

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

Chalco Ruimin Co., Ltd.

CERTIFICATE NUMBER
92

ASI STANDARD
**PERFORMANCE
STANDARD
(V3.1 2023)**

CERTIFICATION LEVEL
**FULL
CERTIFICATION**

ASI ACCREDITED
AUDITING FIRM
**CHINA STANDARD
CONFORMITY ASSESSMENT
CO., LTD. (CSCA)**

DATE OF ISSUE
21 AUGUST 2026

DATE OF EXPIRY
20 AUGUST 2029

CERTIFIED SINCE
21 AUGUST 2020

AUTHORISED BY

A stylized, handwritten signature in black ink, appearing to be 'J. Hu' or similar, with a long horizontal line extending to the right.

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
info@aluminium-stewardship.org

*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at*
www.aluminium-stewardship.org

CERTIFICATION SCOPE

Chalco Ruimin manufactures and
sells Aluminium Can Body Stock,
Aluminium materials for electronics
applications, Aluminium materials
for high-end offset plate and
Aluminium materials for
transportation.

The main processes include
remelting and casting, hot rolling,
cold rolling and finishing carried
out at two plants located at 8
Luoxingta Road, Mawei, Fuzhou,
Fujian, China and Connecting Line
of Jianghua Avenue, Lianhua
District, Huaqiao Farm, Jiangjing
Town, Fuqing, Fuzhou, Fujian, China.

AUDIT REPORT PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	Chalco Ruimin Co., Ltd.
ENTITY NAME	Chalco Ruimin Co., Ltd.
CERTIFICATION SCOPE	Chalco Ruimin manufactures and sells Aluminium Can Body Stock, Aluminium materials for electronics applications, Aluminium materials for high-end offset plate and Aluminium materials for transportation. The main processes include remelting and casting, hot rolling, cold rolling and finishing carried out at two plants located at 8 Luoxingta Road, Mawei, Fuzhou, Fujian, China and Connecting Line of Jianghua Avenue, Lianhua District, Huaqiao Farm, Jiangjing Town, Fuqing, Fuzhou, Fujian, China.
SUPPLY CHAIN ACTIVITIES	- Aluminium Re-melting/Refining - Casthouses - Semi-Fabrication
ASI STANDARD	Performance Standard V3.1
AUDIT TYPE	- Initial Certification Audit (28 June – 1 July 2020) - Surveillance Audit (18 – 20 July 2022) - Re-Certification Audit and Scope Change Audit (23 – 25 May 2023) - Surveillance Audit and Scope Change (27 – 30 May 2025) - Re-Certification Audit (12 – 15 May 2026)
AUDIT FIRM	China Standard Conformity Assessment CO., LTD. (CSCA)
AUDIT DATE	28 June – 1 July 2020 (Initial Certification Audit) 18 – 20 July 2022 (Surveillance Audit) 23 – 25 May 2023 (Re-Certification and Scope Change Audit) 27 – 30 May 2025 (Surveillance Audit and Scope Change) 12 – 15 May 2026 (Re-Certification Audit)
AUDIT REPORT SUBMISSION	7 August 2020 (Initial Certification Audit) 31 August 2022 (Surveillance Audit) 28 July 2023 (Re-Certification Audit and Scope Change Audit) 11 August 2025 (Surveillance Audit and Scope Change) 3 July 2026 (Re-Certification Audit)
AUDIT SCOPE	<u>Initial Certification Audit (28 June – 1 July 2020)</u> The Audit Scope covered one plant at 8 Luoxingta Road, Mawei, Fuzhou, Fujian, China and the other plant at Connecting Line of Jianghua Avenue, Lianhua District, Huaqiao Farm, Jiangjing Town, Fuqing, Fuzhou, Fujian, China. Supply chain activities included in the Audit Scope:

- Casthouses
- Material Conversion (Production and Transformation)

All relevant criteria in the ASI Performance Standard were included in the Audit Scope.

Surveillance Audit (18 – 20 July 2022)

The Audit Scope covered Chalco Ruimin's two plants: Mawei, Fuzhou, Fujian, China and at Fuqing, Fujian, China.

Supply chain activities included in the Audit Scope:

- Casthouses
- Material Conversion (Production and Transformation)

All relevant criteria in the ASI Performance Standard were included in the Audit Scope.

Re-Certification and Scope Change Audit (23 – 25 May 2023)

The Audit Scope covered the operations of Chalco Ruimin ASI Management System and Chalco Ruimin's two plants: Mawei, Fuzhou, Fujian, China and at Fuqing, Fujian, China.

Supply chain activities included in the Audit Scope:

- Aluminium Re-melting/Refining
- Casthouses
- Material Conversion

All relevant criteria in the ASI Performance Standard were included in the Audit Scope.

Surveillance Audit and Scope Change (27 – 30 May 2025)

The Audit Scope covered the operations of Chalco Ruimin ASI Management System and Chalco Ruimin's two plants: Mawei, Fuzhou, Fujian, China and at Fuqing, Fujian, China.

Supply chain activities included in the Audit Scope:

- Aluminium Re-melting/Refining
- Casthouses
- Semi-Fabrication

All relevant criteria in the ASI Performance Standard were included in the Audit Scope.

Re-Certification Audit (12 – 15 May 2026)

The Audit Scope covered the operations of Chalco Ruimin ASI Management System and Chalco Ruimin's two plants: Mawei, and at Fuqing both located in Fujian, China.

Supply chain activities included in the Audit Scope:

- Aluminium Re-melting/Refining
- Casthouses
- Semi-Fabrication

All relevant criteria in the ASI Performance Standard were included in the Audit Scope.

AUDIT OUTCOME

- Certification

AUDIT METHODOLOGY
DECLARATION

The Auditors confirm that:

- ☒ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
- ☒ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.

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- ☑ The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
 - ☑ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
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CERTIFICATION PERIOD	21 August 2026 – 20 August 2029
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NEXT AUDIT TYPE	Surveillance Audit
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NEXT AUDIT DATE	20 August 2028
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CERTIFICATE NUMBER	92
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If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Chalco Ruimin Co., Ltd. (the 'Entity') manufactures and sells Aluminium Can Body Stock, Aluminium materials for electronics applications, Aluminium materials for high-end offset plate and Aluminium materials for transportation. The main processes include remelting and casting, hot rolling, cold rolling, and finishing.

The Entity employees approximately employs 1330 people and owns two plants: One plant is located at 8 Luoxingta Road, Mawei, Fuzhou, Fujian, China and the other plant is at the connecting line of Jianghua Avenue, Lianhua District, Huaqiao Farm, Jiangjing Town, Fuqing, Fuzhou, Fujian, China.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of Systems, Residual Risk and Performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	High	Medium	Medium	MEDIUM
RISKS	Medium	Medium	Medium	MEDIUM
PERFORMANCE	Medium	Medium	Medium	MEDIUM
OVERALL	MEDIUM			

FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	The Entity has established and implemented Policies, systems, procedures, and workflows consistent with the legal compliance requirements of the ASI Performance Standard. Internal mechanisms have been developed to monitor applicable legal requirements and ensure full compliance.
1.2 Anti-Corruption	Conformance	The Entity has established and implemented comprehensive Policies and procedures, including an Anti-Corruption Management Procedure, to identify and mitigate Corruption risks. The Entity maintains a zero-tolerance stance toward all forms of Corruption, including Bribery and extortion, in Compliance with Applicable Law and industry standards. Disciplinary records confirm that no Corruption incidents have been recorded since 2025.
1.3a-e Code of Conduct	Conformance	The Entity has established and implemented a Code of Conduct addressing a comprehensive set of Environmental, Social and Governance (ESG) principles. To promote understanding across its supply chain and Business ecosystem, the Entity has implemented targeted training and outreach initiatives to ensure suppliers and Business partners understand the Code's requirements. The Code is reviewed annually, with ad hoc reviews conducted when Material changes in ESG risks arise or internal control deficiencies are identified. The Entity's Code of Conduct is available at: https://zirm.chinalco.com.cn/shzr/dwpldxx/202505/t20250526_148039.html
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Conformance	The Entity has established an ESG Policy which has been communicated to all employees. The Policy is available at: https://zirm.chinalco.com.cn/shzr/dwpldxx/202505/t20250526_148038.html The Entity commits to reviewing the Policy annually, with additional reviews conducted when Business changes result in Material changes to ESG risks or when internal control deficiencies are identified.
2.2a-c Leadership	Conformance	The Entity has appointed a Senior Management Committee member as the Management Representative, responsible for developing and implementing the management Policy and communicating ASI-related Policies across the Entity. A cross-functional ASI working group has also been established to oversee the implementation of ASI Policies throughout the Entity. Relevant training is provided to all staff to promote awareness and understanding of ASI Policies and supporting management procedures.
2.3a Environmental and Social Management Systems – Environmental	Conformance	The Entity has implemented and documented a comprehensive Environmental Management System certified to ISO 14001:2015. The most recent audit, conducted in April 2025, confirmed conformance with the standard, with no major non-conformities identified. All minor non-conformities that were identified during the audit have now been rectified and closed.

CRITERION	RATING	COMMENT
2.3b Environmental and Social Management Systems – Social	Conformance	The Entity has established and implemented a Social Management System in accordance with the ASI Performance Standards. It has identified and assessed social and Occupational Health and Safety (OH&S) impacts and developed relevant procedures to prevent and/or mitigate these impacts.
2.4a-e Responsible Sourcing	Conformance	The Entity has established and implemented Policies, systems, procedures, and processes in accordance with responsible procurement requirements. Its Responsible Procurement Policy is available at: https://zlrn.chinalco.com.cn/shzr/dwpldxx/202505/t20250508_146944.html The Entity's ASI document control procedures indicate that the Procurement Policy is reviewed annually during the management review meeting. The Entity also commits to reviewing the Policy when Material changes to Business operations may affect ESG risks, or when control deficiencies are identified.
2.5a-g Environmental and Social Impact Assessments	Conformance	The Entity has documented and implemented procedures to assess and manage social, environmental, OH&S, and governance risks associated with New Projects or Major Changes. It follows Environmental and Social Impact Assessment (ESIA) requirements to evaluate and mitigate operational impacts. In 2021, the Entity obtained government approval to construct casting Facilities within its existing premises in the industrial park. The project was completed and commissioned in mid-2024 following a comprehensive ESIA that considered both current and historical impacts associated with Aluminium-related activities. Based on the ESIA findings, the Entity has developed and implemented an Environmental and Social Management Plan (ESMP) to address identified risks. The Entity monitors ESMP implementation through regular management reviews, environmental monitoring, and community feedback. It has also disclosed environmental and social performance through official platforms to promote transparency and accountability. The Entity's ESMP and ESIA are available at: https://zlrn.chinalco.com.cn/shzr/hjbh/202503/t20250312_144278.html https://zlrn.chinalco.com.cn/shzr/dwpldxx/202608/t20260811_175352.html https://zlrn.chinalco.com.cn/shzr/dwpldxx/202608/t20260811_175351.html
2.6a-h Human Rights Impact Assessment	Conformance	The Entity has established formal procedures to assess and manage Human Rights and Business ethics risks and implemented relevant controls in accordance with the ASI Performance Standard and Applicable Law. A Human Rights Management Plan has been developed and implemented. The Entity's Human Rights Impact Assessment Report and management plans are available at: https://zlrn.chinalco.com.cn/shzr/shzrbg/202504/t20250411_145644.html In addition to annual reviews, senior management will reassess the plans when Material Business changes affect Human Rights risks or when control deficiencies are identified, to ensure their continued effectiveness.

CRITERION	RATING	COMMENT
2.7a-f Emergency Response Plan	Minor Non-Conformance	The Entity holds valid ISO 14001:2015 and ISO 45001:2018 Certifications. Emergency response plans have been developed and implemented for both Facilities, with personnel training and drill records verified during the Audit. Emergency plans for environmental incidents have been filed with local environmental authorities and publicly disclosed. The Entity has also provided these plans to surrounding communities upon request. The emergency response plans for the Fuqing and Mawei Facilities are available at: https://zirm.chinalco.com.cn/shzr/hjbh/202506/t20250613_149223.html https://zirm.chinalco.com.cn/shzr/hjbh/202506/t20250613_149222.html https://zirm.chinalco.com.cn/shzr/hjbh/202506/t20250613_149221.html https://zirm.chinalco.com.cn/shzr/hjbh/202506/t20250613_149220.html During the on-site Audit, however, it was identified that the Fuqing Plant has adopted the 2025 version of its Work Safety Emergency Response Plan, while the version published on the website remains the 2022 edition. This indicates that the publicly disclosed document has not been updated in a timely manner.
2.8a-d Suspended Operations	Conformance	The Entity has established a Business Continuity and Recovery Plan to address operational disruptions or Material changes arising from external factors beyond its control. The Entity commits to complying with all Applicable Law and internal Policies governing staff layoffs and to conducting consultations with employee representative bodies where applicable. The Plan is formally reviewed annually, with ad hoc reviews conducted when Business changes result in Material ESG risks or control deficiencies. No operational suspension incidents have occurred in the past three years.
2.9a-b Mergers and Acquisitions	Conformance	The Entity has established a mergers and acquisitions management procedure within its Environmental, Social and Governance (ESG) Management Procedures for Enterprise Closure, Decommissioning and Divestment, in accordance with the ASI Performance Standard. Senior management commits to conducting comprehensive ESG Due Diligence for any future merger or acquisition transactions and reviewing relevant ESG performance against the ASI Performance Standard. As a state-owned enterprise, the Entity has not undertaken any such transactions in the past three years.
2.10a-b Closure, Decommissioning and Divestment	Conformance	The Entity has established a formal management procedure for site closure, decommissioning, and divestment in accordance with the ASI Performance Standard. The Entity has not undertaken any site closure, decommissioning, or divestment activities in the past three years.
3. TRANSPARENCY		
3.1a-b Sustainability Reporting	Conformance	The Entity has established and implemented a formal materiality assessment process to identify key Stakeholder concerns, including air emissions and Hazardous Waste management. Management measures and performance data for all Material topics have been disclosed in the Entity's annual Sustainability and Social Responsibility Reports, available at: https://zirm.chinalco.com.cn/shzr/dwpldxx/202605/t20260522_169975.html https://zirm.chinalco.com.cn/shzr/shzrbg/202606/t20260604_170946.html

CRITERION	RATING	COMMENT
3.2 Non-compliance and Liabilities	Conformance	The Entity has disclosed information on legal non-compliance sanctions in its Sustainability Report and ASI Compliance and Fund Payment Information Disclosure. The 2025 Compliance and Payment Information Disclosure Report confirms that the Entity incurred no Material fines or penalties. The Report is available at: https://zirm.chinalco.com.cn/shzr/dwpldxx/202605/t20260521_169828.html
3.3a-c Payments to Governments	Conformance	The Entity has only made payments to governments in accordance with applicable legal and contractual requirements. Details of these payments are disclosed in the Annual Sustainability Report and the 2025 ASI Compliance and Payment Information Disclosure Report, available at: https://zirm.chinalco.com.cn/shzr/dwpldxx/202605/t20260522_169975.html https://zirm.chinalco.com.cn/shzr/dwpldxx/202605/t20260521_169828.html
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	The Entity has developed a complaints-handling mechanism, available at: https://zirm.chinalco.com.cn/shzr/dwpldxx/202608/t20260810_175307.html Complaints, grievances, and Stakeholder feedback are reviewed during the annual ASI management review, and no Material complaints have been received. The Entity will also conduct ad hoc reviews when Business changes result in Material ESG risks or control deficiencies.
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Minor Non-Conformance	The Entity has conducted a Life Cycle Assessment (LCA) of its main Aluminium sheets and strips in accordance with ISO 14040 and ISO 14044. The assessment follows a cradle-to-gate approach and covers key production processes, including raw material procurement, remelting, casting, and the hot and cold rolling of Aluminium alloy sheets and strips. The results indicate that raw material acquisition is the dominant contributor across all environmental impact categories, accounting for 66.92%–98.51% of the total impacts. The Entity has completed the life cycle impact assessment and prepared a formal LCA report. The full report summary is available at: https://zirm.chinalco.com.cn/shzr/hjbh/202507/t20250724_152232.html The summary covers key information, including the assessment scope, results, sensitivity analysis, and conclusions. The Entity commits to providing comprehensive cradle-to-gate LCA data for Aluminium Products upon customer request or where contractually required. Some emission factors for Material emissions, however, such as flat ingot, are sourced from international databases representing global or rest-of-world averages, which may not accurately reflect the Entity's actual operating context in China.
4.1b-c Environmental Life Cycle Assessment - Disclosure	Conformance	The Entity has conducted a LCA for its Aluminium sheets and strips and prepared an LCA report. A summary of the LCA report is available at: https://zirm.chinalco.com.cn/shzr/hjbh/202507/t20250724_152232.html The summary covers key information, including the assessment scope, results, sensitivity analysis, and conclusions. The Entity commits

CRITERION	RATING	COMMENT
		to providing comprehensive cradle-to-gate LCA information for Aluminium Products upon customer request or contractual requirement.
4.2 Product Design	Conformance	The Entity has integrated targets for raw material procurement and Recycled Aluminium utilisation into its Product design and development processes. In production process design, it prioritises energy consumption management, increased use of renewable energy, Waste reduction, and recycling. These initiatives aim to enhance Product sustainability and minimise environmental impacts throughout the life cycle of finished Products.
4.3a-b Aluminium Process Scrap	Conformance	The Entity has set a target of 100% collection, recycling, and reuse of Process Scrap. Dedicated metal management procedures have been developed to support the achievement of this target. A range of technical and management measures have been implemented to reduce Aluminium Process Scrap across operations. The Entity has been on track to achieve its 100% scrap collection, recycling, and reuse target.
4.4a-c Collection and Recycling of Products at End of Life – Material Conversion and other Manufacturing	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.4d Collection and Recycling of Products at End of Life	Conformance	There is no established local, regional, or national collection and recycling system for Aluminium Scrap in China. Nevertheless, the Entity has collaborated with its customers to explore ways to increase the recycling rate of end-of-life Products. The Entity has disclosed its plan and target to use 180,000 tonnes of Recycled Aluminium annually from 2025 to 2027, available at: https://zirm.chinalco.com.cn/shzr/dwpldxx/202507/t20250728_152417.html The Entity reviews its recycling strategy at least once every five years.
5. GREENHOUSE GAS EMISSIONS		
5.1a-b Disclosure of GHG Emissions and Energy Use	Minor Non-Conformance	The Entity has calculated its 2025 Greenhouse Gas (GHG) emissions in accordance with ISO 14064, with defined emission boundaries and sources. Scope 1, Scope 2, and Scope 3 GHG emissions have all been quantified. The GHG emissions calculation report covers annual emissions and energy consumption by source and has been independently verified by a third party. The third-party verification statement for the Entity's 2025 GHG emissions is available at: https://zirm.chinalco.com.cn/shzr/hjbh/202604/t20260409_166913.html The Entity's energy consumption data is available at: https://zirm.chinalco.com.cn/shzr/hjbh/202604/t20260409_166902.html Some emission factors used for Material emissions, such as Aluminium ingots, in the provided GHG Verification Report, however, appear to be inappropriately sourced and may not accurately represent the Entity's actual emissions profile.
5.2a Aluminium Smelter GHG Emissions Intensity –	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
Started production after 2020		
5.2b Aluminium Smelter GHG Emissions Intensity – In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a GHG Emissions Reduction Plans	Conformance	Committed to green and low-carbon development and aligned with the 1.5°C global warming scenario, the Entity has established a GHG Emissions Reduction Plan using 2024 as the baseline year. The plan has been implemented in accordance with the ASI Decarbonisation Pathway tool. During the Audit, it was identified that the Entity's emission reductions exceeding those calculated using ASI's GHG pathway tools. A summary of the Entity's GHG Emissions Reduction Plan and decarbonisation roadmap is available at: https://zlrm.chinalco.com.cn/shzr/hjbh/202604/t20260409_166902.html
5.3b-e GHG Emissions Reduction Plans – Targets, review and disclosure	Conformance	The Entity's GHG Emissions Reduction Pathway has established annual GHG emissions targets for each year from 2025 through 2050, covering GHG emissions intensity from production processes (Scope 1 and Scope 2) and purchased Aluminium materials (Scope 3, Category 1). The Entity has also disclosed its Intermediate Targets for GHG emissions reduction. A summary of the Entity's latest GHG Emissions Reduction Plan and decarbonisation roadmap is available at: https://zlrm.chinalco.com.cn/shzr/hjbh/202604/t20260409_166902.html The Entity has established a mechanism to review its GHG Emissions Reduction Plan annually and adjust the reduction pathway as necessary.
5.4 GHG Emissions Management	Conformance	To achieve its GHG emissions reduction targets, the Entity has established and implemented review and management plans and invested in technological innovation projects to improve energy efficiency and reduce GHG emissions.
6. EMISSIONS, EFFLUENTS AND WASTE		
6.1a-f Emissions to Air	Conformance	The Entity has identified, assessed, and quantified significant atmospheric emissions from its operations in accordance with its Environmental Management System and Applicable Law and regulations. It has established control plans to minimise exposure to and impacts from air pollutants. The effectiveness of these measures is regularly monitored, and the plans are periodically reviewed through internal audits and management reviews. The Entity has disclosed information on its Environmental Management System performance, pollutant discharge data, monitoring results, and the operational status of environmental protection Facilities, available at: https://permit.mee.gov.cn/perxxgkinfo/xkgkAction!xkgk.action?xkgk=ge txgkContent&dataid=92db108b8d4847b6a5a7f267ddd6c19d

CRITERION	RATING	COMMENT
		https://permit.mee.gov.cn/perxxgkinfo/xkgkAction!xkgk.action?xkgk=ge txgkContent&dataid=a209797adbe54e2a8584f98e29025e50 The disclosure page for pollutant discharge information and emissions reduction plans is available at: https://zlrn.chinalco.com.cn/shzr/hjbh/202506/t20250613_149225.html
6.2a-g Discharges to Water	Conformance	The Entity has identified, assessed, and quantified water pollutants discharged from its operations in accordance with its Environmental Management System and Applicable Law and regulations. It has implemented control plans to minimise exposure and adverse impacts from wastewater discharges. The Entity continuously monitors the effectiveness of these control measures and conducts regular reviews when Major Changes occur or non-conformities are identified. Monitoring reports confirm that no wastewater discharges exceeding legal limits has been recorded over the past three years. The Entity has disclosed information on its Environmental Management System performance, pollutant discharge data, monitoring results, environmental protection Facility operations, and wastewater discharge control plans, available at: https://permit.mee.gov.cn/perxxgkinfo/xkgkAction!xkgk.action?xkgk=ge txgkContent&dataid=92db108b8d4847b6a5a7f267ddd6c19d https://permit.mee.gov.cn/perxxgkinfo/xkgkAction!xkgk.action?xkgk=ge txgkContent&dataid=a209797adbe54e2a8584f98e29025e50 https://zlrn.chinalco.com.cn/shzr/hjbh/202506/t20250613_149225.html
6.3a-g Assessment and Management of Spills and Leakages	Conformance	The Entity has assessed operational risk areas where oil Spills and Leakages could cause air, water, or soil pollution in accordance with the risk assessment procedures of its Environmental Management System. Management plans have been developed and implemented for both Facilities. The Entity reviews these plans as necessary following oil Spills, Leakages, or major operational changes. The Leakage Identification and Risk Assessment Report is available at: https://zlrn.chinalco.com.cn/shzr/hjbh/202504/t20250418_146084.html The latest versions of the emergency response plans for environmental incidents are available at: https://zlrn.chinalco.com.cn/shzr/hjbh/202506/t20250613_149221.html https://zlrn.chinalco.com.cn/shzr/hjbh/202605/t20260528_170404.html
6.4a-b Public Disclosure of Spills and Leakages	Conformance	The Entity has established emergency response plans specifying procedures for reporting Spills and Leakages, as well as communication protocols with Affected Peoples and Organisations. Impact assessments and remedial actions for Spills or Leakages have been disclosed in its Annual Social Responsibility Report. No major Spills or Leakages have occurred in the past three years. The Entity's 2025 Annual Social Responsibility Report is available at: https://zlrn.chinalco.com.cn/shzr/shzrbg/202606/t20260604_170946.html
6.5a-c Waste Management and Reporting	Conformance	The Entity manages Waste in accordance with its Environmental Management System and has implemented Waste management strategies based on the Waste hierarchy. It has minimised significant environmental impacts by reusing and recycling Waste wherever feasible.

CRITERION	RATING	COMMENT
		The Entity has established and implemented the Standards for Pollution Control on the Storage of Hazardous Wastes and the Management System for the Prevention and Control of Solid Waste Pollution, and manages Hazardous Waste in accordance with Applicable Law and regulations. Data on Hazardous and Non-Hazardous Waste generated by the Entity in 2025 is available at: https://zirm.chinalco.com.cn/shzr/hjbh/202605/t20260528_170400.html
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Conformance	Dross is classified as Hazardous Waste. The Entity manages the collection, labelling, and storage of Dross in accordance with applicable legal requirements. No Leakage has been observed or reported. Aluminium recovered from Dross residues has been recycled in the melting furnaces, while the remaining residues have been sent to licensed suppliers for disposal. The suppliers hold all required licences and permits for Dross disposal. The Entity purchases the recovered Aluminium and Dross residues. The Entity's supplier visit report indicates that no Dross residues have been sent to landfill.
7. WATER STEWARDSHIP		
7.1a-b Water Assessment and Disclosure	Conformance	The Entity has identified its water sources and recorded water withdrawal and consumption data accordingly. It has assessed water-related risks, considering the surrounding water environment, water withdrawal and discharge activities, and the effectiveness of existing management measures. The assessment concludes that water-related risks are low, with no major water-related risks identified within the Entity's Area of Influence. The Entity has developed a 2023–2025 Water Withdrawal and Consumption Disclosure Report, available at: https://zirm.chinalco.com.cn/shzr/dwpldxx/202606/t20260609_171159.html
7.2a-e Water Management	Not Applicable	This Criterion is not applicable to the Entity, as there is no Material water-related risk identified in its Area of Influence.
8. BIODIVERSITY AND ECOSYSTEM SERVICES		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Conformance	The Entity's Biodiversity and Ecosystem Services risk and impact assessments have been incorporated into an Environmental Impact Assessment (EIA) conducted by a qualified third party. The EIA report was approved by the local ecological and environmental authority and confirms that no Biodiversity-sensitive areas are located within the Entity's Area of Influence. Both production Facilities operate under a valid Environmental Management System, and Biodiversity-related risks have been assessed as low. The Entity's Biodiversity Risk Assessment Report is available at: https://zirm.chinalco.com.cn/shzr/hjbh/202504/t20250418_146083.html

CRITERION	RATING	COMMENT
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity, as the risks and potential impacts on biodiversity have been assessed and documented as low in the Environmental Impact Assessment report approved by the local environmental protection authority. No Priority Ecosystem Services have been identified.
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable to the Entity, as the risks and potential impacts to Biodiversity identified have been assessed as low.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity, as no Priority Ecosystem Services have been identified.
8.4 Alien Species	Conformance	The Entity's Biodiversity Risk Assessment Report has identified pallets used for Product packaging as the only potential source of Alien Species introduction. The pallets have been treated to prevent the introduction of Alien Species.
8.5a-b Commitment to "No Go" in World Heritage Properties	Conformance	The Entity has made a 'No Go' commitment regarding World Heritage Properties. Consultations with the local ecological and environmental authorities confirmed that no World Heritage Properties are located within the Entity's Area of Influence.
8.6a-d Protected Areas	Conformance	Reviews of the Entity's Environmental Impact Assessment and official supporting documents, along with consultations with the local Environmental Protection Bureau, confirmed that there are no Protected Areas within the Entity's Area of Influence. The Entity complies with relevant requirements and maintains regular communication with the relevant authorities. No adverse impacts have been identified or recorded.
8.6e Protected Areas - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9. HUMAN RIGHTS		
9.1a-d Human Rights Due Diligence	Conformance	The Entity's Code of Business Conduct commits to respecting Human Rights, promoting gender equality, and aligning with the UN Guiding Principles on Business and Human Rights. Its Human Rights Impact Assessment Report details community engagement and grievance-handling mechanisms, available at: https://zlrm.chinalco.com.cn/shzr/shzrbg/202504/t20250411_145644.html The Entity's public feedback and consultation mechanisms have enabled engagement with nearby communities. Reviews of relevant documents and complaints identified no Material adverse Human Rights impacts. The Entity commits to addressing reported issues in accordance with Applicable Law and supporting remediation where required.
9.2a-e Gender Equity and Women's Empowerment	Conformance	The Entity has established and implemented a Management Procedure for Protecting Female Employees and is committed to promoting gender equality and women's empowerment. Its Policies and practices on gender equality and the protection of female

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		employees' rights have been published in the Statement on Gender Equality and Protection of Women Employees' Rights, available at: https://zirm.chinalco.com.cn/shzr/shzrbg/202504/t20250411_145648.html Additional details are available in its Annual Social Responsibility Report and Sustainability Report at: https://zirm.chinalco.com.cn/shzr/shzrbg/202606/t20260604_170946.html https://zirm.chinalco.com.cn/shzr/dwpldxx/202605/t20260522_169975.html No complaints related to gender equality have been received since the previous Audit.
9.3a-i Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity, as no Indigenous Peoples have been identified within the Entity's Area of Influence. The Entity has, however, established and implemented Policies and processes to respect the rights and interests of Indigenous Peoples.
9.4a Free, Prior, and Informed Consent (FPIC) - New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples within the Entity's Area of Influence.
9.4b Free, Prior, and Informed Consent (FPIC) - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4c Free, Prior, and Informed Consent (FPIC) - Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples within the Entity's Area of Influence.
9.5a Cultural and Sacred Heritage – Identification	Conformance	The Entity has established a dedicated procedure to identify cultural and sacred heritage sites and assess related risks to mitigate potential adverse impacts. All new and existing projects have undergone Environmental and Social Impact Assessments. To date, no cultural or sacred heritage sites have been identified within the Entity's Area of Influence.
9.5b Cultural and Sacred Heritage – Impacts	Not Applicable	This Criterion is not applicable to the Entity, as the Environmental and Social Impact Assessment reports demonstrate that there are no sacred or cultural heritage sites within the Entity's Area of Influence. No Indigenous Peoples or their lands, territories, or resources have been identified.
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity, as no physical or economic displacement has been required, including for the new casting project. The Entity has established New Project Investment and Development Management Procedures, and all project developments require approval from the relevant local authorities. All projects are located within an industrial zone developed by the local Government.
9.7a-h Affected Populations and Organisations	Conformance	The Entity has established a Stakeholder engagement mechanism to identify key concerns raised by Affected Peoples and Organisations. Action plans have been developed to address these concerns and are reviewed annually to drive targeted improvements.

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		Implementation progress and outcomes are disclosed in the Entity's Annual Sustainability Report, Annual Social Responsibility Report, and Annual Pollutant Emission Report and Reduction Plan. The Annual Sustainability Report is available at: https://zirm.chinalco.com.cn/shzr/dwpldxx/202605/t20260522_169975.html The Annual Social Responsibility Report is available at: https://zirm.chinalco.com.cn/shzr/shzrbg/202606/t20260604_170946.html The Annual Pollutant Emission Report and Reduction Plan is available at: https://zirm.chinalco.com.cn/shzr/hjbh/202605/t20260528_170401.html
9.8a Conflict-Affected and High-Risk Areas – Strong management systems	Conformance	The Entity has implemented a Supply Chain Policy and Management System, with established responsibilities and resources, information-gathering processes, and supplier engagement requirements. It has also established and implemented an ASI Code of Conduct across its supply chain and conducted risk assessments and social responsibility audits covering the entire supply chain.
9.8b Conflict-Affected and High-Risk Areas – Identify and assess risks	Conformance	The Entity has established and implemented an ASI Code of Conduct across its supply chain. It has conducted risk assessments and social responsibility audits covering the entire supply chain. The Entity confirms that no conflict minerals have been used and that no raw materials are currently sourced from Conflict-Affected and High-Risk Areas (CAHRAs).
9.8c Conflict-Affected and High-Risk Areas – Strategy to respond to risks	Conformance	The Entity has established and implemented a conflict minerals management program, including regular identification and assessment of risks associated with the use of conflict minerals in its Products and production processes. Measures such as suspending cooperation, returning goods, and imposing penalties have been developed to mitigate and eliminate such risks. If any conflict minerals are identified within the supply chain, the Entity will terminate cooperation with the relevant supplier and take appropriate actions to eliminate the use of conflict minerals.
9.8d Conflict-Affected and High-Risk Areas – Audit of due diligence	Conformance	The Entity's Due Diligence processes were included in the scope of this ASI Performance Standard Certification Audit, which fully addresses this requirement. Risk assessment records and supplier audit reports have been reviewed as part of the Audit process. No critical issues were identified, and the overall risk is assessed as low. The Entity has developed a continuous improvement plan.
9.8e Conflict-Affected and High-Risk Areas – Report annually	Conformance	Information on the Entity's Due Diligence, including supply chain Due Diligence performance and practices for managing conflict materials, has been disclosed in the Entity's Annual Supply Chain Due Diligence Report, available at: https://zirm.chinalco.com.cn/shzr/dwpldxx/202605/t20260512_169052.html
9.9 Security practice	Conformance	The Entity has established and implemented security management procedures. All security personnel are direct employees of the Entity. The Entity commits to respecting Human Rights in all security operations. Body searches are prohibited, and security personnel are

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		required to perform their duties using humane methods. All security personnel are aware of their responsibilities and required to uphold Human Rights standards. No grievances or complaints related to security activities have been received.
10. LABOUR RIGHTS		
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	This Criterion is not applicable to the Entity, as it complies with the Applicable Law regarding Freedom of Association and Collective Bargaining in China.
10.1d Freedom of Association and Right to Collective Bargaining - Alternative means in context of Applicable Law	Conformance	The Entity has established a Policy respecting Freedom of Association and has implemented an employee representative committee, with representatives freely elected by employees. Senior management is committed to respecting the rights to Freedom of Association and Collective Bargaining. Workers' representatives are elected every five years, and the Workers' Representative Congress meets annually. Workers' concerns are communicated to and discussed with senior management through regular meetings. A Collective Bargaining Agreement has been developed.
10.2a-c Child Labour	Conformance	The Entity has established a Policy prohibiting Child Labour, supported by age verification protocols and specific protection procedures for young Workers. No child labourers or young Workers have been employed within the Entity's operations. Under Chinese law, Child Labour is prohibited, and the statutory minimum working age is 16.
10.3a-c Forced Labour	Conformance	The Entity has established and implemented a Policy prohibiting Forced Labour and Human Trafficking. It commits to complying with applicable requirements and requires all suppliers to prohibit Forced Labour, slavery, and Human Trafficking. No illegal wage deductions, debt bondage, or other forms of Forced Labour have been identified or reported within the Entity. The Policy and its implementation have been documented in the Entity's Code of Conduct and Responsible Procurement Policy. The annual Anti-Slavery and Anti-Human Trafficking Statement is available at: https://zirm.chinalco.com.cn/shzr/shzrbg/202504/t20250411_145647.html
10.4a-c Non-Discrimination	Conformance	The Entity has maintained a non-Discrimination Policy, with no Discrimination incidents recorded to date. Recruitment advertisements and staff training materials prescribe that employment decisions are based solely on job-related qualifications and competence, without regard to irrelevant personal characteristics. A formal Employee Handbook has been issued to standardise these requirements. Male and female employees interviewed confirmed that they receive equal treatment and that equal pay for equal work has been implemented.
10.5 Communication and engagement	Conformance	Workers have maintained direct and regular communication with employee representatives. Multiple grievance channels are available for employees to raise concerns regarding working conditions, workplace disputes, and remuneration, with protection against retaliation, intimidation, or Harassment. The Entity also holds regular joint meetings involving senior management, the Labour Union, and employee representatives.

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10.6a-g Violence and Harassment	Conformance	The Entity has established formal Policies prohibiting Harassment and bullying, which are also incorporated into the Collective Bargaining Agreements signed with the Labour Union. All disciplinary measures have been endorsed through employee representative meetings, comply with Applicable Law, and require confirmation by the employees concerned. The Entity has prepared and distributed relevant informational materials to all employees. Its Code of Conduct and ESG Policy have set out the applicable requirements, and all employees have received relevant training. The Entity's Code of Conduct is available at: https://zirm.chinalco.com.cn/shzr/dwpldxx/202505/t20250526_148039.html The ESG Policy is available at: https://zirm.chinalco.com.cn/shzr/dwpldxx/202505/t20250526_148038.html
10.7a-c Remuneration	Conformance	The Entity has signed standardised employment contracts with all employees in accordance with applicable regulations, specifying probation periods, contract terms, basic salaries, Overtime pay, and other relevant terms. The Entity's wage framework ensures that basic salaries exceed the local minimum wage. Overtime pay complies with legal requirements, and statutory allowances are provided. Total remuneration is sufficient to meet employees' basic living needs, and all employees have been enrolled in mandatory social insurance. Complete payroll records have been maintained, and wages are paid directly to employees' bank accounts on the 25th of the following month. Employees can access detailed information on salaries, allowances, Overtime pay, and deductions through the internal system.
10.8a-c Working Time	Conformance	Working hours have been tracked. Office staff work eight hours per day, five days per week. Most production Workers follow a four-team, three-shift schedule with eight-hour shifts, working six consecutive days followed by two days off. Working hours have been closely managed to ensure that all employees receive at least one rest day per week.
10.9a-b Informing Workers of Rights	Conformance	The Entity has informed all employees of their statutory labour rights and has established multiple communication and engagement channels across all production sites. The Entity complies with all applicable national laws and regulations in China.
11. OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Management System	Conformance	The Entity has established, implemented, maintained, and continuously improved its Occupational Health and Safety (OH&S) Management System. All production sites hold valid ISO 45001:2018 certifications. On-site inspections, document reviews, and interviews with management and employees confirmed the effectiveness of the OH&S Management System.
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Conformance	The Entity regularly reviews its OH&S Management System through monthly safety meetings, annual legal compliance assessments, annual ISO 45001:2018 internal audits, and management review meetings. Reviews are also initiated when control deficiencies are identified to determine appropriate corrective and preventive actions. Information on the achievement of corporate OH&S objectives and

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		key performance indicators, including benchmarking against peers and industry best practices, is available at: https://zirm.chinalco.com.cn/shzr/hjbh/202505/t20250526_148052.htm !
11.2 Employee engagement on Health and Safety	Conformance	The Entity's Health and Safety Committee includes Worker representatives and holds quarterly meetings to discuss OH&S concerns raised by employees and take appropriate action where necessary. A register has been maintained to record Workers' complaints and suggestions. All OH&S-related concerns and proposals have been investigated, assessed, and addressed accordingly.

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DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	21 August 2020	Issued -Initial Certification Audit
1	9 September 2022	Surveillance Audit
2	12 September 2023	Re-Certification Audit and Scope Change – Full Certification; Scope Change to apply ASI PS V3 including corresponding adjustment in the title of the supply chain activity to 'Material Conversion'. Scope Change to add the supply chain activity 'Aluminium Re-melting/Refining' to accurately reflect the Entity's activities
3	9 October 2025	Surveillance Audit and Scope Change; Scope Change to remove the supply chain activity 'Material Conversion' and add 'Semi-Fabrication' to accurately reflect the Entity's activities; Update to Document Control notes for Rev 2 to include change in supply chain activities
4	20 August 2026	Re-Certification Audit – Full Certification