Certification Scope. The products manufactured can be traced within the Entity's production system.
5.1b ASI Aluminium (Performance Standard)	Conformance	The Entity has established a system to ensure that all ASI Aluminium production originates exclusively from its Casting workshop. This Casting workshop is included within the scope of Entity's ASI Performance Standard Certification.
5.1c ASI Aluminium (Aluminium sourcing)	Conformance	The Entity has not currently purchased ASI Aluminium materials; however, all purchased materials will be included in the material balance accounting statement. This statement will specify the source of the purchased Aluminium, whether it is under the CoC Material category, and the material flow details.
5.2 Unique Identification	Conformance	The Entity has established and implemented a materials accounting system that ensures each ASI Product is assigned a unique identification code, which corresponds to the CoC Material Input volume during the Entity's accounting period. Currently, all Aluminium Products manufactured at the Entity's smelting and Casting Facilities are labelled with production batch numbers and circulated with the Aluminium Products, enabling full traceability.

6. POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM

6.1a Post-Casthouse ASI Aluminium (CoC Certification Scope)	Conformance	The Entity has established a system to ensure that all ASI Aluminium production originates exclusively from Facilities within its CoC-certified scope.
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CRITERION	RATING	COMMENT
6.1b Post-Casthouse ASI Aluminium (Performance Standard)	Conformance	The Entity has established and implemented an ASI Management System and related procedures to control its production processes and is committed to obtaining Certification in accordance with the ASI Performance Standard.
6.1c Post-Casthouse ASI Aluminium (Aluminium sourcing)	Not Applicable	This Criterion is not applicable to the Entity as it procures all ASI Aluminium within their own melt Casting workshop under the CoC Certification framework, exclusively from their own sources rather than from other CoC-Certified Entities or Traders.
7. DUE DILIGENCE FOR NON-COC MATERIAL, COC MATERIAL ACQUIRED THROUGH A TRADER AND RECYCLABLE SCRAP MATERIAL		
7.1a Responsible Sourcing Policy (Anti-corruption)	Conformance	The Entity has developed and implemented a Procurement Policy, which is communicated to relevant suppliers. Suppliers have been surveyed and evaluated, including an assessment of the anti-Corruption guidelines. The Entity's supplier Due Diligence assessment report also includes anti-Bribery clauses.
7.1b Responsible Sourcing Policy (Responsible sourcing)	Conformance	The Entity develops and implements procurement policies, including assessments of responsible procurement practices. The Entity conducts investigations and evaluations of suppliers. The procurement contracts and agreements signed with suppliers include relevant provisions covering anti-Bribery, Human Rights, and environmental requirements.
7.1c Responsible Sourcing Policy (Human rights due diligence)	Conformance	This Entity establishes and implements procurement control procedures, conducting thorough investigations and evaluations of suppliers prior to procurement. The procurement contract includes additional requirements regarding anti-Bribery, Human Rights, environmental compliance, and the ASI Performance Standard. Due Diligence records are maintained.
7.1d Responsible Sourcing Policy (Conflict-affected and high-risk areas)	Conformance	This Entity has established and implemented the 'Procurement Control Procedures', which involve conducting thorough investigations and evaluations of suppliers prior to procurement. The procurement contracts or agreements include additional requirements regarding anti-bribery, Human Rights, environmental compliance, and the ASI Performance Standard. The Entity does not work with suppliers located in Conflict-Affected or High-Risk Areas (CAHRAs).
7.2 Risk Assessment and Mitigation	Conformance	The Entity conducts Due Diligence assessments and risk evaluations of all suppliers, including those supplying Aluminium ingots, alloys, and Scrap materials, to determine their qualification as qualified suppliers.
7.3 Complaints Resolution Mechanism	Conformance	<p>The Entity has established a complaint handling mechanism to collect, process, and respond to complaints from all Stakeholders. It tracks and monitors complaints, addressing them promptly.</p> <p>The complaint resolution mechanism is available at: https://www.jyalu.cn/viewfilebizce/2044262176954204160/3.4%E6%8A%95%E8%AF%89%E8%A7%A3%E5%86%B3%E6%9C%BA%E5%88%B6%E6%8A%AB%E9%9C%B2.pdf?cmsTs=177649469950 Complaint hotline: 0763-3152623 Complaint email: info@jinyangalu.com</p>

CRITERION	RATING	COMMENT
8. MATERIAL ACCOUNTING SYSTEM: COC MATERIAL AND ASI ALUMINIUM		
8.1 Material Accounting System	Conformance	The Entity has established a Material Accounting System to ensure that within the Certification Scope and during the material accounting period, the total Output of eligible materials and/or qualified waste does not exceed the proportion of the Input eligible materials and/or qualified waste.
8.2 Material Accounting Period	Conformance	The Entity's ASI Management Manual specifies the accounting cycle as spanning from January to December each year, and the ASI Material Balance Statement also uses a calendar year as its statistical cycle.
8.3 Input and Inflow Quantities	Conformance	The Entity has established a Material Accounting System that records the quantities of each CoC Material and recyclable waste inputs, as well as the Inflows of Non-CoC Material and recyclable waste materials, with the Inflows determined based on Aluminium content assessments. At the time of this Audit, there were no CoC Material Inputs in the Entity's supply chain.
8.4 Output Quantities of CoC Material	Conformance	The Entity has established a quality balance system. During the material accounting period, the percentage of CoC Material Input is calculated as: $(\text{CoC Material Input Quantity}) / (\text{CoC Material Input Quantity} + \text{Non-CoC Material Input Quantity})$ Calculation of the Output amount of CoC Material in the final Product based on the Input Percentage.
8.5 Indivisibility of CoC Material	Conformance	The Entity's ASI Management Manual prescribes that the Output of CoC Material, even if it constitutes only a portion of the total production, should still be classified as 100% CoC Material.
8.6 Output Quantity of Eligible Scrap	Conformance	The Entity's ASI Management Manual prescribes that for waste generated during production processes, if the Entity must designate a specific percentage as qualified waste, it shall apply the same percentage to determine the Output of ASI Aluminium during the material accounting period.
8.7 Consistency Between Input Percentage and Total Output	Conformance	The Entity's ASI Management Manual prescribes that during the material accounting period, the total Output of CoC Materials and/or qualified waste shall not exceed the Input Percentage of CoC Materials and/or qualified waste, and the Entity has understood this requirement.
8.8a Internal Overdraw (Not exceed 20%)	Conformance	The Entity's ASI Management Manual prescribes that internal overdrafts shall not exceed 20% of the total CoC Material Input volume during the accounting period. The Entity did not have an overdraft in 2025.
8.8b Internal Overdraw (Not exceed force majeure situation)	Conformance	The Entity's ASI Management Manual prescribes that internal overdrafts shall not exceed the quantity of CoC Material affected by Force Majeure. The Entity did not have an overdraft in 2025.
8.8c Internal Overdraw (Made up within	Conformance	The Entity's ASI Management Manual prescribes that internal overdrafts must be replenished during the subsequent material

CRITERION	RATING	COMMENT
subsequent Material Accounting Period)		accounting period. To date, this Entity has not traded any CoC Material.
8.9a Positive Balance (Carry over)	Conformance	The Entity's ASI Management Manual prescribes that if a positive CoC Material balance occurs at the end of an accounting period, it may be carried forward to the next accounting period. The Material Accounting System identifies and marks any carry-forward balances. To date, this Entity has not traded any CoC Material.
8.9b Positive Balance (Expiry)	Conformance	The Entity's ASI Management Manual prescribes that any Positive Balance generated during an accounting period and carried forward to subsequent material accounting periods shall expire at the end of that period if not utilised. To date, this Entity has not traded any CoC Material.
9. ISSUING COC DOCUMENTS		
9.1 CoC Document	Conformance	The Entity's ASI Management Manual prescribes that the CoC Document must be sent with each batch of CoC Materials to other CoC-certified entities or traders. No CoC Materials have been traded to date; therefore, no CoC Documents have been issued yet.
9.2a CoC Document Content (Date of issue)	Conformance	The Entity's CoC Document template includes the issuance date. Currently, this Entity has not traded any CoC Material.
9.2b CoC Document Content (Reference number)	Conformance	The Entity's ASI Management Manual prescribes that the reference number of the CoC Document must be linked to the Entity's Material Accounting System and enable traceability.
9.2c CoC Document Content (Issuing Entity)	Conformance	The Entity's ASI Management Manual prescribes that the CoC Document must include the Certification Entity's name, address, and CoC Certification number.
9.2d CoC Document Content (Receiving customer)	Conformance	The Entity's ASI Management Manual prescribes that the CoC Document must include the client's name and address; if the client is a CoC-certified Entity. The CoC Certification number must also be provided.
9.2e CoC Document Content (Responsible employee)	Conformance	The Entity's ASI Management Manual prescribes that the CoC Document must include detailed information about the Entity's responsible person who must verify the information before sending the document to the client.
9.2f CoC Document Content (Conformance statement)	Conformance	The Entity's ASI Management Manual prescribes that all issued CoC Documents must include a conformance statement stating that the information provided in the CoC Document conforms with the Chain of Custody Standard.
9.2g CoC Document Content (Type of CoC Material)	Conformance	The Entity's ASI Management Manual prescribes that the CoC Document must specify the type of CoC Materials contained in the goods.

CRITERION	RATING	COMMENT
9.2h CoC Document Content (Mass of CoC Material)	Conformance	The Entity's ASI Management Manual prescribes that all issued CoC Documents must specify the quantity of all CoC Materials shipped.
9.2i CoC Document Content (Mass of total material)	Conformance	The Entity's ASI Management Manual prescribes that all issued CoC Documents must include the total quantity of goods to be shipped.
9.3a Sustainability Data (optional) - Carbon footprint	Conformance	The Entity's ASI Management Manual prescribes that the CoC Document must include relevant Sustainability Data, such as the average carbon footprint of CoC Materials and the accounting methodology employed.
9.3b Sustainability Data (optional) - Origin information	Conformance	The Entity's ASI Management Manual prescribes that the CoC Document must include relevant Sustainability Data, such as information supporting Aluminium sourcing in accordance with criterion 9.8 of the ASI Performance Standard.
9.3c Sustainability Data (optional) - Recycled content	Conformance	The Entity's ASI Management Manual prescribes that the CoC Document must include relevant Sustainability Data, such as the Aluminium recycling content of CoC Materials, covering both pre-consumption and post-consumption waste.
9.3d Sustainability Data (optional) - Post-Casthouse ASI Certification status	Conformance	The Entity's ASI Management Manual prescribes that the CoC Document must include relevant Sustainability Data, such as the ASI Performance Standard Certification Status of the Entity and/or Facility issuing the CoC.
9.4 Supplementary Information (optional) - Objective evidence	Conformance	The Entity's ASI Management Manual prescribes that if the CoC Document contains Supplementary Information regarding the Entity or CoC Materials, such information must be supported by objective evidence, including ASI certificates and packing lists.
9.5 Verification of Information	Conformance	The Entity's ASI Management Manual prescribes that they are obligated to respond to information verification requests specified in the CoC Document and provide support to relevant parties. The Entity has established relevant procedures and assigned specific personnel to oversee them.
9.6 Error (Shipping)	Conformance	The Entity's ASI Management Manual and Corrective Measures Control Procedure both prescribe that in the event of an error, the cause must be analysed, corrective actions implemented, and preventive measures taken to avoid recurrence.
10. RECEIVING COC DOCUMENTS		
10.1 Verification of CoC Documents	Conformance	The Entity has established procedures to verify that the received CoC Documents contain all required information.
10.2 Verification of Consistency Between CoC Documents and CoC Material	Conformance	The ASI Management Manual established by this Entity prescribes that the Production Management Department must enter the relevant information into its Material Accounting System after verifying the received CoC Documents against the accompanying CoC Materials

CRITERION	RATING	COMMENT
		or qualified waste. To date, the Entity has not purchased any CoC Material or qualified waste.
10.3 Verification of Supplier's ASI CoC Certification	Conformance	The physical manual specifies that the ASI website must be checked quarterly to verify the supplier's ASI CoC Certification Status and any changes.
10.4 Error (Reception)	Conformance	The ASI Management Manual and Corrective and Preventive Action Procedure Document prescribe that in the event of an error, the cause shall be analysed, corrective actions will be implemented, and preventive measures shall be taken to avoid recurrence. To date, no CoC Material has been received.

11. CLAIMS AND COMMUNICATIONS

11.1a Claims and Communications (ASI Claims Guide)	Conformance	The Entity's ASI Management Manual prescribes that all statements must be in accordance with the relevant ASI Standards and align with the ASI Claims Guide, specifying corresponding responsibilities and authorities. To date, no statements have violated the requirements.
11.1b Claims and Communications (Verifiable evidence)	Conformance	According to the Entity's ASI Management Manual and regulations, all statements must be supported by verifiable evidence.
11.1c Claims and Communications (Employee training)	Conformance	The Entity provided employees with training on the ASI Standards and ASI Claims Guide and maintains records of the training sessions.

ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable law, or will avoid any Breach from occurring.

DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	9 June 2026	Initial Certification Audit – Full Certification