ASI CERTIFICATION
CHAIN OF CUSTODY STANDARD

PRESENTED TO

HINDALCO INDUSTRIES LTD (HIRAKUD FRP)

CERTIFICATE NUMBER	ASI STANDARD	CERTIFICATION LEVEL	ASI ACCREDITED AUDITING FIRM
253	CHAIN OF CUSTODY STANDARD (V2 2022)	FULL CERTIFICATION	CETIZION VERIFICA
	DATE OF ISSUE	DATE OF EXPIRY	CERTIFIED SINCE
	20 JANUARY 2026	19 JANUARY 2029	20 JANUARY 2023

AUTHORISED BY

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
info@Aluminium-stewardship.org

Validity of this Certificate is subject to continued conformance with the applicable ASI Standard and can be verified at

www.Aluminium-stewardship.org

CERTIFICATION SCOPE

Manufacturing and supply of Unalloyed and Alloyed Aluminium flat rolled products (plate coils & sheets) at Hindalco Industries Ltd (Hirakud FRP) (India).

AUDIT REPORT
CHAIN OF CUSTODY STANDARD

OVERVIEW

MEMBER NAME	HINDALCO INDUSTRIES LTD (HIRAKUD FRP)
ENTITY NAME	Hindalco Industries Ltd (Hirakud FRP)
CERTIFICATION SCOPE	Manufacturing and supply of Unalloyed and Alloyed Aluminium flat rolled products (plate coils & sheets) at Hindalco Industries Ltd (Hirakud FRP) (India).
SUPPLY CHAIN ACTIVITIES	• Aluminium Re-melting/Refining • Casthouses • Post-Casthouse
ASI STANDARD	Chain of Custody Standard V2
AUDIT TYPE	• Initial Certification Audit (13 September 2022) • Surveillance Audit (24 – 25 July 2024) • Re-Certification & Scope Change (20 – 21 February 2026)
AUDIT FIRM	Cetizion Verifica
AUDIT DATE	• 13 September 2022 (Initial Certification Audit) • 24 – 25 July 2024 (Surveillance Audit) • 20 – 21 February 2026 (Re-Certification & Scope Change)
AUDIT REPORT SUBMISSION	• 4 January 2023 (Initial Certification Audit) • 11 March 2025 (Surveillance Audit) • 14 July 2026 (Re-Certification & Scope Change)
AUDIT SCOPE	Initial Certification Audit (13 September 2022) The Audit Scope covers the manufacturing and supply of Unalloyed and Alloyed Aluminium flat rolled products (plate coils & sheets) at Hindalco Industries Ltd (Hirakud FRP). Supply chain activities included in the Audit Scope: • Post-Casthouse All relevant criteria in the ASI Chain of Custody Standard are included in the Audit Scope. Surveillance Audit (24 – 25 July 2024) The Audit Scope covers the manufacturing and supply of Unalloyed and Alloyed Aluminium flat rolled products (plate coils & sheets) at Hindalco Industries Ltd (Hirakud FRP). Supply chain activities included in the Audit Scope: • Post-Casthouse All relevant criteria in the ASI Chain of Custody Standard are included in the Audit

	Scope. Re-Certification Audit and Scope Change (20 – 21 February 2026) The Audit Scope covers the manufacturing and supply of Unalloyed and Alloyed Aluminium flat rolled products (plate coils & sheets) at Hindalco Industries Ltd (Hirakud FRP). Supply chain activities included in the Audit Scope: • Aluminium Re-melting/Refining • Casthouses • Post-Casthouse All relevant criteria in the ASI Chain of Custody Standard are included in the Audit Scope.
AUDIT OUTCOME	Certification
AUDIT METHODOLOGY DECLARATION	The Auditors confirm that: ☑ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this Report. ☑ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous. ☑ The Audit Scope and Audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope. ☑ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
CERTIFICATION PERIOD	20 January 2026 – 19 January 2029
NEXT AUDIT TYPE	Surveillance
NEXT AUDIT DUE DATE	19 February 2027
CERTIFICATE NUMBER	253
	If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: https://Aluminium-stewardship.ethicspoint.com/ EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations. Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Hindalco Industries Limited - Hirakud Flat Rolled Product (FRP) (the 'Entity') is a unit of Hindalco Industries Limited Group, the metals flagship company of the Aditya Birla Group. It is located in Hirakud, Sambalpur, Odisha, India, which is well connected by road, rail and air. The Entity employs 1,353 people, of whom 19 are women.

The Entity produces flat-rolled products (Hot Roll and Cold Roll Coil) for various applications, including industrial, foil stock, marine, and others, and supplies them to domestic and international markets.

The Entity has an annual production capacity of 335,000 tonnes. It has recently commissioned a cast house (DC-5) to further improve its production capacity to 585,000 tonnes, which is currently in the ramp-up phase.

The primary Aluminium (Rolling Ingot) is sourced from Hindalco Hirakud Smelter, with small quantities sourced from other ASI-certified facilities. The Entity's power and water are supplied by Hirakud Smelter & Power under the available concession agreement.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of Systems, Residual Risk and Performance.

Maturity ratings are not a direct assessment of conformance to the Standard.

	OVERALL
SYSTEMS	Medium
RISKS	Medium
PERFORMANCE	Medium
OVERALL	MEDIUM

FINDINGS

CRITERION	RATING	COMMENT
1. MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI Membership	Conformance	The Entity is an ASI Member under the Production and Transformation category.
1.2 CoC Management System	Conformance	The Entity has a documented Integrated Management System based on the ISO 9001:2015, ISO 14001:2015, and ISO 45001:2018 Standards, as well as a Standard Operating Procedure (SOP) for Chain of Custody, which defines the Entity's Management System.
1.3 CoC Management System Monitoring	Conformance	The Entity's Management System documentation is periodically reviewed, focuses on the ASI Chain of Custody Standard requirements and is conducted via the Integrated Management System reviews, chaired by the Plant Head.
1.4 Management Representative	Conformance	The Entity has designated a Management Representative, who is further assisted by the Entity's ASI Governance team, which includes representatives from the procurement, human resources, logistics, production planning, and warehouse functions.
1.5 Communications and Training	Conformance	The Entity has provided refresher training addressing Chain of Custody V2 requirements to its responsible employees.
1.6 Records Management	Conformance	The Entity has defined the record retention time in the related SOP as five years.
1.7a Reporting to ASI (Inputs and Outputs of CoC Material)	Conformance	There were no CoC Material transactions (receipt and issuance) that occurred during the material accounting period in 2025.
1.7b Reporting to ASI (Inputs and Outputs of Eligible Scrap)	Conformance	The Entity has defined the ASI reporting requirements within the SOP, including Eligible Scrap. There were no CoC Material transactions during the Material Accounting Period.
1.7c Reporting to ASI (Inflows and Outflows of Non-CoC Material)	Conformance	The Entity prepared a report prior to 30 June 2026 within the ASI portal for the 2025 material accounting period for Inflow and Outflow Quantities of Non-CoC Material/s to/from the Certified Entity over the calendar year 2025.
1.7d Reporting to ASI (Positive Balance carried over)	Conformance	The Entity has defined reporting requirements within the SOP, including the Positive Balance conditions. There were no CoC Material transactions during the Material Accounting Period.
1.7e Reporting to ASI (Positive Balance used)	Conformance	The Entity prepared a report prior to 30 June 2026 within the ASI portal for the 2025 material accounting period for positive balance used during the calendar year 2025. There was no positive balance used as per the documents review.
1.7f Reporting to ASI (Internal Overdraw drawn	Conformance	The Entity has defined the ASI reporting requirements in the SOP, including the overview conditions that limit the total Input Quantity to

CRITERION	RATING	COMMENT
down)		20% or less. There were no CoC Material transactions during the Material Accounting Period.
1.7g Reporting to ASI (Intra-Entity Flows)	Conformance	There was no intra-Entity flow of CoC material transactions (receipts and issuances) during the 2025 material accounting period. It will be reported during the next reporting sent to ASI by 30 June 2026.
2. OUTSOURCING CONTRACTORS		
2.1 Certification Scope	Not Applicable	This Criterion is currently not applicable, as the Entity does not use Outsourcing Contractors that take Chain of Custody (CoC) Material for further processing, treatment, or manufacturing.
2.2a Control of CoC Material (Legal ownership or control)	Not Applicable	This Criterion is currently not applicable, as the Entity does not use Outsourcing Contractors that take CoC Material for further processing, treatment, or manufacturing.
2.2b Control of CoC Material (No further outsourcing)	Not Applicable	This Criterion is currently not applicable, as the Entity does not use Outsourcing Contractors that take Coc Material for further processing, treatment, or manufacturing.
2.2c Control of CoC Material (Risk assessment)	Not Applicable	This Criterion is currently not applicable, as the Entity does not use Outsourcing Contractors that take Coc Material for further processing, treatment, or manufacturing.
2.3 Information on Quantity of CoC Material Output and Returned	Not Applicable	This Criterion is currently not applicable, as the Entity does not use Outsourcing Contractors that take Coc Material for further processing, treatment, or manufacturing.
2.4 Consistency in Inflow and Outflow Quantity of CoC Material to/from Outsourcing Contractor	Not Applicable	This Criterion is currently not applicable, as the Entity does not use Outsourcing Contractors that take Coc Material for further processing, treatment, or manufacturing.
2.5 Error (Outsourcing Contractor)	Not Applicable	This Criterion is currently not applicable, as the Entity does not use Outsourcing Contractors that take Coc Material for further processing, treatment, or manufacturing.
3. PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI ALUMINIUM		
3.1a ASI Bauxite (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1b ASI Bauxite (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1c ASI Bauxite (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2a ASI Alumina (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2b ASI Alumina	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
(Performance Standard)		
3.2c ASI Alumina (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3b ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3c ASI Aluminium (Alumina sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4. RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP		
4.1a Recycled Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable as the Entity is not producing Recycled Aluminium from Recyclable Scrap Material.
4.1b Recycled Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable as the Entity is not producing Recycled Aluminium from Recyclable Scrap Material.
4.2a Eligible Scrap (Pre-Consumer)	Not Applicable	This Criterion is not applicable as no CoC material has been purchased by the Entity during the material accounting period. Therefore, pre-consumer Scrap cannot be considered as Eligible Scrap. The Entity's Pre-Consumer Scrap (machined chips) however is sent to two external suppliers in the Sambhalpur area for remelting and returned as an ingot in a closed loop. The necessary inventory records and supplier due diligence have been undertaken.
4.2b Eligible Scrap (Post-Consumer)	Not Applicable	This Criterion is not applicable as the Entity is not producing Recycled Aluminium from Recyclable Scrap Material (post-consumer scrap).
4.2c Eligible Scrap (Dross)	Not Applicable	This Criterion is not applicable as no CoC Material has been purchased by the Entity during the material accounting period. Therefore, Aluminium recovered from Dross cannot be considered as Eligible Scrap. The Entity has however recently started a casthouse for remelting pre-consumer scrap and dross generated, which is adequately handled and sent to the Group's Hirakud Smelter (ASI PS-Certified facility) for further processing.
4.3a Records Management for Direct Suppliers of Recyclable Scrap Material (Suppliers)	Not Applicable	This Criterion is not applicable to the Entity as it does not purchase Recyclable Scrap Material.
4.3b Records Management for Direct Suppliers of Recyclable Scrap Material (Financial transactions)	Not Applicable	This Criterion is not applicable to the Entity as it does not purchase Recyclable Scrap Material, and no financial transactions have occurred since the last ASI audit.

CRITERION	RATING	COMMENT
5. CASTHOUSES: CRITERIA FOR ASI ALUMINIUM		
5.1a ASI Aluminium (CoC Certification Scope)	Conformance	The recently commissioned casthouse (DC-5) is primarily being used for re-melting pre-consumer Scrap and is under the Entity's Certification Scope for the ASI Performance Standard v3.1.
5.1b ASI Aluminium (Performance Standard)	Conformance	The recently commissioned casthouse (DC-5) is primarily being used for re-melting pre-consumer Scrap and is under Entity's Certification Scope for the ASI Performance Standard v3.1.
5.1c ASI Aluminium (Aluminium sourcing)	Not Applicable	This Criterion is not applicable to the Entity as its casthouse (DC-5) is primarily being used for re-melting pre-consumer Scrap and is under the Entity's Certification Scope for the ASI Performance Standard v3.1.
5.2 Unique Identification	Not Applicable	This Criterion is not applicable to the Entity as its casthouse (DC-5) is primarily being used for re-melting pre-consumer Scrap and is under the Entity's Certification Scope for the ASI Performance Standard v3.1. For internal traceability of Rolling Ingots material grade, a batch number is assigned however, and records is maintained.
6. POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM		
6.1a Post-Casthouse ASI Aluminium (CoC Certification Scope)	Conformance	The Entity's Primary Aluminium is sourced from the Group Hindalco Smelter & Power and other ASI-Certified facilities. There were no CoC Material transactions that occurred during the material accounting period.
6.1b Post-Casthouse ASI Aluminium (Performance Standard)	Conformance	The Entity holds a valid ASI Performance Standard Certificate (Certificate number 245).
6.1c Post-Casthouse ASI Aluminium (Aluminium sourcing)	Conformance	The ASI metal material code, as managed in the ERP/SAP computer-based system, is under development in accordance with the Entity's traceability system. The management of ASI Aluminium, including responsibilities and process steps, is described in an SOP. The Entity is gradually increasing the sourcing of ASI Aluminium from another CoC Certified Entity.
7. DUE DILIGENCE FOR NON-COC MATERIAL, COC MATERIAL ACQUIRED THROUGH A TRADER AND RECYCLABLE SCRAP MATERIAL		
7.1a Responsible Sourcing Policy (Anti-corruption)	Conformance	The Entity has developed a Responsible Sourcing Policy and Supplier Code of Conduct, both publicly available and communicated to all metal and other suppliers, addressing Anti-Corruption requirements. The Responsible Sourcing Policy is available at: https://www.hindalco.com/Upload/PDF/responsible-supply-chain-policy.pdf The Supplier Code of Conduct is available at: https://www.hindalco.com/upload/pdf/annexure-IVa-hindalco-supplier-code-conduct.pdf
7.1b Responsible Sourcing Policy (Responsible sourcing)	Conformance	The Entity has developed a Responsible Sourcing Policy and Supplier Code of Conduct, both publicly available and communicated to all other suppliers, covering requirements related to responsible sourcing. The Responsible Sourcing Policy is available at:

CRITERION	RATING	COMMENT
		https://www.hindalco.com/Upload/PDF/responsible-supply-chain-policy.pdf The Supplier Code of Conduct is available at: https://www.hindalco.com/upload/pdf/annexure-IVa-hindalco-supplier-code-conduct.pdf
7.1c Responsible Sourcing Policy (Human rights due diligence)	Conformance	The Entity has developed a Responsible Sourcing Policy and Supplier Code of Conduct, both publicly available and communicated to all metal and other suppliers, and covering requirements related to Human Rights Due diligence. Additionally, it is covered in the Human Rights policy, referenced in responsible sourcing, and binding for all suppliers. https://www.hindalco.com/Upload/PDF/human-right-policy.pdf The Responsible Sourcing Policy is available at: https://www.hindalco.com/Upload/PDF/responsible-supply-chain-policy.pdf The Supplier Code of Conduct is available at: https://www.hindalco.com/upload/pdf/annexure-IVa-hindalco-supplier-code-conduct.pdf
7.1d Responsible Sourcing Policy (Conflict-affected and high-risk areas)	Conformance	The Entity has developed a Responsible Sourcing Policy and Supplier Code of Conduct, which are publicly available and communicated to all metal and other suppliers, and cover requirements related to Conflict-Affected and High-Risk Areas (CAHRAs) and Human Rights Due Diligence. Additionally, it is covered in the Human Rights policy, referenced in responsible sourcing, and binding for all suppliers. https://www.hindalco.com/Upload/PDF/human-right-policy.pdf The Responsible Sourcing Policy is available at: https://www.hindalco.com/Upload/PDF/responsible-supply-chain-policy.pdf The Supplier Code of Conduct is available at: https://www.hindalco.com/upload/pdf/annexure-IVa-hindalco-supplier-code-conduct.pdf
7.2 Risk Assessment and Mitigation	Conformance	The Entity has conducted a risk management assessment for each major function/activity, including sourcing and human resources, in accordance with its Supply Chain Management Standard.
7.3 Complaints Resolution Mechanism	Conformance	The Entity has established a documented procedure to manage complaints from all stakeholders, such as suppliers. In addition, the Entity provides communication via multiple channels (e.g., hotline numbers in the Supplier Code of Conduct and the Whistleblower Policy): https://www.hindalco.com/upload/pdf/hindalco-whistle-blower-policy-19.pdf There have been no concerns or complaints received from any interested parties since the last Audit of its Aluminium supply chain.
8. MATERIAL ACCOUNTING SYSTEM: COC MATERIAL AND ASI ALUMINIUM		
8.1 Material Accounting System	Conformance	The Entity has developed an SAP-based Production system with a specific material code for ASI CoC Material.
8.2 Material Accounting	Conformance	The Entity has defined the Material Accounting Period as twelve

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Period		months.
8.3 Input and Inflow Quantities	Conformance	The Entity has developed an SAP-based Production system with a specific material code for ASI CoC Material.
8.4 Output Quantities of CoC Material	Conformance	The Entity has developed an SAP-based Production system with a specific material code for ASI CoC Material.
8.5 Indivisibility of CoC Material	Conformance	The Entity's Material Accounting System SOP defines the Output quantity percentage formula and designation, Output Quantity of CoC Material, which could be a part of total production, will be designated as 100% CoC Material.
8.6 Output Quantity of Eligible Scrap	Conformance	The Entity's Material Accounting System SOP defines the Entity's produced Pre-Consumer Scrap from its processing unit and designates the relevant proportion as Eligible Scrap following the Input Percentage for the given Material Accounting Period to determine the Output Quantity of Eligible Scrap.
8.7 Consistency Between Input Percentage and Total Output	Conformance	The Entity's Material Accounting System SOP defines that the total Output of CoC Material and/or Eligible Scrap does not proportionally exceed the Input Percentage as applied to the total Input of CoC Material and/or Eligible Scrap over the Material Accounting Period.
8.8a Internal Overdraw (Not exceed 20%)	Conformance	The Entity's SOP has defined the Internal Overdraw limit as 20%.
8.8b Internal Overdraw (Not exceed force majeure situation)	Conformance	The Entity's SOP has defined that the Internal Overdraw does not exceed the amount of CoC Material affected by the Force Majeure situation.
8.8c Internal Overdraw (Made up within subsequent Material Accounting Period)	Conformance	The Entity's SOP has defined the Internal Overdraw required to be made up within the subsequent Material Accounting Period.
8.9a Positive Balance (Carry over)	Conformance	The Entity's SOP has defined the inventory Management System (ERP/SAP), the requirements to identify any positive balance carried over to the subsequent Material Accounting System.
8.9b Positive Balance (Expiry)	Conformance	The Entity's SOP has defined the inventory Management System (ERP/SAP), so that any positive balance carried over to the subsequent Material Accounting Period will expire automatically at the end of the period if not drawn.
9. ISSUING COC DOCUMENTS		
9.1 CoC Document	Conformance	The Entity's CoC procedure outlines the requirements for issuing ASI CoC Documents. Each shipment document contains information on the tax invoice and certificate of analysis. There were no CoC Material transactions during the Material Accounting Period.
9.2a CoC Document	Conformance	The Entity has developed a CoC Document containing all mandatory information, including the date of issue. There were no CoC Material

CRITERION	RATING	COMMENT
Content (Date of issue)		transactions during the Material Accounting Period.
9.2b CoC Document Content (Reference number)	Conformance	The Entity has developed a CoC Document containing all mandatory information, including the reference number. There were no CoC Material transactions during the Material Accounting Period.
9.2c CoC Document Content (Issuing Entity)	Conformance	The Entity has developed a CoC Document containing all mandatory information, including the details of the issuing Entity. There were no CoC Material transactions during the Material Accounting Period.
9.2d CoC Document Content (Receiving customer)	Conformance	The Entity has developed a CoC Document containing all mandatory information, including the details of the receiving customers. There were no CoC Material transactions during the Material Accounting Period.
9.2e CoC Document Content (Responsible employee)	Conformance	The Entity has developed a CoC Document containing all mandatory information, including the employees responsible for verifying the CoC Document. There were no CoC Material transactions during the Material Accounting Period.
9.2f CoC Document Content (Conformance statement)	Conformance	The Entity has developed a CoC Document containing all mandatory information, including the conformance statement. There were no CoC Material transactions during the Material Accounting Period.
9.2g CoC Document Content (Type of CoC Material)	Conformance	The Entity has developed a CoC Document containing all mandatory information, including the type of CoC Material. There were no CoC Material transactions during the Material Accounting Period.
9.2h CoC Document Content (Mass of CoC Material)	Conformance	The Entity has developed a CoC Document containing all mandatory information, including the mass of CoC Material. There were no CoC Material transactions during the Material Accounting Period.
9.2i CoC Document Content (Mass of total material)	Conformance	The Entity has developed a CoC Document containing all mandatory information, including the mass of total material.
9.3a Sustainability Data (optional) - Carbon footprint	Conformance	The Entity has developed a CoC document that contains all mandatory information but excludes optional sustainability information, such as Carbon Footprint. The Entity provides information on the product's carbon footprint upon request to interested customers. There was no CoC material transaction that occurred during the material accounting period, and since the previous ASI CoC Audit.
9.3b Sustainability Data (optional) - Origin information	Conformance	The Entity has developed a CoC document that contains all mandatory information but excludes optional sustainability information, including the material of origin. The Entity provides information on the material origin to interested customers upon request. There was no CoC material transaction that occurred during the material accounting period, and since the previous ASI CoC Audit.
9.3c Sustainability Data (optional) - Recycled content	Conformance	The Entity has developed a CoC document that contains all mandatory information but excludes optional sustainability information, including recycled content. The Entity provides

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		information on the percentage of recycled content upon request. There was no CoC material transaction that occurred during the material accounting period, and since the previous ASI CoC Audit.
9.3d Sustainability Data (optional) - Post-Casthouse ASI Certification status	Conformance	The Entity has developed a CoC Document containing information on the ASI Performance Standard Certification Status of the issuing Entity.
9.4 Supplementary Information (optional) - Objective evidence	Conformance	The Entity has developed a CoC Document containing a provision for Supplementary Information, supported by objective evidence, as required.
9.5 Verification of Information	Conformance	The Entity has developed a CoC Procedure that outlines the requirements for responding to reasonable requests from Stakeholders to verify information in CoC Documents issued by the Entity.
9.6 Error (Shipping)	Conformance	The Entity has developed an SOP and related procedures that outline the required steps, including root cause analysis, corrective and preventive actions.
10. RECEIVING COC DOCUMENTS		
10.1 Verification of CoC Documents	Conformance	The Entity has developed an SOP which requires the verification of all required information in the received CoC Document. There were no CoC Material transactions during the Material Accounting Period.
10.2 Verification of Consistency Between CoC Documents and CoC Material	Conformance	The Entity has developed an SOP that defines the responsibility for conducting a consistency check of received shipments and CoC Documents.
10.3 Verification of Supplier's ASI CoC Certification	Conformance	The Entity has developed an SOP which defines the responsibility to verify the validity of the ASI CoC Certification mentioned on received shipments and CoC Documents.
10.4 Error (Reception)	Conformance	The Entity has developed an SOP and related procedures that outline steps including root cause analysis, corrective and preventive actions.
11. CLAIMS AND COMMUNICATIONS		
11.1a Claims and Communications (ASI Claims Guide)	Conformance	The Entity does not make any claims and/or representations about CoC Material outside of CoC Documents during the last period. The Entity operating procedure ensures that any such claims are made in a manner and a form consistent with the ASI Claims Guide.
11.1b Claims and Communications (Verifiable evidence)	Conformance	The Entity does not make any claims and/or representations about CoC Material outside of CoC Documents during the last period. The Entity operating procedure ensures that any such claims are supported by verifiable evidence.
11.1c Claims and Communications	Conformance	The Entity does not make any claims and/or representations about CoC Material outside of CoC Documents during the last period. The

CRITERION	RATING	COMMENT
(Employee training)		Entity operating procedure ensures that employees are trained to properly understand and communicate the claims and/or representations.

ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable law, or will avoid any Breach from occurring.

DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	20 January 2023	Initial Certification Audit – Full Certification
1	31 March 2025	Surveillance Audit
2	12 August 2026	Re-Certification & Scope Change (to include the 'Aluminium Re-melting/Refining', and 'Casthouses' Supply Chain Activities)