

# ASI CERTIFICATION CHAIN OF CUSTODY STANDARD



PRESENTED TO

## Jiangsu Dingsheng New Materials Joint-Stock Co., Ltd

CERTIFICATE NUMBER

478

ASI STANDARD

CHAIN OF CUSTODY  
STANDARD  
(V2 2022)

CERTIFICATION LEVEL

FULL  
CERTIFICATION

ASI ACCREDITED  
AUDITING FIRM

SGS-CSTC  
STANDARDS  
TECHNICAL SERVICE

DATE OF ISSUE

22 JANUARY 2026

DATE OF EXPIRY

21 JANUARY 2029

CERTIFIED SINCE

22 JANUARY 2026

AUTHORISED BY

A stylized, handwritten signature in black ink, consisting of a large 'A' and a long horizontal line extending to the right.

Aluminium Stewardship Initiative Ltd  
ACN 606 661 125, Australia  
[info@aluminium-stewardship.org](mailto:info@aluminium-stewardship.org)

*Validity of this Certificate is subject to  
continued conformance with the  
applicable ASI Standard and can be  
verified at*

[www.aluminium-stewardship.org](http://www.aluminium-stewardship.org)

CERTIFICATION SCOPE

Re-melting, continuous casting,  
cold rolling, coating, slitting and  
oxidation process for the  
manufacture of Aluminium alloy  
sheets, strips and Aluminium alloy  
foils at Jiangsu Dingsheng New  
Materials Joint-Stock Co., Ltd.  
(China), Hangzhou Five Star  
Aluminium Co., Ltd. (China) and  
Hangzhou Teemful Aluminium  
Industry Co., Ltd. (China).

# AUDIT REPORT

## CHAIN OF CUSTODY

### STANDARD

#### OVERVIEW

|                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
|-------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| MEMBER NAME                   | Jiangsu Dingsheng New Materials Joint-Stock Co., Ltd                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| ENTITY NAME                   | Jiangsu Dingsheng New Materials Joint-Stock Co., Ltd                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| CERTIFICATION SCOPE           | Re-melting, continuous casting, cold rolling, coating, slitting and oxidation process for the manufacture of Aluminium alloy sheets, strips and Aluminium alloy foils at Jiangsu Dingsheng New Materials Joint-Stock Co., Ltd. (China), Hangzhou Five Star Aluminium Co., Ltd. (China) and Hangzhou Teemful Aluminium Industry Co., Ltd. (China).                                                                                                                                                                                                                                |
| SUPPLY CHAIN ACTIVITIES       | <ul style="list-style-type: none"><li>Aluminium Re-melting/Refining</li><li>Casthouses</li><li>Post-Casthouse</li></ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| ASI STANDARD                  | Chain of Custody Standard V2                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| AUDIT TYPE                    | <ul style="list-style-type: none"><li>Re-Certification Audit and Scope Change – expired Certificate</li></ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| AUDIT FIRM                    | SGS-CSTC Standards Technical Services                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| AUDIT DATE                    | <ul style="list-style-type: none"><li>12 – 18 May 2024</li></ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| AUDIT REPORT SUBMISSION       | <ul style="list-style-type: none"><li>13 May 2025</li></ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| AUDIT SCOPE                   | <p>The Audit Scope included the manufacture of Aluminium alloy sheets, strips and Aluminium alloy foils at Jiangsu Dingsheng New Materials Joint-Stock Co., Ltd (China), Hangzhou Five Star Aluminium Co., Ltd (China) and Hangzhou Teemful Aluminium Industry Co., Ltd (China).</p> <p>The supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none"><li>Aluminium Re-melting/Refining</li><li>Casthouses</li><li>Post-Casthouse</li></ul> <p>All relevant Criteria in the ASI Chain of Custody Standard were included in the Audit Scope.</p> |
| AUDIT OUTCOME                 | Certification                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| AUDIT METHODOLOGY DECLARATION | <p>The Auditors confirm that:</p> <ul style="list-style-type: none"><li><input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this Report.</li><li><input checked="" type="checkbox"/> The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.</li></ul>                                                                                                                                                         |

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- ✓ The Audit Scope and Audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
  - ✓ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
- 

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|----------------------|-----------------------------------|
| CERTIFICATION PERIOD | 22 January 2026 – 21 January 2029 |
|----------------------|-----------------------------------|

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|-----------------|------------------------|
| NEXT AUDIT TYPE | Re-Certification Audit |
|-----------------|------------------------|

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|                     |                 |
|---------------------|-----------------|
| NEXT AUDIT DUE DATE | 21 January 2029 |
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| CERTIFICATE NUMBER | 478 |
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If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

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## ENTITY OVERVIEW

Jiangsu Dingsheng New Materials Joint-Stock Co., Ltd. ('the Entity') is the parent company overseeing several subsidiaries, including Hangzhou Five Star Aluminum Co., Ltd. and Hangzhou Teemful Aluminum Co., Ltd.

The Entity is headquartered at No. 392, Jingrun Avenue, Zhenjiang Jinkou Industrial Park, Zhenjiang City, Jiangsu Province, China. It specialises in the research, development, production, and sales of Aluminium sheets, strips, and foils, with processes including re-melting, continuous casting, cold rolling, coating, slitting, and oxidation. Established in 2003 and listed on the A-share Market in 2018, the Entity employs approximately 3,000 people and occupies an area of approximately 67 hectares.

Its subsidiaries, Hangzhou Five Star Aluminum Co., Ltd. and Hangzhou Teemful Aluminum Co., Ltd., are located at No. 1 Zicheng Road, Fengdu Industrial Park, Pingyao Town, Yuhang District, Hangzhou City, Zhejiang Province, China. Managed as a single operational team, which focuses on Aluminium foil processing, producing materials for power batteries in new energy vehicles and other foil applications. Together, it employs approximately 1,400 people, occupies an area of approximately 32 hectares, and has an annual production capacity of approximately 160,000 tonnes

## MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of Systems, Residual Risk and Performance.

Maturity ratings are not a direct assessment of conformance to the Standard.

| OVERALL     |        |
|-------------|--------|
| SYSTEMS     | Low    |
| RISKS       | Medium |
| PERFORMANCE | Low    |
| OVERALL     | LOW    |

## FINDINGS

| CRITERION                                                        | RATING      | COMMENT                                                                                                                                                                                                                                                            |
|------------------------------------------------------------------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1. MANAGEMENT SYSTEM AND RESPONSIBILITIES                        |             |                                                                                                                                                                                                                                                                    |
| 1.1 ASI Membership                                               | Conformance | The Entity became an ASI Member in the Production and Transformation membership class in 2018, thereby committing to comply with ASI's membership obligations and the ASI Complaints Mechanism.                                                                    |
| 1.2 CoC Management System                                        | Conformance | The Entity has established an ASI CoC Management Manual which has defined a Management System that addresses all applicable requirements of the ASI Chain of Custody Standard for all Facilities under the control of the Entity that has Custody of CoC Material. |
| 1.3 CoC Management System Monitoring                             | Conformance | The Entity reviews the Management System annually in accordance with its defined procedure.                                                                                                                                                                        |
| 1.4 Management Representative                                    | Conformance | The Entity has nominated two persons as having overall responsibility and authority for the Entity's conformance with all applicable requirements of the ASI Chain of Custody Standard.                                                                            |
| 1.5 Communications and Training                                  | Conformance | The Entity has established and implemented communications and training measures that make relevant personnel aware of, and competent in their responsibilities under the ASI Chain of Custody Standard.                                                            |
| 1.6 Records Management                                           | Conformance | The Entity has implemented a procedure requiring that records covering all applicable requirements of the ASI Chain of Custody Standard be retained for a minimum of five years.                                                                                   |
| 1.7a Reporting to ASI (Inputs and Outputs of CoC Material)       | Conformance | The Entity has a procedure which defines that the Entity report Input and Output Quantities of CoC Material/s over the calendar year to the ASI Secretariat by 30 June of the following year.                                                                      |
| 1.7b Reporting to ASI (Inputs and Outputs of Eligible Scrap)     | Conformance | Scrap is sourced from within the Certification Scope. The Entity has not purchased Post-Consumer Scrap or Pre-Consumer Scrap.                                                                                                                                      |
| 1.7c Reporting to ASI (Inflows and Outflows of Non-CoC Material) | Conformance | The Entity has implemented a procedure that defines that the Entity report Input and Output Quantities of Non-CoC Material/s over the calendar year to the ASI Secretariat by 30 June of the following year.                                                       |
| 1.7d Reporting to ASI (Positive Balance carried over)            | Conformance | The Entity has implemented a procedure that defines that the Entity report the maximum Positive Balance in the calendar year carried over to the subsequent Material Accounting Period to the ASI Secretariat by 30 June of the following year.                    |
| 1.7e Reporting to ASI (Positive Balance used)                    | Conformance | The Entity did not carry over any Positive Balance used.                                                                                                                                                                                                           |
| 1.7f Reporting to ASI (Internal Overdraw drawn down)             | Conformance | The Entity has a procedure which defines that the Entity report the maximum Internal Overdraw within the calendar year, if any, and the percentage of Input Quantity of CoC Material this represents. The                                                          |

| CRITERION                                                                                     | RATING         | COMMENT                                                                                                                                                        |
|-----------------------------------------------------------------------------------------------|----------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                                                               |                | Entity reports this to the ASI Secretariat by 30 June of the following year.                                                                                   |
| 1.7g Reporting to ASI (Intra-Entity Flows)                                                    | Conformance    | Quantities of CoC Material/s transferred between supply chain activities within the CoC Certified Entity (Intra-Entity Flows) over the calendar year was zero. |
| <b>2. OUTSOURCING CONTRACTORS</b>                                                             |                |                                                                                                                                                                |
| 2.1 Certification Scope                                                                       | Not Applicable | This Criterion is not applicable to the Entity, as it does not include Outsourcing Contractors within its CoC Certification Scope.                             |
| 2.2a Control of CoC Material (Legal ownership or control)                                     | Not Applicable | This Criterion is not applicable to the Entity, as it does not include Outsourcing Contractors within its CoC Certification Scope.                             |
| 2.2b Control of CoC Material (No further outsourcing)                                         | Not Applicable | This Criterion is not applicable to the Entity, as it does not include Outsourcing Contractors within its CoC Certification Scope.                             |
| 2.2c Control of CoC Material (Risk assessment)                                                | Not Applicable | This Criterion is not applicable to the Entity, as it does not include Outsourcing Contractors within its CoC Certification Scope.                             |
| 2.3 Information on Quantity of CoC Material Output and Returned                               | Not Applicable | This Criterion is not applicable to the Entity, as it does not include Outsourcing Contractors within its CoC Certification Scope.                             |
| 2.4 Consistency in Inflow and Outflow Quantity of CoC Material to/from Outsourcing Contractor | Not Applicable | This Criterion is not applicable to the Entity, as it does not include Outsourcing Contractors within its CoC Certification Scope.                             |
| 2.5 Error (Outsourcing Contractor)                                                            | Not Applicable | This Criterion is not applicable to the Entity, as it does not include Outsourcing Contractors within its CoC Certification Scope.                             |
| <b>3. PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI ALUMINIUM</b>          |                |                                                                                                                                                                |
| 3.1a ASI Bauxite (CoC Certification Scope)                                                    | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope.                                                                                          |
| 3.1b ASI Bauxite (Performance Standard)                                                       | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope.                                                                                          |
| 3.1c ASI Bauxite (Bauxite sourcing)                                                           | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope.                                                                                          |
| 3.2a ASI Alumina (CoC Certification Scope)                                                    | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope.                                                                                          |
| 3.2b ASI Alumina (Performance Standard)                                                       | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope.                                                                                          |

| CRITERION                                    | RATING         | COMMENT                                                               |
|----------------------------------------------|----------------|-----------------------------------------------------------------------|
| 3.2c ASI Alumina (Bauxite sourcing)          | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope. |
| 3.3b ASI Aluminium (Performance Standard)    | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope. |
| 3.3c ASI Aluminium (Alumina sourcing)        | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope. |
| 3.3a ASI Aluminium (CoC Certification Scope) | Not Applicable | This Criterion is not applicable to the Entity's Certification Scope. |

#### 4. RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP

|                                                                                                    |                |                                                                                                             |
|----------------------------------------------------------------------------------------------------|----------------|-------------------------------------------------------------------------------------------------------------|
| 4.1a Recycled Aluminium (CoC Certification Scope)                                                  | Not Applicable | This Criterion is not applicable to the Entity, as it purchases Aluminium alloy ingots as Input, not Scrap. |
| 4.1b Recycled Aluminium (Performance Standard)                                                     | Not Applicable | This Criterion is not applicable to the Entity, as it purchases Aluminium alloy ingots as Input, not Scrap. |
| 4.2a Eligible Scrap (Pre-Consumer)                                                                 | Not Applicable | This Criterion is not applicable to the Entity, as it purchases Aluminium alloy ingots as Input, not Scrap. |
| 4.2b Eligible Scrap (Post-Consumer)                                                                | Not Applicable | This Criterion is not applicable to the Entity, as it purchases Aluminium alloy ingots as Input, not Scrap. |
| 4.2c Eligible Scrap (Dross)                                                                        | Not Applicable | This Criterion is not applicable to the Entity, as it purchases Aluminium alloy ingots as Input, not Scrap. |
| 4.3a Records Management for Direct Suppliers of Recyclable Scrap Material (Suppliers)              | Not Applicable | This Criterion is not applicable to the Entity, as it purchases Aluminium alloy ingots as Input, not Scrap. |
| 4.3b Records Management for Direct Suppliers of Recyclable Scrap Material (Financial transactions) | Not Applicable | This Criterion is not applicable to the Entity, as it purchases Aluminium alloy ingots as Input, not Scrap. |

#### 5. CASTHOUSES: CRITERIA FOR ASI ALUMINIUM

|                                              |                |                                                                                                                                                                                                                                                                              |
|----------------------------------------------|----------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 5.1a ASI Aluminium (CoC Certification Scope) | Not Applicable | This Criterion is not applicable to the Entity, as it only purchases Aluminium alloy ingots as Input.                                                                                                                                                                        |
| 5.1b ASI Aluminium (Performance Standard)    | Not Applicable | This Criterion is not applicable to the Entity, as it only purchases Aluminium alloy ingots as Input.                                                                                                                                                                        |
| 5.1c ASI Aluminium (Aluminium sourcing)      | Conformance    | The Entity has a procedure that defines that ASI Aluminium should be purchased from another ASI CoC Certified Entity, or via a Trader, where the ASI CoC Certified Entity that is the source of the ASI Aluminium can be identified and can provide a verified CoC Document. |

| CRITERION                                                                                                          | RATING         | COMMENT                                                                                                                                                                                                                                                                                                                                                            |
|--------------------------------------------------------------------------------------------------------------------|----------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                                                                                    |                | To date, no CoC Material has been sold and no ASI Aluminium has been purchased.                                                                                                                                                                                                                                                                                    |
| 5.2 Unique Identification                                                                                          | Not Applicable | This Criterion is not applicable to the Entity, as it only purchases Aluminium alloy ingots as Input                                                                                                                                                                                                                                                               |
| <b>6. POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM</b>                                                               |                |                                                                                                                                                                                                                                                                                                                                                                    |
| 6.1a Post-Casthouse ASI Aluminium (CoC Certification Scope)                                                        | Conformance    | The Entity has established a procedure to ensure ASI Aluminium is produced only from the Facilities within its CoC Certification Scope.                                                                                                                                                                                                                            |
| 6.1b Post-Casthouse ASI Aluminium (Performance Standard)                                                           | Conformance    | The Entity is certified against the ASI Performance Standard (Certificate 51)                                                                                                                                                                                                                                                                                      |
| 6.1c Post-Casthouse ASI Aluminium (Aluminium sourcing)                                                             | Conformance    | The Entity has implemented a procedure for sourcing ASI Aluminium. The Jiangsu Dingsheng New Materials Joint-Stock facility sources ASI Aluminium from another ASI CoC Certified Entity. The Hangzhou Teemful Aluminum Industry and Hangzhou Five Star Aluminum Industry sites source ASI Aluminium from the Jiangsu Dingsheng New Materials Joint-Stock facility. |
| <b>7. DUE DILIGENCE FOR NON-COC MATERIAL, COC MATERIAL ACQUIRED THROUGH A TRADER AND RECYCLABLE SCRAP MATERIAL</b> |                |                                                                                                                                                                                                                                                                                                                                                                    |
| 7.1a Responsible Sourcing Policy (Anti-corruption)                                                                 | Conformance    | The Entity has implemented a Purchasing Policy that addresses the ASI Performance Standard requirements related to anti-Corruption, responsible sourcing, Human Rights Due Diligence, and Conflict-Affected and High-Risk Areas (CAHRA).                                                                                                                           |
| 7.1b Responsible Sourcing Policy (Responsible sourcing)                                                            | Conformance    | The Entity has implemented a Purchasing Policy that addresses the ASI Performance Standard requirements related to anti-Corruption, responsible sourcing, Human Rights Due Diligence, and Conflict-Affected and High-Risk Areas (CAHRA)                                                                                                                            |
| 7.1c Responsible Sourcing Policy (Human rights due diligence)                                                      | Conformance    | The Entity has implemented a Purchasing Policy that addresses the ASI Performance Standard requirements related to anti-Corruption, responsible sourcing, Human Rights Due Diligence, and Conflict-Affected and High-Risk Areas (CAHRA).                                                                                                                           |
| 7.1d Responsible Sourcing Policy (Conflict-affected and high-risk areas)                                           | Conformance    | The Entity has implemented a Purchasing Policy that addresses the ASI Performance Standard requirements related to anti-Corruption, responsible sourcing, Human Rights Due Diligence, and Conflict-Affected and High-Risk Areas (CAHRA).                                                                                                                           |
| 7.2 Risk Assessment and Mitigation                                                                                 | Conformance    | The Entity has established a procedure for the assessment of supplier non-compliance with its Purchasing Policy. Interviews with personnel involved in purchasing and review of the supplier assessment report confirmed that no non-compliance with its Policy has been identified.                                                                               |
| 7.3 Complaints Resolution Mechanism                                                                                | Conformance    | The Entity has established a Complaints Resolution Mechanism that is appropriate to the nature, scale and impact of the business and that                                                                                                                                                                                                                          |



| CRITERION                                                                     | RATING      | COMMENT                                                                                                                                                                                                                                                                                                           |
|-------------------------------------------------------------------------------|-------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                                               |             | allows interested parties to voice concerns relating to any non-compliance with its Purchasing Policy.                                                                                                                                                                                                            |
| <b>8. MATERIAL ACCOUNTING SYSTEM: COC MATERIAL AND ASI ALUMINIUM</b>          |             |                                                                                                                                                                                                                                                                                                                   |
| 8.1 Material Accounting System                                                | Conformance | The Entity's Management System includes a Material Accounting System that records the Input and Output Quantity of CoC Material and Non-CoC Material, by mass.                                                                                                                                                    |
| 8.2 Material Accounting Period                                                | Conformance | The Entity's Material Accounting System has specified a Material Accounting Period of 12 months.                                                                                                                                                                                                                  |
| 8.3 Input and Inflow Quantities                                               | Conformance | The Entity's Management System includes a Material Accounting System that records the Input and Output Quantity of CoC Material and Non-CoC Material, by mass.                                                                                                                                                    |
| 8.4 Output Quantities of CoC Material                                         | Conformance | The Entity's Management System includes a Material Accounting System that records Input and Output Quantity of CoC Material and Non-CoC Material, by mass.                                                                                                                                                        |
| 8.5 Indivisibility of CoC Material                                            | Conformance | The Entity has implemented a procedure that defines that the Output Quantity of CoC Material, which might be a subset of total production, is designated as 100% CoC Material.                                                                                                                                    |
| 8.6 Output Quantity of Eligible Scrap                                         | Conformance | The Entity generates Pre-Consumer Scrap from its processing and designates the relevant proportion as Eligible Scrap. The Entity uses the Input Percentage for the given Material Accounting Period to determine the Output Quantity of Eligible Scrap.                                                           |
| 8.7 Consistency Between Input Percentage and Total Output                     | Conformance | The Entity's Material Accounting System is used to record Input and Output, which ensures that the total Output of CoC Material and/or Eligible Scrap does/do not proportionally exceed the Input Percentage as applied to total input of CoC Material and/or Eligible Scrap over the Material Accounting Period. |
| 8.8a Internal Overdraw (Not exceed 20%)                                       | Conformance | The Entity has implemented a procedure which defines that the Internal Overdraw does not exceed 20% of total Input Quantity of CoC Material for the Material Accounting Period.                                                                                                                                   |
| 8.8b Internal Overdraw (Not exceed force majeure situation)                   | Conformance | The Entity has implemented a procedure which defines that the Internal Overdraw does not exceed the amount of CoC Material affected by a Force Majeure situation.                                                                                                                                                 |
| 8.8c Internal Overdraw (Made up within subsequent Material Accounting Period) | Conformance | The Entity has implemented a procedure which defines that the Internal Overdraw is made up within the subsequent Material Accounting Period.                                                                                                                                                                      |
| 8.9a Positive Balance (Carry over)                                            | Conformance | The Entity's Material Accounting System can clearly identify any carry over of a Positive Balance.                                                                                                                                                                                                                |
| 8.9b Positive Balance (Expiry)                                                | Conformance | The Entity has implemented a procedure which defines that a Positive Balance generated in one Material Accounting Period and carried                                                                                                                                                                              |

| CRITERION                                                | RATING      | COMMENT                                                                                                                                                           |
|----------------------------------------------------------|-------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                          |             | over to the subsequent Material Accounting Period, shall expire at the end of that Period if not drawn down.                                                      |
| 9. ISSUING CoC DOCUMENTS                                 |             |                                                                                                                                                                   |
| 9.1 CoC Document                                         | Conformance | The Entity has implemented a procedure to ensure a CoC Document accompanies each shipment or transfer of CoC Material. To date, no CoC Material has been shipped. |
| 9.2a CoC Document Content (Date of issue)                | Conformance | The Entity uses a CoC Document for each shipment or transfer of CoC Material, which includes the date of issue information..                                      |
| 9.2b CoC Document Content (Reference number)             | Conformance | The Entity uses a CoC Document for each shipment or transfer of CoC Material, which includes a reference number.                                                  |
| 9.2c CoC Document Content (Issuing Entity)               | Conformance | The Entity uses a CoC Document for each shipment or transfer of CoC Material, which includes the issuing Entity information.                                      |
| 9.2d CoC Document Content (Receiving customer)           | Conformance | The Entity uses a CoC Document for each shipment or transfer of CoC Material, which includes the receiving customer information.                                  |
| 9.2e CoC Document Content (Responsible employee)         | Conformance | The Entity uses a CoC Document for each shipment or transfer of CoC Material, which includes the responsible employee information.                                |
| 9.2f CoC Document Content (Conformance statement)        | Conformance | The Entity uses a CoC Document for each shipment or transfer of CoC Material, which includes Conformance statement information.                                   |
| 9.2g CoC Document Content (Type of CoC Material)         | Conformance | The Entity uses a CoC Document for each shipment or transfer of CoC Material, which includes the type of CoC Material information.                                |
| 9.2h CoC Document Content (Mass of CoC Material)         | Conformance | The Entity uses a CoC Document for each shipment or transfer of CoC Material, which includes mass of CoC Material information.                                    |
| 9.2i CoC Document Content (Mass of total material)       | Conformance | The Entity uses a CoC Document for each shipment or transfer of CoC Material, which includes mass of total material information.                                  |
| 9.3a Sustainability Data (optional) – Carbon footprint   | Conformance | The Entity's CoC Document template allows for Sustainability Data on the CoC Material to be included if necessary. To date, no CoC Material has been shipped.     |
| 9.3b Sustainability Data (optional) – Origin information | Conformance | The Entity's CoC Document template allows for Sustainability Data on the CoC Material to be included if necessary. To date, no CoC Material has been shipped.     |

| CRITERION                                                                     | RATING                | COMMENT                                                                                                                                                                                                                                                             |
|-------------------------------------------------------------------------------|-----------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 9.3c Sustainability Data (optional) – Recycled content                        | Conformance           | The Entity's CoC Document template allows for Sustainability Data on the CoC Material to be included if necessary. To date, no CoC Material has been shipped.                                                                                                       |
| 9.3d Sustainability Data (optional) – Post-Casthouse ASI Certification status | Conformance           | The ASI Performance Standard Certificate status of the Entity is included in the CoC Document.                                                                                                                                                                      |
| 9.4 Supplementary Information (optional) – Objective evidence                 | Conformance           | The Entity ensures any Supplementary Information included in the CoC Document, such as ISO certification information, ASI Performance Standard Certification Number and the packing list, is supported by objective evidence.                                       |
| 9.5 Verification of Information                                               | Conformance           | The Entity has nominated a relevant representative who is responsible for replying to reasonable requests for verification of CoC Documents issued.                                                                                                                 |
| 9.6 Error (Shipping)                                                          | Conformance           | The Entity has implemented a procedure for error management which defines the corrective action for a non-conformance, including actions such as recalling the CoC Document.                                                                                        |
| <b>10. RECEIVING COC DOCUMENTS</b>                                            |                       |                                                                                                                                                                                                                                                                     |
| 10.1 Verification of CoC Documents                                            | Minor Non-Conformance | <p>The Entity has implemented a procedure to verify that the required information in received CoC Documents is included.</p> <p>The Entity did not however, verify during an Audit simulation that all required information in CoC Documents had been included.</p> |
| 10.2 Verification of Consistency Between CoC Documents and CoC Material       | Conformance           | The Entity has implemented a process to verify the CoC Documents before recording information in the Material Accounting System and CoC Materials are placed into storage.                                                                                          |
| 10.3 Verification of Supplier's ASI CoC Certification                         | Conformance           | The Entity has a process of checking the ASI website on a regular basis to verify the validity and scope of any changes in suppliers' ASI CoC Certification.                                                                                                        |
| 10.4 Error (Reception)                                                        | Conformance           | The Entity has implemented a procedure that if an error is discovered after CoC Material or Eligible Scrap has been received, the Entity would request the supplier to recall the CoC Document and change the CoC Material.                                         |
| <b>11. CLAIMS AND COMMUNICATIONS</b>                                          |                       |                                                                                                                                                                                                                                                                     |
| 11.1a Claims and Communications (ASI Claims Guide)                            | Conformance           | The Entity has defined how to make claims, which is consistent with the ASI Claims Guide. To date, no claims on CoC Material have been made.                                                                                                                        |
| 11.1b Claims and Communications (Verifiable evidence)                         | Conformance           | The Entity has defined how to make claims, which is consistent with the ASI Claims Guide. To date, no claims on CoC Material have been made.                                                                                                                        |

| CRITERION                                           | RATING      | COMMENT                                                                                                              |
|-----------------------------------------------------|-------------|----------------------------------------------------------------------------------------------------------------------|
| 11.1c Claims and Communications (Employee training) | Conformance | The Entity has provided training for relevant staff to understand and communicate any claims and/or representations. |

#### ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable law, or will avoid any Breach from occurring.

#### DOCUMENT CONTROL AND VERSION HISTORY

| REVISION | DATE            | NOTES                                                                                                                                                                                                                                                                                                       |
|----------|-----------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 0        | 22 January 2026 | Re-Certification Audit and Scope Change - expired Certificate – Full Certification Scope Change to apply ASI CoC v2.<br>The Entity was previously CoC Certified between 20 November 2020 –19 November 2023 under Certificate 102, however at the end of the Certification Period the Certification expired. |
| 1        | 24 August 2026  | Extension to the Next Audit Due Date and Type, consistent with ASI's voluntary option for CoC Standard Certification extensions.                                                                                                                                                                            |