

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

V Global Manufacturing Limited

CERTIFICATE NUMBER

325

ASI STANDARD

PERFORMANCE
STANDARD
(V3.1 2023)

CERTIFICATION
LEVEL

FULL
CERTIFICATION

ASI ACCREDITED
AUDITING FIRM

CHINA QUALITY
MARK
CERTIFICATION
GROUP

DATE OF ISSUE

10 NOVEMBER 2023

DATE OF EXPIRY

9 NOVEMBER 2026

CERTIFIED SINCE

10 NOVEMBER 2023

AUTHORISED BY

A stylized, handwritten signature in black ink, consisting of a large, flowing 'A' followed by a horizontal line.

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Validity of this Certificate is subject to continued conformance with the applicable ASI Standard and can be verified at www.aluminium-stewardship.org

CERTIFICATION SCOPE

V Global Manufacturing Limited is located in Chengdong Industrial Project Area (Phase III), Dehua County, Fujian Province, China.

For the production of Aluminium alloy building profiles including base material, anodised profiles, electrophoretic painted profiles, powder spray profiles, spray painted profiles, and heat insulation profiles. And the manufacture of industrial Aluminium alloy extrusion profiles for general industrial use, as well as Aluminium alloy doors, windows and curtain wall components.

AUDIT REPORT PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	V Global Manufacturing Limited
ENTITY NAME	V Global Manufacturing Limited
CERTIFICATION SCOPE	<p>V Global Manufacturing Limited is located in Chengdong Industrial Project Area (Phase III), Dehua County, Fujian Province, China.</p> <p>For the production of Aluminium alloy building profiles including base material, anodised profiles, electrophoretic painted profiles, powder spray profiles, spray painted profiles, and heat insulation profiles. And the manufacture of industrial Aluminium alloy extrusion profiles for general industrial use, as well as Aluminium alloy doors, windows and curtain wall components.</p>
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">Aluminium Re-melting/RefiningCasthousesSemi-Fabrication
ASI STANDARD	<ul style="list-style-type: none">Performance Standard V3.1
AUDIT TYPE	<ul style="list-style-type: none">Initial Certification Audit (12 May 2023 – 13 May 2023)Surveillance Audit (21 – 22 November 2025)
AUDIT FIRM	China Quality Mark Certification Group
AUDIT DATE	<ul style="list-style-type: none">12 May 2023 – 13 May 2023 (Initial Certification Audit)21 – 22 November 2025 (Surveillance Audit)
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">16 August 2023 (Initial Certification Audit)10 March 2026 (Surveillance Audit)
AUDIT SCOPE	<p><u>Initial Certification Audit (12 May 2023 – 13 May 2023)</u></p> <p>The Audit Scope covers the facility of V Global Manufacturing Limited is located in Dehua County, Fujian Province, China for the production of Aluminium alloy building profiles and industrial Aluminium alloy extrusion profiles.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">CasthousesMaterial Conversion (Production and Transformation)Semi-Fabrication <p>All relevant criteria in the ASI Performance Standard were included in the Audit Scope.</p> <p><u>Surveillance Audit (21 – 22 November 2025)</u></p> <p>The Audit Scope covers the facility of V Global Manufacturing Limited is located in Dehua County, Fujian Province, China for the production of Aluminium alloy building profiles and industrial Aluminium alloy extrusion profiles.</p> <p>Supply chain activities included in the Audit Scope:</p>

-
- Casthouses
 - Material Conversion (Production and Transformation)
 - Semi-Fabrication

All relevant criteria in the ASI Performance Standard were included in the Audit Scope including Non-Conformities identified from the previous Audit.

AUDIT OUTCOME

- Certification

AUDIT METHODOLOGY
DECLARATION

The Auditors confirm that:

- The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
- The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
- The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
- The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

CERTIFICATION PERIOD

10 November 2023 – 9 November 2026

NEXT AUDIT TYPE

Re-Certification Audit

NEXT AUDIT DATE

9 November 2026

CERTIFICATE NUMBER

325



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

V Global Manufacturing Limited (the 'Entity') was founded in 2012 by Meitu Aluminum Global Co., Ltd., and is located in the Dehua County Industrial Park, Quanzhou City, Fujian Province, China. The Entity specialises in manufacturing Aluminium alloy building profiles, general industrial Aluminium alloy extruded profiles, Aluminium alloy doors and windows, and curtain wall components. Covering an area of over 16 hectares, it is equipped with a 35 kV substation power supply system with a melting and casting workshop (two lines melting and casting production line), an extrusion workshop (nine extrusion production lines, three aging furnaces), an oxidation workshop (one oxidation electrophoresis production line), a spraying workshop (one horizontal powder spraying line and one horizontal powder paint line), a wood grain insulation workshop and a deep processing workshop. With a current workforce of 400 employees, it has an annual production capacity of approximately 100,000 tonnes of Aluminium alloy profiles. These products are distributed to various countries and regions, including China, Japan, Southeast Asia, Europe, and America.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	Medium	High	High	HIGH
RISKS	Medium	Medium	Medium	MEDIUM
PERFORMANCE	Medium	Medium	Medium	MEDIUM
OVERALL	MEDIUM			

FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	The Entity has established the Legal and Regulatory Identification Procedure and Compliance Evaluation Procedure, which are continuously and effectively implemented. The Administrative and Personnel Department conducts annual evaluations of the applicability of and Compliance with regulations, ensuring adherence to relevant legal requirements.
1.2 Anti-Corruption	Conformance	The Entity has established an Anti-Bribery Management Procedure and has implemented Anti-Bribery Policy, formed an anti-Bribery management team, and implemented an anti-Bribery risk assessment group. It conducts annual reviews and evaluations of anti-Bribery initiatives. The Human Resources department regularly organises anti-Corruption training for employees.
1.3a-e Code of Conduct	Conformance	The Entity has developed a Code of Conduct in line with Environmental, Social and Governance (ESG) Policy requirements and reviews the Code annually. The Code of Conduct is available at: https://www.vaaluminum.cn/UserFiles/upload/file/20251121/1121170153711.pdf
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Conformance	The Entity has defined its ESG Policies in the ASI Management Manual, which are reviewed annually: https://www.vaaluminum.cn/UserFiles/upload/file/20251121/1121170230524.pdf
2.2a-c Leadership	Conformance	The Entity has appointed a senior executive as the ASI Manager Representative, responsible for leading and implementing the company's Policies and meeting regulatory standards.
2.3a Environmental and Social Management Systems - Environmental	Conformance	The Entity has an established ISO 14001:2015 certified Environmental Management System, the certification scope of which aligns with the ASI Performance Standard Certification Scope.
2.3b Environmental and Social Management Systems - Social	Conformance	The Entity has compiled the requirements of the Social Management System in the ASI Management Manual, which addresses the management of Human Rights and Labour Rights.
2.4a-e Responsible Sourcing	Conformance	The Entity has implemented a Procurement Control Procedure that is implemented in accordance with the Environmental, Social, and Governance Policy. The Responsible Procurement Policy is available at: https://www.vaaluminum.cn/UserFiles/upload/file/20251121/1121170213899.pdf It is implemented in accordance with the Environmental, Social, and Governance Policy.
2.5a-g Environmental and Social Impact Assessments	Not Applicable	The Criterion is not applicable to the Entity, as it has not implemented any New Projects or Major Changes. However, the Entity has developed Environmental and Occupational Health and Safety Evaluation Control Procedures for New Projects or Major Changes to the existing Facility,

CRITERION	RATING	COMMENT
		which stipulate the requirement to conduct environmental impact and risk assessments.
2.6a-h Human Rights Impact Assessment	Not Applicable	The Criterion is not applicable to the Entity, as it has not implemented any New Projects or Major Changes. However, the Entity has developed a Human Rights Impact Assessment Procedure.
2.7a-f Emergency Response Plan	Conformance	The Entity has established safety and environmental emergency plans and organizes regular emergency drills with relevant departments. The Emergency Response Plan is available at: https://www.vaaluminum.cn/UserFiles/upload/file/20251121/1121170442183.pdf
2.8a-d Suspended Operations	Conformance	The Entity has established a Business Suspension and Resumption Management Procedure to address production halts or shifts caused by Force Majeure events, with due consideration given to mitigating significant adverse impacts on environmental, social and governance (ESG) aspects. The Procedure requires review whenever Material changes occur that alter the nature or scale of ESG risks.
2.9a-b Mergers and Acquisitions	Conformance	The Entity has developed a Merger and Acquisition Procedure, which includes preparation before implementation, possible risks and risk avoidance in transactions. Environmental, social, and governance factors are considered as an important basis for evaluating and implementing merger and acquisition projects. There have been no mergers or acquisitions since the Entity became an ASI member.
2.10a-b Closure, Decommissioning and Divestment	Conformance	The Entity has established the Control Procedures for Closure, Decommissioning and Withdrawal as part of the ASI Management Manual to specify the work procedures according to ASI requirements. No closures, decommissioning or divestment activities have occurred to date.
3. TRANSPARENCY		
3.1a-b Sustainability Reporting	Conformance	The Entity has established Policies, systems and procedures in accordance with the requirements set out in their ASI Management Manual to support Sustainability Reporting. The 2024 Sustainability Report is available at: https://www.vaaluminum.cn/UserFiles/upload/file/20251121/1121170501778.pdf
3.2 Non-compliance and Liabilities	Conformance	The Entity has specified the requirements for violations and liabilities in the ASI Management Manual. There have been no violations or liabilities, as disclosed in the 2025 Sustainability Report, page 10: https://www.vaaluminum.cn/UserFiles/upload/file/20251121/1121170501778.pdf
3.3a-c Payments to Governments	Conformance	In conformance with its anti-Corruption Policies, all payments to the Government made by the Entity are conducted in full Compliance with legal and contractual requirements. Each payment is documented and subject to periodic financial audits to verify its legality. This information is disclosed in the Sustainability Report, page 4:

CRITERION	RATING	COMMENT
		https://www.vaaluminum.cn/UserFiles/upload/file/20251121/1121170501778.pdf
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	<p>The Entity has established Consultation, Communication and Information Exchange Control Procedures to receive feedback from internal and external Stakeholders on corporate governance and safety, environmental protection, and other information, and to ensure that such information can be communicated promptly and processed and responded to. The procedures are reviewed annually. The Complaints Resolution Mechanism is available at: https://www.vaaluminum.cn/UserFiles/upload/file/20251121/1121170559029.pdf</p> <p>The Entity has established a process for Stakeholders to make complaints, appeals and information requests. The Entity's administrative and personnel department is responsible for tracking the requests and complaints and implementing appropriate solutions. Stakeholders can access the hotline (0595-27221188) or online contact form (https://www.vaaluminum.cn/contact.html).</p>
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Conformance	The Entity has identified environmental factors and the environmental impact of the entire life cycle of its Aluminium Products. A Life Cycle Assessment (LCA) has been conducted, and an Aluminium Life Cycle Environmental Impact Assessment Report has been developed.
4.1b-c Environmental Life Cycle Assessment - Disclosure	Conformance	The Entity has prepared the Environmental Impact Assessment Report, which details the objectives and scope, system boundaries, data collection across phases, and final Life Cycle Inventory with environmental impact analysis. The LCA information is provided to clients. The Aluminium Life Cycle Environmental Impact Assessment Report is available at: https://www.vaaluminum.cn/UserFiles/upload/file/20251121/1121170913315.pdf
4.2 Product Design	Conformance	The Entity has specified the relevant requirements for product design in the ASI Management Manual and has established Design and Development Control Procedures. The LCA program is implemented during the product design process.
4.3a-b Aluminium Process Scrap	Conformance	<p>The Entity has established process waste targets, these targets have been assigned to each process and are evaluated monthly. Aluminium Scrap is classified, stored and processed.</p> <p>The Entity has established clear objectives for the recycling and reuse of Aluminium Process Scrap in the ASI Management Manual. It has implemented the 'Aluminum Waste Classification and Refusing System', which specifies the classification, storage, and processing procedures for Scrap. The Entity has also developed a 'Target and Statistical Table for Aluminum Waste Recycling', ensuring that 100% of Scrap from extrusion and surface treatment processes is melted and reused.</p>
4.4a-c Collection and Recycling of Products at End of Life - Material	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
Conversion and other Manufacturing		
4.4d Collection and Recycling of Products at End of Life	Conformance	The Entity communicates and collaborates with industry partners in accordance with Aluminium industry specifications (Ministry of Industry and Information Technology, PRC No. 6, 2020) to improve recycling and recycling rates across key product markets.
5. GREENHOUSE GAS EMISSIONS		
5.1a-b Disclosure of GHG Emissions and Energy Use	Minor Non-Conformance	<p>The Entity has defined requirements for the disclosure of greenhouse gas (GHG) emissions and energy use data in the ASI Management Manual.</p> <p>The 2024 Greenhouse Gas Emissions Report is available at: https://www.vaaluminum.cn/UserFiles/upload/file/20251121/1121170644451.pdf</p> <p>The Entity has disclosed the 2024 Energy Use Report, available at: https://www.vaaluminum.cn/UserFiles/upload/file/20251121/1121170620263.pdf</p> <p>The 2024 Greenhouse Gas Emissions Report discloses annual emissions data. However, at the time of the Audit, the figures presented only included Scope 1 and 2 emissions and had not been verified by a Third Party.</p>
5.2a Aluminium Smelter GHG Emissions Intensity - Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity - In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a GHG Emissions Reduction Plans	Conformance	The Entity has developed and implemented a GHG Emissions Reduction Plan with Intermediate Targets using the ASI Entity GHG Pathways Method and Calculation Tool. The Plan includes GHG Emissions Reduction Pathways for both process and procurement and is consistent with the 1.5°C warming scenario, using 2024 as the baseline year.
5.3b-e GHG Emissions Reduction Plans - Targets, review and disclosure	Minor Non-Conformance	<p>The Entity has developed and implemented a GHG Emissions Reduction Plan with Intermediate Targets. The GHG Emissions Reduction Pathway includes a five-year, mid-term target. The Plan is to be reviewed annually or whenever the Entity modifies its emission reduction benchmarks or targets. The GHG Emissions Reduction Plan and Pathway is available at: https://www.vaaluminum.cn/UserFiles/upload/file/20251121/1121170632232.pdf</p> <p>However, the GHG emissions data for the melting, casting, and post-casting processes in the Plan has not been independently verified by a Third Party. As a result, the accuracy of this data cannot be confirmed, which affects the reliability of the emissions baseline and reduction targets set out in the Plan.</p>

CRITERION	RATING	COMMENT
5.4 GHG Emissions Management	Conformance	The Entity has developed and implemented procedures to achieve emissions reduction performance in accordance with its GHG Emissions Reduction Plan and to assess whether the actual performance of the reduction has met the reduction target.
6. EMISSIONS, EFFLUENTS AND WASTE		
6.1a-f Emissions to Air	Conformance	<p>The Entity's discharge permit specifies and quantifies the exhaust emission outlet, types of pollutants, and the maximum allowable emissions concentration. The Entity regularly monitors its emissions in accordance with its permit and Applicable Laws. The monitoring results show that the Entity complies with local legal emission standards. The Entity has built and is using an emissions treatment facility.</p> <p>The Entity has developed and implemented a Water and Air Pollutant Reduction Plan and Targets, with clear indicators and measures that are reviewed annually. The Plan is available at: https://www.vaaluminum.cn/UserFiles/upload/file/20251121/1121170720609.pdf</p>
6.2a-g Discharges to Water	Conformance	<p>The Entity's discharge permit specifies and quantifies the types of water pollutants and the maximum allowable concentration limits at discharge. The Entity has constructed and operates wastewater treatment facilities.</p> <p>Real-time monitoring of wastewater discharge is conducted. The Entity regularly reports wastewater discharge concentrations and volumes on Fujian Province's Qinqing Service Platform, with current levels within statutory limits.</p> <p>The Entity has established the Water and Air Pollutant Emission Reduction Plan and Targets, which specifies the operation and management of wastewater discharge, exhaust gas discharge, and supporting environmental protection facilities (including wastewater treatment plants and exhaust gas treatment units) in production processes. The Plan is available at: https://www.vaaluminum.cn/UserFiles/upload/file/20251121/1121170720609.pdf</p>
6.3a-g Assessment and Management of Spills and Leakages	Conformance	<p>The Entity has developed an Emergency Response Plan for Sudden Environmental Incidents, which is reviewed every three years. Emergency plans are implemented according to the risk level classification and different risk types. No Spills or Leakages have occurred at the Entity.</p> <p>The Entity's Leakage and Spillage Assessment and Management Plan is available at: https://www.vaaluminum.cn/UserFiles/upload/file/20251121/1121170733531.pdf</p>
6.4a-b Public Disclosure of Spills and Leakages	Conformance	The ASI Management Manual requires the Entity to undertake an Impact Assessment and implement remedial actions should Material Spills or Leakages occur and publicly disclose this information. No Spills or Leakages have occurred since the Entity commenced operation.

CRITERION	RATING	COMMENT
6.5a-c Waste Management and Reporting	Conformance	The Entity has developed Waste Management Procedures and a Hazardous Waste Reduction Plan that includes reduction measures. The Entity reviews the Plan and measures on a quarterly basis. The Hazardous and Non-Hazardous Waste data are available at: https://www.vameitulye.com/meitulye/vip_doc/26853204.html
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Conformance	The Entity's 2025 Hazardous Waste Management Plan includes Aluminium ash slag, and the Entity complies with applicable legal requirements for the collection, identification, and storage of Hazardous Waste. The Aluminium slag disposal facility holds a Hazardous Waste operation license and operates in compliance with regulations. The supplier does not disposed of Aluminium residues through landfill.
7. WATER STEWARDSHIP		
7.1a-b Water Assessment and Disclosure	Conformance	The Entity sources water from the industrial park's water supply network. The Entity mainly uses water for production processes and for employees' needs. The Entity's water use data, categorised by source and type, is included in the Water Resources Risk Assessment, which determined its water-related risks as low: https://www.vaaluminum.cn/UserFiles/upload/file/20251121/1121170756860.pdf
7.2a-e Water Management	Not Applicable	This Criterion is not applicable to the Entity, as the Water Resources Risk Assessment determined that water-related risks are low.
8. BIODIVERSITY AND ECOSYSTEM SERVICES		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Conformance	The Environmental Impact Assessment determined that the Entity does not impact ecological sensitive targets such as natural relics, cultural relics, basic farmland, nature reserves, scenic spots, ecological public welfare forests, rare or endangered wild animals and plants, and land for famous ancient trees. The Entity is not located in any Protected Area, poses no significant risk or potential impact on Biodiversity and Ecosystem Services, and the risks are assessed as low. An extract of the Biodiversity Risk Assessment Report is available at: https://www.vaaluminum.cn/UserFiles/upload/file/20251121/1121170807344.pdf
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity, as it is not located in any Protected Area and there are no significant risks or impacts on Biodiversity and Ecosystem Services.

CRITERION	RATING	COMMENT
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable to the Entity, as it is not located in any Protected Area and there are no significant risks or impacts on Biodiversity and Ecosystem Services.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity, as the risks to Biodiversity and Ecosystem Services are identified as low, and no Priority Ecosystem Services have been identified.
8.4 Alien Species	Conformance	The Entity conducts annual assessments of Alien Species and implements control measures for high-risk business activities and sources. No Alien Species have been introduced.
8.5a-b Commitment to "No Go" in World Heritage Properties	Conformance	The Entity does not operate within or near a location classified as a World Heritage Site as it is located in the East Industrial Project Area (Phase III) of Dehua County, Quanzhou City, Fujian Province. It has committed to not explore or build New Projects at World Heritage Properties.
8.6a-d Protected Areas	Conformance	The Entity is located in the East Industrial Project Zone (Phase III) of Dehua County, Quanzhou City, Fujian Province, and is not within nor adjacent to a Protected Area. According to Applicable Law, construction projects must conduct Environmental Impact Assessments which takes into account the conditions of Protected Areas.
8.6e Protected Areas - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9. HUMAN RIGHTS		
9.1a-d Human Rights Due Diligence	Conformance	<p>The Entity has established a Human Rights Policy and has developed a Human Rights Due Diligence process that outlines methods for identifying, preventing and mitigating actual and potential impacts on Human Rights. The Human Resources department conducts annual reviews of the Entity's Human Rights status and potential impacts and documents the findings in Due Diligence records.</p> <p>Human Rights Policy Commitment Letter: https://www.vaaluminum.cn/UserFiles/upload/file/20251121/1121170230524.pdf</p>
9.2a-e Gender Equity and Women's Empowerment	Conformance	<p>The Entity has established a procedure for the Protection and Management of Female Employees to ensure the respect of women's rights and interests. These rights include voting and eligibility for election, health rights, equal access to education, decision-making roles in the company, equal pay for equal work, protection against sexual abuse, and equal opportunities.</p> <p>The effectiveness of protective measures for female employees is available at: https://www.vaaluminum.cn/UserFiles/upload/file/20260428/0428085506521.pdf</p>

CRITERION	RATING	COMMENT
9.3a-i Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples where the Entity operates. However, the Entity has established an Indigenous Protection Procedure to determine the presence of Indigenous Peoples and their interests in terms of customs, land and resources, etc.
9.4a Free, Prior, and Informed Consent (FPIC) - New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples where the Entity operates and there have been no New Projects or Major Changes.
9.4b Free, Prior, and Informed Consent (FPIC) - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4c Free, Prior, and Informed Consent (FPIC) - Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples where the Entity operates and there have been no New Projects or Major Changes.
9.5a Cultural and Sacred Heritage - Identification	Not Applicable	This Criterion is not applicable to the Entity, as there are no cultural and religious sites affected by their operations. However, the Entity has established and implemented a 'Cultural Heritage and Religious Sites Handling Procedure' to understand the local heritage and religious sites and take appropriate protection measures if identified.
9.5b Cultural and Sacred Heritage - Impacts	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples in the Entity's Area of Influence.
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity, as there are no New Projects or Major Changes at the Entity since its establishment. However, the Entity has established Resettlement Procedures, and if New Projects are designed, the Entity will prioritise viable alternatives to avoid or minimise the displacement of populations.
9.7a-h Affected Populations and Organisations	Conformance	<p>The Entity has established and implemented a Stakeholder management process that invites the public, including Local Communities, customers, suppliers and other Stakeholders, to jointly evaluate potential impacts on land, livelihoods and use of natural resources, and reviews the process every five years without any changes or deficiencies. As the Entity is located in an industrial zone, surrounding residents and the Local Community are not negatively impacted by noise, dust, and exhaust fumes.</p> <p>The implementation of the management process is included in the Sustainable Development Report, available at: https://www.vaaluminum.cn/UserFiles/upload/file/20251121/1121170501778.pdf</p> <p>More information is included in the Life Cycle Environmental Impact and ASI Impact Assessment Report: https://www.vaaluminum.cn/UserFiles/upload/file/20260428/0428085533575.pdf</p>

CRITERION	RATING	COMMENT
9.8a Conflict-Affected and High-Risk Areas - Strong Management Systems	Conformance	<p>The Entity has defined the requirement to refrain from facilitating armed conflicts or Human Rights violations in the ASI Management Manual. The Entity annually reviews the operations of their direct suppliers of raw materials from potential Conflict-Affected and High-Risk Areas (CAHRAs). Suppliers must perform Due Diligence and conduct risk assessments when sourcing materials from such regions.</p> <p>Declaration of Non-Use of Conflict Minerals: https://www.vaaluminum.cn/UserFiles/upload/file/20251121/1121170958769.pdf</p> <p>According to the Entity's 2025 Qualified Supplier Directory, its raw materials are primarily sourced from third-party traders through indirect procurement, no materials originate from CAHRAs regions.</p>
9.8b Conflict-Affected and High-Risk Areas - Identify and assess risks	Conformance	<p>The Entity conducts Due Diligence and risk assessments annually to identify business operations or direct raw material suppliers in CAHRAs. It maintains a Qualified Supplier List, and raw materials are mainly sourced from manufacturers and third-party traders. The Entity has conducted annual performance evaluations of suppliers, using the Supplier Performance Evaluation Form. All listed suppliers are not located in CAHRAs.</p>
9.8c Conflict-Affected and High-Risk Areas - Strategy to respond to risks	Conformance	<p>The Entity conducts Due Diligence and risk assessments annually to identify business operations or direct raw material suppliers in CAHRAs.</p>
9.8d Conflict-Affected and High-Risk Areas - Audit of due diligence	Conformance	<p>The Entity's Due Diligence practices were audited during the ASI Audit, which meets the requirement for this Criterion.</p>
9.8e Conflict-Affected and High-Risk Areas - Report annually	Conformance	<p>The Entity has disclosed the Due Diligence Report on the Aluminium Supply Chain in High-Risk Conflict Areas, available at: https://www.vaaluminum.cn/UserFiles/upload/file/20260428/0428085555305.pdf</p>
9.9 Security practice	Conformance	<p>The Entity has established a security management system with clear management responsibilities for security staff. Training is provided to security staff to ensure they understand their tasks and respect Human Rights. To date, there have been no complaints or allegations regarding security practices.</p>
10. LABOUR RIGHTS		
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	<p>This Criterion is not applicable to the Entity, as it complies with Applicable Law in China related to the Freedom of Association and right to Collective Bargaining.</p> <p>However, the Entity has established the 'Procedures for the Management of Freedom of Association and Collective Bargaining Rights', which stipulates that all personnel have the right to form, join and organise Trade Unions freely, and to conduct Collective Bargaining on their own behalf and on behalf of the company.</p>
10.1d Freedom of Association and Right to Collective Bargaining -	Conformance	<p>The Entity has established a Trade Union organisation in accordance with Applicable Law in China and Workers freely choose their own Worker representatives. When necessary, the Entity is obliged to assist</p>

CRITERION	RATING	COMMENT
Alternative means in context of Applicable Law		various departments in electing their own employee representatives, who are responsible for supervising and raising issues related to safety, health, welfare, and ASI social management with management.
10.2a Child Labour	Conformance	The Entity has established and implemented the 'Child Labour and Underage Labour Management Procedure' to prohibit the use of Child Labour and Young Workers. All Workers at the Entity are all over 18 years old.
10.3a-c Forced Labour	Conformance	<p>The Entity has established and implemented the 'Compulsory Labour Management Procedures', which prohibits Forced Labour and Human Trafficking and the requirement for any form of security deposit or bond from hired employees, ensures Workers' freedom of movement in the workplace is not restricted and employees have the freedom to terminate their employment within a reasonable time.</p> <p>The Entity has disclosed a Declaration of Modern Slavery, available at: https://www.vaaluminum.cn/UserFiles/upload/file/20251121/1121170921784.pdf</p>
10.4a-c Non-Discrimination	Conformance	<p>The Entity has established and implemented a 'Prohibition of Discrimination Management Procedure', implemented a monitoring mechanism, and ensures the implementation of the principle of non-Discrimination in all business activities through a Human Rights Due Diligence form.</p> <p>In addition, the Entity has established training programs, communication channels, and a Complaints Mechanism to promote a non-discriminatory culture.</p>
10.5 Communication and engagement	Conformance	The Entity has established and implemented a 'Consultation, Communication and Information Exchange Management Procedure' to encourage employee participation in the ASI Management System. Employees can directly contact the employee representative and representative of the Work Safety Management Committee for any issues. Interviews conducted during the Audit confirmed that the communication mechanism is sound and the channels are operational.
10.6a-g Violence and Harassment	Conformance	<p>The Entity has established the 'Punitive Measures Management Procedure', which prohibits any person from engaging in or tolerating the use of corporal punishment, psychological or physical coercion, Harassment and gender-based Violence, including sexual Harassment, or verbal abuse. Also, the Entity has established a Policy to eliminate Harassment in the workplace, available at: https://www.vaaluminum.cn/UserFiles/upload/file/20251121/1121170932206.pdf</p> <p>Employees can raise concerns and provide feedback on unfair treatment related to disciplinary measures.</p>
10.7a-c Remuneration	Conformance	<p>Upon commencement on employment, Workers sign a labour contract and confirm the employment terms and compensation are understood. The Entity ensures that wages and benefits paid to Workers fully comply with all Applicable Laws.</p> <p>All employees receive a minimum wage that meets local government standards, with salaries sufficient to cover basic living expenses and</p>

CRITERION	RATING	COMMENT
		provide for family needs. Payments are made in a manner that is convenient for employees. Overtime is compensated according to national labour laws. On-site review of the employment contracts of employees confirmed that the stipulated terms aligned with the actual employment conditions.
10.8a-c Working Time	Conformance	<p>The Entity complies with Applicable Laws and industry standards regarding working hours and public holidays. The standard working week (excluding Overtime) is legally capped at 40 hours. It fully implements national statutory holidays and paid annual leave, in compliance with the labour laws. Employees must take at least one day off every six consecutive working days. Overtime is entirely voluntary, and the total Overtime time per week cannot exceed 12 hours.</p> <p>Records of working hours and compensation reviewed during the Audit confirmed that the Entity complies with legal requirements.</p>
10.9a-b Informing Workers of Rights	Conformance	The Entity informs employees of their rights through official documents and safeguards these rights. Freedom of Association and Collective Bargaining are regulated by relevant laws. Through the work of the staff congress and Trade Unions, the Entity meets its obligation to inform employees of their rights. Employees may also learn about their rights and obligations through other means such as letters, emails, phone calls, or face-to-face meetings.
11. OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Management System	Conformance	The Entity has established and implemented an ISO 45001:2018 certified Occupational Health and Safety (OH&S) Management System, which is audited annually to ensure the System operates effectively.
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Minor Non-Conformance	<p>The Entity conducts regular reviews of its OH&S Management System through annual compliance evaluations, ISO 45001:2018 internal audits, and management reviews. When changes occur or signs of control deficiencies emerge, the Management System and Policies are reviewed.</p> <p>The performance of the OH&S Management System is publicly disclosed annually at: https://www.vaaluminum.cn/UserFiles/upload/file/20251121/1121170949050.pdf</p> <p>However, it was identified that the disclosure does not include leading and lagging indicators, nor does it compare the Entity's OH&S performances with leading practices or other companies in the same industry.</p>
11.2 Employee engagement on Health and Safety	Conformance	The Entity has established effective mechanisms to collect employee feedback on OH&S, including the formation of a Union, a Safety and Environment Committee, and regular employee interviews. All such interactions are documented, and feedback is thoroughly investigated and analysed, with appropriate control measures implemented when necessary.

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DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	10 November 2023	Initial Certification Audit – Full Certification
1	17 June 2026	Surveillance Audit
