

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

Zhejiang Huashuo Technology Co., Ltd

CERTIFICATE NUMBER
370

ASI STANDARD
**PERFORMANCE
STANDARD
(V3.1 2023)**

CERTIFICATION LEVEL
**FULL
CERTIFICATION**

ASI ACCREDITED
AUDITING FIRM
**ANODE SUSTAINABILITY
CERTIFICATION PROMOTION
SERVICES CO., LTD.**

DATE OF ISSUE
22 MAY 2026

DATE OF EXPIRY
21 MAY 2029

CERTIFIED SINCE
20 AUGUST 2024

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'Jha', with a long horizontal line extending to the right.

Aluminium Stewardship Initiative Ltd
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*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at
www.Aluminium-stewardship.org*

CERTIFICATION SCOPE

Manufacture and processing of
precision die-casting for
Aluminium automotive parts at
Zhejiang Huashuo Technology Co.,
Ltd – Factory 3, located in Beilun
District, Ningbo, Zhejiang, China.

AUDIT REPORT PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	Zhejiang Huashuo Technology Co., Ltd
ENTITY NAME	Zhejiang Huashuo Technology Co., Ltd
CERTIFICATION SCOPE	Manufacture and processing of precision die-casting for Aluminium automotive parts at Zhejiang Huashuo Technology Co., Ltd – Factory 3, located in Beilun District, Ningbo, Zhejiang, China.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">• Casthouses• Semi-Fabrication
ASI STANDARD	<ul style="list-style-type: none">• Performance Standard V3
AUDIT TYPE	<ul style="list-style-type: none">• Initial Certification Audit (28 – 30 January 2024)• Surveillance Audit (24 – 25 February 2025)• Surveillance Audit (4 – 5 February 2026)
AUDIT FIRM	Anode Sustainability Certification Promotion Services Co., Ltd.
AUDIT DATE	<ul style="list-style-type: none">• 28 – 30 January 2024 (Initial Certification Audit)• 24 – 25 February 2025 (Surveillance Audit)• 4 – 5 February 2026 (Surveillance Audit)
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">• 1 April 2024 (Initial Certification Audit)• 9 June 2025 (Surveillance Audit)• 26 March 2026 (Surveillance Audit)
AUDIT SCOPE	<p><u>Initial Certification Audit (28 – 30 January 2024)</u> The Audit Scope included the design, manufacture and processing of precision die-casting for Aluminium automotive parts at Zhejiang Huashuo Technology Co., Ltd. – Factory 3.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">• Casthouses• Semi-Fabrication <p>All relevant criteria in the ASI Performance Standard were included in the Audit Scope.</p> <p><u>Surveillance Audit (24 – 25 February 2025)</u> The Audit Scope included the design, manufacture and processing of precision die-casting for Aluminium automotive parts at Zhejiang Huashuo Technology Co., Ltd. – Factory 3.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">• Casthouses• Semi-Fabrication

Criteria in the ASI Performance Standard that were identified as Non-Conformities from the previous Surveillance Audit.

Surveillance Audit (4 – 5 February 2026)

The Audit Scope included the design, manufacture and processing of precision die-casting for Aluminium automotive parts at Zheijiang Huashuo Technology Co., Ltd. – Factory 3.

Supply chain activities included in the Audit Scope:

- Casthouses
- Semi-Fabrication

Criteria in the ASI Performance Standard that were identified as Non-Conformities from the previous Surveillance Audit.

AUDIT OUTCOME

- Certification
-

AUDIT METHODOLOGY
DECLARATION

The Auditors confirm that:

- The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
 - The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
 - The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
 - The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
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CERTIFICATION PERIOD

22 May 2026 – 21 May 2029

NEXT AUDIT TYPE

Surveillance Audit

NEXT AUDIT DATE

22 November 2027

CERTIFICATE NUMBER

370



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://Aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Factory 3 of Zhejiang Huashuo Technology Co., Ltd. (the 'Entity'), located at No. 9 Canglongshan Road, Beilun District, Ningbo, Zhejiang, China, commenced operations in 2017. With an annual production of 30 kilotonnes of Aluminium, the Entity currently employs approximately 950 people. The Entity specialises in producing semi-solid forming solutions and lightweight Aluminium alloy die-casting parts for new energy vehicle batteries and motor and electric control systems. The Entity is currently a provider of motors for new energy vehicles, as well as inverter and battery components worldwide. It also provides solutions and die-casting products used in automotive lightweight structure parts.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	Medium	Medium	Low	MEDIUM
RISKS	Low	Medium	Low	LOW
PERFORMANCE	Low	Medium	Low	LOW
OVERALL				LOW

FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Minor Non-Conformance	<p>The Entity has established a Legal, Regulatory, and Other Requirements Management Procedure for the collection, acquisition, and application of relevant regulations. It maintains a Compliance Evaluation Record for Laws and Regulations. The Entity's Environmental Health and Safety (EHS) Department and Administration Department are responsible for the assessment of Compliance with Applicable Law.</p> <p>It was identified during the Audit however that some relevant Applicable Laws were not included in the list of relevant regulations, and the National Hazardous Wastes List had not been updated to 2025 version.</p>
1.2 Anti-Corruption	Conformance	<p>The Entity has implemented a Business Ethics Management Procedure, which prohibits any form of Bribery, Corruption, Extortion, and embezzlement.</p> <p>The Entity has established ASI Policies, including anti-Corruption Policies, continuous anti-Corruption to promote integrity, fairness, openness, and transparency. The Entity has implemented an 'Integrity and Corruption Risk Assessment' form to identify risks in administrative, procurement, technical quality, business, and other positions, identified internal and external integrity risks, assigned values for the probability of occurrence, degree of harm, and risk level, set prevention and control measures, and implemented appropriate risk management measures.</p>
1.3a-e Code of Conduct	Conformance	<p>The Entity has implemented a Code of Conduct to meet regulatory and ethical obligations, while setting internal ethical norms. It covers areas such as Human Rights and labour, EHS, business ethics, anti-Corruption and anti-Bribery, asset protection, integrity, confidentiality of information, fair trade, anti-money laundering, communication, and compliance, as well as reporting mechanisms. The Code of Conduct is reviewed every five years or when there is a Major Change or control gap identified. on based of ASI Manual.</p> <p>The Code of Conduct is available at: https://www.china-huashuo.com/cn/Download/259495.html</p>
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Conformance	<p>The Entity has implemented a comprehensive ASI Policy covering several Environmental, Social and Governance (ESG) topics. The program 'Management Review Procedure' requires an annual management review to be conducted and input into the ASI process performance and to review the suitability of the Policy.</p> <p>The Policies are communicated internally and externally, and is available at: https://www.china-huashuo.com/cn/Download/259475.html</p>
2.2a-c Leadership	Conformance	<p>The Entity has appointed Vice President in charge of QHSE and Sustainable Development as the Management Representative. The appointment letter was signed by the Entity's Chief Executive Officer (CEO). As Management Representative, they oversee all ASI-related matters, conducts internal and external communication and</p>

CRITERION	RATING	COMMENT
		<p>coordination, and ensures the execution of the Entity's ASI Policies and objectives.</p> <p>The Entity's Management Review Procedure stipulates that the Management Representative oversees annual evaluation and provision of resources required for the implementation of the ASI Performance Standard.</p>
2.3a Environmental and Social Management Systems - Environmental	Conformance	The Entity has implemented an Environmental Management System and obtained ISO 14001:2015 certification. The scope of this certification also aligns with the Entity's ASI Certification Scope.
2.3b Environmental and Social Management Systems - Social	Conformance	The Entity has established a Social Responsibility Management System certified ISO 45001 and has implemented social management procedures covering Women's Rights, Social Factor Identification/Risk Assessment and Control, Child Labour, Underage Workers, Prohibition of Forced Labour, Prohibition of Discrimination, Disciplinary Measures, Remuneration and Working Hours.
2.4a-e Responsible Sourcing	Conformance	<p>The Entity has established a 'Supplier and Subcontractor Management Procedure' and a Responsible Procurement Policy which are operating effectively on an ongoing basis. The Policy is implemented in accordance with the Entity's ASI Policy, which covers ESG aspects. Evaluations and revisions will be conducted every five years or following any significant ESG changes or any change in risk. The Entity conducts Supplier Due Diligence prior to sourcing and undertakes annual supplier assessments.</p> <p>The Responsible Procurement Policy is available at: https://www.china-huashuo.com/cn/Download/259476.html</p> <p>The Entity's 2024 Social Responsibility Report is available at: https://www.china-huashuo.com/cn/Download/259606.html</p>
2.5a-g Environmental and Social Impact Assessments	Minor Non-Conformance	<p>The Entity has established a 'Social Factor Identification and Risk Assessment and Control Management Procedure'. In compliance with its ISO 14001 and ISO 45001 certified Management Systems, an annual assessment of environmental and Health and Safety impacts is undertaken.</p> <p>The Entity implemented the 'New Energy Vehicles Technical Transformation Project of Key Components Production Line' in February 2024. The project has added one chromium-free passivation line, one impregnation line and several machining equipment to conduct chromium-free passivation and impregnation processing on some key new energy vehicle components within lightweight automotive parts, with an annual processing capacity of 100,000 sets. This has supplemented the original mould manufacturing process, and it is expected to produce 100 sets of moulds per year, which will only be used for the die-casting moulds of this factory and not be sold externally.</p> <p>An Environmental Impact Assessment Report for the New Energy Vehicles Technical Transformation Project of Key Components Production Line was approved by the Environmental Protection Department and is available at: https://www.bl.gov.cn/art/2023/8/17/art_1229505487_59074654.html</p> <p>The latest active version of the environmental and social impact management plan however, is not publicly disclosed.</p>

CRITERION	RATING	COMMENT
2.6a-h Human Rights Impact Assessment	Conformance	<p>The Entity has established a Social Factor Identification and Risk Assessment and Control Management Procedure. The ASI Manual stipulates that the Human Resource Department conducts Due Diligence on Human Rights and evaluates potential risks. The assessment results indicate that there have been no Human Rights violations.</p> <p>The Entity implemented the 'New Energy Vehicles Technical Transformation Project of Key Components Production Line' in February 2024. The project has added one chromium-free passivation line, one impregnation line and several machining equipment to conduct chromium-free passivation and impregnation processing on some key new energy vehicle components within lightweight automotive parts, with an annual processing capacity of 100,000 sets. This has supplemented the original mould manufacturing process, and it is expected to produce 100 sets of moulds per year, which will only be used for the die-casting moulds of this factory and not be sold externally.</p> <p>An Environmental Impact Assessment Report for the New Energy Vehicles Technical Transformation Project of Key Components Production Line was approved by the Environmental Protection Department.</p> <p>The Human Rights Impact Assessment was undertaken by the Entity as part of the mandatory review process. The 2024 Sustainability Report has been made public, including a section on the Human Rights Impact Assessment, covering employees' rights and benefits (pages 33–35) and employees' training and development (pages 36–42). It is available at: https://www.china-huashuo.com/cn/Download/259606.html</p>
2.7a-f Emergency Response Plan	Conformance	<p>The Entity has established a Comprehensive Emergency Plan for Safety Production Accidents Procedure, which requires an evaluation of the emergency plan every three years. The Emergency plans are both comprehensive and specific and are registered with local government authorities. The Entity has established an Emergency Plan for Sudden Environmental Events, which specifies emergency plans for air pollution, water pollution, and other emergencies. Drills are conducted according to these plans to evaluate their effectiveness. Both Emergency Response Plans are available at:</p> <p>Comprehensive Emergency Plan for production safety accidents: https://www.china-huashuo.com/cn/Download/259477.html Emergency Plan for Sudden Environmental Events: https://www.china-huashuo.com/cn/Download/259478.html</p>
2.8a-d Suspended Operations	Conformance	<p>The Entity has established a 'Business Suspension and Resumption Management Procedure' to address production suspension or transformations due to factors outside its control, considering significant adverse ESG impacts. The Emergency Plan and emergency remedial measures considers situations relating to typhoons, floods, fires, equipment failures, strikes, logistics interruptions, and information system failures. The Entity has also developed a Business Continuity and Disaster Recovery Plan Management Procedure. The Procedure requires the Plan to be reviewed every five or so years, or whenever there are changes to the Business that alter the nature or scale of ESG risks, or if there is any indication of a control gap. Relevant personnel were interviewed during this Audit to understand the relevant requirements.</p>

CRITERION	RATING	COMMENT
		No suspension of operations has occurred since the Entity became an ASI Member.
2.9a-b Mergers and Acquisitions	Conformance	The Entity has established a 'Merger and Acquisition Procedure', which addresses preparations before implementation, potential risks, and risk avoidance during transactions. When implementing merger and acquisition projects, the Entity CEO's Office will organise a survey and analysis of the target company for any potential Merger or Acquisition project. No merger or acquisition events have occurred since the Entity became an ASI Member.
2.10a-b Closure, Decommissioning and Divestment	Conformance	The Entity has addressed the relevant requirements for closure, decommissioning and divestment in the ASI Management Manual and the 'Control Procedure for Closure, Decommissioning and Divestment'. The President's Office should aim to avoid or mitigate negative environmental and social impacts in accordance with the environmental and social management requirements. No closure, decommissioning or divestment events are planned in the near future, and no such events have occurred since the became an ASI Member.
3. TRANSPARENCY		
3.1a-b Sustainability Reporting	Conformance	The Entity has developed an annual Sustainability Report that describes its sustainability approach and summarises its environmental and social impacts. The 2024 Sustainability Report is available at: https://www.china-huashuo.com/cn/Download/259606.html
3.2 Non-compliance and Liabilities	Conformance	The Entity collects and discloses information on Material fines, judgments, penalties, and non-monetary sanctions for failure to comply with Applicable Law. There were no incidents of Material fines, judgments, penalties, or non-monetary sanctions. During the Audit, this was confirmed through a review of government websites and Non-Governmental Organisation (NGO) websites that report publicly on non-compliances with Applicable Law. The Entity has disclosed information regarding the absence of monetary fines, judgments, penalties and non-monetary sanctions in the 2024 Sustainability Report, page 57: https://www.china-huashuo.com/cn/Download/259606.html
3.3a-c Payments to Governments	Conformance	The Entity's 2025 Compliance Operations and Government Payment Disclosure Statement is included in the Whistleblower Policy, available at: https://www.china-huashuo.com/cn/Download/259479.html The Entity has disclosed their payments to Governments were legally required payments such as taxes, social security contributions, and environmental protection fees.
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	The Entity has implemented Complaints Resolution Mechanisms and procedures. The Procedure communicates the Entity's telephone number, email address, and suggestion box enquiries, and other information to Stakeholders. The Entity accepts information inquiries, complaints and appeals from all Stakeholders. The Administrative and Human Resources Department is responsible for tracking the Stakeholder requests and complaints.

CRITERION	RATING	COMMENT
		The Stakeholder Complaints, Grievances and Requests Procedure is available at: https://www.china-huashuo.com/cn/Download/259479.html
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Minor Non-Conformance	<p>The Entity has developed a Product Environmental Life Cycle Assessment (LCA) Report for their main Aluminium alloy Product with a one-tonne Aluminium alloy shell (model TA5511) selected. It includes carbon footprint, acidification, ecological toxicity, eutrophication, ozone, and land use.</p> <p>Data used in the LCA report has not, however, been verified by a Third Party.</p>
4.1b-c Environmental Life Cycle Assessment - Disclosure	Conformance	<p>The Entity has developed a Product Environmental Life Cycle Assessment (LCA) Report for their main Aluminium alloy Product with a one-tonne Aluminium alloy shell (model TA5511) selected.</p> <p>The report includes data analysis from 'cradle to gate' and has been publicly disclosed, available at: https://www.china-huashuo.com/cn/Download/259480.html</p>
4.2 Product Design	Conformance	<p>The Entity has established a 95% finished product qualification rate target across the entire production process to minimise Scrap generation.</p> <p>During the design analysis phase, the engineering department conducts technical communication with customers on design drawings and provides professional suggestions, including structural wall thickness optimisation in accordance with customer requirements. In mould design, the engineering department implements strict tolerance control and further optimises the design during the grinding tool pouring stage. The Entity also has collaborated with its clients to provide sustainability objectives in lightweight designs.</p>
4.3a-b Aluminium Process Scrap	Conformance	The Entity has established regulations for Aluminium Scrap recycling, including a Product conformance rate of $\geq 95\%$, and targets 100% for recycling Aluminium Scrap. The Entity has implemented various technical and management measures to reduce the generation of Aluminium Process Scrap in its operations, which ensures the achievement of the 100% recycling target.
4.4a-c Collection and Recycling of Products at End of Life - Material Conversion and other Manufacturing	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.4d Collection and Recycling of Products at End of Life	Minor Non-Conformance	The Entity has developed a recycling strategy that includes specific timelines, activities, and targets. The Entity's products are mainly supplied to automotive manufacturers, and in China, regulations govern the disposal of scrapped and 'End of Life' vehicles, which are typically processed by qualified car scrapping companies. As a result, the wastes from clients have not yet been recycled.

CRITERION	RATING	COMMENT
		<p>As there are no complete local, regional or national collection and recycling systems for Aluminium scrap in China, the Entity has partnered with recycling facilities and commits to work with these facilities to maximise recycling quality, including with clients' consent, the Entity purchases Recycled Aluminium materials for production as raw materials during the procurement.</p> <p>An Aluminium Scrap Recycling Strategy for 2023 to 2027 is available on the Entity's website: https://www.china-huashuo.com/cn/Download/259481.html</p> <p>The Entity's actions and measures are not, however, sufficiently visible in the strategy and there is no specific representation in the five-year plan.</p>
5. GREENHOUSE GAS EMISSIONS		
5.1a-b Disclosure of GHG Emissions and Energy Use	Conformance	<p>The Entity has published a Greenhouse Gas (GHG) Emissions Report incorporating Scopes 1, 2 and 3 emissions, which has been verified by an independent body. The GHG emissions data inventory from 2025 includes carbon dioxide, methane, nitrous oxide, and hydrofluorocarbons and covers GHG emissions for categories 1, 2, 3, and 4 (using the national six-category system). Categories 5 and 6 are not quantified.</p> <p>The Greenhouse Gas Statement Verification Report 2025 is available at: https://www.china-huashuo.com/cn/Download/259482.html</p>
5.2a Aluminium Smelter GHG Emissions Intensity - Started Production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity - In Production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a GHG Emissions Reduction Plans	Minor Non-Conformance	<p>The Entity has used the ASI GHG Calculation Tool and established a GHG Emissions Reduction Plan that includes GHG Emissions Reduction Pathways and both short- and medium-term GHG emissions reduction targets, including specific GHG emissions intensity indicators. The Plan has formulated emission reduction measures through purchasing 'green electricity' and 'carbon sinks', promoting photovoltaic project power generation, promoting supply chain emission reduction, and purchasing and using 'green energy' Aluminium materials. All sources of GHG emissions are considered, including Scopes 1, 2 and 3 emissions. Both ISO 14064-1 and the GHG Protocol are used for the basis of the calculations.</p> <p>The plan does not however disclose detailed sufficient data (e.g. emissions intensity) and actions to confirm that emissions reductions methodology used were consistent with the ASI Methodology.</p>
5.3b-e GHG Emissions Reduction Plans - Targets, review and disclosure	Minor Non-Conformance	The Entity has defined targets up to 2045, with 2023 set as the baseline year. The ASI GHG Pathways Method and Calculation Tool has been used to calculate the Casting process slope value and Casting procurement slope value. The plan to achieve the reduction target covers Direct and Indirect GHG Emissions reductions, which are

CRITERION	RATING	COMMENT
		<p>Scope 3 Category 1 emissions (from procured Aluminium) as well as Scopes 1 and 2 emissions (process emissions). The Entity reviews its GHG Emissions Reduction Plan annually. The Carbon Reduction Plan is available at: https://www.china-huashuo.com/cn/Download/259483.html</p> <p>Whilst the Entity has developed a GHG Emissions Reduction Plan and ensures a GHG Emissions Reduction Pathway that is consistent with a global warming scenario of less than 1.5°C, the GHG Emissions Reduction Plan, however, did not disclose detailed sufficient data (e.g. emissions intensity) and actions to confirm that emissions reductions methodology used was consistent with the ASI Methodology.</p>
5.4 GHG Emissions Management	Minor Non-Conformance	<p>The Entity has established a Greenhouse Gas Accounting Management Procedure, which requires the Entity to undertake energy conservation and consumption reduction in the production process. The Entity's Emission Reduction Plan includes emission reduction measures through the purchase of 'green electricity', photovoltaic project power generation, supply chain emission reductions, and the purchase and use of 'green energy'-produced Aluminium materials.</p> <p>Deficiencies in the overall management of GHG emissions have been identified however, including as a lack of defined actions and noticeable results.</p>
6. EMISSIONS, EFFLUENTS AND WASTE		
6.1a-f Emissions to Air	Conformance	<p>The Entity has obtained ISO 14001:2015 certification and has established and implemented Management Systems related to air emissions, which addresses particulate matter, nitrogen oxides, and sulphur dioxide. Air emissions testing data are verified by a third party was provided by the Entity and do not exceed the standard limits.</p> <p>The Air and Wastewater Pollutant Emissions results and associated Reduction Plan is available at: https://www.china-huashuo.com/cn/Download/259484.html</p>
6.2a-g Discharges to Water	Conformance	<p>The Entity's wastewater management infrastructure includes an online detection device which connects to the environmental protection department. The Entity regularly conducts COD, ammonia nitrogen, pH value, and other tests on wastewater. The Entity has formulated a plan to reduce wastewater discharge by 1% by 2025.</p> <p>The Air and Wastewater Pollutant Emissions results and associated Reduction Plan is available at: https://www.china-huashuo.com/cn/Download/259484.html</p> <p>The 2025 Emissions Reduction Plan is available at: https://www.china-huashuo.com/cn/Download/259483.html</p>
6.3a-g Assessment and Management of Spills and Leakages	Conformance	<p>The Entity has developed List of Leakage/Leakage Risk Identification and Evaluation, which outlines the potential risks of leakage and seepage that may arise during the operation process. The Emergency Environmental Incident Response Plan was developed for identifying potential risks, conducting emergency drills, regularly monitoring pollution emissions, establishing Management Systems, and implementing control measures such as regular inspections by the EHS department.</p>

CRITERION	RATING	COMMENT
		Leakage/Leakage Risk Identification and Assessment and control measures are available at: https://www.china-huashuo.com/cn/Download/184256.html
6.4a-b Public Disclosure of Spills and Leakages	Not Applicable	This Criterion is not applicable to the Entity, as there have been no Spills or Leakages since the Entity joined ASI.
6.5a-c Waste Management and Reporting	Conformance	<p>The Hazardous Wastes generated by the Entity are collected and disposed of by a licensed contractor. General waste and Aluminium materials that can be recycled and reused are recycled or sold for reuse, while other recyclable waste materials would be sold for reuse.</p> <p>The 2025 Solid Waste Management Information Disclosure is available at: https://www.china-huashuo.com/cn/Download/259485.html</p>
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Conformance	The Entity has a designated hazardous materials warehouse for storing Aluminium Dross, equipped with protective measures and a storage environment that meets basic regulatory requirements. The Entity has also established a management ledger to record both storage and transfer volumes. The Entity's Aluminium ash residue is transferred to qualified enterprises for recycling and reuse as Hazardous Waste.
7. WATER STEWARDSHIP		
7.1a-b Water Assessment and Disclosure	Minor Non-Conformance	<p>The Entity has utilised the WWF Water Risk Filter (https://riskfilter.org/water/home) to evaluate Entity's water risk and to determine the Basin Physical risk for the area where Entity is located. Based on WWF Water Risk Filter water-related risks are low.</p> <p>The Entity has also undertaken a water-related risk assessment which analyses the risks of water resource use and its impacts on the surrounding environment and residents and maintained a low-risk rating for its water resource usage.</p> <p>The 2025 Water Resources Risk Assessment is available at: https://www.china-huashuo.com/cn/Download/259493.html</p> <p>Based on available information, no major risks have been identified, however the Water Risk Assessment Report is currently incomplete due to absent water balance statistical data and water balance chart.</p>
7.2a-e Water Management	Not Applicable	This Criterion is not applicable to the Entity, as the Environmental Impact Assessment Report and Water Resource Risk Assessment Report identified the Entity's water resource risk level is low, and there are no significant water-related risks within the Entity's Area of Influence.

CRITERION	RATING	COMMENT
8. BIODIVERSITY AND ECOSYSTEM SERVICES		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Conformance	<p>The Entity has updated its 2025 Biodiversity Risk Assessment Report, and no significant risks have been identified. The Entity has maintained its principles for Biodiversity management and re-evaluated the Material impacts on Biodiversity. The risks and potential impacts on Biodiversity and Ecosystem Services have been assessed as low.</p> <p>The Biodiversity Assessment Report is available at: https://www.china-huashuo.com/cn/Download/184250.html</p>
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity, as the Biodiversity Assessment Report identified the risk and potential impacts on Biodiversity and Ecosystem Services as low. No Priority Ecosystem Services have been identified.
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable to the Entity, as the Biodiversity Assessment Report identified the risk and potential impacts on Biodiversity and Ecosystem Services as low.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity, as the risks and potential impacts have been assessed and documented in as low, and no Priority Ecosystem Services have been identified.
8.4 Alien Species	Conformance	<p>The Entity has developed and implemented procedures for the protection of Biodiversity and relevant requirements for Alien Species, including for the evaluation and control of risks of Alien Species accidentally introduced by the Entity through operational activities. Based on interviews and assessment undertaken as part of the Audit, it was confirmed that no Alien Species have been introduced.</p>
8.5a-b Commitment to 'No Go' in World Heritage Properties	Conformance	The Entity has committed in its ASI Performance Assurance Manual to not explore or develop New Projects in World Heritage Properties. The Entity is located in a government-designated industrial zone and there are no World Heritage sites within the Entity's Area of Influence.
8.6a-d Protected Areas	Not Applicable	<p>This Criterion is not applicable to the Entity, as there are no World Heritage Properties within the Entity's Area of Influence.</p> <p>Through interviews and verification with mapping software, it was confirmed that there are no Protected Areas around the Entity and has committed not to undertake construction activities in Protected Areas.</p>
8.6e Protected Areas – Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9. HUMAN RIGHTS		
9.1a-d Human Rights Due Diligence	Conformance	The Entity has developed an ASI Management Policy, which includes a commitment to respect Human Rights and labour rights. The Entity has developed a Due Diligence Management Procedure and provided

CRITERION	RATING	COMMENT
		<p>the 2025 Annual Due Diligence Report, which includes Child Labour, Forced Labour and respect for women's rights.</p> <p>The Entity has disclosed its 2025 Human Rights Due Diligence Report, which indicates that no Human Rights violations occurred, available at: https://www.china-huashuo.com/cn/Download/259494.html</p>
9.2a-e Gender Equity and Women's Empowerment	Conformance	<p>The Entity has established and implemented the Women's Rights Management Procedure, covering the protection of women's rights in employment, training, promotion, and maternity protection. The Entity has signed a collective contract with female employees for the protection of women's rights and interests.</p> <p>The Human Rights Due Diligence Report includes policies and actions of Gender Equity and Women's Empowerment and is available at: https://www.china-huashuo.com/cn/Download/259494.html</p> <p>Data on the effectiveness of the measures taken to promote gender equity is disclosed in the 2024 Sustainability Report, page 39: https://www.china-huashuo.com/cn/Download/259606.html</p>
9.3a-i Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity as there are no Indigenous Peoples in the Entity's Area of Influence.
9.4a Free, Prior, and Informed Consent (FPIC) - New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples in the Entity's Area of Influence.
9.4b Free, Prior, and Informed Consent (FPIC) - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples in the Entity's Area of Influence.
9.4c Free, Prior, and Informed Consent (FPIC) - Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples in the Entity's Area of Influence.
9.5a Cultural and Sacred Heritage - Identification	Conformance	<p>The Entity has established and implemented a process to identify Sacred or Cultural Heritage sites and values within the Entity's Area of Influence and take appropriate action to avoid or remedy any impacts, as well as to ensure continued rights of access to such sites or values.</p> <p>The nearest Cultural and Sacred Heritage site to the Entity is the Ashoka Ancient Temple, which is located approximately three kilometres away. The Entity has conducted a formal Environmental Impact Assessment for its operations, which has been officially approved by the local environmental protection bureau. The assessment and monitoring results confirmed that the production and operational activities of the Entity do not generate adverse environmental impacts on the Ashoka Ancient Temple.</p>
9.5b Cultural and Sacred Heritage - Impacts	Not Applicable	This Criterion is not applicable to the Entity, as it is located in an area with no presence of Indigenous Peoples or Cultural Heritage sites.
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity, as the renovation project does not involve the relocation or resettlement of Affected Populations and Organisations.

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9.7a-h Affected Populations and Organisations	Conformance	<p>The Entity has developed a Community Management Procedure to respect the legal and customary rights and interests of Local Communities in their lands and livelihoods and their use of natural resources. The Entity's primary impact on neighbouring populations and organisations arises from the environmental impact of its production activities, particularly the emission of air pollutants. To address this, the Entity has installed environmental protection devices to reduce the impact to the surrounding communities caused by air emissions and boundary noise, which were considered in the Environmental Impact Assessment Report.</p> <p>Additionally, the Entity supports local charities and participates in community public welfare activities. The relationship between the Entity and the community is considered as harmonious, and there have been no complaints received from Local Communities.</p> <p>The Entity has disclosed the Community Management System that includes specific action plans and is available at: https://www.china-huashuo.com/cn/Download/184251.html</p>
9.8a Conflict-Affected and High-Risk Areas - Strong Management Systems	Conformance	<p>The Entity has established Supplier and Subcontractor Management Procedures and Social Factor Identification and Risk Assessment Control Management Procedures. The Entity does not purchase raw materials from Conflict-Affected and High-Risk Areas (CAHRAs) and requires suppliers to ensure that Human Rights are not violated.</p> <p>The Entity's ASI Social Factor Identification and Risk Assessment has identified conflict areas as high-risk, and the response measures are taken, either requiring suppliers to stop purchasing from these or for the Entity to cease cooperation with suppliers.</p>
9.8b Conflict-Affected and High-Risk Areas - Identify and assess risks	Conformance	<p>The Entity has established a sourcing management procedure, that including provisioning materials from CAHRAs. The procedure requires the Entity's Purchasing Policy, to be communicated to all its suppliers and contractors. The Entity has also identified and assessed the risks within its supply chain, which confirmed there are no actual or potential risks.</p>
9.8c Conflict-Affected and High-Risk Areas - Strategy to respond to risks	Not Applicable	<p>This Criterion is not applicable to the Entity, as the risk-based Due Diligence process over its Aluminium supply chain did not identify any actual or potential risks.</p>
9.8d Conflict-Affected and High-Risk Areas - Audit of due diligence	Conformance	<p>The Entity's Due Diligence processes were included in this ASI Performance Standard Certification Audit, which addresses this requirement.</p> <p>The Entity has added conflict minerals to the supplier audit checklist and evaluates some suppliers annually and conducts regular supplier reviews.</p>
9.8e Conflict-Affected and High-Risk Areas - Report annually	Conformance	<p>The responsible Conflict Minerals Management process is disclosed in the supply chain sustainable development capacity building section of the Entity's 2024 Sustainability Report, pages 44-47: https://www.china-huashuo.com/cn/Download/259606.html</p>
9.9 Security practice	Conformance	<p>The Entity has established a management procedure to manage security activities to respect Human Rights. The role of security personnel at the Entity is restricted to the management of visitors to the site at the Entity's entrance gate. No body searches or restriction</p>

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		<p>of Worker movements by security personnel is permitted. Training is provided to security guards.</p> <p>Interviews with security personnel undertaken during the Audit confirmed they understood their tasks and acted to respect Human Rights. No security-related Human Rights violations have occurred to date.</p>
10. LABOUR RIGHTS		
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	This Criterion is not applicable to the Entity, as the right to Freedom of Association and Collective Bargaining is addressed in accordance with Chinese Applicable Law.
10.1d Freedom of Association and Right to Collective Bargaining - Alternative means in context of Applicable Law	Conformance	<p>The Entity has established the Management Procedure for Freedom of Association and the Right to Collective Bargaining to establish, maintain and implement Policies on Workers' freedom of association and the right to Collective Bargaining, ensuring employees are free from Discrimination and company activities comply with local regulations and requirements.</p> <p>The representatives of the Workers' Union and the women employees' committee are elected every three years.</p> <p>The Union that employees are a part of, is jointly organised by enterprises in the local industrial park, supported by the local government, and protected by law. The Union organises and distributes benefits to its members, and interviews undertaken during the Audit confirm that employees are enthusiastic to participate in the Union.</p>
10.2a-c Child Labour	Conformance	The Entity has established a Child Labour Management Procedure and Juvenile Worker Management Procedure, which both set clear requirements for the management of Child Labour and Juvenile Workers. At the time of the Audit, the youngest employees are over 18 years old. Interviews and on-site observations undertaken during the Audit confirmed that there are no cases of suspected Child Labour or Juvenile Workers. The Entity's ASI Manual includes a commitment to not use or support the Worst Forms of Child Labour and has established remediation measures.
10.3a-c Forced Labour	Conformance	<p>The Entity has established a Prohibition of Forced Labour Management Procedure, which stipulates the prohibition of Forced Labour and protection for Workers' freedom. Through interviews undertaken during the Audit, it was confirmed that the Entity does not support Forced Labour, and all employees have signed labour contracts with the Entity. When employees commence employment, there are no additional fees, and there are no cases of Debt Bondage. Employees have the right to resign at their own discretion.</p> <p>The Entity's Declaration Against Slavery and Human Trafficking is available at: https://www.china-huashuo.com/cn/Download/184243.html</p>
10.4a-c Non-Discrimination	Conformance	The Entity has established an Anti-Discrimination Management Procedure to protect all employees from Discrimination. Interviews with employees and on-site observations confirmed that employees work in a favourable environment with good cultural dynamics and no Discriminatory behaviours.

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10.5 Communication and engagement	Conformance	The Entity has established a Communication Management and Control Procedure for internal and external communication methods. The Entity has established communication channels, for example, suggestion boxes and hotlines. Management communicates directly to employees on matters concerning their vital interests. The Code of Conduct includes a non-retaliation, Policy. Interviews undertaken during the Audit confirmed that employees are aware of these communication channels and do not fear retaliation after using them.
10.6a-g Violence and Harassment	Conformance	The Entity has established a Prohibition of Forced Labour Management Procedure, which explicitly prohibits Violence and Harassment. The Entity's Code of Conduct outlines the Entity's responsibility to maintain a positive work environment. Employees are required to report violations, and the Entity has clear guidelines in the Code of Conduct to protect both employees who are victims of Violence and those who report it. The Code of Conduct is available at: https://www.china-huashuo.com/cn/Download/259495.html
10.7a-d Remuneration	Conformance	The Entity has established a working hour, payroll and benefits administration procedure and an Employee Handbook defined to ensure wage payments are timely, in legal tender and fully documented. The Entity's calculation of Overtime compensation meets the requirements of the regulations. The total salary meets the basic needs of Workers. Bank transfer records were sampled during the Audit.
10.8a-c Working Time	Minor Non-Conformance	The Entity has implemented an attendance and working hours management procedure which outlines a working hours system that complies with statutory requirements, including clear shift times. Working hours are well monitored and controlled, and all Workers have at least one day off per seven-day period. Overtime work is voluntary, and Workers can refuse any Overtime. Operational and sustainable actions are taken to improve and effectively address excessive Overtime. The actual Overtime worked by employees meets the requirements of local regulations. The average daily working hours over the past six months however still exceeded eight hours.
10.9a-b Informing Workers of Rights	Conformance	When employees are hired, the Entity provides them with an employee handbook that includes salary and benefits, working hours, Overtime, attendance, absenteeism, holidays, communication procedures, disciplinary norms, and appeal processes. The Entity's Code of Conduct outlines the legitimate rights and interests of employees, and the Entity protects employees in exercising their legal rights. The Entity supports employees' Freedom of Association and Collective Bargaining and encourages employees to participate voluntarily in Trade Unions.
11. OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Management System	Conformance	The Entity has implemented an Occupational Health and Safety (OH&S) Management System, which is certified to ISO45001:2018. An internal audit and management review of the Management System is undertaken annually. On-site observations, documentation review,

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		and interviews with employees undertaken during the Audit confirmed that the OH&S Management System is operating effectively.
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Minor Non-Conformance	<p>The Entity's OH&S Management System is audited every three years by the certification body and an internal audit and management review is undertaken annually. When there are any changes or indications of control deficiencies, the management system and policies are reviewed.</p> <p>The Entity has disclosed the effectiveness of the OH&S Management System with leading and lagging indicators, comparative performance analysis with peers and leading practices, available at: https://www.china-huashuo.com/cn/Download/259496.html</p> <p>The disclosure of the Entity's OH&S performance does not however include comparative analyses of performance with peer Businesses and leading practice.</p>
11.2 Employee engagement on Health and Safety	Conformance	<p>The Entity summarises and reports its OH&S performance through annual internal audits and management review meetings. Health and Safety issues are deliberated, and corresponding measures are formulated through weekly meetings and monthly safety meetings. Meeting records are provided at the Audit.</p> <p>The Entity has appointed a safety supervisor and safety team members to be responsible for implementing these measures and confirming the results.</p>

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DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	30 August 2024	Certification Audit - Provisional Certification
1	18 September 2024	Correction to the Certification Scope and removal of the supply chain activity 'Material Conversion'
2	20 August 2025	Surveillance Audit - Provisional Certification
3	22 May 2026	Surveillance Audit - Provisional Certification to Full Certification; Audit Firm changed from 'Shanghai Kylin Certification Service Co., Ltd.' to 'Anode Sustainability Certification Promotion Services Co., Ltd.'