

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

alupak AG

CERTIFICATE NUMBER
270

ASI STANDARD
**PERFORMANCE
STANDARD
(V3.1 2023)**

CERTIFICATION
LEVEL
**FULL
CERTIFICATION**

ASI ACCREDITED
AUDITING FIRM
**BUREAU VERITAS
CERTIFICATION**

DATE OF ISSUE
23 MAY 2026

DATE OF EXPIRY
31 MAY 2028

CERTIFIED SINCE
23 MAY 2023

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H.', with a long horizontal line extending to the right.

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
info@Aluminium-stewardship.org

*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at
www.Aluminium-stewardship.org*

CERTIFICATION SCOPE

The alupak AG facility at Belp, Switzerland which includes the cutting, stamping and deep drawing of sealable or non-sealable Aluminium capsules, containers, and forms from coated and printed Aluminium foils for direct contact with food.

AUDIT REPORT PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	alupak AG
ENTITY NAME	alupak AG
CERTIFICATION SCOPE	The alupak AG facility at Belp, Switzerland which includes the cutting, stamping and deep drawing of sealable or non-sealable Aluminium capsules, containers, and forms from coated and printed Aluminium foils for direct contact with food.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">Material Conversion – Principles 1 to 4 (transition)
ASI STANDARD	Performance Standard V3.1
AUDIT TYPE	<ul style="list-style-type: none">Initial Certification Audit (5 – 6 December 2022)Re-Certification Audit and Scope Change (1 – 2 April 2026)
AUDIT FIRM	Bureau Veritas Certification
AUDIT DATE	<ul style="list-style-type: none">5 – 6 December 2022 (Initial Certification Audit)1 – 2 April 2026 (Re-Certification Audit and Scope Change)
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">7 March 2023 (Initial Certification Audit)7 May 2026 (Re-Certification Audit and Scope Change)
AUDIT SCOPE	<p><u>Initial Certification Audit (5 – 6 December 2022)</u></p> <p>The Audit Scope covers the whole development, production, and sales of Aluminium packaging at the alupak AG site are covered.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">Material Conversion (Industrial Users) <p>All Criteria from Principal 4 Material Stewardship in the ASI Performance Standard were included in the Audit Scope.</p> <p><u>Re-Certification Audit and Scope Change (1 – 2 April 2026)</u></p> <p>The Audit Scope covers the whole development, production, and sales of Aluminium packaging at the alupak AG site.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">Material Conversion – Principles 1 to 4 (transition) <p>All Criteria from Principals 1 to 4 in the ASI Performance Standard were included in the Audit Scope.</p>
AUDIT OUTCOME	<ul style="list-style-type: none">Certification
AUDIT METHODOLOGY DECLARATION	The Auditors confirm that:

-
- ✔ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
 - ✔ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
 - ✔ The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
 - ✔ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
-

CERTIFICATION PERIOD 23 May 2026 – 31 May 2028

NEXT AUDIT TYPE Re-Certification Audit

NEXT AUDIT DATE 31 May 2028

CERTIFICATE NUMBER 270



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://Aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

The alupak AG (the 'Entity'), production facility at Belp, Switzerland commenced its operation in 2007. The primary activities of Alupak AG are the production of coffee capsules (compatible with the Nespresso system, which is the main client) and food packaging containing Aluminium. The production includes deep-drawing, capsule punching, sealing ring attachment, visual control, etc. In 2025, the amount of Aluminium processed annually was about 8,765 tonnes. The facility in Belp currently employs 295 workers, of whom 124 are women. No major changes are expected in the near future.

The Entity is located in an official, legally recognised industrial/commercial zone. Residential buildings and farmland are located approximately 50 meters from the production facility. The local airport (Bern-Belp) is approximately 400-700 meters away. Riparian forest and 'Aare' river landscape (a Protected Area) are approximately 800-900 meters away. The Guerbe river is approximately 350 meters away.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of Systems, Residual Risk and Performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	High	High	High	HIGH
RISKS	High	High	High	HIGH
PERFORMANCE	High	High	High	HIGH
OVERALL		HIGH		

FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	The Entity ensures Compliance with legal requirements through its integrated Management System. Relevant legal requirements, particularly regarding food contact materials, occupational safety, product safety, and employment law, are incorporated into operational processes through specifications, work instructions, hazard and risk assessments, and hygiene concepts.
1.2 Anti-Corruption	Conformance	<p>The Entity prohibits Bribery, the granting of undue advantages and improper influence in all business relationships. These requirements are set out in the Code of Conduct: https://www.alupak.com/wp-content/uploads/2024/11/3_alupak-AG-Verhaltenskodex-Code-of-Conduct_EN_ALP00880.pdf</p> <p>And also in the Supplier Code of Conduct: https://www.alupak.com/wp-content/uploads/2024/10/3_alupak-AG-Verhaltenskodex-fuer-Lieferanten-Code-of-Conduct_EN_ALP00214.pdf</p> <p>Employees are informed of their obligations and can report potential breaches through various channels. Reports are treated confidentially and investigated. Corruption risks are assessed as part of the company's risk management framework and through supplier evaluations. Business relationships are based on objective criteria such as quality, performance and price. In addition, the Entity has a documented Internal Control System (ICS) in the Finance & Controlling department. This comprises approval and authorisation structures, segregation of duties, payment approvals and periodic checks. Suspected cases are investigated and measures implemented. No cases of Corruption were identified during the reporting period. Complaints can be reported via the Entity's webpage: https://www.alupak.com/en/</p>
1.3a-e Code of Conduct	Conformance	<p>The Entity has implemented a Code of Conduct, which forms an integral part of its collaboration with employees and business partners. Its effectiveness is monitored through the management system, audits, risk management and the complaints mechanism. Requirements are adjusted in response to changes in risks, processes, or audit findings. The Executive Board regularly assesses its adequacy as part of the management review. The Code of Conduct is available at: https://www.alupak.com/wp-content/uploads/2024/11/3_alupak-AG-Verhaltenskodex-Code-of-Conduct_EN_ALP00880.pdf</p>
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Conformance	The Entity has implemented an integrated Company Policy that contains Social, Environmental and Governance (ESG) elements, and is regularly reviewed. Key elements of the Company Policies are publicly disclosed at: https://www.alupak.com/en/company/
2.2a-c Leadership	Conformance	The Entity has nominated a member of its management team as the responsible person for ASI-related matters.

CRITERION	RATING	COMMENT
2.3a Environmental and Social Management Systems – Environmental	Conformance	The Entity has implemented an Integrated Management System which also contains basic elements of Environmental Management System requirements.
2.3b Environmental and Social Management Systems – Social	Conformance	The Entity operates an integrated social Management System as part of its management framework. Employee protection is ensured through occupational safety organisations, preventive safety measures, health promotion and clear working time regulations. Employees can report concerns and potential breaches via several channels, including anonymously. Reports are treated and processed confidentially. https://www.alupak.com/beschwerdemanagement/
2.4a-e Responsible Sourcing	Conformance	The Entity has implemented a structured responsible sourcing system. Environmental, social and compliance requirements are set out in the Supplier Code of Conduct and in the raw material requirements. Suppliers are assessed on a risk-based approach, with sustainability and compliance being key criteria. Certificates, strategies and documentation are reviewed and weighted. Suppliers are reassessed periodically and whenever changes occur. The results influence approval and continued cooperation. Supplier management forms part of the Entity's operational control system and is reviewed regularly. More information is available in the Supplier Code of Conduct: https://www.alupak.com/wp-content/uploads/2024/10/3_alupak-AG-Verhaltenskodex-fuer-Lieferanten-Code-of-Conduct_EN_ALP00214.pdf
2.5a-g Environmental and Social Impact Assessments	Not Applicable	This Criterion is not applicable to the Entity, as there have been no New Projects or Major Changes or plans for such in the future. If new facilities are acquired or constructed or there are changes to processes, facilities or materials, these are assessed as part of risk and change management.
2.6a-h Human Rights Impact Assessment	Not Applicable	This Criterion is not applicable to the Entity, as there have been no New Projects or Major Changes or plans for such in the future. If new facilities are acquired or constructed or there are changes to processes, facilities or materials, these are assessed as part of risk and change management.
2.7a-f Emergency Response Plan	Conformance	The Entity has site-specific emergency procedures and an overarching business continuity and crisis management framework. The emergency response organisation includes clearly defined roles, guidelines for action, first-aid procedures, and arrangements for reduced-shift operations. The Emergency Plan is available upon request.
2.8a-d Suspended Operations	Conformance	The Entity has a business continuity plan and an overall risk management and change control process that handles changes and their risks, including how to handle suspended operations.
2.9a-b Mergers and Acquisitions	Conformance	The Entity has a business continuity plan and an overall risk management and change control process that handles changes and their risks, including mergers and acquisitions.

CRITERION	RATING	COMMENT
2.10a-b Closure, Decommissioning and Divestment	Conformance	The Entity has a risk management and change control process in place that handles the changes and their risks, including for closure, decommissioning, and divestment.
3. TRANSPARENCY		
3.1a-b Sustainability Reporting	Minor Non-Conformance	<p>The Entity publishes information on sustainability and corporate responsibility on its website, including information on how it addresses environmental, social and governance issues, as well as relevant measures and projects. This sets out the Entity's approach and the key impacts of its business activities.</p> <p>The Alupak ESG Report is under development with an external consultant, however it is not yet publicly disclosed.</p>
3.2 Non-compliance and Liabilities	Conformance	The Entity publishes annual information on significant legal breaches. During the reporting period, there were no significant fines, court rulings or sanctions resulting from breaches of the law. Financial risks and legal obligations are monitored as part of the internal control system (ICS). This ensures that breaches can be identified and rectified at an early stage.
3.3a-c Payments to Governments	Conformance	The Entity makes payments to government bodies exclusively on a statutory or contractual basis (e.g. taxes, social security contributions, fees and Licence). The Entity does not make any financial or in-kind political contributions to political parties, organisations or government representatives. Accordingly, there were no political contributions requiring disclosure during the reporting period. More information can be found on the Entity's website under 'Compliance and Transparency': https://www.alupak.com/en/company/
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	<p>The Entity has implemented a complaints reporting system for internal and external Stakeholders. Reports can be submitted via various channels: through line managers, directly to HR or senior management, via a physical post box, or the anonymous online form on the website, available at: https://www.alupak.com/en/alupak-complaint-management/</p> <p>The Entity's Human Resources department is bound by confidentiality and regularly reviews incoming reports. For customers and suppliers, there is also a formal complaints management system overseen by the Quality Assurance department. This includes investigation, root cause analysis, action planning and feedback. All reports are processed and documented in a structured manner. Results and measures are communicated internally and incorporated into improvement processes. The complaints procedure is publicly accessible via the website and is regularly reviewed and adapted in the event of organisational changes.</p>
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Conformance	The Entity provides sustainability assessment data to its clients, including material input, energy input and fluids for the manufacturing of the products and packaging material consumption for the finished products. Standardised Life Cycle Assessments (LCAs) are developed together with the client, who decides on suppliers, materials, and product design.

CRITERION	RATING	COMMENT
4.1b-c Environmental Life Cycle Assessment - Disclosure	Conformance	The Entity provides sustainability assessment data to its clients, including material input, energy input and fluids for the manufacturing of the products and packaging material consumption for the finished products. Based on this information, the clients then develop LCAs for their own products.
4.2 Product Design	Conformance	Most of the aspects of the Product (such as shape and recycled material content) are defined by the Entity's clients, with the Entity having only little influence on the Product design. The Entity has defined clear internal targets to reduce consumption of resources and to enhance the sustainability of production; and the implementation and the process are monitored.
4.3a-b Aluminium Process Scrap	Conformance	The Entity continuously implements activities to reduce the generation of Process Scrap. All cut-offs and production waste are collected and pressed into cubes, which are then sold for recycling.
4.4a-c Collection and Recycling of Products at End of Life - Material Conversion and other Manufacturing	Conformance	<p>The Entity operates as a materials converter and influences the End of Life recycling process primarily indirectly through process design, information sharing, and support for its customers throughout the supply chain. Within its own operations, all Aluminium Process Scrap is systematically collected and fully recycled by specialised recycling partners, ensuring that 100% of the Process Scrap generated is returned to the material cycle.</p> <p>The Entity also supports its customers to implement End of Life recycling solutions. This is achieved through consulting on product recyclability and material selection, as well as by providing product-specific sustainability and material information. Operational responsibility for collection and return lies with the distributor of the end product, while the Entity provides technical support for implementation along the supply chain.</p> <p>The effectiveness of the recycling strategy is regularly assessed through internal management reviews, production and recycling metrics, customer feedback, and continuous improvement processes. Relevant information is communicated via the website and through various stakeholder communication activities. The Entity's recycling strategy is available on the website, under 'Recycling Strategy and Circular Economy': https://www.alupak.com/en/company/</p>
4.4d Collection and Recycling of Products at End of Life	Conformance	<p>The Entity is committed to promoting the collection and recycling of Aluminium-containing packaging at the market and industry levels. The Entity is a member of the European Aluminium Foil Association (EAFA). The Head of Development at the Entity serves on the EAFA Sustainability Action Committee, thereby participating directly in the development of Europe-wide strategies to improve the recyclability of Aluminium packaging and harmonise design-for-recycling approaches.</p> <p>The Entity is a member of the Aluminium Recycling Alliance for Foil-Based Packaging and supports this initiative. The Alliance's goal is to increase collection and recycling rates for small Aluminium packaging in Europe through cross-industry cooperation across the entire value chain. Through this collaboration, the Entity contributes to the development of methods for measuring recycling rates, improving sortability, and the practical implementation of collection and recycling solutions in various European markets.</p>

CRITERION	RATING	COMMENT
5. GREENHOUSE GAS EMISSIONS		
5.1a-b Disclosure of GHG Emissions and Energy Use	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2a Aluminium Smelter GHG Emissions Intensity – Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity – In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a-e GHG Emissions Reduction Plans	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.4 GHG Emissions Management	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6. EMISSIONS, EFFLUENTS AND WASTE		
6.1a-f Emissions to Air	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.2a-g Discharges to Water	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.3a-g Assessment and Management of Spills and Leakages	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.4a-b Public Disclosure of Spills and Leakages	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.5a-c Waste Management and Reporting	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7. WATER STEWARDSHIP		
7.1a-b Water Assessment and Disclosure	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7.2a-e Water Management	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
8. BIODIVERSITY AND ECOSYSTEM SERVICES		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.4 Alien Species	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.5a-b Commitment to "No Go" in World Heritage Properties	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.6a-d Protected Areas	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.6e Protected Areas - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9. HUMAN RIGHTS		
9.1a-d Human Rights Due Diligence	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.2a-e Gender Equity and Women's Empowerment	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.3a-i Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4a Free, Prior, and Informed Consent (FPIC) - New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4b Free, Prior, and Informed Consent (FPIC) - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4c Free, Prior, and Informed Consent (FPIC) - Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
9.5a Cultural and Sacred Heritage – Identification	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.5b Cultural and Sacred Heritage – Impacts	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.7a-h Affected Populations and Organisations	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8a Conflict-Affected and High-Risk Areas - Strong management systems	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8b Conflict-Affected and High-Risk Areas - Identify and assess risks	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8c Conflict-Affected and High-Risk Areas - Strategy to respond to risks	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8d Conflict-Affected and High-Risk Areas - Audit of due diligence	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8e Conflict-Affected and High-Risk Areas - Report annually	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.9 Security practice	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10. LABOUR RIGHTS		
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.1d Freedom of Association and Right to Collective Bargaining – Alternative means in context of Applicable Law	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.2a-c Child Labour	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.3a-c Forced Labour	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.4a-c Non-Discrimination	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
10.5 Communication and engagement	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.6a-g Violence and Harassment	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.7a-c Remuneration	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.8a-c Working Time	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.9a-b Informing Workers of Rights	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

11. OCCUPATIONAL HEALTH AND SAFETY

11.1a Occupational Health and Safety (OH&S) Management System	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
11.2 Employee engagement on Health and Safety	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable law, or will avoid any Breach from occurring.

DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	23 May 2023	Initial Certification Audit – Full Certification
1	3 Jun 2026	Re-Certification Audit and Scope Change – Full Certification Certification expiry date capped at 31 May 2028 as per ASI Assurance Manual requirement with extension to PS V3 PI-4 certifications applied.