

ASI CERTIFICATION CHAIN OF CUSTODY STANDARD



PRESENTED TO

Baotou Aluminium Co., Ltd.

CERTIFICATE NUMBER

332

ASI STANDARD

CHAIN OF CUSTODY
(V2 2022)

CERTIFICATION
LEVEL

FULL
CERTIFICATION

ASI ACCREDITED AUDITING
FIRM

BUREAU VERITAS
CERTIFICATION

DATE OF ISSUE

5 DECEMBER 2023

DATE OF EXPIRY

31 MAY 2028

CERTIFIED SINCE

5 DECEMBER 2023

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H.' followed by a long horizontal stroke.

CERTIFICATION SCOPE

Aluminium Smelting and
Casthouses at Baotou Aluminium
Co., Ltd, Inner Mongolia, China and
Inner Mongolia Huayun New
Material Co., Ltd, Inner Mongolia,
China.

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
info@aluminium-stewardship.org

*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at
www.aluminium-stewardship.org*

AUDIT REPORT

CHAIN OF CUSTODY

STANDARD

OVERVIEW

MEMBER NAME	Baotou Aluminium Co., Ltd.
ENTITY NAME	Baotou Aluminium Co., Ltd.
CERTIFICATION SCOPE	Aluminium Smelting and Casthouses at Baotou Aluminium Co., Ltd., Inner Mongolia, China and Inner Mongolia Huayun New Material Co., Ltd, Inner Mongolia, China.
SUPPLY CHAIN ACTIVITIES	- Aluminium Smelting - Aluminium Re-melting/Refining - Casthouses
ASI STANDARD	Chain of Custody Standard V2
AUDIT TYPE	Initial Certification Audit
AUDIT FIRM	Bureau Veritas Certification
AUDIT DATE	10 - 12 July 2023
AUDIT REPORT SUBMISSION	31 August 2023
AUDIT SCOPE	The audit scope covers the operations at Baotou Aluminium Co., Ltd. and Inner Mongolia Huayun New Material Co., Ltd. The supply chain activities included in the audit scope: - Aluminium Smelting - Aluminium Re-melting/Refining - Casthouses All relevant Criteria in the ASI Chain of Custody Standard were included in the Audit Scope.
AUDIT OUTCOME	Certification
AUDIT METHODOLOGY DECLARATION	The Auditors confirm that: ☒ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this Report. ☒ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous. ☒ The Audit Scope and Audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope. ☒ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

CERTIFICATION PERIOD	5 December 2023 – 31 May 2028
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NEXT AUDIT TYPE	Re-Certification Audit
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NEXT AUDIT DUE DATE	31 May 2028
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CERTIFICATE NUMBER	332
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If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Baotou Aluminium Co., Ltd., formerly known as Baotou Aluminium Factory, was founded in 1958. After more than 60 years of development, the Entity has been built into the electrolytic Aluminium base of Chinalco in northern China, and is the first phalanx of China's Aluminium industry. It has a production capacity of 1.3 million tonnes of electrolytic Aluminium.

Baotou Aluminium Co., Ltd. is part of Aluminium of China; and Inner Mongolia Huayun New Materials Co., Ltd. is managed as a holding subsidiary of Baotou Aluminium Co., Ltd.. The Entity has certified management systems according to ISO 14001:2015, ISO 9001:2015, and ISO 45001:2018. It is a national demonstration enterprise of the recycling economy, and is the world's largest and the only domestic producer of high-purity Aluminium using the segregation method. The technology capacity of its own electricity and electrolytic Aluminium equipment is leading in the domestic industry. It is the national industry standard-setter for major Aluminium and Aluminium alloy products. The main products are high purity Aluminium, various Aluminium alloys, Aluminium liquid, and Aluminium ingot, that are mainly used in aerospace, military industry, transportation, engineering and construction. At present, the Entity comprises an electrolytic plant, high purity Aluminium plant, alloy division, solid waste centre, power plant, thermal power plant, overhaul slag plant, and a repair plant.

The Entity has approximately 4,400 employees. Key external Stakeholders include the regional governmental authorities, customers representing major industries, and nearby local communities.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

OVERALL	
SYSTEMS	Medium
RISKS	Medium
PERFORMANCE	Medium
OVERALL	MEDIUM

FINDINGS

CRITERION	RATING	COMMENT
1. MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI Membership	Conformance	The Entity has been an ASI Member since May 2023 in the Production and Transformation class.
1.2 CoC Management System	Conformance	The Entity's Management System has been established and implemented across the Certification Scope. The established procedures and processes are compatible with the ASI Chain of Custody Standard regarding the periodic review of the Management System and in all Facilities within the Entity's CoC Certification Scope that take Custody of CoC Material.
1.3 CoC Management System Monitoring	Conformance	The Entity has established procedures and processes which are compatible with the ASI Chain of Custody Standard regarding the periodic review of the Management System.
1.4 Management Representative	Conformance	The Entity has appointed a Management Representative with responsibility for the Entity's CoC Management System. Responsibilities have been defined and the Management Representative was required to fully provide adequate resources and authority to implement the ASI Chain of Custody Standard.
1.5 Communications and Training	Minor Non-Conformance	The Entity has developed and implemented communications and training measures that make relevant personnel aware of and competent in their responsibilities under the ASI Chain of Custody Standard. Adequate training is performed. However, the calculation method of CoC Material weight regarding the ratio of alloying element weight to alloy ingot was not understood by all relevant personnel.
1.6 Records Management	Conformance	The Entity maintains up to date records covering all applicable requirements of the ASI Chain of Custody Standard. The Entity's standard operating procedures define the retention time and systems in use.
1.7a Reporting to ASI (Inputs and Outputs of CoC Material)	Conformance	This Criterion is not applicable for the first Certification Audit.
1.7b Reporting to ASI (Inputs and Outputs of Eligible Scrap)	Conformance	This Criterion is not applicable for the first Certification Audit.
1.7c Reporting to ASI (Inflows and Outflows of Non-CoC Material)	Conformance	This Criterion is not applicable for the first Certification Audit.
1.7d Reporting to ASI (Positive Balance carried over)	Conformance	This Criterion is not applicable for the first Certification Audit.

CRITERION	RATING	COMMENT
1.7e Reporting to ASI (Positive Balance used)	Conformance	This Criterion is not applicable for the first Certification Audit.
1.7f Reporting to ASI (Internal Overdraw drawn down)	Conformance	This Criterion is not applicable for the first Certification Audit.
1.7g Reporting to ASI (Intra-Entity Flows)	Conformance	This Criterion is not applicable for the first Certification Audit.
2. OUTSOURCING CONTRACTORS		
2.1 Certification Scope	Not Applicable	This Criterion is not applicable as there are no Outsourcing Contractors in the Entity's Certification Scope. If the Entity should need Outsourcing Contractors for processing, treatment or manufacturing of CoC Materials that the Entity owns or manages, the Outsourcing Contractor will be included in the Certification Scope.
2.2a Control of CoC Material (Legal ownership or control)	Not Applicable	This Criterion is not applicable as there are no Outsourcing Contractors in the Entity's Certification Scope. If the Entity should need Outsourcing Contractors for processing, treatment or manufacturing of CoC Materials that the Entity owns or manages, the Outsourcing Contractor will be included in the Certification Scope.
2.2b Control of CoC Material (No further outsourcing)	Not Applicable	This Criterion is not applicable as there are no Outsourcing Contractors in the Entity's Certification Scope. If the Entity should need Outsourcing Contractors for processing, treatment or manufacturing of CoC Materials that the Entity owns or manages, the Outsourcing Contractor will be included in the Certification Scope.
2.2c Control of CoC Material (Risk assessment)	Not Applicable	This Criterion is not applicable as there are no Outsourcing Contractors in the Entity's Certification Scope. If the Entity should need Outsourcing Contractors for processing, treatment or manufacturing of CoC Materials that the Entity owns or manages, the Outsourcing Contractor will be included in the Certification Scope.
2.3 Information on Quantity of CoC Material Output and Returned	Not Applicable	This Criterion is not applicable as there are no Outsourcing Contractors in the Entity's Certification Scope. If the Entity should need Outsourcing Contractors for processing, treatment or manufacturing of CoC Materials that the Entity owns or manages, the Outsourcing Contractor will be included in the Certification Scope.
2.4 Consistency in Inflow and Outflow Quantity of CoC Material to/from Outsourcing Contractor	Not Applicable	This Criterion is not applicable as there are no Outsourcing Contractors in the Entity's Certification Scope. If the Entity should need Outsourcing Contractors for processing, treatment or manufacturing of CoC Materials that the Entity owns or manages, the Outsourcing Contractor will be included in the Certification Scope.
2.5 Error (Outsourcing Contractor)	Not Applicable	This Criterion is not applicable as there are no Outsourcing Contractors in the Entity's Certification Scope. If the Entity should need Outsourcing Contractors for processing, treatment or manufacturing of CoC Materials that the Entity owns or manages, the Outsourcing Contractor will be included in the Certification Scope.

CRITERION	RATING	COMMENT
3. PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI ALUMINIUM		
3.1a ASI Bauxite (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1b ASI Bauxite (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1c ASI Bauxite (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2a ASI Alumina (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2b ASI Alumina (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2c ASI Alumina (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a ASI Aluminium (CoC Certification Scope)	Conformance	The Entity is engaged in Aluminium Smelting and the Certification Scope consists of fully owned smelters which are ASI Performance Standard and ASI Chain of Custody Standard Certified.
3.3b ASI Aluminium (Performance Standard)	Conformance	The Entity's Material Accounting System ensures production of ASI Alumina only from an Alumina Refinery that is Certified against ASI Performance Standard.
3.3c ASI Aluminium (Alumina sourcing)	Conformance	The Entity is engaged in Aluminium Smelting and the Certification Scope consists of fully owned smelters which are ASI Performance Standard and ASI Chain of Custody Standard Certified.
4. RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP		
4.1a Recycled Aluminium (CoC Certification Scope)	Conformance	The Entity's Recycled Aluminium Management System ensures that Liquid Metal is produced only from Facilities within the Entity's CoC Certification Scope.
4.1b Recycled Aluminium (Performance Standard)	Conformance	The Entity's Recycled Aluminium producing system ensures that Liquid Metal is produced only from the Facility that is certified against the ASI Performance Standard.
4.2a Eligible Scrap (Pre-Consumer)	Not Applicable	This Criterion is not applicable as the Entity does not import Pre-Consumer Scrap as CoC Material.
4.2b Eligible Scrap (Post-Consumer)	Conformance	The Entity's Material Accounting System ensures that Post-Consumer Scrap is subject to supplier Due Diligence and can be assessed by the Entity to be post-consumer in origin.
4.2c Eligible Scrap (Dross)	Not Applicable	This Criterion is not applicable as Dross and other aluminium containing wastes in the Entity's Material Accounting System is not accounted as CoC Material.

CRITERION	RATING	COMMENT
4.3a Records Management for Direct Suppliers of Recyclable Scrap Material (Suppliers)	Conformance	The Entity's Material Accounting System is designed to control and account for all sources of scrap. All suppliers are pre-qualified and there is full traceability to control the identity, principles and places of operation of all direct suppliers of Recyclable Scrap Material.
4.3b Records Management for Direct Suppliers of Recyclable Scrap Material (Financial transactions)	Conformance	There are no cash transactions between the Entity and suppliers.
5. CASTHOUSES: CRITERIA FOR ASI ALUMINIUM		
5.1a ASI Aluminium (CoC Certification Scope)	Conformance	The Entity's Material Accounting System ensures production of ASI Aluminium only from Casthouses that are within the Entity's CoC Certification Scope.
5.1b ASI Aluminium (Performance Standard)	Conformance	The Entity is engaged in producing Casthouse Products from Primary Aluminium and Recycled Aluminium. The Certification Scope consists of fully owned plants which are Certified against the ASI Performance Standard.
5.1c ASI Aluminium (Aluminium sourcing)	Conformance	The Entity has established procedures for CoC Material procurement and CoC Material must be obtained from CoC Certified Entities; If the supplier is a trading company, the Entity requires the manufacturer's certificate and performs a check on the validity and scope of the certificate.
5.2 Unique Identification	Conformance	The Entity's Material Accounting System has been tested with a simulation, which demonstrated that unique identification numbers, printed with ASI Aluminium, are linked to the Input Quantity of CoC Material.
6. POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM		
6.1a Post-Casthouse ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.1b Post-Casthouse ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.1c Post-Casthouse ASI Aluminium (Aluminium sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7. DUE DILIGENCE FOR NON-COC MATERIAL, COC MATERIAL ACQUIRED THROUGH A TRADER AND RECYCLABLE SCRAP MATERIAL		
7.1a Responsible Sourcing Policy (Anti-corruption)	Conformance	The Entity has implemented a Responsible Sourcing Policy, which is mandatorily signed by suppliers. The Entity undertakes a Due Diligence risk assessment of suppliers prior to commencing business with them.

CRITERION	RATING	COMMENT
7.1b Responsible Sourcing Policy (Responsible sourcing)	Conformance	The Entity has implemented a Responsible Sourcing Policy, which is mandatorily signed by suppliers. The Entity undertakes a Due Diligence risk assessment of suppliers prior to commencing business with them.
7.1c Responsible Sourcing Policy (Human rights due diligence)	Conformance	The Entity has implemented a Responsible Sourcing Policy, which is mandatorily signed by suppliers. The Entity undertakes a Due Diligence risk assessment of suppliers prior to commencing business with them.
7.1d Responsible Sourcing Policy (Conflict-affected and high-risk areas)	Conformance	The Entity has implemented a Responsible Sourcing Policy, which is mandatorily signed by suppliers. The Entity undertakes a Due Diligence risk assessment of suppliers prior to commencing business with them.
7.2 Risk Assessment and Mitigation	Conformance	The Entity has established a Responsible Sourcing Policy and Supplier Code of Conduct, which are mandatorily signed by suppliers. If a supplier is not compliant with the requirements and repeatedly fails to correct critical findings, contracts will be terminated. The Entity undertakes a comprehensive Due Diligence process and risk assessment of each supplier prior to establishing relationships.
7.3 Complaints Resolution Mechanism	Conformance	The Entity has established and implemented a procedure for Stakeholder complaints, grievances and requests for information, which discloses the Entity's contact telephone number, email address, and provides for a suggestion box and public noticeboard. The Entity accepts information enquiries, complaints and appeals from all parties. The Human Resources Department has established a process to track the requests and complaints of Stakeholders and has an appropriate resolution mechanism. Further information is available on the Entity's website: http://www.baotou-ai.com.cn

8. MASS BALANCE SYSTEM: CoC MATERIAL AND ASI ALUMINIUM

8.1 Material Accounting System	Conformance	The Entity has established a Material Accounting System to govern and report on Input Quantity and Output Quantity of CoC Material and Non-CoC Material.
8.2 Material Accounting Period	Conformance	The Entity has established a Material Accounting Period within the Material Accounting System of 12 months, commencing the first day of the calendar year.
8.3 Input and Inflow Quantities	Conformance	The Entity's Management System includes a Material Accounting System that records Input Quantity and Output Quantity of CoC Material and Non-CoC Material, by mass.
8.4 Output Quantities of CoC Material	Conformance	The Entity's Management System includes a Material Accounting System that records Input Quantity and Output Quantity of CoC Material and Non-CoC Material, by mass.
8.5 Indivisibility of CoC Material	Conformance	The Entity's Material Accounting System ensures that the Output Quantity of CoC Material, which may be a part of the total production volume, is designated as 100% CoC Material.

CRITERION	RATING	COMMENT
8.6 Output Quantity of Eligible Scrap	Not Applicable	The Entity's Material Accounting System ensures that the Entity does not produce Pre-Consumer Scrap from its processing in order to designate the relevant proportion as Eligible Scrap.
8.7 Consistency Between Input Percentage and Total Output	Conformance	The Entity's Material Accounting System ensures that the production of CoC Material will not proportionally exceed the Input Percentage of the total CoC Material for the Material Accounting Period.
8.8a Internal Overdraw (Not exceed 20%)	Minor Non-Conformance	The Entity's Material Accounting System ensures that an Internal Overdraw does not exceed 20% if total Input Quantity of CoC Material. However, relevant personnel were not fully aware of how to manage an Internal Overdraw.
8.8b Internal Overdraw (Not exceed force majeure situation)	Conformance	The Entity's Material Accounting System ensures that an Internal Overdraw does not exceed the amount of CoC Material affected by a Force Majeure situation.
8.8c Internal Overdraw (Made up within subsequent Material Accounting Period)	Conformance	The Entity's Material Accounting System provides a 'carry over' of the Internal Overdraw to the subsequent Material Accounting Period and a requirement to make it up within the subsequent Period, despite the Entity's System ensuring not having an Internal Overdraw within the Material Accounting Period.
8.9a Positive Balance (Carry over)	Conformance	The Entity's Material Accounting System provides a 'carry over' of the Positive Balance of output CoC Material at the end of a Material Accounting Period to the subsequent Material Accounting Period.
8.9b Positive Balance (Expiry)	Conformance	The Entity's Material Accounting System and internal control mechanisms ensure that a Positive Balance carried over to the subsequent Material Accounting Period expires at the end of that Period if not drawn down.
9. ISSUING COC DOCUMENTS		
9.1 CoC Document	Conformance	The Entity has developed documents and records to follow shipments and transfer of CoC Material.
9.2a CoC Document Content (Date of issue)	Conformance	The Entity's Material Accounting System ensures that CoC Documents include information on the issue date.
9.2b CoC Document Content (Reference number)	Conformance	The Entity's Material Accounting System ensures that CoC Documents include the reference number for the CoC Document, which is linked to the Entity's Material Accounting System for verification purposes.
9.2c CoC Document Content (Issuing Entity)	Conformance	The Entity's Material Accounting System ensures that CoC Documents include the identity, address and CoC Certification number of the Entity issuing the CoC Document.
9.2d CoC Document Content (Receiving customer)	Conformance	The Entity's Material Accounting System ensures that CoC Documents include the identity and address of the customer receiving the CoC Material, and if it is another CoC Certified Entity, their CoC Certification Number.

CRITERION	RATING	COMMENT
9.2e CoC Document Content (Responsible employee)	Conformance	The Entity's Material Accounting System ensures that CoC Documents include the information of the Entity's responsible employee, who can verify the information in the CoC Document.
9.2f CoC Document Content (Conformance statement)	Conformance	The Entity's Material Accounting System ensures that CoC Documents include a statement confirming that "The information provided in the CoC Document is in Conformance with the ASI CoC Standard".
9.2g CoC Document Content (Type of CoC Material)	Conformance	The Entity's Material Accounting System ensures that CoC Documents include the information on the type of CoC Material in the shipment.
9.2h CoC Document Content (Mass of CoC Material)	Conformance	The Entity's Material Accounting System ensures that CoC Documents include the information on the mass of CoC Material in the shipment.
9.2i CoC Document Content (Mass of total material)	Conformance	The Entity's Material Accounting System ensures that CoC Documents include the information on the mass of total material in the shipment.
9.3a Sustainability Data (optional) - Carbon footprint	Not Applicable	This Criterion is not applicable as the Entity is not currently including Sustainability Data in CoC Documents.
9.3b Sustainability Data (optional) - Origin information	Not Applicable	This Criterion is not applicable as the Entity is not currently including Sustainability Data in CoC Documents.
9.3c Sustainability Data (optional) - Recycled content	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.3d Sustainability Data (optional) - Post-Casthouse ASI Certification status	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4 Supplementary Information (optional) - Objective evidence	Not Applicable	This Criterion is not applicable as the Entity is not currently including Supplementary Information about the Entity or CoC Material.
9.5 Verification of Information	Conformance	The Entity's Material Accounting System enables it to respond to reasonable requests for verification of information in the CoC Documents issued by the Entity.
9.6 Error (Shipping)	Conformance	The Entity's Material Accounting System includes the documentation of errors and the agreed steps taken to correct it, and implements actions to avoid its recurrence.

CRITERION	RATING	COMMENT
10. RECEIVING CoC DOCUMENTS		
10.1 Verification of CoC Documents	Conformance	The Entity has established procedures and practices to verify that all required information in the received CoC Documents, has been included.
10.2 Verification of Consistency Between CoC Documents and CoC Material	Conformance	The Entity's Material Accounting System includes verification of the consistency of received CoC Documents with the accompanying CoC Material, before recording information in the Entity's Material Accounting System.
10.3 Verification of Supplier's ASI CoC Certification	Minor Non-Conformance	The Entity's Material Accounting System is able to verify the validity and scope of the supplier's ASI CoC Certification for any changes that might affect the status of the supplied CoC Material. However, during the simulation of the Material Accounting System, corresponding evidence of the verification was not retained.
10.4 Error (Reception)	Conformance	The Entity's Material Accounting System is able to correct the error discovered after the CoC Material has been received, and implements actions to avoid a recurrence.
11. CLAIMS AND COMMUNICATIONS		
11.1a Claims and Communications (ASI Claims Guide)	Conformance	The Entity has established a procedure for making ASI claims and use of the ASI logo, which details the claims that can be made, including examples of correct and incorrect communication. The instruction also requires consultation with the ASI Secretariat before making public claims for substantial external claims.
11.1b Claims and Communications (Verifiable evidence)	Conformance	The Entity has established a procedure for making ASI claims and use of the ASI logo, which details the claims that can be made, including examples of correct and incorrect communication. The instruction also requires consultation with the ASI Secretariat before making public claims for substantial external claims.
11.1c Claims and Communications (Employee training)	Conformance	The Entity has defined within its process instruction for making ASI claims, that claims are consistent with the ASI claim guide. All relevant personnel have been trained and the Entity's ASI team demonstrated proper understanding and communication of claims.

ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable law, or will avoid any Breach from occurring.

DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	5 December 2023	Initial Certification Audit – Full Certification
1	9 December 2025	Extension to the Certification expiry date (from 4 December 2026) and the Next Audit Type and Due Date, consistent with ASI's voluntary option for CoC Standard Certification extension
2	18 September 2026	Extension to the Certification expiry date (from 1 March 2027), consistent with ASI's voluntary option for CoC Standard Certification extensions