

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

Chongqing Jiantao Aluminium Co., Ltd.

CERTIFICATE NUMBER

547

ASI STANDARD

PERFORMANCE
STANDARD
(V3.1 2023)

CERTIFICATION LEVEL

FULL
CERTIFICATION

ASI ACCREDITED
AUDITING FIRM

SGS-CSTC
STANDARDS
TECHNICAL
SERVICES

DATE OF ISSUE

5 AUGUST 2026

DATE OF EXPIRY

4 AUGUST 2029

CERTIFIED SINCE

5 AUGUST 2026

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. He'.

CERTIFICATION SCOPE

Remelting and casting to produce
Aluminium alloy ingots at
Chongqing Jiantao Aluminium Co.
Ltd., Fuling District, Chongqing,
China.

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
info@aluminium-stewardship.org

*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at*
www.aluminium-stewardship.org

AUDIT REPORT PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	Chongqing Jiantao Aluminium Co., Ltd.
ENTITY NAME	Chongqing Jiantao Aluminium Co., Ltd.
CERTIFICATION SCOPE	Remelting and casting to produce Aluminium alloy ingots at Chongqing Jiantao Aluminium Co. Ltd., Fuling District, Chongqing, China.
SUPPLY CHAIN ACTIVITIES	- Aluminium Re-melting/Refining - Casthouses
ASI STANDARD	Performance Standard V3.1
AUDIT TYPE	Initial Certification Audit
AUDIT FIRM	SGS-CSTC Standards Technical Services
AUDIT DATE	8 – 12 December 2025
AUDIT REPORT SUBMISSION	24 February 2026
AUDIT SCOPE	The Audit Scope included the remelting and casting to produce Aluminium alloy ingots at Chong Qing Jian Tao Aluminium Co. Ltd. Fuling District, Chongqing, China. Supply chain activities included in the Audit Scope: - Aluminium Re-melting/Refining - Casthouses All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.
AUDIT OUTCOME	Certification
AUDIT METHODOLOGY DECLARATION	The Auditors confirm that: ☒ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report. ☒ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous. ☒ The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope. ☒ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
CERTIFICATION PERIOD	5 August 2026 – 4 August 2029

NEXT AUDIT TYPE	Surveillance Audit
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NEXT AUDIT DATE	4 February 2028
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CERTIFICATE NUMBER	547
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If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Chongqing Jiantao Aluminium Co., Ltd. (the 'Entity') is a Circular Economy enterprise specialising in the production, research and development of Recycled Aluminium alloys. The Entity was established in 2007 in the Lidu Industrial Park, Fuling, Chongqing, China, over an area of approximately 20 hectares with a building footprint approximately 48,000 square metres (m²). There are currently over 500 employees at the Entity.

The Entity sources Aluminium Scrap from domestic and overseas sources to produce Aluminium alloy materials and Aluminium castings for industries including the automobile and motorcycle markets, general machinery and household appliances. Downstream customers are local and located within the surrounding area.

The Entity's total current capacity is 280,000 tonnes. The Entity does not have any production projects planned in the coming years.

The Entity uses a double-chamber reflection melting furnace system in its melting process, which is equipped with regenerative dispersive burners, Aluminium circulation pumps, electromagnetic mixing, ceramic filtration, online degassing and bottom cooling to efficiently recover flue gas waste heat. Since 2018, the Entity has also produced molten Aluminium, supported by five holding furnaces, over 30 sets of transport ladles and seven transport vehicles.

The facility includes on-site accommodation and recreation facilities, administrative offices, nursery rooms, heavy and mobile equipment area, equipment and supply storage, workshop and laboratory. It has established various Management Systems for quality, environment and Occupational Health and Safety, and has obtained ISO 9001:2015, ISO 14001:2015 and IATF 16949:2016 certifications.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of Systems, Residual Risk and Performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	Low	Low	Medium	LOW
RISKS	Low	Medium	Low	LOW
PERFORMANCE	Low	Medium	Medium	MEDIUM
OVERALL	LOW			

FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Minor Non-Conformance	The Entity has established a management procedure for the identification and evaluation of Applicable Law, regulations and other requirements. It requires that laws, regulations and standards related to environmental protection, Occupational Health and Safety (OH&S), social responsibility, anti-corruption, and energy and resources are identified annually, and Compliance is evaluated. Management personnel at or above the team level have participated in training on Applicable Law, regulations and other requirements. Recent compliance evaluation results demonstrate there have been no violations of Applicable Law. The Entity's compliance evaluation records however fail to provide appropriate evidence of Compliance. Additionally, the Entity's identification of all Applicable Law relevant to its operations is insufficient.
1.2 Anti-Corruption	Minor Non-Conformance	The Entity has established a commitment to oppose all forms of Corruption, including extortion and Bribery, in its ASI Environmental, Governance and Social (ESG) Policy, available at: https://www.jiantaoly.com/i/2.1%20%E7%8E%AF%E5%A2%83%E7%A4%BE%E4%BC%9A%E5%92%8C%E6%B2%BB%E7%90%86%E6%96%B9%E9%92%88.pdf An Integrity Management Procedure defines that personnel in high-risk positions, including in the Procurement, Finance, Administrative, and Quality Inspection Departments, must sign an 'Integrity in Employment Commitment'. The Entity also requires suppliers sign an integrity commitment letter. The Entity has established a Business Reception and Gift Policy Management Procedure, which addresses the requirement for approval by the General Manager for amounts exceeding 1,000 yuan. The Entity has also established a reporting mechanism that provides for reporting via a feedback box in the production workshop, hotline and email. To date, there has been no reported incidents of Corruption. The Entity has not however recorded gift receipts and disbursements.
1.3a-e Code of Conduct	Conformance	The Entity has implemented an ASI Code of Conduct, which addresses principles relevant to governance, environmental management and social responsibility. The Entity's Management Review Procedure outlines the requirement for review of the Code every three years. The Code of Conduct is available at: https://www.jiantaoly.com/i/1.3%20ASI%E8%A1%8C%E4%B8%BA%E5%87%86%E5%88%99.pdf
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Conformance	The Entity has established an ASI Environmental, Social, and Governance (ESG) Policy that is consistent with the environmental, social, and governance practices included in the ASI Performance Standard. The ASI ESG Policy is approved by the General Manager, and the Entity supports implementation of the Policy through provision of resources. Since 2010, the Entity has successively established Quality, Environment, Health and Safety, and Social Responsibility

CRITERION	RATING	COMMENT
		Management Systems, which define the requirement for annual management review of the Policies or any change that alters Material risks or on any indication of a control gap. Following the Entity's ASI membership in 2025, an integrated ASI ESG Policy was issued. Policies are communicated internally during new employee orientation and training, and are available to all Stakeholder via WeChat and the Entity's website: https://www.jiantaoly.com/i/21%20%E7%8E%AF%E5%A2%83%E7%A4%BE%E4%BC%9A%E5%92%8C%E6%B2%BB%E7%90%86%E6%96%B9%E9%92%88.pdf
2.2a-c Leadership	Conformance	A senior Management Representative has been nominated by the Entity to oversee the effective implementation of the ASI Performance Standard. The responsibility and authority of each department and key roles are also defined to implement the ASI Performance Standard requirements.
2.3a Environmental and Social Management Systems – Environmental	Conformance	The Entity has documented and implemented an Environmental Management System (EMS). The Entity's EMS is ISO 14001:2015 certified: https://www.jiantaoly.com/i/23%20%E8%AF%81%E4%B9%A614001.pdf To date, there has been no request for corrective actions from government agencies or other external Stakeholders.
2.3b Environmental and Social Management Systems – Social	Minor Non-Conformance	The Entity has documented and implemented a Social Management System. Whilst Workplace Conditions Assessments have not been conducted since 2020, the Social Management System is implemented in accordance with SA8000:2014 requirements. The Entity is considered a welfare enterprise that provides employment opportunities for local persons with disabilities, who currently account for over two thirds of the total workforce. To date, there has been no request for corrective actions from the government agencies or other Stakeholders. The Entity did not however conduct an internal audit and management review of its Social Management System last year.
2.4a-e Responsible Sourcing	Conformance	The Entity has established a Responsible Procurement Policy and a Procurement Management Procedure, which addresses the requirements for review of the Policy. The Responsible Procurement Policy is available at: https://www.jiantaoly.com/i/24%20%E8%B4%9F%E8%B4%A3%E4%BB%BB%E9%87%87%E8%B4%AD%E6%96%B9%E9%92%88.pdf In conformance with the Procurement Management Procedure, the Entity has communicated the Policy to its raw and auxiliary materials suppliers and contractors.
2.5a-g Environmental and Social Impact Assessments	Minor Non-Conformance	Whilst there have been no New Projects or Major Changes since the Entity became an ASI member, approval for Phase III of its recycled Aluminium alloy project was received in 2024. The Entity's Occupational Hazard Current Status Evaluation Report, issued in November 2024, however only addressed the production facilities of Phase I and Phase II, and did not include the more recently constructed Phase III production line.

CRITERION	RATING	COMMENT
2.6a-h Human Rights Impact Assessment	Not Applicable	This Criterion is not applicable to the Entity, as there have been no New Projects or Major Changes since the Entity joined ASI. The Entity has established a Human Rights Impact Assessment Procedure which requires the disclosure of Impact Assessments as required.
2.7a-f Emergency Response Plan	Conformance	The Entity has established Emergency Response Plans for Production Safety Incidents, that address Aluminium powder explosions, mechanical injuries, electric shock and other safety accidents, available at: https://www.jiantaoly.com/i/2.7%20%E5%89%91%E6%B6%9B%E7%94%9F%E4%BA%A7%E5%AE%89%E5%85%A8%E4%BA%8B%E6%95%85%E5%BA%94%E6%80%A5%E9%A2%84%E6%A1%88.pdf The Emergency Plan for Sudden Environmental Incidents is available at: https://www.jiantaoly.com/i/6.4%20%E6%B3%84%E6%BC%8F%E5%BA%94%E6%80%A5%E9%A2%84%E6%A1%88.pdf All employees are trained on the Emergency Response Plans and drills are regularly undertaken. The Emergency Response Plans are submitted to the local Ecology and Environment Bureau and Emergency Management Centre.
2.8a-d Suspended Operations	Conformance	The Entity has established a procedure on the suspension of operations and a business resilience plan that both address situations where it may have to suspend or significantly alter operations due to factors outside its control. The Entity has a process in place to review the resilience plan every three years, on any indication of a control gap or after any changes that alter the nature or scale of environmental, social and governance risks.
2.9a-b Mergers and Acquisitions	Conformance	The Entity has established a Management Control Procedure for Mergers and Acquisitions. No such activity has occurred since the Entity joined ASI.
2.10a-b Closure, Decommissioning and Divestment	Conformance	The Entity has established a Closure, Decommissioning and Divestment Management Procedure. No such events have occurred since the Entity joined ASI.
3. TRANSPARENCY		
3.1a-b Sustainability Reporting	Conformance	The Entity's has prepared a Sustainability Report that addresses its governance approach to environmental, social and economic impacts, and its Material impacts, available at: https://www.jiantaoly.com/i/3.1%20%E5%8F%AF%E6%8C%81%E7%BB%AD%E5%8F%91%E5%B1%95%E6%8A%A5%E5%91%8A.pdf
3.2 Non-compliance and Liabilities	Conformance	The Entity includes an environmental non-compliance record within the 2024 Sustainability Report, Section 4.2.2 'Compliance and Risk Management', page 11: https://www.jiantaoly.com/i/3.1%20%E5%8F%AF%E6%8C%81%E7%BB%AD%E5%8F%91%E5%B1%95%E6%8A%A5%E5%91%8A.pdf This record alleges that the Entity accepted materials that constituted illegal disposal of Hazardous Wastes. The Entity implemented corrective actions and obtained confirmation from the local environmental inspection authority. The Entity has resolved the issue, and the public announcement of this case on the IPE platform is to be

CRITERION	RATING	COMMENT
		closed. The Entity is pursuing the IPE to update its environmental supervision records. A review of the public information overview of the Entity on the Credit China website confirms no existing violation records.
3.3a-c Payments to Governments	Conformance	The Entity has established a financial approval system that requires Government payments to be approved by both the General Manager and Chief Financial Officer. The Entity has undergone a 2024 financial audit conducted by Sichuan Cheng Xin Certified Public Accountants Co., Ltd. and disclosed its tax payment amount, available at: https://www.jiantaoly.com/i/3.3%E6%94%AF%E4%BB%98%E6%94%BF%E5%BA%9C%E6%AC%BE.pdf
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	The Entity has implemented an accessible, transparent, understandable and culturally-sensitive Complaints Resolution Mechanism that is adequate to address Stakeholder complaints, grievances and information requests related to its operations, as detailed in the Complaints Management Control Procedure: https://www.jiantaoly.com/i/3.4%20%E6%B2%9F%E9%80%9A%E4%B8%8E%E7%94%B3%E8%AF%89%E7%A8%8B%E5%BA%8F.pdf
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Minor Non-Conformance	The Entity has undertaken a Life Cycle Assessment (LCA) on its major Aluminium Products using a 'cradle-to-gate' approach that covers the life cycle stages including raw material acquisition and production processes. The functional units are defined in each LCA report. The Entity has assessed the environmental impact of one kilogram (kg) Aluminium alloy ingot and 1kg molten Aluminium alloy Product using the indicators/impact categories in EN 15804 + A2 (adapted) V1.00 / EF 3.1 measures system, which includes 16 environmental impacts. Some emission factors used however, such as those related to purchased Aluminium, which involve Material emissions, are not appropriate for calculating emissions.
4.1b-c Environmental Life Cycle Assessment - Disclosure	Conformance	The Entity has disclosed the LCA reports of its two Products, which include the underlying assumptions, system boundaries, allocation principles and cut-off principles, available at: 1kg Aluminium alloy ingot: https://www.jiantaoly.com/i/%E9%93%9D%E5%90%88%E9%87%91%E9%94%ADLCA%E6%8A%A5%E5%91%8A.pdf 1kg molten Aluminium alloy: https://www.jiantaoly.com/i/%E9%93%9D%E5%90%88%E9%87%91%E6%B6%B2LCA%E6%8A%A5%E5%91%8A.pdf The Entity provides the 'cradle-to-gate' LCA information for its molten Aluminium alloy product to customers upon request.
4.2 Product Design	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3a-b Aluminium Process Scrap	Conformance	The Entity collects Aluminium Process Waste separately by alloy grade and feeds it into the corresponding alloy melting furnaces. The Entity undertakes Aluminium swarf collection, regenerated molten Aluminium feeding and output, and has set a target of product yield

CRITERION	RATING	COMMENT
		of >90% per batch, collects and collates monthly statistics, and currently achieves 100% recycling of process waste.
4.4a-c Collection and Recycling of Products at End of Life – Material Conversion and other Manufacturing	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.4d Collection and Recycling of Products at End of Life	Conformance	The Entity has established a five-year target to increase the proportion of Aluminium Post-Consumer Scrap, replacing molten Aluminium raw material, to 93% by 2029: https://www.jiantaoly.com/i/4.4%20%E5%BA%9F%E9%93%9D%E5%9B%9E%E6%94%B6%E5%88%A9%E7%94%A8%E6%88%98%E7%95%A5.pdf The Entity collaborates with local universities to develop recycled Aluminium alloy models.
5. GREENHOUSE GAS EMISSIONS		
5.1a-b Disclosure of GHG Emissions and Energy Use	Minor Non-Conformance	The Entity has disclosed its 2024 Greenhouse Gas (GHG) Verification Statement and Emissions Inventory issued by a Third Party (SGS), which covers Direct and Indirect GHG Emissions (Scope 1-3): https://www.jiantaoly.com/i/5.1%20%20%E6%B8%A9%E5%AE%A4%E6%B0%94%E4%BD%93%E6%A0%B8%E6%9F%A5%E6%8A%A5%E5%91%8A.pdf The Entity has disclosed energy consumption and raw materials data in the GHG Emissions Reduction Plan, available at: https://www.jiantaoly.com/i/5.3%E6%B8%A9%E5%AE%A4%E6%B0%94%E4%BD%93%E5%87%8F%E6%8E%92%E8%AE%A1%E5%88%92.pdf Some emission factors used however, such as those related to purchased Aluminium, which involve Material emissions, are not appropriate for calculating emissions.
5.2a Aluminium Smelter GHG Emissions Intensity – Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity – In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a GHG Emissions Reduction Plans	Minor Non-Conformance	The Entity has established a GHG Emissions Reduction Plan and has used the ASI GHG Pathway Calculation Tool to ensure GHG Emissions Reduction Pathways consistent with a 1.5°C warming scenario. The GHG Emissions Reduction Plan is available at: https://www.jiantaoly.com/i/5.3%E6%B8%A9%E5%AE%A4%E6%B0%94%E4%BD%93%E5%87%8F%E6%8E%92%E8%AE%A1%E5%88%92.pdf The Entity has used a 2024 baseline year and is therefore not yet required to demonstrate that actual reductions are in line with the reduction targets. The mitigation measures detailed in the GHG Emissions Reduction Plan however lack quantification.

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5.3b-e GHG Emissions Reduction Plans – Targets, review and disclosure	Conformance	The Entity has established a GHG Emissions Reduction Plan and includes Intermediate Targets for Scope 1 & 2 emissions and Scope 3. Annual targets are set for 2025 – 2029, using a 2024 baseline. The Plan includes measures to be implemented by the Entity to enable achievement of the reduction targets. The GHG Emissions Reduction Plan is available at: https://www.jiantaoly.com/i/5.3%E6%B8%A9%E5%AE%A4%E6%B0%94%E4%BD%93%E5%87%8F%E6%8E%92%E8%AE%A1%E5%88%92.pdf The Entity has implemented its Code of Conduct, which addresses the requirement for annual disclosure of GHG emissions data, the Plan and Pathway: https://www.jiantaoly.com/i/1.3%20ASI%E8%A1%8C%E4%B8%BA%E5%87%86%E5%88%99.pdf
5.4 GHG Emissions Management	Conformance	The Entity has established an Energy Management System, certified against ISO 50001:2018, and a GHG Emissions Management Procedure to control its GHG emissions. The System includes an inventory, GHG Emissions Reduction Plan and implementation of measures to control emissions. The Entity regularly accounts for and discloses its GHG emissions.
6. EMISSIONS, EFFLUENTS AND WASTE		
6.1a-f Emissions to Air	Conformance	The Entity must comply with a pollutant discharge permit issued by the local authority and monitors various pollutants (including total suspended particulates, flue gas opacity, acetaldehyde, Volatile Organic Compound (VOCs), oxides of nitrogen (NOx), and sulphur dioxide (SO₂). The Entity has disclosed its 2024 air pollutant data covering particulate matter, VOCs, NOx and SO₂ in the Air Pollutants and Reduction Plan, available at: https://www.jiantaoly.com/i/6.1%20%E5%A4%A7%E6%B0%94%E6%B1%A1%E6%9F%93%E7%89%A9%E5%87%8F%E6%8E%92%E8%AE%A1%E5%88%92.pdf The Plan is reviewed annually and in the event of excessive emissions or significant changes to the Entity.
6.2a-g Discharges to Water	Conformance	The Entity's production wastewater is reused without any external discharge required, thereby achieving zero discharge of production wastewater. Domestic sewage is discharged into the urban sewage treatment network. Further information is available in the Environmental and Social Impact Management Plan for Phase III of the expansion project (300,000 tonne Recycled Aluminium and Castings Production Project): https://www.jiantaoly.com/i/2.5%20%E7%8E%AF%E5%A2%83%E5%92%8C%E7%A4%BE%E4%BC%9A%E5%BD%B1%E5%93%8D%E8%AF%84%E4%BB%B7%E5%92%8C%E7%AE%A1%E7%90%86%E8%AE%A1%E5%88%92.pdf The Entity has also disclosed its Water Pollution Control and Emission Reduction Activity Plan, available at: https://www.jiantaoly.com/i/6.2%20%E6%B0%B4%E6%B1%A1%E6%9F%93%E6%B2%BB%E7%90%86%E4%B8%8E%E5%87%8F%E6%8E%92%E6%B4%BB%E5%8A%A8%E6%96%B9%E6%A1%88.pdf The Plan is reviewed annually and in the event of excessive emissions or significant changes to the Entity.

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6.3a-g Assessment and Management of Spills and Leakages	Conformance	The Entity has developed and implemented Emergency Response Plans for emergency events such as oil spills, combustible gas leaks (natural gas, acetylene), Liquid Aluminium leaks, Hazardous Waste leaks and pollutant leakages caused by malfunctions in pollutant treatment facilities. The Emergency Response Plan for Sudden Environmental Incidents, sections 7.4, pages 42-43, is available at: https://www.jiantaoly.com/i/6.4%E6%B3%84%E6%BC%8F%E5%BA%94%E6%80%A5%E9%A2%84%E6%A1%88.pdf
6.4a-b Public Disclosure of Spills and Leakages	Conformance	The Entity has established a Spills and Leakages Management Procedure and an external communication plan that specifies the process of communication with and disclosure to relevant parties following a Spill or Leakage event. There have been no Spills or Leakages since the Entity commenced operations.
6.5a-c Waste Management and Reporting	Conformance	The Entity has established a solid waste management system, which requires the classified collection, storage and disposal of Non-Hazardous Waste (general solid waste and domestic waste) and Hazardous Waste, which considers the Waste Mitigation Hierarchy. The Entity has disclosed its 2024 Waste generation and disposal data, available at: https://www.jiantaoly.com/i/6.5%E5%9B%BA%E4%BD%93%E5%BA%9F%E7%89%A9%E7%AE%A1%E7%90%86%E5%88%B6%E5%BA%A6.pdf The Entity maintains a Hazardous Waste tracking system and Hazardous Waste transfer manifest. Hazardous Waste is transferred to and disposed of by qualified contractors.
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Conformance	The Entity has implemented an Aluminium Dross Handling Procedure, which specifies the storage and management requirements for Dross and an annual review of alternative disposal methods to landfilling of Dross residues. The Entity has constructed a Dross warehouse in accordance with the design specifications for Hazardous Waste storage facilities. During the Audit it was confirmed that the appropriate leakage prevention measures are in place. Dross residues are managed by a qualified company for the reuse in the production of construction materials. The Entity has conducted Due Diligence on the contractor responsible for the disposal of Dross residue.
7. WATER STEWARDSHIP		
7.1a-b Water Assessment and Disclosure	Conformance	The Entity has identified the water-related risks associated with its location in the Yangtze River Basin, including water supply risks and water pollution risks. The assessment concluded that the risk is low. The Risk Assessment Report is available at: https://www.jiantaoly.com/i/7.2%E6%B0%B4%E8%B5%84%E6%BA%90%E9%A3%8E%E9%99%A9%E8%AF%84%E4%BC%B0%E6%8A%A5%E5%91%8A.pdf

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7.2a-e Water Management	Conformance	The Entity's risk assessment determined its risks are low and it is not classified as a key water user in the Chongqing municipality. Although rated as low, the Entity has established a water resource management plan and set management targets including water consumption per tonne of product, water reuse rate, and water leakage rate. It reports on monthly statistics and performance evaluations of water conservation efforts across all departments.
8. BIODIVERSITY AND ECOSYSTEM SERVICES		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Conformance	The Entity has undertaken an impact assessment, as disclosed in the Biodiversity and Ecosystem Services Report, available at: https://www.jiantaoly.com/i/8.1%20%E7%94%9F%E7%89%A9%E5%A4%9A%E6%A0%B7%E6%80%A7%E4%B8%8E%E7%94%9F%E6%80%81%E7%B3%BB%E7%BB%9F%E6%9C%8D%E5%8A%A1%E6%8A%A5%E5%91%8A.pdf The Entity has established a Biodiversity Conservation Management Procedure, which requires the assessment of impacts on Biodiversity during the feasibility study phase for any new, modified or expansion activities and projects. Based on the conclusions of the Entity's latest Environmental Impact Assessment Report for the expansion project (300,000 tonnes Recycled Aluminium and Castings Production Project), no adverse effects were identified.
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity, as the impact assessment determined that the potential impact on Biodiversity and Ecosystem Services from the land use and activities within the Entity's Area of Influence is low.
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable to the Entity, as the impact assessment determined that the potential impact on Biodiversity and Ecosystem Services from the land use and activities within the Entity's Area of Influence is low.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity, as the impact assessment determined that the potential impact on Biodiversity and Ecosystem Services from the land use and activities within the Entity's Area of Influence is low and the Entity does not depend on any Priority Ecosystem Services.
8.4 Alien Species	Conformance	The Entity has established a Biodiversity Conservation Management Procedure, which mandates the annual review of the Alien Species Risk Identification List registration on the 'China Invasive Species Information System' (https://www.iplant.cn/ias/) and the Species 2000 China Node (http://sp2000.org.cn). The Entity has provided training to all its employees on Alien Species.
8.5a-b Commitment to "No Go" in World Heritage Properties	Conformance	The Entity is committed to 'No Go' in World Heritage Properties, as detailed in a Commitment Letter, available at: https://www.jiantaoly.com/i/8.5%20%E4%B8%8D%E5%85%A5%E9%81%97%E4%BA%A7%E5%9C%B0%E6%89%BF%E8%AF%BA%E4%B9%A6.pdf The Entity has raised awareness of protecting World Heritage Properties through training and awareness.

CRITERION	RATING	COMMENT
8.6a-d Protected Areas	Conformance	The Entity is committed to prohibiting any activity that may negatively impact Protected Areas and actively cooperates with governments and relevant institutions in conservation initiatives, as detailed in the Commitment Letter: https://www.jiantaoly.com/i/8.6%20%E4%B8%8D%E5%85%A5%E4%BF%9D%E6%8A%A4%E5%8C%BA%E6%89%BF%E8%AF%BA%E4%B9%A6.pdf The Entity has established a Biodiversity Conservation Management Procedure, which mandates that all new, modified, or expansion projects undergo an assessment of their impact on Biodiversity prior to implementation. The Entity has developed a training program and has implemented training and education activities aimed at increasing the awareness of employees and Stakeholders regarding the importance of Protected Areas.
8.6e Protected Areas - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9. HUMAN RIGHTS		
9.1a-d Human Rights Due Diligence	Conformance	The Entity has implemented a Human Rights Policy that commits to complying with the United Nations Guiding Principles on Business and Human Rights regarding Child Labour, Forced Labour, Health and Safety, respect for employees' Freedom of Association and Collective Bargaining, Discrimination, working hours, compensation, and respect for Indigenous Peoples, available at: https://www.jiantaoly.com/i/9.1%20%20ASI%E4%BA%BA%E6%9D%83%E6%94%BF%E7%AD%96.pdf The Entity has established a Human Rights Due Diligence Management Procedure that addresses the annual Human Rights Due Diligence process. A Communication and Appeal Procedure has been implemented by the Entity that provides for a Complaints Resolution Mechanism for affected individuals or organisations: https://www.jiantaoly.com/i/3.4%20%E6%B2%9F%E9%80%9A%E4%B8%8E%E7%94%B3%E8%AF%89%E7%A8%8B%E5%BA%8F.pdf The Entity has disclosed its 2024 Human Rights Due Diligence Report, which indicates there are no Affected Persons or Organisations within a five-kilometre radius. The Report is available at: https://www.jiantaoly.com/i/9.1%20%20%E4%BA%BA%E6%9D%83%E5%B0%BD%E8%81%8C%E8%B0%83%E6%9F%A5%E6%8A%A5%E5%91%8A.pdf
9.2a-e Gender Equity and Women's Empowerment	Conformance	The Entity has established a gender equality and women's empowerment management system aimed at achieving gender equality in employment practices, training opportunities, resource allocation, participation processes, and management activities. It has also implemented specific safeguards for female employees regarding labour protection and rights during pregnancy, childbirth, and lactation. The Entity has disclosed its performance in promoting gender equality in the 2024 Sustainability Report, Section 5.1, 'Employee Rights Protection', page 15, available at:

CRITERION	RATING	COMMENT
		https://www.jiantaoly.com/i/3.1%20%E5%8F%AF%E6%8C%81%E7%BB%AD%E5%8F%91%E5%B1%95%E6%8A%A5%E5%91%8A.pdf The Entity has released its 2024 Annual Report on Promoting Gender Equality and Empowering Women, available at: https://www.jiantaoly.com/i/9.2%20%E4%BF%83%E8%BF%9B%E4%B8%A4%E6%80%A7%E5%B9%B3%E7%AD%89%E5%92%8C%E8%B5%8B%E4%BA%88%E5%A6%87%E5%A5%B3%E6%9D%83%E5%88%A9%E5%B7%A5%E4%BD%9C%E6%80%BB%E7%BB%95%E6%8A%A5%E5%91%8A.pdf Interviews with female employees undertaken during the Audit confirmed their awareness of the reporting mechanism. There are no reported incidents of gender inequality or violations of women's empowerment within the Entity.
9.3a-i Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity, as it has identified that there are no Indigenous Peoples in its Area of Influence. The Entity has however, detailed within its Human Rights Policy and Indigenous Peoples Protection Procedure its commitment to respecting the rights and interests of Indigenous Peoples. The Human Rights Policy is available at: https://www.jiantaoly.com/i/9.1%20%20ASI%E4%BA%BA%E6%9D%83%E6%94%BF%E7%AD%96.pdf
9.4a Free, Prior, and Informed Consent (FPIC) - New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples in its Entity's Area of Influence and no New Projects or Major Changes to the Facility since the Entity became an ASI Member in 2025.
9.4b Free, Prior, and Informed Consent (FPIC) - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4c Free, Prior, and Informed Consent (FPIC) - Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples in its Entity's Area of Influence and no New Projects or Major Changes to the Facility since the Entity became an ASI Member in 2025.
9.5a Cultural and Sacred Heritage - Identification	Conformance	The Entity is located in the Li Du Industrial Park in Fuling District, Chongqing City, which was planned and commissioned by the local government. There are no cultural or sacred heritage sites or values and no Indigenous Peoples within its Area of Influence.
9.5b Cultural and Sacred Heritage - Impacts	Not Applicable	This Criterion is not applicable to the Entity, as there are no cultural or sacred heritage sites or values and no Indigenous Peoples within its Area of Influence.
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity, as there are no New Projects or Major Changes since the Entity became an ASI Member in 2025. The Entity has however, established a Relocation and Resettlement Procedure, that addresses the avoidance of involuntary relocation and compliance with the requirements of the International Finance Corporation (IFC) Performance Standard No. 5. The Procedure is available at: https://www.jiantaoly.com/i/9.6%20%E8%BF%81%E7%A7%BB%E5%AE%89%E7%BD%AE%E7%A8%8B%E5%BA%8F.pdf

CRITERION	RATING	COMMENT
9.7a-h Affected Populations and Organisations	Conformance	The Entity has established a Human Rights Due Diligence process that requires a Human Rights Due Diligence assessment of the Local Community. The Entity has disclosed its contributions to Local Communities in the Sustainability Report, Chapter 8 'Community and Public Welfare', page 35, available at: https://www.jiantaoly.com/i/3.1%20%E5%8F%AF%E6%8C%81%E7%BB%AD%E5%8F%91%E5%B1%95%E6%8A%A5%E5%91%8A.pdf The Entity has not identified any significant actual or potential negative impacts on Local Communities.
9.8a Conflict-Affected and High-Risk Areas – Strong management systems	Conformance	The Entity has established an ASI Code of Conduct with a commitment to not contribute to armed conflicts or Human Rights violations in Conflict-Affected and High-Risk Areas (CAHRAs). The Entity has implemented a CAHRA Due Diligence Management Procedure, a Conflict Minerals Policy, and Responsible Procurement Policy, assigning responsibilities and authorities covering supply chain policy development, information collection, supplier engagement, complaint mechanisms, and other aspects. Through various methods such as centralised training and awareness, the Entity disseminates requirements in the OECD Guidelines and the ASI Performance Standard to both employees and its suppliers. In accordance with the Entity's Procurement Management Procedure, suppliers and contractors are informed of the Responsible Procurement Policy. A Complaints Mechanism, available to the Entity's supply chain has been established.
9.8b Conflict-Affected and High-Risk Areas – Identify and assess risks	Conformance	The Entity has identified risks at national, corporate and product levels through tools such as a conflict minerals questionnaire and supply chain traceability documentation, establishing clear criteria for determining 'red flags' and other potential material risks. The Entity has completed desktop evaluations for six suppliers. All Aluminium raw materials are sourced from the domestic supply chain, with confirmation that no Primary Aluminium or recycled Aluminium originates from CAHRAs.
9.8c Conflict-Affected and High-Risk Areas – Strategy to respond to risks	Not Applicable	This Criterion is not applicable to the Entity as no actual or potential risks have been identified.
9.8d Conflict-Affected and High-Risk Areas – Audit of due diligence	Conformance	The Entity has reviewed its Due Diligence practices and has retained supporting documents such as suppliers' delivery orders, supplier questionnaires, guarantee letters, and annual investigation reports. The Entity's Due Diligence practices have been audited as part of this ASI Audit.
9.8e Conflict-Affected and High-Risk Areas – Report annually	Conformance	The Entity had disclosed its Responsible Mineral Supply Chain Due Diligence Management Report, available at: https://www.jiantaoly.com/i/%E8%B4%9F%E8%B4%A3%E4%BB%BB%E7%9F%BF%E4%BA%A7%E4%BE%9B%E5%BA%94%E9%93%BE%E5%B0%BD%E8%B4%A3%E7%AE%A1%E7%90%86%E6%8A%A5%E5%91%8A(1).pdf
9.9 Security practice	Conformance	The Entity has implemented security practices that respect Human Rights. To date, there have been no Human Rights violations identified or reported related specifically to security practices.

CRITERION	RATING	COMMENT
10. LABOUR RIGHTS		
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	This Criterion is not applicable to the Entity, as the right to Freedom of Association and Collective Bargaining is addressed in accordance with Applicable Law in China.
10.1d Freedom of Association and Right to Collective Bargaining - Alternative means in context of Applicable Law	Conformance	The Entity has established a Labour Union and all employees (539 employees, including 120 women at the time of the Audit) are members of the Labour Union. The Labour Union Constitution outlines the Union representative election process and member's rights, including to Collectively Bargain. An Employee Representative Conference is undertaken every three years. The Chairman of Labour Union and Workers' representatives were interviewed during the Audit.
10.2a-c Child Labour	Conformance	The Entity has established a personnel management system that aligns with ILO Conventions C138 and C182, relating to Child Labour, which defines that labour under 16 years cannot be employed, and prohibits underage Workers to be engaged in hazardous work. Interviews with Entity and Workers' representatives during the Audit confirmed that the Entity's youngest employee was hired at 18 years of age.
10.3a-c Forced Labour	Conformance	The Entity has implemented various Policies and procedures on responsible business conduct addressing modern slavery, Forced Labour and Human Trafficking. ESG Policy: https://www.jiantaoly.com/i/2.1%20E7%8E%AF%E5%A2%83%E7%A4%BE%E4%BC%9A%E5%92%8C%E6%B2%BB%E7%90%86%E6%96%B9%E9%92%88.pdf Human Rights Policy: https://www.jiantaoly.com/i/9.1%2020ASI%E4%BA%BA%E6%9D%83%E6%94%BF%E7%AD%96.pdf ASI Code of Conduct: https://www.jiantaoly.com/i/1.3%20ASI%E8%A1%8C%E4%B8%BA%E5%87%86%E5%88%99.pdf Interviews conducted during the Audit with management staff and operators confirmed that the Entity does not engage in Forced Labour. The Entity has published a Statement on Anti-Slavery and Combating Human Trafficking to address its business practices to ensure there is no modern slavery within its operations or supply chain. The Statement is available at: https://www.jiantaoly.com/i/%E5%85%B3%E4%BA%8E%E5%8F%8D%E5%A5%B4%E9%9A%B6%E5%88%B6%E5%92%8C%E5%8F%8D%E5%AF%B9%E4%BA%E5%8F%A3%E8%B4%A9%E5%8D%96%E7%9A%84%E5%A3%B0%E6%98%8E.pdf
10.4a-c Non-Discrimination	Conformance	The Entity has established a Human Rights Policy and issued a series of procedural regulations that prohibit Discrimination based on gender, race, ethnicity or social origin, religion, disability, politics, party affiliation, sexual orientation, marital status, family responsibilities, age, or any other status that may cause Discrimination in employment, compensation, benefits, training, promotion, and termination. Approximately two-thirds of the Entity's employees are persons with disabilities. Three ethnic groups (Han, Miao and Tujia) are represented at the Entity. The Entity provides equal training and promotion

CRITERION	RATING	COMMENT
		opportunities for all employees. Interviews with employees, including managers and operators confirmed that that they had not experienced any Discrimination, that no employees had been dismissed by the Entity, and that all resignations were voluntary.
10.5 Communication and engagement	Conformance	Various communication channels are available to Worker to engagement on working conditions and resolution of workplace and compensation issues, including a transparent helpline, disclosed on website (https://www.jiantaoly.com/pormsn/pormans.html), telephone ((023)72180666); General Manager's Open Day; and email (120219857@qq.com). Interviews conducted during the Audit with management staff and operators confirmed that there are no communication barriers present within the Entity.
10.6a-g Violence and Harassment	Conformance	The Entity has addressed Violence and Harassment in the Human Rights Policy, available at: https://www.jiantaoly.com/i/9.1%20%20ASI%E4%BA%BA%E6%9D%83%E6%94%BF%E7%AD%96.pdf The Entity has established a Prohibition of Violence and Harassment Regulation, which explicitly prohibits any physical or verbal Violence, as well as sexual, discriminatory or psychological Harassment. Reporting mechanisms are available to employees to report complaints. The Entity has conducted special training regarding Violence and Harassment. Interviews conducted during the Audit with management staff and operators confirmed that there have been no reported incidents of Violence or Harassment at the Entity.
10.7a-c Remuneration	Conformance	The Entity has developed and implemented management procedures for working hours, salaries and welfare benefits to ensure wage payments are made in a manner that is timely, in legal tender and fully documented. To ensure employees with impairments understand the labour contract, including remuneration conditions, alternative means for receiving and signing of the employment contract are available. The Entity has compared its wage payments and social security contributions for employees with the minimum living wage standard and lower limit of the social insurance payment base for Chongqing. The Entity's calculation of Overtime compensation meets the requirements of the regulations. Employees interviewed during the Audit confirmed that they are satisfied with their salaries.
10.8a-c Working Time	Conformance	The Entity has established an attendance management system to control working hours. On-site production operators implement a three-shift work system plus paid time off to ensure that each employee has at least one day off per week. Other employees work a single shift system, with six working days per week, eight hours per day. Attendance records reviewed during the Audit confirmed that the daily working hours were eight hours, and the monthly Overtime hours did not exceed 36 hours. The Entity meets the requirement for the average workday not exceeding eight hours on average over a six-monthly cycle.

CRITERION	RATING	COMMENT
10.9a-b Informing Workers of Rights	Conformance	Training for new employees addresses Human Rights. Interviews conducted during the Audit with management staff and operators confirmed that they are aware of their right to Freedom of Association and Collective Bargaining, and that they will not face punishment or retaliation for exercising these rights.
11. OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Management System	Conformance	The Entity has established an Integrated Management System that addresses Occupational Health and Safety (OH&S). The Entity has obtained ISO 45001:2018 certification. Refer to: https://www.jiantaoly.com/i/45001%E8%AF%81%E4%B9%A6.pdf Internal audits and management reviews of the Management System are undertaken annually.
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Minor Non-Conformance	The Entity has publicly disclosed its 2024 OH&S Management Performance Analysis Report, available at: https://www.jiantaoly.com/i/11.1%20%E8%81%8C%E4%B8%9A%E5%81%A5%E5%BA%B7%E5%AE%89%E5%85%A8%E7%9B%AE%E6%A0%87%E4%B8%8E%E5%90%8C%E8%A1%8C%E4%B8%9A%E7%BB%A9%E6%95%88%E5%8F%8A%E9%A2%86%E5%85%88%E5%81%9A%E6%B3%95%E5%88%86%E6%9E%90%E6%8A%A5%E5%91%8A.pdf The Report details the Entity's OH&S Management System performance objectives, including goals associated with lagging and leading indicators, all of which have been achieved. Internal audits and management reviews of the Management System are undertaken annually. It was identified during the Audit however, that an investigation report into the cause of a work-related injury in 2025 only analysed the unsafe acts of personnel and failed to analyse the unsafe conditions of objects.
11.2 Employee engagement on Health and Safety	Conformance	The Entity has established a Work Safety Committee, which holds monthly meetings to discuss safety risk issues and solutions. Employees can submit identified safety hazards or suggestions for improvement of workplace safety conditions via the Entity's WeChat work account 'Jiantao Family'.

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DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	6 August 2026	Initial Certification Audit – Full Certification