ASI CERTIFICATION
CHAIN OF CUSTODY STANDARD

PRESENTED TO

Guangdong AsiaAlum Senyuan Aluminum Co., LTD.

CERTIFICATE NUMBER	ASI STANDARD	CERTIFICATION LEVEL	ASI ACCREDITED AUDITING FIRM
564	CHAIN OF CUSTODY STANDARD (V2 2022)	FULL CERTIFICATION	CHINA QUALITY MARK CERTIFICATION GROUP
	DATE OF ISSUE	DATE OF EXPIRY	CERTIFIED SINCE
	11 AUGUST 2026	10 AUGUST 2029	11 AUGUST 2026

AUTHORISED BY

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Validity of this Certificate is subject to continued conformance with the applicable ASI Standard and can be verified at

www.aluminium-stewardship.org

CERTIFICATION SCOPE

Production and related management activities for Aluminium alloy architectural and industrial profiles at Guangdong AsiaAlum Senyuan Aluminum Co., Ltd., located in AsiaAlum Industrial Park, Dawang High-tech Development Zone, Zhaoqing City, Guangdong Province, China.

SUMMARY AUDIT REPORT
CHAIN OF CUSTODY STANDARD

OVERVIEW

MEMBER NAME	Guangdong AsiaAlum Senyuan Aluminum Co., LTD.
ENTITY NAME	Guangdong AsiaAlum Senyuan Aluminum Co., LTD.
CERTIFICATION SCOPE	Production and related management activities for Aluminium alloy architectural and industrial profiles at Guangdong AsiaAlum Senyuan Aluminum Co., Ltd., located in AsiaAlum Industrial Park, Dawang High-tech Development Zone, Zhaoqing City, Guangdong Province, China.
SUPPLY CHAIN ACTIVITIES	• Aluminium Re-melting/Refining • Casthouses • Post-Casthouse
ASI STANDARD	Chain of Custody Standard V2
AUDIT TYPE	• Initial Certification Audit
AUDIT FIRM	China Quality Mark Certification Group
AUDIT DATE	• 31 March 2026
AUDIT REPORT SUBMISSION	• 27 April 2026
AUDIT SCOPE	The Audit Scope included the activities and Facilities involved in the production of Aluminium alloy architectural and industrial profiles. The supply chain activities included in the Audit Scope: • Aluminium Re-melting/Refining • Casthouses • Post-Casthouse All relevant Criteria in the ASI Chain of Custody Standard were included in the Audit Scope.
AUDIT OUTCOME	Certification
AUDIT METHODOLOGY DECLARATION	The Auditors confirm that: ☑ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this Report. ☑ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.

	☑ The Audit Scope and Audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity’s defined Certification Scope. ☑ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
CERTIFICATION PERIOD	11 August 2026 – 10 August 2029
NEXT AUDIT TYPE	Surveillance Audit
NEXT AUDIT DUE DATE	10 February 2028
CERTIFICATE NUMBER	564
	If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: https://aluminium-stewardship.ethicspoint.com/ EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations. Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Guangdong AsiaAlum Senyuan Aluminum Co., LTD. (the 'Entity') is located in AsiaAlum Industrial Park in the Dawang High-Tech Industrial Development Zone of Zhaoqing City, Guangdong Province, China, covering an area of approximately 1.6 million square metres (m^2). The Entity currently has an annual production capacity of approximately 600,000 metric tonnes and is equipped with a comprehensive range of production and testing equipment for Aluminium alloy profiles imported from Italy, Japan, Taiwan (China) and the United States. The Entity's Products are primarily sold within China, with a smaller proportion exported to Europe, Southeast Asia, and other international markets.

The Entity has established a fully integrated production process system covering melting and casting, extrusion, anodising and colouring, electrophoretic coating, powder coating, fluorocarbon coating, thermal insulation, polishing and dyeing, pipe manufacturing, and deep processing. Imported equipment accounts for approximately 80% of the Entity's total equipment inventory.

The Entity operates a total of 23 melting furnaces and 56 extrusion presses. Under its current 'made-to-order' production model, five melting furnaces and 35 extrusion presses are actively in operation. Total product output for 2025 was approximately 234,490 metric tonnes. The surface treatment Facilities comprise 20 production lines, including anodising, electrophoretic coating, powder coating, and fluorocarbon coating lines.

The Entity's ancillary infrastructure includes a three-storey comprehensive office building, 36 employee dormitory buildings (each consisting of five storeys), two large parking areas, eight dedicated finished goods storage areas, an independent staff canteen, a dedicated gymnasium, and an exclusive pedestrian street for employees.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of Systems, Residual Risk and Performance.

Maturity ratings are not a direct assessment of conformance to the Standard.

	OVERALL
SYSTEMS	Medium
RISKS	Medium
PERFORMANCE	Medium
OVERALL	MEDIUM

FINDINGS

CRITERION	RATING	COMMENT
1. MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI Membership	Conformance	The Entity became an ASI member in the 'Production and Transformation' class in September 2025. The Entity is committed to fulfilling its ASI membership obligations and conducting its operations in accordance with the ASI Chain of Custody (CoC) Standard. The Entity's membership information is available at:https://aluminium-stewardship.org/about-asi/members/Guangdong-AsiaAlum-Senyuan-Aluminum-Co---LTD-
1.2 CoC Management System	Conformance	The Entity has established a Management System that meets the requirements of the ASI Chain of Custody Standard and has integrated CoC management activities into its existing Management Systems, including the ASI Performance Standard, Quality, Environmental Management, and an Environmental Management System. The Entity provides adequate personnel and resources to support the implementation of CoC activities.
1.3 CoC Management System Monitoring	Conformance	The Entity has established a mechanism for the regular review of its Management System in accordance with the ASI CoC Management System Manual. The Entity periodically reviews the CoC Management System based on the requirements of the ASI Chain of Custody (CoC) Standard and implementation experience, and addresses any non-conformities identified during the operation of the system.
1.4 Management Representative	Conformance	The Entity has appointed a Senior Executive as the ASI Management Representative, with responsibilities defined in the Letter of Appointment, including overseeing and coordinating all activities related to compliance with the applicable requirements of both the ASI Performance Standard and Chain of Custody Standard.
1.5 Communications and Training	Conformance	The Entity has provided training to relevant personnel to enhance awareness of the ASI Standards and ensure they have the knowledge and competence required to perform their assigned responsibilities. The Entity's Human Resources Department has established an annual training plan for the ASI Chain of Custody (CoC) Standard and has organised training for relevant departments on the key requirements and procedures of the CoC Management System.
1.6 Records Management	Conformance	The Entity requires that records related to the operation of the CoC Management System be retained for a minimum of five years to support ongoing system review and traceability.
1.7a Reporting to ASI (Inputs and Outputs of CoC Material)	Conformance	The Entity's ASI CoC Management Manual requires that information relating to the previous year's CoC Material Accounting be submitted to the ASI Secretariat by 30 June each year. As this Audit was the Initial Certification Audit, the Entity currently has no Inputs or Outputs of CoC Material to report. The Entity has committed to submitting its annual CoC Material Accounting information, including Inputs and Outputs of CoC Material to the ASI Secretariat in accordance with ASI Chain of Custody Standard requirements.

CRITERION	RATING	COMMENT
1.7b Reporting to ASI (Inputs and Outputs of Eligible Scrap)	Conformance	The Entity currently has no CoC transactions. The Entity's Management System is established to support future reporting to the ASI Secretariat annually, including the reporting of Inputs and Outputs of Eligible Scrap.
1.7c Reporting to ASI (Inflows and Outflows of Non-CoC Material)	Conformance	The Entity currently has no CoC transactions. The Entity's Management System is established to support future reporting to the ASI Secretariat annually, including the reporting of Inflows and Outflows of Non-CoC Material.
1.7d Reporting to ASI (Positive Balance carried over)	Conformance	The Entity currently has no CoC transactions. The Entity's Management System is established to support future reporting to the ASI Secretariat annually, including the reporting of any Positive Balance carried over.
1.7e Reporting to ASI (Positive Balance used)	Conformance	The Entity currently has no CoC transactions. The Entity's Management System is established to support future reporting to the ASI Secretariat annually, including the reporting of any Positive Balance used.
1.7f Reporting to ASI (Internal Overdraw drawn down)	Conformance	The Entity currently has no CoC transactions. The Entity's Management System is established to support future reporting to the ASI Secretariat annually, including the reporting of any Internal Overdraw drawn down.
1.7g Reporting to ASI (Intra-Entity Flows)	Conformance	The Entity currently has no CoC transactions. The Entity's Management System is established to support future reporting to the ASI Secretariat annually, including the reporting of Intra-Entity Flows (if necessary).
2. OUTSOURCING CONTRACTORS		
2.1 Certification Scope	Not Applicable	This Criterion is not applicable as the Entity does not use Outsourcing Contractors.
2.2a Control of CoC Material (Legal ownership or control)	Not Applicable	This Criterion is not applicable as the Entity does not use Outsourcing Contractors.
2.2b Control of CoC Material (No further outsourcing)	Not Applicable	This Criterion is not applicable as the Entity does not use Outsourcing Contractors.
2.2c Control of CoC Material (Risk assessment)	Not Applicable	This Criterion is not applicable as the Entity does not use Outsourcing Contractors.
2.3 Information on Quantity of CoC Material Output and Returned	Not Applicable	This Criterion is not applicable as the Entity does not use Outsourcing Contractors.
2.4 Consistency in Inflow and Outflow Quantity of CoC Material to/from Outsourcing Contractor	Not Applicable	This Criterion is not applicable as the Entity does not use Outsourcing Contractors.

CRITERION	RATING	COMMENT
2.5 Error (Outsourcing Contractor)	Not Applicable	This Criterion is not applicable as the Entity does not use Outsourcing Contractors.
3. PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI ALUMINIUM		
3.1a ASI Bauxite (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1b ASI Bauxite (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1c ASI Bauxite (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2a ASI Alumina (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2b ASI Alumina (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2c ASI Alumina (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3b ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3c ASI Aluminium (Alumina sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4. RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP		
4.1a Recycled Aluminium (CoC Certification Scope)	Conformance	The Entity has established relevant procedures and systems to ensure that all ASI Aluminium is produced only by Facilities within its own ASI CoC Certification Scope or sourced from suppliers certified to the ASI Chain of Custody Standard. The Entity's CoC Certification Scope includes its remelting and refining activities to produce Recycled Aluminium. As of the Audit date, no CoC-certified Recycled Aluminium had been received, processed, or recorded.
4.1b Recycled Aluminium (Performance Standard)	Conformance	The Entity has established relevant procedures and systems to ensure that ASI Aluminium is produced only at Facilities certified against the ASI Performance Standard. The Entity's ASI Performance Standard Certification Scope includes its re-melting/refining activities to produce Recycled Aluminium.
4.2a Eligible Scrap (Pre-Consumer)	Conformance	The Entity has established and implemented supplier Due Diligence procedures that define the evaluation criteria and management processes for Eligible Scrap and suppliers. The Entity has conducted Due Diligence on Pre-Consumer Scrap suppliers, including applicable

CRITERION	RATING	COMMENT
		performance requirements, to assess suppliers whose material may be used as ASI Aluminium. The Entity does not currently recycle Pre-Consumer Scrap.
4.2b Eligible Scrap (Post-Consumer)	Conformance	Only Post-Consumer Scrap subject to supplier Due Diligence and verified by the Entity as Post-Consumer in origin qualifies as Eligible Scrap. The Entity does not currently recycle Post-Consumer Scrap.
4.2c Eligible Scrap (Dross)	Conformance	The Entity has established a Due Diligence procedure and currently does not purchase Eligible Scrap from suppliers that manage Aluminium Dross or recover Aluminium from Aluminium Dross and other Aluminium-containing Waste.
4.3a Records Management for Direct Suppliers of Recyclable Scrap Material (Suppliers)	Conformance	The Entity has established a Due Diligence procedure and compiled a list of suppliers, including the identities, responsible persons, and Business locations of all direct suppliers of Recyclable Scrap. The Entity has not yet purchased Recyclable Scrap.
4.3b Records Management for Direct Suppliers of Recyclable Scrap Material (Financial transactions)	Conformance	The Entity has established requirements for maintaining financial records for all transactions with direct suppliers of Recyclable Scrap. The Entity has not yet purchased Recyclable Scrap.
5. CASTHOUSES: CRITERIA FOR ASI ALUMINIUM		
5.1a ASI Aluminium (CoC Certification Scope)	Conformance	The Entity has established processes to ensure that ASI Aluminium is produced only in the Casthouse included in its CoC Certification Scope and is traceable within the Entity's production system.
5.1b ASI Aluminium (Performance Standard)	Conformance	The Entity has established a system to ensure that all ASI Aluminium production comes exclusively from its Casthouse, which is included in the scope of its ASI Performance Standard Certification.
5.1c ASI Aluminium (Aluminium sourcing)	Conformance	The Entity currently has no ASI Aluminium transactions. Any purchased materials in future will be recorded in the Material Accounting table, which identifies the Aluminium source, CoC Material category, and material flows.
5.2 Unique Identification	Conformance	The Entity has developed and implemented a CoC Document Information Verification Procedure to ensure that ASI Products have unique identification codes and are linked to the Input Quantity of CoC Material during the Material Accounting Period. Currently, all Aluminium Products produced by the Entity's casting Facilities are assigned production batch numbers, enabling traceability throughout the Product flow.
6. POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM		
6.1a Post-Casthouse ASI Aluminium (CoC Certification Scope)	Conformance	The Entity produces cast Products and is included in the CoC Certification Scope.

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6.1b Post-Casthouse ASI Aluminium (Performance Standard)	Conformance	The Entity has prescribed in its ASI Management Manual that ASI Material suppliers must hold ASI Performance Standard Certification. The Entity currently does not have any transactions of ASI Material.
6.1c Post-Casthouse ASI Aluminium (Aluminium sourcing)	Conformance	The Entity has established a CoC Management System to ensure that purchased ASI Material is sourced from Entities holding ASI CoC Certification or traders involved in ASI Aluminium trading. The Entity currently does not have any transactions of ASI Aluminium.
7. DUE DILIGENCE FOR NON-COC MATERIAL, COC MATERIAL ACQUIRED THROUGH A TRADER AND RECYCLABLE SCRAP MATERIAL		
7.1a Responsible Sourcing Policy (Anti-corruption)	Conformance	The Entity has developed and implemented a procurement Policy, communicated it to relevant suppliers, and conducts supplier investigations and evaluations, including assessments against its anti-Corruption requirements. The Entity's supplier Due Diligence assessment report includes anti-Bribery provisions.
7.1b Responsible Sourcing Policy (Responsible sourcing)	Conformance	The Entity has developed and implemented a procurement Policy that includes responsible sourcing assessments. The Entity conducts supplier investigations and evaluations, and procurement contracts include an annex addressing anti-Bribery, Human Rights, and environmental requirements.
7.1c Responsible Sourcing Policy (Human rights due diligence)	Conformance	The Entity has developed and implemented procurement control procedures requiring adequate supplier investigation and evaluation prior to procurement. Procurement contracts include anti-Bribery, Human Rights, environmental, and other ASI Performance Standard requirements. The Entity's supplier Due Diligence assessment report includes Human Rights Due Diligence provisions.
7.1d Responsible Sourcing Policy (Conflict-affected and high-risk areas)	Conformance	The Entity has developed and implemented a Procurement Control Procedure, which requires adequate supplier investigation and evaluation prior to procurement. Procurement contracts include anti-Bribery, Human Rights, environmental, and other requirements as mandated in the ASI Performance Standard. The Entity has no suppliers located in Conflict-Affected and High-Risk Areas (CAHRAs).
7.2 Risk Assessment and Mitigation	Conformance	The Entity has conducted Due Diligence assessments and risk evaluations of all suppliers, including ingot, alloy, and Scrap suppliers, to determine their eligibility as approved suppliers. The Entity also conducts annual second-party audits of suppliers and issues corresponding supplier audit reports.
7.3 Complaints Resolution Mechanism	Conformance	The Entity has established a Complaint Resolution Mechanism to collect, process, and respond to complaints from relevant parties. The Entity tracks and monitors complaints and addresses them in a timely manner.
8. MATERIAL ACCOUNTING SYSTEM: COC MATERIAL AND ASI ALUMINIUM		
8.1 Material Accounting System	Conformance	The Entity has established a Material Accounting System to ensure that, within its Certification Scope and during the Material Accounting Period, the total Output of CoC Material and Eligible Scrap does not exceed the corresponding Input of CoC Material and Eligible Scrap.

CRITERION	RATING	COMMENT
8.2 Material Accounting Period	Conformance	The Entity's ASI Management Manual specifies a Material Accounting Period from January to December each year, and the ASI Material Balance Accounting Form adopts the calendar year as the reporting period.
8.3 Input and Inflow Quantities	Conformance	The Entity has established a Material Accounting System to record Input Quantities of CoC Material and Eligible Scrap, as well as Inflows of Non-CoC Materials and Recyclable Scrap. The Inflow of Recyclable Scrap is determined based on assessed Aluminium content. At the time of the Audit, no CoC Material was present in the Entity's supply chain.
8.4 Output Quantities of CoC Material	Conformance	The Entity has established a Mass Balance System. During each Material Accounting Period, the Entity determines the Percentage of CoC Material Inputs by dividing the Quantity of CoC Material inputs by the total Quantity of CoC Material and Non-CoC Material Inputs. This Input Percentage is then applied to the total Output Quantity to calculate the Quantity of Output material that may be designated as CoC Material under the Mass Balance model.
8.5 Indivisibility of CoC Material	Conformance	The Entity's ASI Management Manual prescribes that CoC Material Output, even when it represents only a portion of total production Output, shall be classified as 100% CoC Material.
8.6 Output Quantity of Eligible Scrap	Conformance	The Entity's ASI Management Manual prescribes that, for Scrap generated internally during production, if a certain proportion is designated as Eligible Scrap, the same percentage shall be applied to determine the Output Quantity of ASI Aluminium during the Material Accounting Period.
8.7 Consistency Between Input Percentage and Total Output	Conformance	The Entity's ASI Management Manual prescribes that, during the Material Accounting Period, the total Output of CoC Material and/or Eligible Scrap shall not exceed the corresponding Input Percentage.
8.8a Internal Overdraw (Not exceed 20%)	Conformance	The Entity's ASI Management Manual prescribes that Internal Overdraw shall not exceed 20% of the total CoC Material Input during the Material Accounting Period. The Entity did not record any Overdraws in 2025.
8.8b Internal Overdraw (Not exceed force majeure situation)	Conformance	The Entity's ASI Management Manual prescribes that Internal Overdraws must not exceed the Quantity of CoC Material affected by the Force Majeure situation.
8.8c Internal Overdraw (Made up within subsequent Material Accounting Period)	Conformance	The Entity's ASI Management Manual prescribes that any Internal Overdraws shall be reconciled in the subsequent Material Accounting Period.
8.9a Positive Balance (Carry over)	Conformance	The Entity's ASI Management Manual prescribes that at the end of an Accounting Period, any Positive Balance of CoC Material may be carried forward to the next Material Accounting Period. Such carryover Material must be clearly identified and recorded within the Material Accounting System.

CRITERION	RATING	COMMENT
8.9b Positive Balance (Expiry)	Conformance	The Entity's ASI Management Manual prescribes that any Positive Balance generated during a Material Accounting Period and carried over to the next period shall expire if it is not drawn down by the end of that subsequent Accounting Period.
9. ISSUING COC DOCUMENTS		
9.1 CoC Document	Conformance	The Entity's ASI Management Manual prescribes that CoC Documents shall accompany each batch of CoC Material transferred to other CoC-Certified Entities or Traders. The Entity has not conducted any CoC Material transactions to date.
9.2a CoC Document Content (Date of issue)	Conformance	The Entity's CoC Document template includes the date of issue.
9.2b CoC Document Content (Reference number)	Conformance	The Entity's ASI Management Manual prescribes that CoC Document reference numbers are linked to the Entity's Material Accounting System and be traceable and verifiable.
9.2c CoC Document Content (Issuing Entity)	Conformance	The Entity's ASI Management Manual prescribes that the CoC Document must include the name, address, and CoC Certification number of the Entity.
9.2d CoC Document Content (Receiving customer)	Conformance	The Entity's ASI Management Manual prescribes that the CoC Document must include the recipient's name and address. If the recipient is a CoC-Certified Entity, the CoC Certification number must also be provided.
9.2e CoC Document Content (Responsible employee)	Conformance	The Entity's ASI Management Manual prescribes that the CoC Document must include detailed information on the Entity's responsible person, who is required to verify the information before issuing the CoC Document to the client. The Entity has not yet issued any CoC Documents.
9.2f CoC Document Content (Conformance statement)	Conformance	The Entity's ASI Management Manual prescribes that all issued CoC Documents shall include a confirmation statement indicating that 'The information provided in the CoC Document is in Conformance with the ASI CoC Standard.'
9.2g CoC Document Content (Type of CoC Material)	Conformance	The Entity's ASI Management Manual prescribes that the CoC Document must include the type of CoC Material included in the shipment.
9.2h CoC Document Content (Mass of CoC Material)	Conformance	The Entity's ASI Management Manual prescribes that all issued CoC Documents must include the mass of CoC Material in the shipment.
9.2i CoC Document Content (Mass of total material)	Conformance	The Entity's ASI Management Manual prescribes that all issued CoC Documents must include the mass of total Material shipped.
9.3a Sustainability Data (optional) - Carbon footprint	Conformance	The Entity's ASI Management Manual prescribes that CoC Documents shall include relevant Sustainability Data, including the average

CRITERION	RATING	COMMENT
		carbon footprint of CoC Material and accounting methodology. The Entity has not yet delivered any CoC Material to other Entities.
9.3b Sustainability Data (optional) - Origin information	Conformance	The Entity's ASI Management Manual prescribes that CoC Documents shall include relevant Sustainability Data, including information confirming the origin of Aluminium.
9.3c Sustainability Data (optional) - Recycled content	Conformance	The Entity's ASI Management Manual prescribes that CoC Documents shall include relevant Sustainability Data, including the recycled Aluminium content of CoC Material and the methods used to determine Pre-Consumer and Post-Consumer Scrap.
9.3d Sustainability Data (optional) - Post-Casthouse ASI Certification status	Conformance	The Entity's ASI Management Manual prescribes that CoC Documents shall include relevant Sustainability Data, including the ASI Performance Standard Certification status of the Entity and/or Facility issuing the CoC Document.
9.4 Supplementary Information (optional) - Objective evidence	Conformance	The Entity's ASI Management Manual prescribes that Objective Evidence shall be provided to support any Supplementary Information of the Entity or CoC Material included in the CoC Document.
9.5 Verification of Information	Conformance	The Entity's ASI Management Manual prescribes that the Entity is responsible for responding to information validation requests specified in CoC Documents and providing support to relevant parties. Relevant procedures have been established, with designated Entity personnel assigned for oversight.
9.6 Error (Shipping)	Conformance	The Entity's ASI Management Manual and Corrective and Preventive Action Procedure Document both prescribe that, in the event of an error, the root cause shall be analysed, corrective actions implemented, and preventive measures taken to avoid recurrence.
10. RECEIVING COC DOCUMENTS		
10.1 Verification of CoC Documents	Conformance	The Entity has established a procedure to verify that received CoC Documents contain all required information.
10.2 Verification of Consistency Between CoC Documents and CoC Material	Conformance	The Entity's ASI Management Manual prescribes that the Production Management Department shall record relevant information in the Material Accounting System after verifying received CoC Documents against the accompanying CoC Material or Eligible Scrap. The Entity has not yet procured CoC Material or Eligible Scrap.
10.3 Verification of Supplier's ASI CoC Certification	Conformance	The Entity's ASI Management Manual requires quarterly reviews of the ASI website to verify suppliers' ASI CoC Certification status and to identify any changes, as required.
10.4 Error (Reception)	Conformance	The Entity's ASI Management Manual and Corrective and Preventive Action Procedure prescribe that, in the event of an error, the root cause shall be analysed, corrective actions implemented, and preventive measures taken to prevent recurrence.
11. CLAIMS AND COMMUNICATIONS		

CRITERION	RATING	COMMENT
11.1a Claims and Communications (ASI Claims Guide)	Conformance	The Entity's ASI Management Manual prescribes that all claims shall comply with ASI Standards and the ASI Claims Guide, with relevant responsibilities and authorities defined. There have been no instances to date of claims that do not comply with relevant requirements.
11.1b Claims and Communications (Verifiable evidence)	Conformance	The Entity's ASI Management Manual prescribes that all claims shall be supported by verifiable evidence.
11.1c Claims and Communications (Employee training)	Conformance	The Entity has provided employees with training on the ASI Standards and ASI Claims Guide and has retained training records.

ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable law, or will avoid any Breach from occurring.

DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	11 August 2026	Initial Certification Audit – Full Certification