ASI CERTIFICATION
CHAIN OF CUSTODY STANDARD

PRESENTED TO

Guangdong Xingfa Aluminium Co., Ltd

CERTIFICATE NUMBER	ASI STANDARD	CERTIFICATION LEVEL	ASI ACCREDITED AUDITING FIRM
571	CHAIN OF CUSTODY STANDARD (V2 2022)	FULL CERTIFICATION	CHINA QUALITY MARK CERTIFICATION GROUP
	DATE OF ISSUE	DATE OF EXPIRY	CERTIFIED SINCE
	10 JULY 2026	9 JULY 2029	10 JULY 2026

AUTHORISED BY

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Validity of this Certificate is subject to continued conformance with the applicable ASI Standard and can be verified at

www.aluminium-stewardship.org

CERTIFICATION SCOPE

Production and related management activities of Aluminium alloy architectural and industrial profiles at Guangdong Xingfa Aluminium Co., Ltd located in Guangdong Province, China.

AUDIT REPORT
CHAIN OF CUSTODY STANDARD

OVERVIEW

MEMBER NAME	Guangdong Xingfa Aluminium Co., Ltd
ENTITY NAME	Guangdong Xingfa Aluminium Co., Ltd
CERTIFICATION SCOPE	Production and related management activities of Aluminium alloy architectural and industrial profiles at Guangdong Xingfa Aluminium Co., Ltd located in Guangdong Province, China.
SUPPLY CHAIN ACTIVITIES	• Aluminium Re-melting/Refining • Casthouses • Post-Casthouse
ASI STANDARD	Chain of Custody Standard V2
AUDIT TYPE	• Initial Certification Audit
AUDIT FIRM	China Quality Mark Certification Group
AUDIT DATE	• 16 April 2026
AUDIT REPORT SUBMISSION	• 22 May 2026
AUDIT SCOPE	The Audit Scope included the activities and facilities related to the production of Aluminium alloy architectural and industrial profiles at F9 Guangdong Xingfa Aluminium Industry Co., Ltd., located at No.23 Qiangye Avenue, Leping Town, Sanshui District, Foshan City, Guangdong Province, China. Supply chain activities included in the Audit Scope: • Aluminium Re-melting/Refining • Casthouses • Semi-Fabrication All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.
AUDIT OUTCOME	Certification
AUDIT METHODOLOGY DECLARATION	The Auditors confirm that: ☑ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this Report. ☑ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous. ☑ The Audit Scope and Audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.

	☑ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
CERTIFICATION PERIOD	10 July 2026 – 9 July 2029
NEXT AUDIT TYPE	Surveillance Audit
NEXT AUDIT DUE DATE	10 January 2028
CERTIFICATE NUMBER	571
	If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: https://aluminium-stewardship.ethicspoint.com/ EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations. Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Guangdong Xingfa Aluminium Co., Ltd. (referred to as "Xingfa") is headquartered in Foshan City, Guangdong Province. Xingfa is a large-scale enterprise specialising in the production of architectural and industrial Aluminium profiles. Founded in 1984, the company was listed on the Hong Kong Stock Exchange on March 31, 2008. Between 2011 and 2018, Guangdong Guangxin Holdings Group Ltd. (a provincial state-owned enterprise) and China Lesso Group Holdings Ltd. successively joined Xingfa's board of directors, setting a precedent for mixed state-private ownership in China's Aluminium profile industry.

Since 2009, Xingfa Aluminium has expanded its production base in multiple locations—including Chengdu (Sichuan), Yichun (Jiangxi), Qinyang (Henan), and Huzhou (Zhejiang)—while simultaneously upgrading its headquarters facility in Sanshui District, Foshan, Guangdong. This expansion enabled the Entity to establish seven major production bases across China. To expand its international market, Xingfa Aluminium has also invested overseas by establishing subsidiaries and factories. The Xingfa Australia factory was completed and started its operations in July 2025. Xingfa's Vietnam factory will be completed and is planned to start its operations in the second half of 2026.

The Foshan City plant (the Entity) produces Aluminium profiles and engineering solutions for building doors, windows, curtain walls, electronic equipment, mechanical machinery, rail transit, aerospace, and shipbuilding industries. It employs approximately 2500 people, of whom 800 are women.

The Entity operates 12 casting machines and 37 extruders. Among these, 8 casting machines and 37 extruders are currently in operation. The Entity's total production volume was approximately 220,000 tonnes in 2025.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of Systems, Residual Risk and Performance.

Maturity ratings are not a direct assessment of conformance to the Standard.

	OVERALL
SYSTEMS	Medium
RISKS	Medium
PERFORMANCE	Medium
OVERALL	MEDIUM

FINDINGS

CRITERION	RATING	COMMENT
1. MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI Membership	Conformance	The Entity joined ASI as a member in the "Production and Transformation" category in March 2026. The Entity is committed to fulfilling its ASI membership obligations and conducting its production and operational activities in accordance with the ASI Policies and procedures. Refer to: https://aluminium-stewardship.org/about-asi/members/GUANGDONG-XINGFA-ALUMINIUM-CO--LTD
1.2 CoC Management System	Conformance	The Entity has established a Management System in conformance with the ASI Chain of Custody (CoC) Standard and integrated various CoC management activities into the Entity's existing Management Systems. The Entity possesses sufficient human and material resources to ensure the smooth conduct of CoC activities.
1.3 CoC Management System Monitoring	Conformance	The Entity has established a mechanism for regular reviews of its Management System. Periodically, the Entity conducts reviews of its CoC Management System in accordance with the Chain of Custody Standard and its implementation experience, addressing any non-conformities identified during system operation.
1.4 Management Representative	Conformance	The Entity has appointed a senior executive of the Entity who is held accountable for the conformance with the Entity's ASI Certification. This individual is responsible for organising and coordinating all matters related to ASI Performance and the Chain of Custody Standards.
1.5 Communications and Training	Conformance	The Entity has conducted training for relevant personnel to enhance their understanding of the ASI guideline requirements, ensuring they fully comprehend and are capable of fulfilling their duties. The Entity's Human Resources Department has established an annual training program aligned with the Chain of Custody Standard and organised relevant departments to receive training on the key aspects and procedures of the CoC Management System.
1.6 Records Management	Conformance	The Entity's ASI Management Manual stipulates that records of the CoC Management System operations be retained for at least five years to facilitate the review and traceability of the system's implementation.
1.7a Reporting to ASI (Inputs and Outputs of CoC Material)	Conformance	The Entity's ASI Management Manual stipulates that accounting information for the previous year's CoC Materials must be submitted to the ASI Secretariat by June 30 annually. As this is the Entity's first CoC certification, there are no Input or Output volumes of CoC Materials.
1.7b Reporting to ASI (Inputs and Outputs of Eligible Scrap)	Conformance	The Entity's ASI Management Manual stipulates that accounting information for the previous year's CoC Materials must be submitted to the ASI Secretariat by June 30 annually.
1.7c Reporting to ASI (Inflows and Outflows of Non-CoC Material)	Conformance	The Entity's ASI Management Manual stipulates that accounting information for the previous year's CoC Materials must be submitted to the ASI Secretariat by June 30 annually.

CRITERION	RATING	COMMENT
1.7d Reporting to ASI (Positive Balance carried over)	Conformance	The Entity's ASI Management Manual stipulates that accounting information for the previous year's CoC Materials must be submitted to the ASI Secretariat by June 30 annually.
1.7e Reporting to ASI (Positive Balance used)	Conformance	The Entity's ASI Management Manual stipulates that accounting information for the previous year's CoC Materials must be submitted to the ASI Secretariat by June 30 annually.
1.7f Reporting to ASI (Internal Overdraw drawn down)	Conformance	The Entity's ASI Management Manual stipulates that accounting information for the previous year's CoC Materials must be submitted to the ASI Secretariat by June 30 annually.
1.7g Reporting to ASI (Intra-Entity Flows)	Conformance	The Entity's ASI Management Manual stipulates that accounting information for the previous year's CoC Materials must be submitted to the ASI Secretariat by June 30 annually.
2. OUTSOURCING CONTRACTORS		
2.1 Certification Scope	Not Applicable	This Criterion is not applicable to the Entity, as there are no Outsourcing Contractors who receive and use materials for processing and handling.
2.2a Control of CoC Material (Legal ownership or control)	Not Applicable	This Criterion is not applicable to the Entity, as there are no Outsourcing Contractors who receive and use materials for processing and handling.
2.2b Control of CoC Material (No further outsourcing)	Not Applicable	This Criterion is not applicable to the Entity, as there are no Outsourcing Contractors who receive and use materials for processing and handling.
2.2c Control of CoC Material (Risk assessment)	Not Applicable	This Criterion is not applicable to the Entity, as there are no Outsourcing Contractors who receive and use materials for processing and handling.
2.3 Information on Quantity of CoC Material Output and Returned	Not Applicable	This Criterion is not applicable to the Entity, as there are no Outsourcing Contractors who receive and use materials for processing and handling.
2.4 Consistency in Inflow and Outflow Quantity of CoC Material to/from Outsourcing Contractor	Not Applicable	This Criterion is not applicable to the Entity, as there are no Outsourcing Contractors who receive and use materials for processing and handling.
2.5 Error (Outsourcing Contractor)	Not Applicable	This Criterion is not applicable to the Entity, as there are no Outsourcing Contractors who receive and use materials for processing and handling.
3. PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI ALUMINIUM		
3.1a ASI Bauxite (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
3.1b ASI Bauxite (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1c ASI Bauxite (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2a ASI Alumina (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2b ASI Alumina (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2c ASI Alumina (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3b ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3c ASI Aluminium (Alumina sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4. RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP		
4.1a Recycled Aluminium (CoC Certification Scope)	Conformance	The Entity's ASI Management Manual and procedures stipulate that Recycled Aluminium is only to be produced by ASI CoC Certified Entities. The Entity's CoC Certification Scope includes its remelting/refining activities for Recycled Aluminium production. As of the Audit date, there was no CoC Certified Recycled Aluminium that had been processed or recorded.
4.1b Recycled Aluminium (Performance Standard)	Conformance	The Entity has established relevant procedures and systems to ensure that ASI Aluminium is produced exclusively at Facilities included within its ASI CoC Certification Scope or sourced from suppliers Certified under the ASI Performance Standard. The Entity's Performance Standard Certification Scope includes its remelting/refining activities for Recycled Aluminium production.
4.2a Eligible Scrap (Pre-Consumer)	Conformance	The Entity has implemented a Supplier Due Diligence Procedure that defines the criteria and management processes for identifying qualified Waste materials and their suppliers. Due Diligence is conducted on pre-consumer Waste suppliers, including performance-related investigation requirements to evaluate those who are potentially suitable for ASI Aluminium production. Currently, the Entity does not recycle pre-consumer Waste.
4.2b Eligible Scrap (Post-Consumer)	Conformance	The Entity recycles Post-Consumer Waste as qualified Waste and conducts Due Diligence on suppliers in accordance with established procedures.

CRITERION	RATING	COMMENT
4.2c Eligible Scrap (Dross)	Not Applicable	This Criterion is not applicable to the Entity, as they do not purchase qualified Waste materials from suppliers of processed Aluminium slag or Aluminium recovered from Aluminium ash and other Aluminium-containing Wastes.
4.3a Records Management for Direct Suppliers of Recyclable Scrap Material (Suppliers)	Not Applicable	This Criterion is not applicable to the Entity, as it does not purchase recyclable Waste from suppliers.
4.3b Records Management for Direct Suppliers of Recyclable Scrap Material (Financial transactions)	Not Applicable	This Criterion is not applicable to the Entity, as it does not purchase recyclable Waste from suppliers.
5. CASTHOUSES: CRITERIA FOR ASI ALUMINIUM		
5.1a ASI Aluminium (CoC Certification Scope)	Conformance	The Entity operates a Casting workshop that has been included in the CoC Certification Scope. The products manufactured can be traced within the Entity's production system.
5.1b ASI Aluminium (Performance Standard)	Conformance	The Entity has established a system to ensure that all ASI Aluminium production originates exclusively from its Casting workshop, which is included within the scope of Entity's ASI Performance Standard Certification.
5.1c ASI Aluminium (Aluminium sourcing)	Conformance	The Entity has not currently purchased ASI Aluminium materials; however, all purchased materials will be included in the material balance accounting statement. This statement will specify the source of the purchased Aluminium, whether it falls under the CoC Material category, and the material flow details.
5.2 Unique Identification	Conformance	The Entity has established and implemented a 'CoC Document Information Verification Procedure', to ensure that ASI Certified Products carry unique identification codes corresponding to the CoC Material Input volumes within a Material Accounting Period. Currently, all Aluminium Products manufactured at the Entity's smelting and Casting Facilities are labelled with production batch numbers and circulated alongside the Aluminium Products, enabling full traceability.
6. POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM		
6.1a Post-Casthouse ASI Aluminium (CoC Certification Scope)	Conformance	The Entity has established a system to ensure that all ASI Aluminium production originates exclusively from Facilities within its CoC-Certified Scope.
6.1b Post-Casthouse ASI Aluminium (Performance Standard)	Conformance	The Entity has established and implemented a Management System and related procedures to control its production processes and is committed to obtaining certification in accordance with the ASI Performance Standard.
6.1c Post-Casthouse ASI Aluminium (Aluminium sourcing)	Not Applicable	This Criterion is not applicable to the Entity, as it procures all ASI Aluminium exclusively from its own melt casting workshop within the CoC Certification Scope, rather than from other CoC Certified Entities or Traders.

CRITERION	RATING	COMMENT
7. DUE DILIGENCE FOR NON-COC MATERIAL, COC MATERIAL ACQUIRED THROUGH A TRADER AND RECYCLABLE SCRAP MATERIAL		
7.1a Responsible Sourcing Policy (Anti-corruption)	Conformance	The Entity has implemented a Procurement Policy which is communicated to its suppliers. Suppliers have been surveyed and evaluated, including an assessment of their fulfilment of the anti-Corruption guidelines. The Entity's supplier Due Diligence Assessment Report includes anti-Bribery criteria.
7.1b Responsible Sourcing Policy (Responsible sourcing)	Conformance	The Entity has implemented procurement policies, including assessments of responsible procurement practices. It conducts supplier investigations and evaluations. The procurement contracts and agreements signed with suppliers include relevant provisions covering anti-Bribery, Human Rights, and environmental requirements.
7.1c Responsible Sourcing Policy (Human rights Due Diligence)	Conformance	This Entity has implemented procurement control procedures, conducts thorough supplier investigations and evaluations prior to procurement, and ensures conformance with those procedures. The procurement contract includes additional requirements regarding anti-Bribery, Human Rights, environmental compliance, and the ASI Performance Standard. Due Diligence records are maintained.
7.1d Responsible Sourcing Policy (Conflict-affected and high-risk areas)	Conformance	This Entity has implemented the 'Procurement Control Procedures', which stipulate the investigation and evaluation of suppliers prior to procurement. The procurement contract or agreement includes additional requirements regarding anti-Bribery, Human Rights, environmental compliance, and the ASI Performance Standard. The Entity does not work with suppliers located in Conflict-Affected or High-Risk Areas (CAHRAS).
7.2 Risk Assessment and Mitigation	Conformance	The Entity has conducted Due Diligence assessments and risk evaluations of all suppliers, including those supplying Aluminium ingots, alloys, and scrap materials, to determine their qualification as qualified suppliers.
7.3 Complaints Resolution Mechanism	Conformance	The Entity has established a complaint handling mechanism to collect, process, and respond to complaints from all Stakeholders. It tracks and monitors complaints and addresses them promptly. The complaint resolution process is available at: https://www.xingfa.com/aslzlgs/info_257_itemid_7979.html Complaint hotline: 0757-66639069 Complaint email: jianshen@xingfa.com
8. MATERIAL ACCOUNTING SYSTEM: COC MATERIAL AND ASI ALUMINIUM		
8.1 Material Accounting System	Conformance	The Entity has established a Material Accounting System to ensure that within the Certification Scope and during the Material Accounting Period, the total Output of eligible materials and/or qualified Waste does not exceed the proportion of eligible Input materials and/or qualified Waste.
8.2 Material Accounting Period	Conformance	The Entity's ASI Management Manual specifies the accounting cycle spans from January to December each year.

CRITERION	RATING	COMMENT
8.3 Input and Inflow Quantities	Conformance	The Entity has established a Material Accounting System that records the quantities of each CoC Material and Recyclable Scrap Input, as well as the Inflows of Non-CoC Materials and Recyclable Scrap Material, with the Inflows determined based on Aluminium content assessments. At the time of this Audit, there were no CoC Material Inputs in the Entity's supply chain.
8.4 Output Quantities of CoC Material	Conformance	The Entity has established a quality balance system. During the Material Accounting Period, the percentage of CoC Material Input is calculated as: (CoC Material Input Quantity) / (CoC Material Input Quantity + Non-CoC Material Input Quantity). The Output Quantity of CoC Material in the final product is determined based on this Input Percentage.
8.5 Indivisibility of CoC Material	Conformance	The Entity's ASI Management Manual stipulates that the Output of CoC Material, even if it constitutes only a portion of the total production, be classified as 100% CoC Material.
8.6 Output Quantity of Eligible Scrap	Conformance	The Entity's ASI Management Manual stipulates that for Waste generated during production processes, if the Entity wishes to designate a specific percentage as qualified Waste, it will apply the same percentage to determine the Output of ASI Aluminium during the Material Accounting Period.
8.7 Consistency Between Input Percentage and Total Output	Conformance	The Entity's ASI Management Manual stipulates that during the Material Accounting Period, the total Output of CoC Materials and/or qualified Waste must not exceed the Input Percentage of such materials and/or Waste.
8.8a Internal Overdraw (Not exceed 20%)	Conformance	The Entity's ASI Management Manual stipulates that Internal Overdrafts must not exceed 20% of the total CoC Material Input volume during the accounting period. The Entity did not have an overdraft in 2025.
8.8b Internal Overdraw (Not exceed force majeure situation)	Conformance	The Entity's ASI Management Manual stipulates that Internal Overdrafts must not exceed the quantity of CoC Materials affected by Force Majeure.
8.8c Internal Overdraw (Made up within subsequent Material Accounting Period)	Conformance	The Entity's ASI Management Manual stipulates that Internal Overdrafts must be replenished during the subsequent Material Accounting Period.
8.9a Positive Balance (Carry over)	Conformance	The Entity's ASI Management Manual stipulates that if a positive CoC Material balance occurs at the end of an accounting period, it may be carried forward to the next accounting period. The Material Accounting System identifies and marks any carried-over balances.
8.9b Positive Balance (Expiry)	Conformance	The Entity's ASI Management Manual stipulates that any Positive Balance generated during an accounting period and carried forward to subsequent Material Accounting Period will expire at the end of that period if not utilised.
9. ISSUING COC DOCUMENTS		

CRITERION	RATING	COMMENT
9.1 CoC Document	Conformance	The Entity's ASI Management Manual stipulates that the CoC Document must be sent with each batch of CoC Materials to other CoC Certified Entities or Traders. No CoC Materials have been traded to date.
9.2a CoC Document Content (Date of issue)	Conformance	The Entity's ASI Management Manual stipulates that the CoC Document must be sent with each batch of CoC Materials to other CoC Certified entities or traders. No CoC Materials have been traded to date.
9.2b CoC Document Content (Reference number)	Conformance	The Entity's ASI management manual stipulates that the CoC Document's reference number must be linked to the Entity's Material Accounting System and enable traceability.
9.2c CoC Document Content (Issuing Entity)	Conformance	The Entity's ASI Management Manual stipulates that the CoC Document must include the Entity's name, address, and CoC Certification number.
9.2d CoC Document Content (Receiving customer)	Conformance	The Entity's ASI Management Manual stipulates that the CoC Document must include the client's name and address. If the client is a CoC Certified Entity, its CoC Certification number must also be included.
9.2e CoC Document Content (Responsible employee)	Conformance	The Entity's ASI Management Manual stipulates that the CoC Document must include the details of the Entity's responsible person, who must verify this information before sending the document to the client.
9.2f CoC Document Content (Conformance statement)	Conformance	The Entity's ASI Management Manual stipulates that all issued CoC Documents must include a conformance statement stating that "the information provided in the CoC Document complies with the ASI CoC Standard".
9.2g CoC Document Content (Type of CoC Material)	Conformance	The Entity's ASI Management Manual stipulates that the CoC Document must specify the type of CoC Materials shipped.
9.2h CoC Document Content (Mass of CoC Material)	Conformance	The Entity's ASI Management Manual stipulates that all issued CoC Documents must specify the quantity of all CoC Materials shipped.
9.2i CoC Document Content (Mass of total material)	Conformance	The Entity's ASI Management Manual stipulates that all issued CoC Documents must include the total quantity of goods to be shipped.
9.3a Sustainability Data (optional) - Carbon footprint	Conformance	The Entity's ASI Management Manual stipulates that the CoC Document must include relevant Sustainability Data, such as the average carbon footprint of CoC Materials and the accounting methodology employed.
9.3b Sustainability Data (optional) - Origin information	Conformance	The Entity’s ASI Management Manual stipulates that the CoC Document must include relevant Sustainability Data, such as information supporting Aluminium sourcing in accordance with ASI Performance Standard.

CRITERION	RATING	COMMENT
9.3c Sustainability Data (optional) - Recycled content	Conformance	The Entity's ASI Management Manual stipulates that the CoC Document must include relevant Sustainability Data, such as the Aluminium recovery content of CoC Materials, covering both pre-consumer and post-consumer waste.
9.3d Sustainability Data (optional) - Post-Casthouse ASI Certification status	Conformance	The Entity's ASI Management Manual stipulates that the CoC Document must include relevant Sustainability Data, such as the ASI Performance Standard Certification Status of the Entity and/or Facility issuing the CoC.
9.4 Supplementary Information (optional) - Objective evidence	Conformance	The Entity's ASI Management Manual stipulates that if the CoC Document contains Supplementary Information regarding the Entity or CoC Materials, such information must be supported by objective evidence, including ISO standard certification information, ASI Certificates, and packing lists.
9.5 Verification of Information	Conformance	The Entity's ASI Management Manual stipulates that they are obligated to respond to information verification requests specified in the CoC Document and provide support to relevant parties. The Entity has established relevant procedures and assigned specific personnel to oversee them.
9.6 Error (Shipping)	Conformance	The Entity's ASI Management Manual and Corrective and Preventive Action Procedure stipulate that in the event of an error, the cause must be analysed, corrective actions implemented, and measures taken to avoid recurrence.
10. RECEIVING COC DOCUMENTS		
10.1 Verification of CoC Documents	Conformance	The Entity has established procedures to verify that the received CoC Documents contain all required information.
10.2 Verification of Consistency Between CoC Documents and CoC Material	Conformance	The Entity's ASI Management Manual stipulates that the Production Management Department must enter the relevant information into the Material Accounting System after verifying the received CoC Documents against the accompanying CoC Materials or qualified waste.
10.3 Verification of Supplier's ASI CoC Certification	Conformance	The Entity's manual specifies that the ASI website must be consulted quarterly to verify the supplier's ASI CoC Certification Status and any changes.
10.4 Error (Reception)	Conformance	The Entity's ASI Management Manual and Corrective and Preventive Action Procedure Document stipulate that, in the event of an error, the cause must be analysed, corrective actions implemented, and measures taken to prevent their recurrence.
11. CLAIMS AND COMMUNICATIONS		
11.1a Claims and Communications (ASI Claims Guide)	Conformance	The Entity's ASI Management Manual stipulates that all statements must comply with relevant ASI Standards and specifies the corresponding responsibilities and authorities. To date, all statements have met the requirements.

CRITERION	RATING	COMMENT
11.1b Claims and Communications (Verifiable evidence)	Conformance	According to the Entity's ASI Management Manual and regulations, all statements must be supported by verifiable evidence.
11.1c Claims and Communications (Employee training)	Conformance	The Entity has provided employees with training on the ASI Standards and ASI Claims Guide and maintains records of the training sessions.

ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable law, or will avoid any Breach from occurring.

DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	10 July 2026	Initial Certification Audit – Full Certification