ASI CERTIFICATION
PERFORMANCE STANDARD

PRESENTED TO

Shanghai Unison Aluminium Products Co., Ltd.

CERTIFICATE NUMBER	ASI STANDARD	CERTIFICATION LEVEL	ASI ACCREDITED AUDITING FIRM
277	**PERFORMANCE STANDARD (V3.1 2023)**	**FULL CERTIFICATION**	**SGS-CSTC STANDARDS TECHNICAL SERVICES**
	DATE OF ISSUE	DATE OF EXPIRY	CERTIFIED SINCE
	5 MAY 2026	**4 MAY 2029**	**5 MAY 2023**

AUTHORISED BY

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*Validity of this Certificate is subject to continued conformance with the applicable ASI Standard and can be verified at **www.aluminium-stewardship.org***

CERTIFICATION SCOPE

Manufacture of Aluminium parts (car body, chassis, and battery trays) at the facility in Qingpu District, Shanghai (China).

AUDIT REPORT
PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	Shanghai Unison Aluminium Products Co., Ltd.
ENTITY NAME	Shanghai Unison Aluminium Products Co., Ltd.
CERTIFICATION SCOPE	Manufacture of Aluminium parts (car body, chassis, and battery trays) at the facility in Qingpu District, Shanghai (China).
SUPPLY CHAIN ACTIVITIES	• Aluminium Re-melting/Refining • Casthouses • Material Conversion
ASI STANDARD	Performance Standard V3.1
AUDIT TYPE	• Initial Certification Audit (12 – 13 December 2022) • Surveillance Audit (5 – 6 September 2024) • Re-Certification Audit and Scope Change (14 – 15 May 2026)
AUDIT FIRM	SGS-CSTC Standards Technical Services
AUDIT DATE	• 12 – 13 December 2022 (Initial Certification Audit) • 5 – 6 September 2024 (Surveillance Audit) • 14 – 15 May 2026 (Re-Certification Audit and Scope Change)
AUDIT REPORT SUBMISSION	• 20 March 2023 (Initial Certification Audit) • 25 September 2024 (Surveillance Audit) • 5 June 2026 (Re-Certification Audit and Scope Change)
AUDIT SCOPE	Initial Certification Audit (12 – 13 December 2022) The Audit Scope includes the manufacture of Aluminium parts (car body, chassis, and battery trays) at the facility in Qingpu District, Shanghai (China). Supply chain activities included in the Audit Scope: • Material Conversion (Production and Transformation) All relevant Criteria in the ASI Performance Standard were included in the Audit Scope. Surveillance Audit (5 – 6 September 2024) The Audit Scope includes the manufacture of Aluminium parts (car body, chassis, and battery trays) at the facility in Qingpu District, Shanghai (China). Supply chain activities included in the Audit Scope: • Material Conversion (Production and Transformation) All relevant Criteria in the ASI Performance Standard were included in the Audit Scope. Re-Certification Audit and Scope Change (14 – 15 May 2026)

	The Audit Scope includes the manufacture of Aluminium parts (car body, chassis, and battery tray) at the facility in Qingpu District, Shanghai (China). Supply chain activities included in the Audit Scope: • Aluminium Re-melting/Refining • Casthouses • Material Conversion All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.
AUDIT OUTCOME	• Certification
AUDIT METHODOLOGY DECLARATION	The Auditors confirm that: ☑ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report. ☑ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous. ☑ The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope. ☑ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
CERTIFICATION PERIOD	5 May 2026 – 4 May 2029
NEXT AUDIT TYPE	Surveillance
NEXT AUDIT DATE	5 November 2027
CERTIFICATE NUMBER	277

If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: https://aluminium-stewardship.ethicspoint.com/

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Shanghai Unison Aluminium Products Co., Ltd. (the 'Entity') was founded in December 1992 and is located in Qingpu District, Shanghai, with registered capital of 193.068444 million RMB. The Entity manufactures Aluminium alloy parts for the automotive sector, including design, development, manufacturing, selling, and servicing. The Entity has eight additional production plants (not included in this certification scope) in Shandong, Anhui, Guangdong, Chongqing, Changchun, Lingang, Yangzhou, and Wuhan.

The Entity focuses on automotive lightweighting, promoting energy savings and CO_2 emission reductions in traditional combustion engine and electric vehicles, and improving battery range in new electric vehicles. The Entity is one of the leading producers of automotive alloy parts in the domestic market. Its main product is a car body structure, including the bumper, sill, subframe, and battery tray. The Entity has established close partnerships with OEMs and is part of the supply chains of major domestic and international automobile brands.

Currently employing 420 people, of whom 80 are women, the Entity's annual Aluminium production is approximately 8,000 tonnes. The Entity is ISO certified to the 14001, 14044, and 45001 Standards.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of Systems, Residual Risk and Performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	Low	Medium	Medium	MEDIUM
RISKS	Medium	Medium	Low	MEDIUM
PERFORMANCE	Medium	Medium	Medium	MEDIUM
OVERALL	MEDIUM			

FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	The Entity has established a procedure to identify Applicable Law and regulations regarding environment, Health and Safety, and a list has been established. The Entity conducts an annual compliance evaluation.
1.2 Anti-Corruption	Conformance	The Entity has established a procedure to implement anti-Corruption measures and has identified high-risk areas. The Entity has implemented a variety of actions to monitor for Corruption. The Entity has conducted an Anti-Corruption and Anti-Bribery self-examination and implemented the required corrective measures to address the identified deficiencies.
1.3a-e Code of Conduct	Conformance	The Entity has implemented a Code of Conduct that includes principles relevant to environmental, social and governance performance. The Code of Conduct applies to all employees, including subcontractors. The Code of Conduct is available at: https://unisonal.sansg.com/uploads/files/%E4%BF%A1%E6%81%AF%E6%8A%AB%E9%9C%B2/%E8%A1%8C%E4%B8%BA%E5%87%86%E5%88%99.pdf
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Conformance	The Entity has established a Labour and Business Ethics Policy, Environmental, Social, and Governance Policy, Occupational Health and Safety Policy, Energy Policy, Responsible Procurement Policy, Modern Slavery Statement, and a Commitment to Exclusion from World Heritage Sites. Workers have been trained on these policies and have been communicated to suppliers. These are available at: https://unisonal.sansg.com/uploads/files/%E4%BF%A1%E6%81%AF%E6%8A%AB%E9%9C%B2/%E4%B8%8A%E6%B5%B7%E5%8F%8B%E5%8D%87%E7%BB%8F%E8%90%A5%E6%96%B9%E9%92%88%E6%B1%87%E7%BC%96.pdf
2.2a-c Leadership	Conformance	The Entity has appointed the Quality Director as the Management Representative for the internal ASI-related matters.
2.3a Environmental and Social Management Systems – Environmental	Conformance	The Entity has implemented an Environmental Management System and has ISO 14001:2015 Certification. https://unisonal.sansg.com/uploads/files/%E4%BF%A1%E6%81%AF%E6%8A%AB%E9%9C%B2/14001%E3%80%8145001%E8%AF%81%E4%B9%A6.pdf
2.3b Environmental and Social Management Systems – Social	Conformance	The Entity has established and implemented an Integrated Management System, which includes social aspects. It also has ISO 45001:2018 Certification: https://unisonal.sansg.com/uploads/files/%E4%BF%A1%E6%81%AF%E6%8A%AB%E9%9C%B2/14001%E3%80%8145001%E8%AF%81%E4%B9%A6.pdf According to the EcoVadis Sustainable Development, the Entity rating in April 2026 was 67 (Labour and Human Rights scored 73).
2.4a-e Responsible Sourcing	Conformance	The Entity has implemented the Responsible Sourcing Policy and communicated it to suppliers. Due Diligence has been undertaken. The environmental, safety, and social performance of suppliers is verified via www.ipe.org.cn and www.creditchina.gov.cn; in addition, an

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		on-site audit is conducted. The Responsible Sourcing Policy is available at: https://unisonal.sansg.com/uploads/files/%E4%BF%A1%E6%81%AF%E6%8A%AB%E9%9C%B2/%E4%B8%8A%E6%B5%B7%E5%8F%8B%E5%8D%87%E7%BB%8F%E8%90%A5%E6%96%B9%E9%92%88%E6%B1%87%E7%BC%96.pdf
2.5a-g Environmental and Social Impact Assessments	Conformance	The Entity has implemented a procedure to conduct environmental, social, cultural and Human Rights Impact Assessments, including a gender analysis, for New Projects or Major Changes to existing facilities. The Environmental Impact Assessment Report for the R&D and Construction Project of Lightweight Low-Pressure Die-Casting Pilot Line for Automotive Aluminium Alloy Subframe is available at: https://unisonal.sansg.com/uploads/files/%E4%BF%A1%E6%81%AF%E6%8A%AB%E9%9C%B2/%E7%8E%AF%E5%A2%83%E5%BD%B1%E5%93%8D%E8%AF%84%E4%BB%B7%E6%8A%A5%E5%91%8A.pdf For the Government approval, refer to: https://unisonal.sansg.com/uploads/files/%E4%BF%A1%E6%81%AF%E6%8A%AB%E9%9C%B2/%E6%89%B9%E6%96%87-%E6%B1%BD%E8%BD%A6%E9%93%9D%E5%90%88%E9%87%91%E5%89%AF%E8%BD%A6%E6%9E%B6%E8%BD%BB%E9%87%8F%E5%8C%96%E4%BD%8E%E5%8E%8B%E9%93%B8%E9%80%A0%E8%AF%95%E5%88%B6%E7%BA%BF%E7%A0%94%E5%8F%91%E5%BB%BA%E8%AE%BE%E9%A1%B9%E7%9B%AE.pdf Analysis and explanation of the Environmental Impact Due to Non-Significant Changes for the R&D and Construction Project of Lightweight Low-Pressure Die-Casting Pilot Line for Automotive Aluminium Alloy Subframe. Refer to: https://unisonal.sansg.com/uploads/files/%E4%BF%A1%E6%81%AF%E6%8A%AB%E9%9C%B2/2-2%20%E5%8F%8B%E5%8D%87-%E9%9D%9E%E9%87%8D%E5%A4%A7%E5%8F%98%E5%8A%A8%E7%8E%AF%E5%A2%83%E5%BD%B1%E5%93%8D%E5%88%86%E6%9E%90%E6%8A%A5%E5%91%8A.pdf
2.6a-h Human Rights Impact Assessment	Conformance	The Entity has implemented a procedure to conduct environmental, social, cultural and Human Rights Impact Assessments, including a gender analysis, for New Projects or Major Changes to existing facilities. The Environmental Impact Assessment Report for the R&D and Construction Project of Lightweight Low-Pressure Die-Casting Pilot Line for Automotive Aluminium Alloy Subframe is available at: https://unisonal.sansg.com/uploads/files/%E4%BF%A1%E6%81%AF%E6%8A%AB%E9%9C%B2/%E7%8E%AF%E5%A2%83%E5%BD%B1%E5%93%8D%E8%AF%84%E4%BB%B7%E6%8A%A5%E5%91%8A.pdf For the Government approval, refer to: https://unisonal.sansg.com/uploads/files/%E4%BF%A1%E6%81%AF%E6%8A%AB%E9%9C%B2/%E6%89%B9%E6%96%87-%E6%B1%BD%E8%BD%A6%E9%93%9D%E5%90%88%E9%87%91%E5%89%AF%E8%BD%A6%E6%9E%B6%E8%BD%BB%E9%87%8F%E5%8C%96%E4%BD%8E%E5%8E%8B%E9%93%B8%E9%80%A0%E8%AF%95%E5%88%B6%E7%BA%BF%E7%A0%94%E5%8F%91%E5%BB%BA%E8%AE%BE%E9%A1%B9%E7%9B%AE.pdf Analysis and Explanation of the Environmental Impact Due to Non-Significant Changes for the R&D and Construction Project of Lightweight Low-Pressure Die-Casting Pilot Line for Automotive Aluminium Alloy Subframe. Refer to: https://unisonal.sansg.com/uploads/files/%E4%BF%A1%E6%81%AF%E6%8A%AB%E9%9C%B2/2-2%20%E5%8F%8B%E5%8D%87-%E9%9D%9E%E9%87%8D%E5%A4%A7%E5%8F%98%E5%8A%A8%E7%8E%AF%E5%A2%83%E5%BD%B1%E5%93%8D%E5%88%86%E6%9E%90%E6%8A%A5%E5%91%8A.pdf

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		%E5%A2%83%E5%BD%B1%E5%93%8D%E5%88%86%E6%9E%90%E6%8A%A5%E5%91%8A.pdf
2.7a-f Emergency Response Plan	Conformance	The Entity has site-specific Emergency Response Plans developed in collaboration with potentially affected stakeholders' groups, such as communities, Workers and their representatives, and relevant agencies. The Emergency Plan is available at: https://unisonal.sansg.com/uploads/files/%E4%BF%A1%E6%81%AF%E6%8A%AB%E9%9C%B2/%E7%AA%81%E5%8F%91%E7%8E%AF%E5%A2%83%E4%BA%8B%E4%BB%B6%E5%BA%94%E6%80%A5%E9%A2%84%E6%A1%88.pdf and https://unisonal.sansg.com/uploads/files/%E4%BF%A1%E6%81%AF%E6%8A%AB%E9%9C%B2/%E5%AE%89%E5%85%A8%E4%BA%8B%E6%95%85%E7%BB%BC%E5%90%88%E5%BA%94%E6%80%A5%E9%A2%84%E6%A1%88.pdf Emergency drill schedules in 2025 and 2026 have been established and implemented.
2.8a-d Suspended Operations	Conformance	The Entity has established an Enterprise Recovery Plan, including compliance with environmental regulations during production recovery to avoid "rush-to-finish" pollution; strengthen environmental monitoring of high-risk facilities; develop a 'green recovery path' (including improving energy efficiency and adopting renewable energy); ensure payment of employee wages, provide mental health support, and implement safe work resumption measures; avoid negative impacts of operational disruptions on surrounding communities, and participate in post-disaster recovery. No suspended operations occurred in the 2023 – 2026 period.
2.9a-b Mergers and Acquisitions	Conformance	The Entity has established a procedure for Mergers and Acquisitions. Since the Entity's establishment, there have been no mergers or acquisitions.
2.10a-b Closure, Decommissioning and Divestment	Conformance	The Entity has neither undergone nor planned a Closure, Decommissioning, or Divestment since joining ASI. A procedure has been defined however to manage a closure, decommissioning or divestment in case of requirement in future. The plan requires the Entity to consider environmental, social and governance issues in the planning process for closure, decommissioning and divestment.
3. TRANSPARENCY		
3.1a-b Sustainability Reporting	Conformance	The Entity has developed its annual Sustainability Report, including disclosed information on indicators such as air pollutant emissions, water pollutant discharge, and Scope 3 Greenhouse Gas emissions. The Report is available at: https://unisonal.sansg.com/uploads/files/%E4%BF%A1%E6%81%AF%E6%8A%AB%E9%9C%B2/%EF%BC%88%E9%9D%92%E6%B5%A6%E5%B7%A5%E5%8E%82%EF%BC%892025%E5%B9%B4%E5%BA%A6ESG%E6%8A%A5%E5%91%8A.pdf
3.2 Non-compliance and Liabilities	Conformance	Information on substantial fines, judgments, penalties, and non-monetary penalties incurred by entities for violations of applicable laws is reflected in ESG reports. No fines or judgments have been imposed on the Entity. Refer to: https://unisonal.sansg.com/uploads/files/%E4%BF%A1%E6%81%AF%E6%8A%AB%E9%9C%B2/%EF%BC%88%E9%9D%92%E6%B5%A6%E5%B7%A5%E5%8E%82%EF%BC%89%E5%B7%A5%E5%

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		8E%82%EF%BC%892025%E5%B9%B4%E5%BA%A6ESG%E6%8A%A5%E5%91%8A.pdf
3.3a-c Payments to Governments	Conformance	The Entity pays taxes to the government in accordance with the law. The tax payment situation is reflected in the Entity's Financial Audit Report is available at: https://www.cninfo.com.cn/new/disclosure/detail?stockCode=603418&announcementId=1225234561&orgId=9900046966&announcementTime=2026-04-29
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	The Entity has implemented procedures for managing complaints and appeals from relevant parties. The mechanism is accessible, predictable, fair, transparent, rights-compatible, and has a continuous source of understanding. Based on participation and dialogue, it is considered suitable to manage complaints, appeals, and information requests from affected groups and organisations regarding the Entity's operations. More information on the Entity's Complaint Resolution Mechanism is available at: https://unisonal.sansg.com/uploads/files/%E4%BF%A1%E6%81%AF%E6%8A%AB%E9%9C%B2/%E5%88%A9%E7%9B%8A%E7%9B%B8%E5%85%B3%E6%96%B9%E3%80%81%E6%8A%95%E8%AF%89%E3%80%81%E7%94%B3%E8%AF%89.pdf The Entity's Complaint Handling Channels include the Hotline: 021 5976 1698, Email: caoxueqin@unisonal.com, an Online Form (embedded on the company website and the WeChat official account, with information automatically retained), complaint boxes (at factory premises, project offices, community partner locations), and at the on-site reception.
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Conformance	The Entity has commissioned a Life Cycle Assessment Report of its major product lines from a third party. It has also implemented a product Life Cycle Assessment Management Procedure, strictly enforced through training. The Entity provides 'cradle-to-gate' LCA information on its LCA Report.
4.1b-c Environmental Life Cycle Assessment - Disclosure	Conformance	The Entity has commissioned a Life Cycle Assessment Report of its major product lines from a third party. It has also implemented a product Life Cycle Assessment Management Procedure, strictly enforced through training. The Entity's LCA is available at: https://unisonal.sansg.cm/uploads/files/%E4%BF%A1%E6%81%AF%E6%8A%AB%E9%9C%B2/LCA.pdf
4.2 Product Design	Conformance	In partnership with its customers, the Entity has established a research and development control process, with ecological design as its strategy, ultimately adhering to the development concept of "green, low-carbon, and circular", and has formulated Greenhouse Gas Emissions Reduction Plans for each of its products.
4.3a-b Aluminium Process Scrap	Conformance	The Entity has established measures to reduce its process waste, including reducing Scrap from material heads and tails, reducing the amount of edge threads, improving process level, and reducing

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		quality defects. 100 per cent of the Waste is recycled and sent to the warehouse for block processing and sold 'upstream' for recycling.
4.4a-c Collection and Recycling of Products at End of Life - Material Conversion and other Manufacturing	Conformance	The Entity has established a waste profile, a Recycling Management System, and an Aluminium recycling strategic plan, which will be strictly implemented after training. The strategic plan includes the implementation path of strategic goals and risk warnings and is reviewed annually. The Entity's Recycling Strategy Plan is available at: https://unisonal.sansg.com/uploads/files/%E4%BF%A1%E6%81%AF%E6%8A%AB%E9%9C%B2/%E5%8F%8B%E5%8D%87%E9%93%9D%E4%B8%9A%E5%BA%9F%E9%93%9D%E3%80%81%E5%9B%BA%E4%BD%93%E5%BA%9F%E7%89%A9%E5%9B%9E%E6%94%B6%E5%88%A9%E7%94%A8%E7%AE%A1%E7%90%86%E6%88%98%E7%95%A52026(1).pdf
4.4d Collection and Recycling of Products at End of Life	Conformance	The Entity continues to enter into recycling agreements with local solid waste recycling units that perform the required collection and treatment of solids in accordance with the Entity's Recycling Management System.
5. GREENHOUSE GAS EMISSIONS		
5.1a-b Disclosure of GHG Emissions and Energy Use	Conformance	The Entity has accounted for and disclosed its Energy Use and GHG Emissions. Sustainability Report, pages 50-65: https://unisonal.sansg.com/uploads/files/%E4%BF%A1%E6%81%AF%E6%8A%AB%E9%9C%B2/%EF%BC%88%E9%9D%92%E6%B5%A6%E5%B7%A5%E5%8E%82%EF%BC%892025%E5%B9%B4%E5%BA%A6ESG%E6%8A%A5%E5%91%8A.pdf The Entity has also obtained ISO 14064 Greenhouse Gas Verification Certification (2025). Refer to: https://unisonal.sansg.com/uploads/files/%E4%BF%A1%E6%81%AF%E6%8A%AB%E9%9C%B2/%E6%B8%A9%E5%AE%A4%E6%B0%94%E4%BD%93%E6%8E%92%E6%94%BE%E6%A0%B8%E6%9F%A5%E5%A3%B0%E6%98%8E.pdf
5.2a Aluminium Smelter GHG Emissions Intensity - Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity - In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a GHG Emissions Reduction Plans	Conformance	The Entity has established 2022 as the base year and is steadily advancing GHG reduction efforts: achieving a 10% reduction in 2022, 15% in 2023, 20% in 2024, and a 25% reduction by 2025, whilst striving to achieve 'carbon neutrality' by 2045. As of the end of the reporting period, all targets have been met as scheduled. The Entity has utilised the ASI GHG Pathways Calculation Tool, with a plotted Process Procurement Slope (Scope 3), to establish a GHG Reduction Plan to 2050, consistent with a 1.5℃ warming scenario.
5.3b-e GHG Emissions Reduction Plans – Targets, review and disclosure	Conformance	The Entity has established Carbon Emission Reduction Targets and Action Plans under the 1.5°C scenario" (2023-2028) with actions, targets and timeframes, reviewed in 2026. The Carbon Emission Reduction Targets and Action Plans 2023-2028 is available at:

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		https://unisonal.sansg.com/uploads/files/%E4%BF%A1%E6%81%AF%E6%8A%AB%E9%9C%B2/%E5%8F%8B%E5%8D%87%E9%93%9D%E4%B8%9A1.5%E5%BA%A6%E6%83%85%E6%99%AF%E4%B8%8B%E7%A2%B3%E5%87%8F%E6%8E%92%E7%9B%AE%E6%A0%87%E5%8F%8A%E8%A1%8C%E5%8A%A8%E6%96%B9%E6%A1%882025.pdf The Carbon Emission Reduction Targets and Action Plans reviewed in January 2026 are available at: https://unisonal.sansg.com/uploads/files/%E4%BF%A1%E6%81%AF%E6%8A%AB%E9%9C%B2/%E5%8F%8B%E5%8D%87%E9%93%9D%E4%B8%9A%E5%87%8F%E6%8E%92%E7%9B%AE%E6%A0%87%E5%8F%8A%E8%A1%8C%E5%8A%A8%E6%96%B9%E6%A1%88.pdf
5.4 GHG Emissions Management	Conformance	To systematically advance the fulfilment of Carbon Emission Reduction Targets and Action Plans objectives, the Entity has continued to implement energy-saving technological upgrades and process optimisations to reduce energy consumption. Focusing on pathways such as the upgrading of automated production lines, variable frequency control LED energy-saving lighting, automatic reactive power compensation and water management, steadily advancing various emission reduction measures, aiming to reduce energy consumption per unit of product and achieve synergistic growth in economic benefits whilst minimising environmental impact.
6. EMISSIONS, EFFLUENTS AND WASTE		
6.1a-f Emissions to Air	Conformance	The Entity conducts testing and reports Emissions to Air in accordance with legal requirements, and air emissions are within prescribed legal limits. It has established environmental monitoring and control procedures as well as exhaust gas control procedures, which are strictly implemented after training. The Entity has obtained the required pollution discharge permits and adheres to them rigorously. The Entity has established the Emissions to Air in the Air Pollutants Emissions Reduction Plan, which is reviewed annually or if any emissions exceed limits, though none have occurred to date. The plan shall also be reviewed if any changes in the enterprise lead to alterations in the primary risks associated with atmospheric pollutant emissions, but no such changes have occurred to date. The plan is available at: https://unisonal.sansg.com/uploads/files/信息披露/大气污染物减排计划.pdf
6.2a-g Discharges to Water	Conformance	The Entity's production wastewater is treated at the sewage treatment plant and discharged into the urban sewage pipeline network. A Sewage Emission Reduction Plan has been formulated and is reviewed annually in the event of exceeding internal or external emission limits or when changes occur in the enterprise that affect the risk of atmospheric pollutant emissions. The Entity's Discharges to Water are published in the Sustainability Report, available at: https://unisonal.sansg.com/uploads/files/%E4%BF%A1%E6%81%AF%E6%8A%AB%E9%9C%B2/%EF%BC%88%E9%9D%92%E6%B5%A6%E5%B7%A5%E5%8E%82%EF%BC%892025%E5%B9%B4%E5%BA%A6ESG%E6%8A%A5%E5%91%8A.pdf
6.3a-g Assessment and Management of Spills and Leakages	Conformance	The Entity has assessed its risks of Leakage and Spills and has developed disposal measures. The system reflects a leak-prevention Management Plan that includes a testing plan. The document prescribes that the Management Plan is reviewed annually and after any Leakage or Spill occurs, in the event of any changes to the

CRITERION	RATING	COMMENT
		enterprise that affect the main risks of leakage or seepage, or when there are signs of control deficiencies. The Leakage and Spills Management Plan is available in the Leakage and Seepage Assessment Report at:https://unisonal.sansg.com/uploads/files/%E4%BF%A1%E6%81%AF%E6%8A%AB%E9%9C%B2/%E5%8F%8B%E5%8D%87%E9%93%9D%E4%B8%9A%E6%B3%84%E6%BC%8F%E3%80%81%E6%B8%97%E6%BC%8F%E9%A3%8E%E9%99%A9%E8%AF%84%E4%BC%B0%E6%8A%A5%E5%91%8A.pdf
6.4a-b Public Disclosure of Spills and Leakages	Conformance	The Entity has conducted an impact assessment and root cause analysis and has elaborated a Leakage and Spills Management Plan to address Leaks and Spills. The Entity has not experienced any Leakage or Spills since becoming an ASI Member.
6.5a-c Waste Management and Reporting	Conformance	The Entity has implemented a Solid Waste Management Procedure and has provided training to relevant personnel. The Entity engages the municipal environmental sanitation department to manage its general Waste. Hazardous Waste is processed through a solid Waste treatment provider, and the process is properly documented. The quantities of Hazardous and Non-Hazardous Waste generated, as well as the relevant waste-disposal methods, are reflected in the Entity's Sustainability Report, available at: https://unisonal.sansg.com/uploads/files/%E4%BF%A1%E6%81%AF%E6%8A%AB%E9%9C%B2/%EF%BC%88%E9%9D%92%E6%B5%A6%E5%B7%A5%E5%8E%82%EF%BC%892025%E5%B9%B4%E5%BA%A6ESG%E6%8A%A5%E5%91%8A.pdf
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Conformance	The Entity has established a Waste Control Procedure and the Aluminium Residue Recycling Procedure, which have been implemented following training. Aluminium ash is first recycled through a rotary kiln and then treated as Hazardous Waste by qualified units. The Entity has established a dedicated Aluminium ash warehouse. The recycling unit continues to use the rotary kiln to recover Aluminium after recycling. After treatment, it can be used as a building material. At present, the physical Aluminium residue is not disposed of to landfill.
7. WATER STEWARDSHIP		
7.1a-b Water Assessment and Disclosure	Conformance	The Entity's water intake is sourced from the municipal water supply. The rainwater is discharged into the stormwater system, and domestic wastewater is discharged into the municipal sewage system. The Entity's Water Resources Risk Assessment Report confirmed that the water-related risks are low. The Entity's water consumption and withdrawal are disclosed in the Entity's Sustainability Report, pages 65-66. Refer to: https://unisonal.sansg.com/uploads/files/%E4%BF%A1%E6%81%AF%E6%8A%AB%E9%9C%B2/%EF%BC%88%E9%9D%92%E6%B5%A6%E5%B7%A5%E5%8E%82%EF%BC%892025%E5%B9%B4%E5%BA%A6ESG%E6%8A%A5%E5%91%8A.pdf

CRITERION	RATING	COMMENT
7.2a-e Water Management	Not Applicable	This Criterion is not applicable to the Entity, as the assessment report indicates that the water-related risks are low.
8. BIODIVERSITY AND ECOSYSTEM SERVICES		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Conformance	The Entity has prepared a Biodiversity Assessment Report, including the geographical location, topography, engineering and hydrological features, climate, soil and vegetation, mineral resources, and social environment, and has conducted a Biodiversity Risk Assessment, which determined a low risk.
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity as the risks and potential impacts identified in the Biodiversity Assessment Report were assessed and documented as low.
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable to the Entity as its Biodiversity Risk has been assessed as low.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity as its Biodiversity Risk has been assessed as low.
8.4 Alien Species	Conformance	The Entity has conducted a Risk Assessment of Alien Species, which determined the risk as low. The Entity has, however, established procedures and controls to protect biodiversity and prevent the introduction of invasive species. At the time of the audit, there had been no unexpected or deliberate introductions of Alien Species.
8.5a-b Commitment to “No Go” in World Heritage Properties	Conformance	The Entity is committed to 'prohibit entry' into World Heritage sites. The industrial plant buildings, planned and constructed by government departments and currently leased, are not present within or adjacent to World Heritage sites.
8.6a-d Protected Areas	Not Applicable	This Criterion is not applicable to the Entity as it is not present within or adjacent to any Protected Areas.
8.6e Protected Areas - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity’s Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity’s Certification Scope.
9. HUMAN RIGHTS		
9.1a-d Human Rights Due Diligence	Conformance	The Entity has implemented a Policy to respect Human Rights, including gender equity, and has communicated the Policy to all employees. The Entity’s Policies Compilation, including Human Rights aspects, is available at: https://unisonal.sansg.com/uploads/files/%E4%BF%A1%E6%81%AF%E6%8A%AB%E9%9C%B2/%E4%B8%8A%E6%B5%B7%E5%8F%8B%E5%8D%87%E7%BB%8F%E8%90%A5%E6%96%B9%E9%92%88%E6%B1%87%E7%BC%96.pdf A Due Diligence process is conducted annually. The Due Diligence confirmed that there are no adverse Human Rights impacts caused by the Entity. The Human Rights Due Diligence Report is available at: https://unisonal.sansg.com/uploads/files/%E4%BF%A1%E6%81%AF%E6%8

CRITERION	RATING	COMMENT
		A%AB%E9%9C%B2/%E4%BA%BA%E6%9D%83%E8%B0%83%E5%B0%BD%E8%81%8C%E6%9F%A5%E6%8A%A5%E5%91%8A2026.pdf
9.2a-e Gender Equity and Women's Empowerment	Conformance	The Entity has established and implemented a procedure for the protection of women Workers in accordance with Chinese law and ILO Conventions. Women Workers have the same rights as male Workers. Gender-specific evaluation indicators, including employee gender structure ratio, proportion of female managers, and implementation of equal pay for equal work, are reflected in the Gender Specific Evaluation Report, available at: https://unisonal.sansg.com/uploads/files/%E4%BF%A1%E6%81%AF%E6%8A%AB%E9%9C%B2/%E4%B8%A4%E6%80%A7%E4%B8%93%E9%A1%B9%E8%AF%84%E4%BB%B7%E6%8C%87%E6%A0%87.pdf
9.3a-i Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples in the area where the Entity operates.
9.4a Free, Prior, and Informed Consent (FPIC) - New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity as there are no Indigenous Peoples in the area where the Entity operates. The Entity has however established and implemented the Indigenous Peoples Protection Procedure. If any New Projects or Major Changes to existing Facilities are proposed, the Human Resource Department will engage with Indigenous Peoples.
9.4b Free, Prior, and Informed Consent (FPIC) - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4c Free, Prior, and Informed Consent (FPIC) - Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples in the area where the Entity operates.
9.5a Cultural and Sacred Heritage – Identification	Not Applicable	This Criterion is not applicable to the Entity as it was established in 1992, and there is no Cultural and Sacred Heritage around the Entity. New Project operates in current plant building.
9.5b Cultural and Sacred Heritage – Impacts	Not Applicable	This Criterion is not applicable to the Entity as it was established in 1992, and there is no Cultural and Sacred Heritage around the Entity. New Project operates in current plant building.
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity as its New Project, is located in the current building, and no resettlement was required.
9.7a-h Affected Populations and Organisations	Conformance	The Entity has developed a Community Communication Procedure to protect the customary rights and interests of local communities. The Entity was built in 1992 and is located in an industrial area. The Entity identifies the impact of its business activities on Local Communities as part of the corporate risk assessment processes. A grievance mechanism is available for all stakeholders.
9.8a Conflict-Affected and High-Risk Areas - Strong Management Systems	Conformance	The Entity has implemented its Responsible Sourcing Policy, which defines that the Entity will not contribute to armed conflict or Human Rights abuses in Conflict-Affected and High-Risk Areas. The Entity has undertaken Due Diligence to identify risks associated with its suppliers. No materials are sourced from CAHRAs. The Responsible Sourcing

CRITERION	RATING	COMMENT
		Policy is available at: https://unisonal.sansg.com/uploads/files/%E4%BF%A1%E6%81%AF%E6%8A%AB%E9%9C%B2/%E4%B8%8A%E6%B5%B7%E5%8F%8B%E5%8D%87%E7%BB%8F%E8%90%A5%E6%96%B9%E9%92%88%E6%B1%87%E7%BC%96.pdf.
9.8b Conflict-Affected and High-Risk Areas - Identify and assess risks	Not Applicable	This Criterion is not applicable to the Entity as the Entity has not identified any CAHRA-related risks.
9.8c Conflict-Affected and High-Risk Areas - Strategy to respond to risks	Not Applicable	This Criterion is not applicable to the Entity as the Entity has not identified any CAHRA-related risks.
9.8d Conflict-Affected and High-Risk Areas - Audit of due diligence	Conformance	This Audit satisfies the requirements of this Criterion of the ASI Performance Standard.
9.8e Conflict-Affected and High-Risk Areas - Report annually	Not Applicable	This Criterion is not applicable to the Entity as the Entity has not identified any CAHRA-related risks.
9.9 Security practice	Conformance	The Entity's security is conducted by a third-party contractor, and all security guards are trained and qualified. Security Responsibility defined that security guards are responsible for incident prevention, patrol, and warning.
10. LABOUR RIGHTS		
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	This Criterion is not applicable to the Entity, as the right to Freedom of Association and Collective Bargaining is addressed in accordance with Applicable Law in China.
10.1d Freedom of Association and Right to Collective Bargaining - Alternative means in context of Applicable Law	Conformance	The Entity has established a Union and organised its implementation. In 2026, the Trade Union held the general election and gained legal representative status. Five members were elected, including one female Worker. The election also produced 35 union representatives, including 15 female Workers and 15 frontline employees.
10.2a-c Child Labour	Conformance	The Entity has implemented a Policy prohibiting the use of Child Labour, and there are no underage Workers within the unit. China prohibits the use of Child Labour, and underage Workers (aged 16 to 18) are specially protected by law and are not allowed to work in hazardous working conditions. There are no underage Workers in the Entity.
10.3a-c Forced Labour	Conformance	The Entity explicitly prohibits Forced Labour, and all Workers have signed Labour contracts. The Entity does not directly or through any employment or recruitment agency participate in or support human trafficking, it does not hold Workers' original documents, there is no illegal wage deduction, debt bondage, debt repayment, restriction of movements in the facility, or any other form of Forced Labour in the Entity. The Entity's Modern Slavery statement is available at: https://unisonal.sansg.com/uploads/files/%E4%BF%A1%E6%81%AF%E6%8A%AB%E9%9C%B2/%E5%8F%8B%E5%8D%87%E9%93%9D%E4%B8%9AASI%E6%96%B9%E9%92%88.pdf

CRITERION	RATING	COMMENT
10.4a-c Non-Discrimination	Conformance	The Entity has established an Anti-Discrimination Procedure to ensure equal opportunities, and shall not discriminate or support Discrimination based on gender, race, nationality or social origin, religion, disability, political affiliation, sexual orientation, marital status, family responsibilities, age or any other circumstances that may lead to Discrimination in the recruitment, salary, promotion, training, promotion opportunities or dismissal of any Worker, in accordance with International Labour Organization (ILO) Conventions C100 and C111.
10.5 Communication and engagement	Conformance	The Entity engages in direct and frequent communication with Workers and Worker representatives to resolve working conditions, workplace, and compensation issues, without the threat of retaliation, intimidation, violence, or harassment.
10.6a-g Violence and Harassment	Conformance	The Entity has formulated a Social Responsibility Policy and established a mechanism to address complaints of violence and harassment. The Entity respects employees, disciplinary actions comply with legal provisions and implements Human Rights policies that prohibit violence and inhumane treatment of employees. https://unisonal.sansg.com/uploads/files/%E4%BF%A1%E6%81%AF%E6%8A%AB%E9%9C%B2/%E4%B8%8A%E6%B5%B7%E5%8F%8B%E5%8D%87%E7%BB%8F%E8%90%A5%E6%96%B9%E9%92%88%E6%B1%87%E7%BC%96.pdf
10.7a-c Remuneration	Conformance	The Entity signs Labour contracts with all employees in compliance with Labour law requirements, and has established salary standards, Labour hours, compensation systems, and salary accounting standards, including job salary, work performance, production performance, high temperature subsidies and bonuses. The Entity's salary standard meets local minimum wage requirements and includes social insurance and housing fund for all employees. It pays overtime wages in accordance with Labour laws, and salaries are paid via bank transfer.
10.8a-c Working Time	Conformance	Every Worker has two days off per week and works eight hours a day. Overtime on workdays shall be paid 1.5 times the basic wage, overtime on weekends shall be paid double the basic wage, and overtime on statutory holidays shall be paid at three times the basic wage to employees. At present, overtime work is an extremely rare occurrence.
10.9a-b Informing Workers of Rights	Conformance	The Entity has developed an employee handbook, employee rights management procedures, and informed employees of their freedom of association and collective bargaining rights through training, regular meetings, and other means.
11. OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Management System	Minor Non-Conformance	The Entity is ISO 45001 certified and conducts an annual review of its OH&S Management System, or when there are any changes in the enterprise that result in substantial changes in OH&S risks. Internal and external audits are conducted annually. Although the Entity has established an OH&S Management System, a safety hazard was discovered during the Audit on-site inspections.
11.1b-e Occupational Health and Safety (OH&S)	Conformance	The Entity obtains a system certification certificate. Require at least one annual review of the Management System; Review the OH&S Management System when there are any changes in the enterprise

CRITERION	RATING	COMMENT
Management System - Reviews and disclosure		that result in substantial changes in OH&S risks; When there are operational control deficiencies, the system should be reviewed. Currently, internal and external audits are conducted once a year. Analyse the effectiveness of the OH&S Management System annually, and publish the results via: https://unisonal.sansg.com/uploads/files/%E4%BF%A1%E6%81%AF%E6%8A%AB%E9%9C%B2/%E8%81%8C%E4%B8%9A%E5%81%A5%E5%BA%B7%E5%AE%89%E5%85%A8%E5%90%8C%E8%A1%8C%E4%B8%9A%E5%AF%B9%E6%AF%94%E6%8A%A5%E5%91%8A.pdf
11.2 Employee engagement on Health and Safety	Conformance	The Entity has established a safety committee with representation from frontline employees, involving frontline personnel in hazard identification and incident handling. Occupational Health and Safety (OH&S) performance is monitored monthly.

ASI LIMITATION OF LIABILITY DISCLAIMER

DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	5 May 2023	Initial Certification Audit – Full Certification
1	14 January 2025	Surveillance Audit
2	28 July 2026	Re-Certification Audit and Scope Change