ASI CERTIFICATION
PERFORMANCE STANDARD

PRESENTED TO

Guangdong AsiaAlum Senyuan Aluminum Co., LTD.

CERTIFICATE NUMBER	ASI STANDARD	CERTIFICATION LEVEL	ASI ACCREDITED AUDITING FIRM
563	**PERFORMANCE STANDARD (V3.1 2023)**	**FULL CERTIFICATION**	**CHINA QUALITY MARK CERTIFICATION GROUP**
	DATE OF ISSUE	DATE OF EXPIRY	CERTIFIED SINCE
	11 AUGUST 2026	**10 AUGUST 2029**	**11 AUGUST 2026**

AUTHORISED BY

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Validity of this Certificate is subject to continued conformance with the applicable ASI Standard and can be verified at ***www.aluminium-stewardship.org***

CERTIFICATION SCOPE

Production and related management activities for Aluminium alloy architectural and industrial profiles at Guangdong AsiaAlum Senyuan Aluminum Co., Ltd., located in AsiaAlum Industrial Park, Dawang High-tech Development Zone, Zhaoqing City, Guangdong Province, China.

AUDIT REPORT
PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	Guangdong AsiaAlum Senyuan Aluminum Co., LTD.
ENTITY NAME	Guangdong AsiaAlum Senyuan Aluminum Co., LTD.
CERTIFICATION SCOPE	Production and related management activities for Aluminium alloy architectural and industrial profiles at Guangdong AsiaAlum Senyuan Aluminum Co., Ltd., located in AsiaAlum Industrial Park, Dawang High-tech Development Zone, Zhaoqing City, Guangdong Province, China.
SUPPLY CHAIN ACTIVITIES	• Aluminium Re-melting/Refining • Casthouses • Semi-Fabrication
ASI STANDARD	Performance Standard V3.1
AUDIT TYPE	• Initial Certification Audit
AUDIT FIRM	China Quality Mark Certification Group
AUDIT DATE	• 29 – 31 March 2026
AUDIT REPORT SUBMISSION	• 27 April 2026
AUDIT SCOPE	The Audit Scope included the activities and Facilities involved in the production of Aluminium alloy architectural and industrial profiles. Supply Chain Activities included in the Audit Scope: • Aluminium Re-melting/Refining • Casthouses • Semi-Fabrication All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.
AUDIT OUTCOME	• Certification
AUDIT METHODOLOGY DECLARATION	The Auditors confirm that: ☑ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report. ☑ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous. ☑ The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.

	☑ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
CERTIFICATION PERIOD	11 August 2026 – 10 August 2029
NEXT AUDIT TYPE	Surveillance Audit
NEXT AUDIT DATE	10 February 2028
CERTIFICATE NUMBER	563
	If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: https://aluminium-stewardship.ethicspoint.com/ EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations. Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Guangdong AsiaAlum Senyuan Aluminum Co., LTD. (the 'Entity') is located in AsiaAlum Industrial Park in the Dawang High-Tech Industrial Development Zone of Zhaoqing City, Guangdong Province, China, covering an area of approximately 1.6 million square metres (m^2). The Entity currently has an annual production capacity of approximately 600,000 metric tonnes and is equipped with a comprehensive range of production and testing equipment for Aluminium alloy profiles imported from Italy, Japan, Taiwan (China) and the United States. The Entity's Products are primarily sold within China, with a smaller proportion exported to Europe, Southeast Asia, and other international markets.

The Entity has established a fully integrated production process system covering melting and casting, extrusion, anodising and colouring, electrophoretic coating, powder coating, fluorocarbon coating, thermal insulation, polishing and dyeing, pipe manufacturing, and deep processing. Imported equipment accounts for approximately 80% of the Entity's total equipment inventory.

The Entity operates a total of 23 melting furnaces and 56 extrusion presses. Under its current 'made-to-order' production model, five melting furnaces and 35 extrusion presses are actively in operation. Total product output for 2025 was approximately 234,490 metric tonnes. The surface treatment Facilities comprise 20 production lines, including anodising, electrophoretic coating, powder coating, and fluorocarbon coating lines.

The Entity's ancillary infrastructure includes a three-storey comprehensive office building, 36 employee dormitory buildings (each consisting of five storeys), two large parking areas, eight dedicated finished goods storage areas, an independent staff canteen, a dedicated gymnasium, and an exclusive pedestrian street for employees.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of Systems, Residual Risk and Performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	Medium	Medium	Medium	MEDIUM
RISKS	Medium	Medium	Medium	MEDIUM
PERFORMANCE	Medium	Medium	Medium	MEDIUM
OVERALL	MEDIUM			

FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	The Entity has established a Legal and Regulatory Identification Procedure and a Compliance Assessment Procedure. Its Administration and Human Resources Department is responsible for assessing the applicability of legal and regulatory requirements and monitoring compliance. The Entity has released its Non-Compliance and Liability Compliance Review Report, available at: https://www.asiaalumgroup.com/data/upload/main/ueditor/20260401/69ccdbc16eea2.pdf This Report indicates that there have been no administrative penalties, judicial proceedings, or compliance accountability cases.
1.2 Anti-Corruption	Conformance	In accordance with its Anti-Bribery Management Procedure, the Entity has established an anti-Bribery Policy, formed an anti-Bribery management team and a risk assessment group, and conducts annual reviews to evaluate the effectiveness of its anti-Bribery programme.
1.3a-e Code of Conduct	Conformance	The Entity has established a Code of Conduct in accordance with its Environmental, Social, and Governance (ESG) Policy and conducts annual reviews of the Code. The Entity's Code of Conduct is available at: https://www.asiaalumgroup.com/data/upload/main/ueditor/20260401/69ccd8b859eec.pdf
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Minor Non-Conformance	The Entity has established its Environmental, Social, and Governance (ESG) Policy in the ASI Management Manual and reviews it annually. The Policy also requires timely reviews whenever significant changes occur. The Entity has not currently identified any Material changes to its environmental, social, or governance risks. The ESG Policy is communicated internally through document training and on-site presentations, and externally through promotional material, corporate culture introductions, and lectures. The Policy is available at: https://www.asiaalumgroup.com/data/upload/main/ueditor/20260401/69ccdaf659722.pdf The Entity's ESG Policy, however, is considered too general and does not provide specific information on its ESG practices or performance.
2.2a-c Leadership	Conformance	The Entity has appointed a Senior Manager as the ASI Management Representative, who is responsible for leading the implementation of the Entity's Policies and ensuring compliance with the applicable standard requirements.
2.3a Environmental and Social Management Systems – Environmental	Conformance	The Entity has developed and implemented an Environmental Management System which is currently certified to ISO14001. The Scope of the Management System is consistent to the scope of this ASI Performance Standard Certification.

CRITERION	RATING	COMMENT
2.3b Environmental and Social Management Systems – Social	Conformance	The Entity has established Social Management System requirements within its ASI Management Manual, addressing Human Rights and labour rights, and routinely manages the Social Management System accordingly.
2.4a-e Responsible Sourcing	Conformance	The Entity has developed and implemented Procurement Control Procedures. The procedures are consistent with the Entity's ASI Policy and address environmental, social, and governance aspects. The Entity's current ASI Procurement Policy is available at: https://www.asiaalumgroup.com/data/upload/main/ueditor/20260401/69ccdb0e67374.pdf
2.5a-g Environmental and Social Impact Assessments	Not Applicable	This Criterion is not applicable to the Entity, as there have been no New Projects or Major Changes since it became an ASI member. The Entity has however established requirements in the Management Manual for conducting environmental, social, cultural, and Human Rights impact assessments for New Projects or significant changes to existing Facilities. The Entity has also developed the Control Procedure for Environmental, Occupational Health, and Safety Assessments of New Projects to define requirements for project declarations, environmental impact and risk assessments, and record-keeping.
2.6a-h Human Rights Impact Assessment	Not Applicable	This Criterion is not applicable to the Entity, as there have been no New Projects or Major Changes since it became an ASI member. The Entity has however established the Human Rights Impact Assessment Procedure, which is continuously implemented and remains effective.
2.7a-f Emergency Response Plan	Conformance	The Entity has established safety and environmental emergency response plans that include participation from internal and external Stakeholders. Regular simulation exercises and emergency drills are conducted to evaluate the effectiveness of these plans. The Entity's Environmental Emergency Response Plan and Emergency Drill Plan is available at: https://www.asiaalumgroup.com/05-2.7%E7%AA%81%E5%8F%91%E7%8E%AF%E5%A2%83%E4%BA%8B%E4%BB%B6%E5%BA%94%E6%80%A5%E9%A2%84%E6%A1%88%E5%8F%8A%E6%BC%94%E7%BB%83%E8%AE%A1%E5%88%92.pdf
2.8a-d Suspended Operations	Conformance	The Entity has established a Suspension and Resumption of Operations Management Procedure to address situations where operations are suspended or relocated due to Force Majeure. The procedure includes measures to manage significant adverse impacts on Environmental, Social, and Governance (ESG) aspects. A review of the procedure is required whenever changes occur within the Entity that may alter the nature or scale of ESG risks. Currently, no such changes have occurred.
2.9a-b Mergers and Acquisitions	Conformance	The Entity has established a Mergers and Acquisitions Procedure, which defines requirements for pre-transaction planning, risk assessment, and risk mitigation. Environmental, Social, and Governance (ESG) factors have been incorporated as key considerations throughout the merger and acquisition process. Since implementing the ASI Management System, the Entity has not been subject to any merger or acquisition activities.

CRITERION	RATING	COMMENT
2.10a-b Closure, Decommissioning and Divestment	Conformance	The Entity has established requirements for closure, decommissioning, and divestment in its ASI Management Manual and has implemented the Closure, Decommissioning, and Divestment Control Procedure to define the relevant processes in accordance with ASI Performance Standard requirements. To date, the Entity has not undergone any closure, decommissioning, or divestment activities.
3. TRANSPARENCY		
3.1a-b Sustainability Reporting	Conformance	The Entity has established sustainability reporting requirements in its ASI Management Manual and has implemented Policies, systems, and procedures that support compliance with applicable sustainability reporting requirements. The Entity's annual Sustainability Report is available at: https://www.asiaalumgroup.com/data/upload/main/ueditor/20260401/69ccdb9e53b8b.pdf
3.2 Non-compliance and Liabilities	Conformance	The Entity has established requirements for non-compliance and liabilities in its ASI Management Manual. Information on significant fines, judgments, penalties, and non-monetary sanctions will be disclosed in the Sustainability Report. The Report (page 8) indicates that there were no instances of non-compliance or liabilities during the reporting period.
3.3a-c Payments to Governments	Conformance	In accordance with its Anti-Corruption Policy, the Entity conducts all payments to government in compliance with Applicable Law and the terms of relevant contracts. Financial records are maintained for each payment, and regular financial audits are conducted to verify the legality and accuracy of all payments. This information has been disclosed in the Entity's Sustainability Report.
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	The Entity has implemented a grievance mechanism and related procedures to address Stakeholder complaints, grievances, and requests for information concerning its operations. The Office of Compliance and Oversight is responsible for managing and tracking Stakeholder complaints and requests. The Entity's grievance mechanism is available at: https://www.asiaalumgroup.com/data/upload/main/ueditor/20260401/69ccdc030edac.pdf
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Minor Non-Conformance	The Entity has established a Control Procedure for Identification and Evaluation of Environmental Factors, which incorporates environmental impact considerations throughout the Product life cycle. The Entity has completed its Aluminium Life Cycle Assessment (LCA) of its primary Aluminium Product lines. The Entity's LCA for Aluminium Alloy Building Profiles (Powder-Coated) is available at: https://www.asiaalumgroup.com/data/upload/main/ueditor/20260401/69ccdd10b6d1b.pdf The emission factor for Aluminium ingots used in the report, however, is based on the global average rather than the China regional average and therefore does not reflect the Entity's actual operating conditions.

CRITERION	RATING	COMMENT
4.1b-c Environmental Life Cycle Assessment - Disclosure	Conformance	The Entity has developed its LCA for Aluminium alloy building profiles (powder-coated) to provide customers with relevant LCA information. Its LCA report includes the LCA results, key assumptions, and system boundaries, available at: https://www.asiaalumgroup.com/data/upload/main/ueditor/20260401/69ccdd10b6d1b.pdf
4.2 Product Design	Conformance	The Entity has established Product design requirements in its ASI Management Manual and implemented a Design and Development Control Procedure. LCA requirements have been integrated into the Product design process. Through the implementation of its production processes, the Entity has ensured that Product design objectives support applicable sustainability requirements.
4.3a-b Aluminium Process Scrap	Minor Non-Conformance	The Entity has established requirements in its ASI Management Manual and developed an Aluminium recycling strategy to set targets for Scrap recovery, recycling, and reuse. The Entity has not however established a strategy for collection, recycling, and reuse of Process Scrap with a target recovery rate of 100%.
4.4a-c Collection and Recycling of Products at End of Life - Material Conversion and other Manufacturing	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.4d Collection and Recycling of Products at End of Life	Conformance	The Entity has participated in and is committed to local, regional, and national initiatives that promote the development of collection and recycling systems to increase recycling rates. The Entity has also participated in industry-related seminars on Greenhouse Gas (GHG) emissions associated with the use of Aluminium Scrap.
5. GREENHOUSE GAS EMISSIONS		
5.1a-b Disclosure of GHG Emissions and Energy Use	Minor Non-Conformance	The Entity has specified requirements for the disclosure of Greenhouse Gas (GHG) emissions, raw material consumption, and energy use data in its ASI Management Manual. The Entity's 2025 Energy Utilisation Report is available at: https://www.asiaalumgroup.com/data/upload/main/ueditor/20260401/69ccdccd2c55c.pdf The Entity's 2025 Greenhouse Gas Emissions Report has been verified by an independent third party and is available at: https://www.asiaalumgroup.com/data/upload/main/ueditor/20260401/69ccdc68574d0.pdf The report's emission accounting for purchased Aluminium rods, however, did not use primary data from suppliers. No actual carbon footprint data collection was undertaken for Aluminium rod suppliers, and selected emission factors are not appropriate.
5.2a Aluminium Smelter GHG Emissions Intensity - Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
5.2b Aluminium Smelter GHG Emissions Intensity - In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a GHG Emissions Reduction Plans	Minor Non-Conformance	The Entity has developed and implemented a GHG Emissions Reduction Plan using the ASI GHG Reduction Pathway methodology and calculation tool. The Plan covers reduction pathways for both process and procurement activities and is aligned with the 1.5°C temperature rise scenario. The baseline year established is 2025. The carbon intensity data for procured Aluminium, however, should be obtained directly from suppliers rather than derived from the figures in the Entity's LCA report.
5.3b-e GHG Emissions Reduction Plans - Targets, review and disclosure	Minor Non-Conformance	The Entity has established a Greenhouse Gas (GHG) Emissions Reduction Plan. The GHG Emissions Reduction Pathway includes Intermediate Targets within a period of no more than five years and covers both direct and indirect emissions. The Plan is reviewed annually, and any changes to the emission reduction baseline or targets require a review of the GHG Emission Reduction Pathway. The Entity's GHG Emissions Reduction Plan is available at: https://www.asiaalumgroup.com/data/upload/main/ueditor/20260401/69ccdd10b6d1b.pdf The Entity's current decarbonisation measures, however, are not sufficient to demonstrate a clear pathway toward achieving its decarbonisation targets.
5.4 GHG Emissions Management	Minor Non-Conformance	The Entity has established and implemented relevant procedures to support the achievement of its GHG Emissions Reduction Plan and evaluated whether actual emission reduction performance meets the established targets. The Entity's current GHG management capabilities, however, remain insufficient to support the implementation and achievement of its emission reduction targets.
6. EMISSIONS, EFFLUENTS AND WASTE		
6.1a-f Emissions to Air	Conformance	The Entity's pollutant discharge permit specifies the exhaust emission points, pollutant types, and maximum allowable emission concentrations. The Entity has installed and operates exhaust gas treatment Facilities and regularly monitors air emissions in accordance with permit requirements and Applicable Law. Monitoring results indicate compliance with applicable statutory emission standards. The Entity has also developed a 2025 Air Pollutant Emissions Information and Reduction Plan, which identifies emission sources, pollutant types, reduction targets, and corresponding reduction measures. The Plan is available at: https://www.asiaalumgroup.com/data/upload/main/ueditor/20260401/69ccdd3323c51.pdf
6.2a-g Discharges to Water	Conformance	The Entity's Pollutant Discharge Permit specifies the types of water pollutants discharged and the applicable concentration limits. The Entity has installed and operates wastewater treatment Facilities. Wastewater discharge data are connected to the local environmental

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		authority's monitoring system for real-time monitoring, and monitoring results demonstrate compliance with applicable discharge requirements. The Entity has also developed a 2025 Water Pollutant Discharge Information and Reduction Plan, available at: https://www.asiaalumgroup.com/data/upload/main/ueditor/20260401/69ccdd57c7f8e.pdf The Plan is reviewed annually and requires updating in the event of significant changes or non-compliance. Monitoring reports indicate that there have been no exceedances of the applicable emission limits during the past three years.
6.3a-g Assessment and Management of Spills and Leakages	Conformance	The Entity has developed and implemented an Emergency Response Plan for Sudden Environmental Incidents, which is reviewed every three years. The Plan has established response measures for potential Spills and Leakages based on risk levels and the nature of the incident. No Spills or Leakages incidents have occurred during the Audit period. The Entity's Spill and Leakage Information Report is available at: https://www.asiaalumgroup.com/data/upload/main/ueditor/20260401/69ccdd8044eea.pdf
6.4a-b Public Disclosure of Spills and Leakages	Conformance	The Entity has established requirements in its ASI Management Manual for the disclosure of Spill and Leakage incidents. Since commencing operations, the Entity has not experienced any Material Spills or Leakages.
6.5a-c Waste Management and Reporting	Conformance	The Entity has established and implemented an Environmental Management System that includes a Waste management strategy. As part of this system, the Entity has developed and implemented a Waste disposal procedure and annually discloses the quantities of Waste generated and corresponding disposal methods. The Entity's Hazardous and Non-Hazardous Waste Information Disclosure Report is available at: https://www.asiaalumgroup.com/data/upload/main/ueditor/20260401/69ccdd9dee1f3.pdf
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Conformance	The Entity has established a dedicated storage Facility for Aluminium Dross with leak prevention measures, 'closed circuit' video (CCTV) surveillance, and combustible gas alarms to prevent releases to the environment. The Entity has also implemented procedures for the collection and recycling of Aluminium Dross and conducts monthly reviews of its recycling management activities. Aluminium Dross is classified as Hazardous Waste and is transferred and disposed of through the Guangdong Province Hazardous Waste Supervision System Platform by qualified Waste management contractors. The Entity has designated responsible personnel to verify the destination of Aluminium Dross annually, ensuring that all disposal activities comply with applicable regulatory requirements.

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7. WATER STEWARDSHIP		
7.1a-b Water Assessment and Disclosure	Minor Non-Conformance	The Entity's water supply is sourced entirely from the local municipal water supply network, as documented in its Environmental Impact Report. The Entity's primary water uses include process operations and employee office and domestic needs. The water resource risk assessment has classified the Entity as low risk. The Entity's Water Management Assessment and Disclosure Report is available at: https://www.asiaalumgroup.com/data/upload/main/ueditor/20260401/69ccdde734e7c.pdf The Entity's water withdrawal and use data, however, have not been disclosed by source and water type.
7.2a-e Water Management	Not Applicable	This Criterion is not applicable to the Entity, as water-related risks have been assessed as low within the Entity's Area of Influence.
8. BIODIVERSITY AND ECOSYSTEM SERVICES		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Conformance	The Entity is not located within or adjacent to any Protected Area and does not present significant risks or potential impacts to Biodiversity or Ecosystem Services. The Entity has been assessed as presenting low Biodiversity risk and low overall potential impact. The Entity's Biodiversity Conservation Plan and Risk Assessment Report is available at: https://www.asiaalumgroup.com/data/upload/main/ueditor/20260401/69ccde27389c0.pdf
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity, as the Biodiversity assessment did not identify significant risk or impact on Biodiversity or Ecosystem Services.
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable to the Entity, as the Biodiversity assessment did not identify significant risk or impact on Biodiversity or Ecosystem Services.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity, as no Priority Ecosystem Services have been identified.
8.4 Alien Species	Conformance	The Entity has conducted annual assessments of invasive Alien Species and implemented control measures for identified high-risk pathways. To date, no invasive Alien Species have been introduced to the Entity.
8.5a-b Commitment to "No Go" in World Heritage Properties	Conformance	The Entity is located within the Yalu Industrial Park in the Zhaoqing High-Tech Industrial Development Zone, Guangdong Province, and is not situated within World Heritage Properties. The Entity has committed not to undertake exploration or develop New Projects within World Heritage Properties.
8.6a-d Protected Areas	Conformance	The Entity is located within the Yalu Industrial Park in the Zhaoqing High-Tech Industrial Development Zone, Guangdong Province, and is not situated within a Protected Area. All construction projects

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		undertaken by the Entity are subject to environmental impact assessments in accordance with applicable Chinese laws and regulations. The Entity's Protected Area Boundary Description is available at: https://www.asiaalumgroup.com/data/upload/main/ueditor/20260401/69ccde46bfe9d.pdf
8.6e Protected Areas - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9. HUMAN RIGHTS		
9.1a-d Human Rights Due Diligence	Conformance	The Entity has developed Human Rights Due Diligence Procedures, which define processes for identifying, preventing, mitigating, and communicating how actual and potential Human Rights impacts are addressed. The Entity's Human Rights Impact Assessment Report published in December 2025, includes the Entity's commitment to respecting Human Rights and promoting gender equality, the identification of Human Rights risks, and corresponding control measures. These measures comply with applicable management requirements. The Entity's Human Rights Policy commitment is available at: https://www.asiaalumgroup.com/data/upload/main/ueditor/20260401/69ccdb6f7c1dd.pdf
9.2a-e Gender Equity and Women's Empowerment	Minor Non-Conformance	The Entity has established a Female Employee Protection Management Procedure to support the protection and respect of women's rights and interests. The effectiveness of measures implemented to protect female employees is documented and available at: https://www.asiaalumgroup.com/data/upload/main/ueditor/20260401/69ccde6829bcd.pdf The publicly disclosed information, however, does not include the effectiveness of the measures implemented to promote gender equity at the Entity.
9.3a-i Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples within the Entity's Area of Influence.
9.4a Free, Prior, and Informed Consent (FPIC) - New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples within the Entity's Area of Influence.
9.4b Free, Prior, and Informed Consent (FPIC) - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4c Free, Prior, and Informed Consent (FPIC) - Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples within the Entity's Area of Influence.

CRITERION	RATING	COMMENT
9.5a Cultural and Sacred Heritage – Identification	Conformance	The Entity has established and implemented a Procedure for the Protection of Cultural and Sacred Heritage Sites to identify any local cultural sites and sacred heritage sites and implement appropriate protective measures in a timely manner.
9.5b Cultural and Sacred Heritage – Impacts	Not Applicable	This Criterion is not applicable to the Entity, as no presence of Indigenous Peoples or their lands, territories and resources has been identified, and no Cultural and Sacred Heritage Sites have been identified.
9.6a-i Displacement	Conformance	The Entity has established a Resettlement Procedure. During the design of any New Project in future, the Entity would prioritise feasible alternatives to avoid or minimise the need for physical relocation. Currently, the Entity has no projects involving resettlement.
9.7a-h Affected Populations and Organisations	Conformance	The Entity has established and implemented a Stakeholder Management Procedure, which respects the legal and customary rights of Local Communities regarding land, livelihoods, and the use of natural resources. The Entity has no conflicts with surrounding communities related to land use, customs, or other matters. Control measures have been developed and implemented to address identified impacts on Local Communities. The Entity's Management Plan for Affected Populations and Organisations is available at: https://www.asiaalumgroup.com/data/upload/main/ueditor/20260401/69ccdebd3cc39.pdf
9.8a Conflict-Affected and High-Risk Areas - Strong management systems	Conformance	The Entity's ASI Management Manual has prescribed that the Entity must not to contribute to armed conflict or Human Rights abuses. The Entity conducts annual reviews to determine whether its operations or direct raw material suppliers are located in Conflict-Affected and High-Risk Areas (CAHRAs). The Entity is committed to avoiding involvement in conflict, either directly or through its Business relationships. The Entity has established a Responsible Sourcing Policy, available at: https://www.asiaalumgroup.com/data/upload/main/ueditor/20260401/69ccdb0e67374.pdf The Entity's 2026 List of Qualified Suppliers indicate the raw materials used by the Entity are primarily sourced indirectly through third-party traders. The Entity does not source materials from CAHRAs.
9.8b Conflict-Affected and High-Risk Areas - Identify and assess risks	Conformance	The Entity's raw materials are primarily sourced from manufacturers and third-party traders. Its List of Qualified Suppliers indicate that all listed suppliers are not located in CAHRAs. No potential risks associated with the procurement of raw materials from CAHRAs have been identified.
9.8c Conflict-Affected and High-Risk Areas - Strategy to respond to risks	Not Applicable	This Criterion is not applicable to the Entity, as no actual or potential risks have been identified.
9.8d Conflict-Affected and High-Risk Areas - Audit of due diligence	Conformance	The Entity's Due Diligence processes were included in the scope of this ASI Performance Standard Certification Audit, which fully addresses this requirement.

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9.8e Conflict-Affected and High-Risk Areas - Report annually	Minor Non-Conformance	The Entity annually verifies whether it has operations or direct raw material suppliers located in CAHRAs. Where such operations or suppliers exist, the Entity shall conduct Due Diligence and risk assessments. These assessments are conducted annually using the Supplier Due Diligence Form. The results of these assessments, however, have not been publicly reported.
9.9 Security practice	Conformance	The Entity has engaged a third-party security provider to manage the Entity's daily security and crime prevention activities. The relevant engagement contract is maintained on file. The Entity has developed and implemented a Security Management System, which defines the management responsibilities, service standards, and other requirements for security personnel. Training is provided to security personnel to ensure they understand their duties and comply with applicable Human Rights expectations. To date, no complaints or allegations related to security services have been received.
10. LABOUR RIGHTS		
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	This Criterion is not applicable, as the Entity adheres to Applicable Law in China regarding Freedom of Association and Right to Collective Bargaining.
10.1d Freedom of Association and Right to Collective Bargaining - Alternative means in context of Applicable Law	Conformance	The Entity has established a Labour Union in accordance with applicable Chinese laws. The Entity allows Workers to freely select their own Worker representatives. When necessary, the Entity supports relevant departments in electing employee representatives who are responsible for monitoring and raising concerns with management regarding Occupational Health and Safety (OH&S), employee welfare, and ASI social governance.
10.2a-c Child Labour	Conformance	The Entity has established and implemented a Child Labour and Underage Worker Management Procedure, which prohibits the use of Child Labour and strictly regulates the employment of underage Workers. The Entity has no Child Labour or underage Workers. All Workers are 18 years of age or older.
10.3a-c Forced Labour	Conformance	The Entity has established and implemented a Management Procedure for Prohibition of Forced Labour, which prohibits Forced Labour and Human Trafficking. The Entity does not require recruited employees to provide any form of deposit or guarantee, restrict Workers' freedom of movement in the workplace, or impose unreasonable restrictions on employees' freedom. The Entity has disclosed its Declaration Against Modern Slavery and Forced Labour, available at: https://www.asiaalumgroup.com/data/upload/main/ueditor/20260401/69ccded7db681.pdf
10.4a-c Non-Discrimination	Conformance	The Entity has developed and implemented an Anti-Discrimination Management Procedure and established a monitoring mechanism. Using its Human Rights Due Diligence forms, the Entity has integrated the principle of non-Discrimination into all Business operations.

CRITERION	RATING	COMMENT
10.5 Communication and engagement	Conformance	The Entity has established and implemented a Consultation, Communication, and Information Exchange Management Procedure to encourage employees to participate in the ASI Management System. Employees may directly contact representatives of the Work Safety Management Committee regarding any concerns. Interviews with frontline employees confirmed that communication mechanisms are functional and that channels and forums remain open.
10.6a-g Violence and Harassment	Conformance	The Entity has established a Policy to eliminate workplace Violence and Harassment in accordance with the requirements of the Disciplinary Measures Management Procedure which is disclosed at: https://www.asiaalumgroup.com/data/upload/main/ueditor/20260401/69ccdef61184c.pdf
10.7a-c Remuneration	Conformance	The Entity ensures that wages and benefits comply with all applicable legal requirements. Wages and benefits are provided through payment methods that are convenient for all employees. All Overtime worked by Entity employees is compensated in accordance with national law and regulations.
10.8a-c Working Time	Conformance	The Entity's employee working hours comply with applicable national laws, regulations, and employment contracts. A limited amount of Overtime is performed voluntarily by employees, and the Entity provides corresponding Overtime compensation in accordance with applicable requirements.
10.9a-b Informing Workers of Rights	Conformance	The Entity has informed employees of their rights through written notices and other communication channels and takes measures to protect those rights. Freedom of Association and Collective Bargaining are subject to applicable laws. The Entity fulfills its obligation to communicate employee rights through the Employee Representative Council and the Labour Union. Employees may also obtain information on their rights and obligations through other means, including letters, emails, telephone calls, and 'face-to-face' meetings.
11. OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Management System	Minor Non-Conformance	The Entity has established, implemented, operates and continuously improves its Occupational Health and Safety (OH&S) Management System and has obtained ISO 45001:2018 certification. The Entity undergoes annual surveillance audits. During the on-site inspection of the extrusion workshop undertaken during the Audit, it was observed however that some Workers were not wearing noise-cancelling earplugs as required by the OH&S Management System for their respective tasks and activities.
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Minor Non-Conformance	The Entity regularly reviews its OH&S Management System through safety meetings, annual compliance assessments, ISO 45001:2018 internal audits, and management review activities. The Management System and related Policies is reviewed whenever changes occur, or when potential control deficiencies are identified. All OH&S targets and indicators for 2024–2025 have been achieved. The effectiveness of the OH&S Management System is disclosed at: https://www.asiaalumgroup.com/data/upload/main/ueditor/20260401/69ccdf10c277c.pdf

CRITERION	RATING	COMMENT
		Whilst the Entity has disclosed its 2025 OH&S performance, it does not however include an analysis comparing its OH&S indicators with those of peer Businesses.
11.2 Employee engagement on Health and Safety	Conformance	Employees have provided feedback to Entity management, discussed, and participated in the resolution of OH&S issues through mechanisms such as the Labour Union, the Environment and Safety Committee, and employee representatives. All feedback and suggestions are investigated, analysed, and addressed through appropriate measures where necessary.

ASI LIMITATION OF LIABILITY DISCLAIMER

DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	11 August 2026	Initial Certification Audit – Full Certification