

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

Anhui Mercury Light Metal Co., Ltd.

CERTIFICATE NUMBER

573

ASI STANDARD

PERFORMANCE
STANDARD
(V3.1 2023)

DATE OF ISSUE

12 OCTOBER 2026

CERTIFICATION
LEVEL

FULL
CERTIFICATION

DATE OF EXPIRY

11 OCTOBER 2029

ASI ACCREDITED
AUDITING FIRM

TÜV RHEINLAND CERT
GMBH

CERTIFIED SINCE

12 OCTOBER 2026

AUTHORISED BY

A stylized black ink signature.

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
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*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at
www.aluminium-stewardship.org*

CERTIFICATION SCOPE

Manufacture of Aluminium alloy
ingots with the main processes of
cracking, re-melting, re-finishing,
casting and packing at Anhui
Mercury Light Metal Co., Ltd., in
Maanshan City, Anhui Province,
China.

AUDIT REPORT PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	Anhui Mercury Light Metal Co., Ltd.
ENTITY NAME	Anhui Mercury Light Metal Co., Ltd.
CERTIFICATION SCOPE	Manufacture of Aluminium alloy ingots with the main processes of cracking, re-melting, re-finishing, casting and packing at Anhui Mercury Light Metal Co., Ltd., in Maanshan City, Anhui Province, China.
SUPPLY CHAIN ACTIVITIES	- Aluminium Re-melting/Refining - Casthouses
ASI STANDARD	Performance Standard V3.1
AUDIT TYPE	Initial Certification Audit
AUDIT FIRM	TÜV Rheinland Cert GmbH
AUDIT DATE	11 – 12 May 2026
AUDIT REPORT SUBMISSION	4 June 2026
AUDIT SCOPE	The Audit Scope covered the manufacture of Aluminum alloy ingots with the main processes of cracking, re-melting, re-finishing, casting and packing. Supply chain activities included in the Audit Scope: - Aluminium Re-melting/Refining - Casthouses All relevant supply chain activities, and Criteria in the Performance Standard were included in the Audit Scope.
AUDIT OUTCOME	Certification
AUDIT METHODOLOGY DECLARATION	The Auditors confirm that: ☒ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report. ☒ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous. ☒ The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope. ☒ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
CERTIFICATION PERIOD	12 October 2026 – 11 October 2029

NEXT AUDIT TYPE	Surveillance Audit
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NEXT AUDIT DATE	11 October 2027
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CERTIFICATE NUMBER	573
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If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Anhui Mercury Light Metal Co., Ltd. (the 'Entity') is situated in the Industrial Zone of He County, Maanshan City, Anhui Province, P.R.China, over an area of 9.61 hectares.

The Entity currently has an annual production capacity of 100,000 tonnes of Aluminium alloy ingots. Phase 2 of the Entity's operations is not yet constructed. It is currently equipped with nine melting furnaces, four casting lines, a pre-treatment workshop, an Aluminium Dross processing line, on-site wastewater treatment facility, solid waste storage areas, and warehouses for raw materials and finished products. The Entity's products are mainly supplied to domestic auto parts manufacturers, foundries and die-casting enterprises, with partial shipments exported to Southeast Asia.

The premises are surrounded by other industrial facilities, with the nearest residential district over two kilometres from the plant boundary. There are no schools, hospitals or ecologically protected zones with a three kilometre area of the Entity.

There are approximately 196 employees at the Entity. The major external Stakeholders include local environmental and safety regulatory authorities, the Industrial Zone Administrative Committee, raw material suppliers of Primary Aluminium and recycled scrap, downstream clients engaged in automobile and electronic casting businesses, as well as nearby residents.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	High	Medium	Medium	MEDIUM
RISKS	High	Medium	Medium	MEDIUM
PERFORMANCE	Medium	Medium	Medium	MEDIUM
OVERALL	MEDIUM			

FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	The Entity has established a procedure to identify and collect Applicable Laws and regulations. The Entity's EHS and Management Departments are responsible for the collection and assessment of these legal requirements, which cover labour, ethics, health and safety, and the environment, at least quarterly.
1.2 Anti-Corruption	Conformance	The Entity has established a comprehensive Business Ethics Policy and Procedure, which specifically addresses anti-Bribery, anti-Corruption, and extortion. Training on these Policies is provided to all employees. An ethics reporting channel is included in the Anti-Bribery Ethics Rule and is publicly available on the company's website: https://aimg8.dlszyht.net.cn/public/src/upload/2292684/Backend/files/69fc07f3bf73f.pdf The Entity has conducted Due Diligence investigations to identify the high-risk departments, including Purchasing, Sales, Quality, and Warehouse. All personnel within these departments have signed an Anti-Bribery and Anti-Corruption Commitment Letter.
1.3a-e Code of Conduct	Conformance	The Entity has established a Code of Conduct in accordance with the ASI Performance Standard and provides periodic training to Workers. The Code of Conduct is available at: https://aimg8.dlszyht.net.cn/public/src/upload/2292684/Backend/files/6a012cb343774.pdf Furthermore, the Entity has communicated its Code of Conduct to its suppliers through the execution of the ASI Performance Standard Commitment Letter.
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Conformance	The Entity has established and maintains a comprehensive Management System aligned with Environmental, Social, and Governance (ESG) best practices. This includes a suite of Policies, such as the Human Rights Policy, Environmental Policy, Occupational Health and Safety Policy, and Responsible Procurement Policy, all of which have been formally approved by the General Manager. The Management System requires the Policies be reviewed annually during the management review process. Furthermore, any significant business changes that could impact ESG risks, or any indications of control gaps, will trigger an immediate review of the relevant Policies. The Entity communicates the Policies via multiple internal channels, including employee orientation, training sessions, and display banners, and the Policies are made publicly available at: https://aimg8.dlszyht.net.cn/public/src/upload/2im92684/Backend/files/6a0136ff9033d.pdf
2.2a-c Leadership	Conformance	The Entity has appointed a senior Management Representative with overall responsibility and authority to ensure Compliance with the ASI Performance Standard. The specific authority and responsibilities of this role are clearly defined in the appointment letter. Furthermore, an ASI Team has been established to support the implementation of the Entity's ASI Performance Standard Management System.

CRITERION	RATING	COMMENT
2.3a Environmental and Social Management Systems – Environmental	Conformance	The Entity has documented and implemented an Environmental Management System, which is certified to ISO 14001:2015. The latest certification audit was conducted in October 2025. Relevant reports have been provided for review, and one minor non-conformance was identified during the audit, for which proper corrective and preventive actions have been implemented.
2.3b Environmental and Social Management Systems – Social	Conformance	The Entity has documented and implemented a Social Management System and ensures Compliance with all applicable legal requirements. Annual compliance evaluations are conducted, and to date, there have been no fines or requests for corrective actions from government agencies or other Stakeholders.
2.4a-e Responsible Sourcing	Conformance	The Entity is committed to responsible sourcing and has implemented measures including supplier assessments, requiring suppliers to sign commitment letters, and emphasizing the importance of ASI Performance Standard implementation to its suppliers. The Responsible Sourcing Policy is available at: https://aimg8.dlszyht.net.cn/public/src/upload/2292684/Backend/files/6a012cb18b928.pdf The Entity has conducted Due Diligence investigations for all suppliers via comprehensive assessments, which address environmental, social, and governance aspects. Furthermore, the Entity conducts verifications with all suppliers to identify opportunities for improvement.
2.5a-g Environmental and Social Impact Assessments	Not Applicable	This Criterion is not applicable to the Entity, as there are no New Projects or Major Changes to the Facility. However, the Entity has evaluated environmental, social, cultural, and Human Rights impacts as part of its Environmental Impact Assessments. Additionally, the effectiveness of occupational hazard controls was evaluated during the Entity's initial construction.
2.6a-h Human Rights Impact Assessment	Not Applicable	This Criterion is not applicable to the Entity, as there are no New Projects or Major Changes to the Facility. The Entity has however established a Human Rights Impact Assessment (HRIA) process. The Human Rights Impact Assessment Report is publicly available at: https://aimg8.dlszyht.net.cn/public/src/upload/2292684/Backend/files/6a013ba839717.pdf
2.7a-f Emergency Response Plan	Conformance	In collaboration with potentially affected Stakeholder groups, the Entity has established and implemented Emergency Response Plans. Training sessions are provided periodically to ensure preparedness. The Emergency Response Plans are publicly available at: https://aimg8.dlszyht.net.cn/public/src/upload/2292684/Backend/files/6a012cb2e980e.pdf https://aimg8.dlszyht.net.cn/public/src/upload/2292684/Backend/files/69fc0a1401e92.pdf
2.8a-d Suspended Operations	Conformance	The Entity has implemented a Business Continuity and Resumption Plan that addresses significant adverse environmental, social, and governance impacts. Additionally, it has developed the ASI Management Manual, which mandates regular reviews of the Plan during annual management meetings or whenever there are substantial changes to the business or identified control gaps.

CRITERION	RATING	COMMENT
2.9a-b Mergers and Acquisitions	Conformance	The Entity has established a merger and acquisition control procedure, which requires a Due Diligence process prior to any merger or acquisition. Over the past three years, the Entity has not engaged in any mergers or acquisitions.
2.10a-b Closure, Decommissioning and Divestment	Conformance	The Entity has established a control procedure for closures, decommissioning, and divestment. No such activity has occurred in the past three years.
3. TRANSPARENCY		
3.1a-b Sustainability Reporting	Conformance	The Entity has published a Sustainable Development ESG Report, covering governance, environmental, and social aspects, available at: https://aimg8.dlszyht.net.cn/public/src/upload/2292684/Backend/files/6a02755441886.pdf
3.2 Non-compliance and Liabilities	Conformance	The Entity did not incur any significant fines, judgments, penalties, or non-monetary sanctions for non-compliance with Applicable Laws. This information is disclosed in the Entity's Sustainable Development Report, which confirms that there were no fines or other non-compliance issues over the past three years, available at: https://aimg8.dlszyht.net.cn/public/src/upload/2292684/Backend/files/6a02755441886.pdf
3.3a-c Payments to Governments	Conformance	The Entity has developed and implemented policies, systems, procedures, and processes that conform to these anti-corruption requirements. Compliance is ensured through the deployment and review of Transparency and Anti-Corruption policies. The Entity's ESG Report, which includes financial audit results issued by a qualified Third Party, is publicly available at: https://aimg8.dlszyht.net.cn/public/src/upload/2292684/Backend/files/6a02755441886.pdf All payments to the government are made in accordance with Applicable Laws and contracts.
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Minor Non-Conformance	The Entity has implemented an ASI Performance Standard Management System, which tracks requests and complaints from Stakeholders and includes an appropriate Complaints Resolution Mechanism, available at: https://aimg8.dlszyht.net.cn/public/src/upload/2292684/Backend/files/69fc0c04a173e.pdf The Entity has not however publicly disclosed the communication channels (such as e-mail, telephone) of its Complaints Resolution Mechanism.
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Minor Non-Conformance	The Entity has established standardized procedures and systems to implement Life Cycle Assessment (LCA) in compliance with the guidelines laid out in ISO 14040 and ISO 14044. Its core product portfolio covers AISi7, AISi8, AISi10 and AISi12 alloys. To date, the LCA study has been finalized for the AISi7 grade only. According to the completed LCA report, the environmental contribution attributable to silicon across all alloy variants accounts for less than 5% of the total environmental impacts.

CRITERION	RATING	COMMENT
		Some of the inventory data adopted for impact assessment are not primary measured data. A typical example is the dataset for recycled Aluminium: values are sourced from the Rest-of-World (RoW) regional datasets under the cut-off system model within the Eco-invent database. In addition, the impact calculation methodology for recycled Aluminium fails to differentiate between Pre-Consumer Scrap and Post-Consumer Scrap (End of Life) streams.
4.1b-c Environmental Life Cycle Assessment - Disclosure	Conformance	The Entity's ASI Management Manual defines the requirement to ensure LCA reports include adequate information covering system boundaries and underlying assumptions. The LCA Report is available at: https://aimg8.dlszyht.net.cn/public/src/upload/2292684/Backend/files/69fc0c1dc774d.pdf
4.2 Product Design	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3a-b Aluminium Process Scrap	Conformance	All the Aluminium Process Scrap generated is recycled internally, with the Entity maintaining an inventory to track this information. The Entity clearly separates the Scrap by alloy grades, and Scrap is recycled by the smelters with the same alloy grades. Labels are posted for different Scrap types during collection and storage.
4.4a-c Collection and Recycling of Products at End of Life - Material Conversion and other Manufacturing	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.4d Collection and Recycling of Products at End of Life	Minor Non-Conformance	The Entity has established a Policy to promote the continual improvement in the recycling industry. Interviews conducted with management during the Audit confirmed that the Entity's corporate headquarters is a member of the Aluminium recycling association, has participated in the exhibition of recycled materials, and supported the recycling enterprises of the local area. However, the Entity has no performance review for these improvement actions.
5. GREENHOUSE GAS EMISSIONS		
5.1a-b Disclosure of GHG Emissions and Energy Use	Minor Non-Conformance	The Entity has mandated an accredited independent Third Party to conduct annual Greenhouse Gas (GHG) quantification in conformance with ISO 14064. The Third Party Verification Statement (April 2026), which covers the 2025 calendar year, records a corporate-wide aggregate GHG emissions volume of 627,167.70 tonnes of carbon dioxide equivalent (t CO₂). The quantification framework comprehensively captures all Material upstream and downstream activity sources falling under Scope 1, Scope 2 and Scope 3 accounting boundaries. The 2025 GHG Verification Certificate and supporting GHG Inventory Report are available at: GHG Verification Certificate: https://aimg8.dlszyht.net.cn/public/src/upload/2292684/Backend/files/69fc0c819f9b2.pdf

CRITERION	RATING	COMMENT
		GHG Quantification Inventory Report: https://aimg8.dlszyht.net.cn/public/src/upload/2292684/Backend/files/6a012cb34956e.pdf Notwithstanding the above standardized accounting procedure, two methodological limitations constrain the robustness of the calculated Scope 3 GHG emissions dataset. First, emission factors for raw material inputs are derived from China regional average datasets under the cut-off allocation system model within the Eco-invent 3.11 LCA database. Second, the accounting methodology for recycled Aluminium does not differentiate Pre-Consumer Scrap and Post-Consumer Scrap (End of Life) categories. Collectively, these two simplifying assumptions introduce inherent uncertainty and reduce the statistical confidence level of the disclosed Scope 3 GHG emission results.
5.2a Aluminium Smelter GHG Emissions Intensity - Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity - In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a GHG Emissions Reduction Plans	Minor Non-Conformance	The Entity has established GHG emissions reduction targets consistent with the commitment to 'green' and low-carbon development, and consistent with the 1.5°C warming scenario. The ASI Entity GHG Pathways Calculation Tool was used to develop the GHG Emissions Reduction Pathway. The Entity has implemented a GHG Emissions Reduction Plan, available at: https://aimg8.dlszyht.net.cn/public/src/upload/2292684/Backend/files/6a012cb1beb5c.pdf There is a mechanism to review the GHG Emissions Reduction Plan annually and review the Pathway as needed. However, using 2025 as the base year for extruded aluminium products when determining the GHG reduction targets is unreasonable, as in the massive production is since June 2025. In addition, the determination methodology for scope 3 of base year data need improvement, it roughly uses the total GHG quantity divide the total material quality, rather than using weighted average method considering of the difference sources of materials.
5.3b-e GHG Emissions Reduction Plans - Targets, review and disclosure	Conformance	The Entity's GHG Emissions Reduction Plan includes Intermediate Targets (2025-2030) and indicates that the Entity monitors GHG emissions data monthly by source, including energy and material purchasing, and conducts annual review of the Plan to monitor its performance. The Entity has established 2025 as the baseline year and the annual review for performance during 2026 will be conducted in January 2027. The Entity's Plan and Intermediate Targets are disclosed at: https://aimg8.dlszyht.net.cn/public/src/upload/2292684/Backend/files/6a012cb1beb5c.pdf
5.4 GHG Emissions Management	Minor Non-Conformance	The Entity has documented GHG emissions Policies, reduction objectives, data tracking tools and review requirements. The Entity's

CRITERION	RATING	COMMENT
		QS Department is responsible for leading the GHG emissions reduction actions. The Entity has established a GHG Emissions Reduction Pathway and executable projects have been developed. An annual management review has been implemented to ensure the projects remain on track. However, due to the lack of adequate investigation for materials during the GHG calculation, some GHG data are calculated using average regional data rather than primary data from its supply chain.
6. EMISSIONS, EFFLUENTS AND WASTE		
6.1a-f Emissions to Air	Minor Non-Conformance	The Entity has installed a waste air treatment system, which is maintained in good condition and an online monitoring system for key pollutants such as particulate matter, sulphur dioxide and nitrogen oxide. Other pollutants are monitored by a certified Third Party semi-annually. There has been no recorded exceedance detected in the past years. The Entity has disclosed the Air Pollutant Emissions Data and Reduction Plan, available at: https://aimg8.dlszyht.net.cn/public/src/upload/2292684/Backend/files/6a012cb277c88.pdf The Entity however has not installed an air purify device for the Hazardous Waste warehouse, where Aluminium Dross is stored and generates ammonia gas. Additionally, not all relevant fugitive air emissions parameters are recorded during monitoring.
6.2a-g Discharges to Water	Conformance	The main industrial wastewater generated at the Entity is due to the cooling system associated with casting. The industrial wastewater is internally recycled 100% without an external discharg. The Entity discloses wastewater information on the website, available at: https://aimg8.dlszyht.net.cn/public/src/upload/2292684/Backend/files/6a013e699379b.pdf
6.3a-g Assessment and Management of Spills and Leakages	Minor Non-Conformance	The Entity has established a Leakage Management Procedure that clearly defines the process for dealing with Spills and Leakages. The process includes halting production, investigating the root cause, implementing corrective and preventive actions, and conducting appropriate testing of water, air, and soil. The Entity conducts risk assessments for waste air, wastewater, liquid aluminium, and chemicals annually, or whenever there is a material change. The Entity has established monthly, quarterly, and annual monitoring plans to assess the competence of containment devices. Relevant reports are retained for review, and no Spill or Leakage has occurred in the past year. The Entity's Spills and Leakages risk assessment and preventive actions is available at: https://aimg8.dlszyht.net.cn/public/src/upload/2292684/Backend/files/69fc0ea081d7e.pdf The Entity has not however maintained the emergency wastewater basin effectively, and at the time of the Audit the basin contained water, limiting its effectiveness and capacity for emergency wastewater collection.
6.4a-b Public Disclosure of Spills and Leakages	Conformance	The Entity's Emergency Response Control Process defines the procedures for managing and reporting Spills and Leakages. In the event of a Spill, the ERT (Emergency Response Team) will follow the established response process. Drills are conducted annually to ensure the response process remains current. No spill or leakage incidents

CRITERION	RATING	COMMENT
		have been reported in previous years. The Leakage Management Procedure requires Spill information to be disclosed in the Sustainability Development Report. Spills information is disclosed in the 2025 Sustainable Development Report, page 36: https://aimg8.dlszyht.net.cn/public/src/upload/2292684/Backend/files/6a02755441886.pdf
6.5a-c Waste Management and Reporting	Conformance	Solid waste generated by the Entity is transferred to vendors who hold legal permits for the disposal of Hazardous Waste. The Entity conducts an annual risk assessment for the management of solid waste and no significant risks to human well-being or the environment were identified. The Entity has established improvement objectives and plans to reduce the quantity of solid waste. The performance of the Entity's Waste mitigation practices is reviewed annually. The Entity has published the solid waste information including category, quantity and disposal method in the 2025 Sustainable Development Report, page 22: https://aimg8.dlszyht.net.cn/public/src/upload/2292684/Backend/files/6a02755441886.pdf
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Conformance	All Aluminium Dross and residues undergo two stages of recovery using internal equipment, after which the final Dross is treated as Hazardous Waste in accordance with legal requirements. After initial recycling, the Dross is transferred to qualified vendors holding the necessary legal permits. According to investigation reports, vendors utilize the Dross for deep Aluminium recycling, and the final treated Dross Residues are used in the manufacture of other products, with no landfilling involved. The Entity annually reviews the performance of Dross management.
7. WATER STEWARDSHIP		
7.1a-b Water Assessment and Disclosure	Conformance	The Entity has established water mapping and regularly reviews updates and changes. Water risk assessments are conducted annually, with the latest assessment in April 2026. The rated risk level is Low. The water withdrawal, use information and risk assessment result are available at: https://aimg8.dlszyht.net.cn/public/src/upload/2292684/Backend/files/69fc20e4cbee6.pdf
7.2a-e Water Management	Not Applicable	This Criterion is not applicable to the Entity, as the risk assessment determined the water-related risk level is low.
8. BIODIVERSITY AND ECOSYSTEM SERVICES		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Conformance	The Entity has conducted an Impact Assessment on Biodiversity and Ecosystem Services resulting from its land use and activities within its Area of Influence. The Environmental Impact Assessment (EIA) was conducted by a professional Third Party and was approved by the

CRITERION	RATING	COMMENT
		local environmental bureau. The assessment results indicate that the impacts on Biodiversity and Ecosystem Services are low risk. The Entity has annually reviewed the environmental conditions related to Biodiversity and Ecosystem Services against the EIA report, with no changes observed since operations commenced. The Biodiversity and Ecosystem Service Risks and Impacts Report is available at: https://aimg8.dlszyht.net.cn/public/src/upload/2292684/Backend/files/69fc210599924.pdf
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment – Priority	Not Applicable	This Criterion is not applicable to the Entity, as the impacts on Biodiversity and Ecosystem Services were determined as low.
8.2a–g Biodiversity Management	Not Applicable	This Criterion is not applicable to the Entity, as the impacts on Biodiversity and Ecosystem Services were determined as low.
8.3a–c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity, as the impacts on Biodiversity and Ecosystem Services were determined as low.
8.4 Alien Species	Conformance	The Entity has established a Policy to prevent the introduction of Alien Species and has conducted a risk assessment for the Entity's activities (package material purchasing, transporting, afforesting), which determined that there is no Material risks of Alien Species.
8.5a–b Commitment to “No Go” in World Heritage Properties	Conformance	There are no World Heritage Properties within the Entity's Area of Influence. The Entity's ASI Management Manual clearly defines the requirement for annual management reviews of the policy of “No Go” in World Heritage Properties. The latest management review is conducted in April 2026.
8.6a–d Protected Areas	Conformance	The Entity has conducted an Environment Impact Assessment (EIA), via a competent Third Party, which determined that there are no Protected Areas within the Entity's Area of Influence. The Entity has annually reviewed the status of Protected Areas, with no changes identified. Further information is available at: https://aimg8.dlszyht.net.cn/public/src/upload/2292684/Backend/files/69fc210599924.pdf
8.6e Protected Areas – Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a–i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9. HUMAN RIGHTS		
9.1a–d Human Rights Due Diligence	Conformance	The Entity has established a gender-responsive Code of Conduct to respect Human Rights, promote gender equality, and comply with the UN Guiding Principles on Business and Human Rights. The Code of Conduct is available at: https://aimg8.dlszyht.net.cn/public/src/upload/2292684/Backend/files/6a012cb343774.pdf The Entity has established a procedure to conduct Human Rights Due Diligence. The implementation and effectiveness of this and the Human Rights Policy are reviewed. The requirement for Human Rights

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		Impact Assessment, information on the affected communities' engagement approach, and the Complaints Resolution Mechanism are publicly disclosed at: https://aimg8.dlszyht.net.cn/public/src/upload/2292684/Backend/files/69fc0c04a173e.pdf The Entity has identified Affected Populations and Organizations as Stakeholders. Complaints and grievance channels are made public to all Stakeholders, and the relevant information is available in the Sustainable Development Report: https://aimg8.dlszyht.net.cn/public/src/upload/2292684/Backend/files/6a02755441886.pdf The Entity has not caused or contributed to any significant adverse Human Rights impacts. The Entity commits to providing for or cooperating in remediation through legitimate processes if any negative impact on human rights is identified or reported.
9.2a-e Gender Equity and Women's Empowerment	Minor Non-Conformance	The Entity commits in its Code of Conduct to protect female Workers and to respect, promote, and advance gender equality and Women's empowerment within the Group. The Entity has developed activities to support work-life balance, especially for women. The Entity has publicly disclosed information on its gender equality policy and performance regarding the protection of female Workers in its sustainable Development Report, available at: https://aimg8.dlszyht.net.cn/public/src/upload/2292684/Backend/files/6a02755441886.pdf Female workers are paid equally compared to male Workers. There is female representation on the Entity's Board and in every department and management team, and no Discrimination has been found. Measures taken to promote gender equality are established and implemented. Relevant information is available on the website at: https://aimg8.dlszyht.net.cn/public/src/upload/2292684/Backend/files/69fc21727ad0d.pdf The Entity has not however publicly disclosed the performance or effectiveness of the measures taken to promote gender equity.
9.3a-i Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples within its Area of Influence.
9.4a Free, Prior, and Informed Consent (FPIC) - New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples within its Area of Influence.
9.4b Free, Prior, and Informed Consent (FPIC) - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4c Free, Prior, and Informed Consent (FPIC) - Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples within its Area of Influence.
9.5a Cultural and Sacred Heritage - Identification	Conformance	The Entity has established and implemented procedures to identify cultural and sacred heritage and conduct risk assessments to mitigate potential impacts on such sites. To date, all of the Entity's projects have undergone Environmental Impact Assessment and

CRITERION	RATING	COMMENT
		social stability assessment, which confirm that there are no cultural or sacred heritage sites within its Area of Influence.
9.5b Cultural and Sacred Heritage - Impacts	Not Applicable	This Criterion is not applicable to the Entity, as there are no cultural or sacred heritage sites within its Area of Influence, and no Indigenous Peoples or their lands, territories, and resources have been identified.
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity, as there have been no Major Changes or New Projects that have resulted in resettlement.
9.7a-h Affected Populations and Organisations	Conformance	The Entity has implemented a Policy intended to respect the legal and customary rights and interests of Local Communities regarding their lands, livelihoods, and use of natural resources. This Policy underscores the Entity's commitment to ethical practices and sustainable development. As the Entity is located within an industrial zone with no proximate communities, its operations ensure minimal impact on local populations. Furthermore, the Entity actively supports local livelihoods by employing local Workers and contributing to economic stability and development in the region.
9.8a Conflict-Affected and High-Risk Areas - Strong Management Systems	Conformance	The Entity has established and implemented a Policy to the non-use of conflict minerals. To support this commitment, the Entity has developed a comprehensive management system that includes supply chain mapping, risk assessment, control plans, Due Diligence audits, and reporting mechanisms to ensure compliance throughout its operations and supply chain. Relevant employees receive training on the Policy and its requirements to ensure adequate implementation and understanding. The Policy is additionally communicated to suppliers, who are required to sign a commitment letter affirming their agreement to avoid and not support the use of conflict minerals.
9.8b Conflict-Affected and High-Risk Areas - Identify and assess risks	Conformance	The Entity has identified and assessed risks within its supply chain through regular risk assessments and Due Diligence processes. No conflict minerals are used, no materials are sourced from Conflict-Affected and High-Risk Areas (CAHRAs), and there are no critical Human Rights issues such as Child Labour or Forced Labour.
9.8c Conflict-Affected and High-Risk Areas - Strategy to respond to risks	Not Applicable	This Criterion is not applicable to the Entity, as the supply chain Due Diligence and risk assessment report indicates that no materials are sourced from CAHRAs, and no actual or potential risks have been identified within the supply chain.
9.8d Conflict-Affected and High-Risk Areas - Audit of due diligence	Conformance	The Entity's Due Diligence practices were audited as part of this ASI Audit, which meets the requirements of this Criterion.
9.8e Conflict-Affected and High-Risk Areas - Report annually	Conformance	The Entity has established and implemented a supplier management procedure, performing risk assessments and conducting social responsibility audits across its supply chain. The Entity's supplier management performance is disclosed in the Sustainable Development Report, available at: https://aimg8.dlszyht.net.cn/public/src/upload/2292684/Backend/files/6a02755441886.pdf

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9.9 Security practice	Conformance	The Entity has implemented a management procedure to ensure respect for Human Rights in its security activities. Security personnel are stationed at entrance gates strictly for visitor management; no body searches or restrictions on Workers' freedom of movement are permitted. Security staff receive appropriate training, and interviews conducted during the Audit confirmed their understanding of security protocols and the commitment to respect Human Rights. To date, no grievances or complaints have been reported regarding the Entity's security activities.
10. LABOUR RIGHTS		
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	This Criterion is not applicable to the Entity, as they adhere to the Applicable Laws regarding Freedom of Association and Collective Bargaining in China.
10.1d Freedom of Association and Right to Collective Bargaining - Alternative means in context of Applicable Law	Conformance	Senior management is committed to respecting the rights to Freedom of Association and Collective Bargaining. The Entity has established a Workers Committee, and its Constitution outlines the election process for representatives and the rights of its members. Furthermore, the Worker Committee representatives and members are freely elected by the employees.
10.2a Child Labour	Conformance	The Entity has established adequate and effective processes to ensure that no Child Labour is hired, and a remediation plan is also in place. No evidence of Child Labour was found at the Entity; the youngest Worker is 24 years old. The Entity has implemented clear control measures to prohibit the use or support of Child Labour. It verifies candidates' ages through ID checks and interviews and retains copies of identity cards as proof of age verification.
10.3a-c Forced Labour	Minor Non-Conformance	The Entity has established a policy prohibiting Forced Labour and Human Trafficking. The Entity is committed to complying with this prohibition and expects its suppliers to do the same. No cases of illegal wage deductions, debt bondage, payment for debts, or other forms of Forced labour have been identified or reported at the Entity. The Entity has established and implemented a Modern Slavery Statement and related actions. This statement and the corresponding actions are not however publicly disclosed.
10.4a-c Non-Discrimination	Conformance	The Entity has established a commitment to non-Discrimination. Recruitment advertisements, training opportunities, promotion records, and termination records indicate that decisions are based solely on a candidate's ability to perform the job requirements, rather than on personal characteristics. Payment records demonstrate equal pay for equal work. Workers interviewed during the Audit confirmed that they are treated equally. No case of Discrimination has been reported. The Entity has disclosed its performance on gender equality in a public report available at: https://aimg8.dlszyht.net.cn/public/src/upload/2292684/Backend/files/69fc21727ad0d.pdf
10.5 Communication and engagement	Conformance	The Entity encourages Workers to participate in the ASI Performance Standard Management System, and direct and frequent communication with Workers and the Workers Committee representatives has been established. Workers interviewed during the

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		Audit indicated a positive working atmosphere with direct communication. Workers can report their concerns through various channels, such as suggestion boxes, email, and a hotline, including anonymous channels. Whistleblowers are protected from retaliation, intimidation, or Harassment.
10.6a-g Violence and Harassment	Conformance	The Entity has implemented Policies that state Harassment and bullying are strictly prohibited. An information brochure has been developed and distributed to all employees to raise awareness. The Entity's Policy on Violence and Harassment is disclosed in its Sustainable Development Report: https://aimg8.dlszyht.net.cn/public/src/upload/2292684/Backend/files/6a02755441886.pdf
10.7a-c Remuneration	Conformance	The Entity has a clearly defined wage structure, ensuring that the basic wage exceeds the legal minimum wage and that total compensation is sufficient to meet Workers' basic needs. Wage payments are documented and made in a timely manner via bank transfer on the 20 th of the following month. Payslips include a detailed breakdown of wages, allowances, Overtime compensation, and deductions.
10.8a-c Working Time	Conformance	The Entity ensures strict Compliance with labour standards through detailed documentation of working hours. Workshop employees adhere to a unique shift system, organized into four groups with three shifts, while office staff operate on a standard single-shift schedule. Production Workers follow a rotation of three-day shifts followed by one day of rest. Working hours are closely monitored to ensure that daily and monthly Overtime does not exceed legal limits. This arrangement guarantees that all Workers receive at least one day off every seven days and have maintained an average of eight working hours or less per day over the past six months.
10.9a-b Informing Workers of Rights	Conformance	The Entity ensures that Workers are informed of their rights through orientation training sessions, regular training programs, bulletin boards, and the distribution of the Employee Handbook. This approach assists Workers in understanding their rights and responsibilities in the workplace.
11. OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Management System	Minor Non-Conformance	The Entity has established, implemented, and maintains an Occupational Health and Safety Management System (OH&S) in accordance with ISO 45001:2018. Based on on-site observations, document reviews, and interviews with management and Workers, the OH&S Management System has been adequate in preventing workplace hazards, promoting a safe and healthy working environment, and complying with relevant regulations and standards. However, the corrective actions for some findings raised by external parties have not been implemented effectively.
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Minor Non-Conformance	The Entity has conducted a management review of the OH&S Management System annually and has a mechanism for review when there is a Material change of risk level or there is a control gap. The Entity has collected and reviewed the leading and lagging indicators of OH&S performance and published related data such as training

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		performance, number for safety certificates, emergency drill times, health check coverage, injury data, and accident data. The information is publicly available in the 2025 Sustainability Development Report, pages 30-31: https://aimg8.dlszyht.net.cn/public/src/upload/2292684/Backend/files/6a02755441886.pdf The Entity has not disclosed a comparative analysis for leading and lagging OH&S indicators with peer businesses.
11.2 Employee engagement on Health and Safety	Conformance	The Entity has established an Occupational Health and Safety (OH&S) Management Committee to oversee workplace safety standards and drive continuous improvement in OH&S practices. Regular meetings are held to assess conditions and develop strategies for enhancement. To promote employee engagement, the Entity has implemented communication channels including suggestion boxes and worker representative assemblies, allowing employees to report concerns and collaboratively address OH&S issues for a safer, more inclusive working environment.

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DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	12 October 2026	Initial Certification Audit – Full Certification