

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

Dicastal UACJ Bolv (Tianjin) Extrusion Corporation

CERTIFICATE NUMBER

387

ASI STANDARD

PERFORMANCE
STANDARD
(V3.1 2023)

CERTIFICATION LEVEL

FULL
CERTIFICATION

ASI ACCREDITED
AUDITING FIRM

DNV BUSINESS
ASSURANCE
SERVICES UK LTD.

DATE OF ISSUE

14 NOVEMBER 2024

DATE OF EXPIRY

13 NOVEMBER 2027

CERTIFIED SINCE

14 NOVEMBER 2024

AUTHORISED BY

A stylized black ink signature, likely belonging to a representative of the Aluminium Stewardship Initiative.

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
info@Aluminium-stewardship.org

*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at
www.Aluminium-stewardship.org*

Certification scope

The Dicastal UACJ Bolv (Tianjin) Extrusion Corporation activities in the production of parts for the automotive industry, and industrial equipment. The Entity is located at No.20, 1st Xinghua Branch Road, Xiqing Economic Development Area, Tianjin, China.

AUDIT REPORT PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	Dicastal UACJ Bolv (Tianjin) Extrusion Corporation
ENTITY NAME	Dicastal UACJ Bolv (Tianjin) Extrusion Corporation
CERTIFICATION SCOPE	The Dicastal UACJ Bolv (Tianjin) Extrusion Corporation activities in the production of parts for the automotive industry, and industrial equipment. The Entity is located at No.20, 1st Xinghua Branch Road, Xiqing Economic Development Area, Tianjin, China.
SUPPLY CHAIN ACTIVITIES	- Semi-Fabrication - Material Conversion
ASI STANDARD	Performance Standard V3.1
AUDIT TYPE	- Initial Certification Audit (17 – 19 June 2024) - Surveillance Audit (14 – 15 April 2026)
AUDIT FIRM	DNV Business Assurance Services UK Ltd.
AUDIT DATE	17 – 19 June 2024 (Initial Certification Audit) 14 – 15 April 2026 (Surveillance Audit)
AUDIT REPORT SUBMISSION	5 July 2024 (Initial Certification Audit) 7 August 2026 (Surveillance Audit)
AUDIT SCOPE	<u>Initial Certification Audit (17 – 19 June 2024)</u> The Audit Scope included the Dicastal UACJ Bolv (Tianjin) Extrusion Corporation activities in the production of parts for the automotive industry, and industrial equipment. The Entity is located at No.20, 1st Xinghua Branch Road, Xiqing Economic Development Area, Tianjin, China. Supply chain activities included in the Audit Scope: - Semi-Fabrication - Material Conversion All applicable Criteria in the ASI Performance Standard were included in the Audit Scope. <u>Surveillance Audit (14 – 15 April 2026)</u> The Audit Scope included the Dicastal UACJ Bolv (Tianjin) Extrusion Corporation activities in the production of parts for the automotive industry, and industrial equipment. The Entity is located at No.20, 1st Xinghua Branch Road, Xiqing Economic Development Area, Tianjin, China. Supply chain activities included in the Audit Scope: - Semi-Fabrication - Material Conversion

All applicable Criteria in the ASI Performance Standard were included in the Audit Scope.

AUDIT OUTCOME

- Certification

AUDIT METHODOLOGY
DECLARATION

The Auditors confirm that:

- ✓ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
 - ✓ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
 - ✓ The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
 - ✓ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
-

CERTIFICATION PERIOD

14 November 2024 – 13 November 2027

NEXT AUDIT TYPE

Re-Certification Audit

NEXT AUDIT DATE

13 November 2027

CERTIFICATE NUMBER

387



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://Aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Dicastal UACJ Bolv (Tianjin) Extrusion Corporation (DUBEX) (the 'Entity') is a joint venture established at the end of 2021. It was formed through the integration of resources from CITIC Group's CITIC Bohai Aluminum Industries Holding Co., Ltd., CITIC Dicastal Co., Ltd., and UACJ Group from Japan. The Entity leverages global collaborative resources and advanced Aluminium processing technologies to meet the demands of various sectors, including automotive and industrial equipment.

The core business of the Entity focuses on producing Aluminium alloy products designed for lightweight automotive applications. This includes components such as crash beams, energy-absorbing boxes, door sill beams, sub-frames, and battery cases. They also manufacture flat tubes for automotive heat exchangers and air conditioning micro-channels.

The current production line specialises in creating various Aluminium profile products for automotive use, primarily utilised in car bumpers, frames, and similar applications. The Entity is located at No. 20, 1st Xinghua Branch Road, Xiqing Economic Development Area, Tianjin, China, and is part of the third phase of the XEDA Industrial Park. In 2023, their total production capacity exceeded 5,000 tonnes. They hold certifications for various standards, including IATF 16949, ISO 9001, and ISO 14001.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	Medium	Medium	Medium	MEDIUM
RISKS	Medium	Medium	Medium	MEDIUM
PERFORMANCE	Medium	Medium	Medium	MEDIUM
OVERALL	MEDIUM			

FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	The Entity has established and implemented comprehensive Policies, systems, procedures and processes to ensure Compliance with legal requirements. A dedicated Legal Compliance Department oversees Compliance with Applicable Law, regulations and other obligations across the Entity and conducts annual compliance reviews. The Entity has identified all relevant laws, regulations and other requirements, including customer-specific obligations. No significant Compliance issues have been identified.
1.2 Anti-Corruption	Conformance	The Entity has established and implemented Policies and procedures, including the Guidelines for Anti-Corruption Risk Prevention and Control, to identify and prevent Corruption. In accordance with Applicable Law and standards, the Entity is committed to preventing all forms of Corruption, including Extortion and Bribery. Based on the Improper Conduct Register and regular internal control audit reports, no instances of Corruption have been reported in the previous three years.
1.3a-e Code of Conduct	Conformance	The Entity has implemented a Code of Conduct addressing Environmental, Social and Governance (ESG) performance principles. Measures, including training and communication, have been established to raise awareness of the Code among employees, business partners and suppliers. The Code is formally reviewed annually, and the Entity is committed to evaluating its effectiveness following significant Business changes that may affect Environmental, Social and Governance (ESG) risks or reveal control deficiencies. The Code of Conduct is available at: https://www.dubex.top/upload/202405271622311531.pdf
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Conformance	The Entity has implemented a comprehensive ASI Management Policy, comprising an Environmental Policy, Human Rights Policy, Health and Safety Policy, and Responsible Procurement Policy. The Policies have been communicated to all employees and Stakeholders through public disclosure. The Entity reviews the effectiveness of its Policies annually and following any significant Business changes that may affect ESG risks or indicate control deficiencies. The Entity's ASI Policy is available at: https://www.dubex.top/upload/202406171528178901.pdf
2.2a-c Leadership	Conformance	The Entity has appointed the General Manager as the ASI Management Representative, who is responsible for establishing, implementing and maintaining ASI Standards and communicating relevant ASI Policies. In addition, a cross-functional ASI working group has been established to support the effective implementation of ASI requirements throughout the Entity.
2.3a Environmental and Social Management Systems – Environmental	Conformance	The Entity has implemented and documented an Environmental Management System and holds a valid ISO 14001:2015 Certificate.

CRITERION	RATING	COMMENT
2.3b Environmental and Social Management Systems – Social	Conformance	The Entity has implemented a Social Management System in accordance with the ASI Performance Standard, including processes to identify and assess social impacts and relevant risks, such as those related to Human Rights, Occupational Health and Safety, and Business ethics.
2.4a-e Responsible Sourcing	Minor Non-Conformance	The Entity has established and implemented responsible sourcing Policies, procedures and processes, including supplier Due Diligence and second-party assessments of key suppliers. Relevant procurement personnel receive regular training on responsible sourcing requirements, and the responsible sourcing framework is documented in the Entity's ASI Policy, available at: https://www.dubex.top/upload/202406171528178901.pdf The effectiveness of the Policy and associated controls is reviewed periodically and updated in response to significant Business changes, ESG risks or identified control deficiencies. The responsible sourcing Policy, however, does not fully address all identified Material environmental impacts within the supply chain, and the related requirements have not been adequately communicated throughout the supply chain.
2.5a-g Environmental and Social Impact Assessments	Not Applicable	This Criterion is not applicable to the Entity. Since March 2020, the Entity has not undertaken any new construction projects or made Major Changes to its existing Facilities. The Entity has implemented an ISO 14001 Management System, under which environmental aspects, Occupational Health and Safety risks and control plans are reviewed annually. In accordance with its ASI Management Procedures, the Entity has committed to reviewing its Environmental and Social Impact Management Plan at least once every five years. Reassessments are also triggered by Business changes or identified control gaps that may result in significant ESG risks.
2.6a-h Human Rights Impact Assessment	Not Applicable	This Criterion is not applicable to the Entity, as it has not undertaken any new construction or made Major Changes to its Facilities. The Entity has established documented procedures and a Human Rights Management Plan to assess Human Rights and Business ethics risks. Controls are consistent with ASI Performance Standard and legal requirements, and relevant reports are available at: https://www.dubex.top/upload/202406121307511975.pdf The plans are reviewed annually and updated following Business changes that affect Material risks or the identification of control deficiencies.
2.7a-f Emergency Response Plan	Conformance	The Entity has incorporated its Emergency Response Plan into its Management System. The Environmental Emergency Response Plan has been formally filed with the relevant Government authorities and is available at: https://www.dubex.top/upload/202405211517273726.pdf and https://www.dubex.top/upload/202405211514356049.pdf The Entity periodically reviews the adequacy and completeness of the Plan and evaluates its effectiveness through routine drills and training activities.

CRITERION	RATING	COMMENT
2.8a-d Suspended Operations	Conformance	The Entity has established procedures for decision-making and the management of critical Business matters to address situations requiring the suspension or significant alteration of operations due to factors beyond its control. The Entity is committed to complying with Applicable Law and its Policies on workforce reductions and consultation with employee organisations. The suspension process and management procedures are reviewed annually. No suspension activities have occurred in the previous three years.
2.9a-b Mergers and Acquisitions	Conformance	The Entity has established a Mergers and Acquisitions Procedure (Investment and Construction Projects Management Procedure) in accordance with the ASI Performance Standard. The Entity's senior management is committed to conducting Due Diligence in the event of a merger or acquisition. The Entity also reviews its ESG practices against the ASI Performance Standard, including those related to Historic Aluminium Operations. No such activities have occurred in the previous three years.
2.10a-b Closure, Decommissioning and Divestment	Conformance	The Entity has established a Closure, Decommissioning and Divestment Procedure (Provisions on Decision-Making and Administration of Critical Business Matters) in accordance with the ASI Performance Standard. The Entity's senior management is committed to reviewing its ESG practices in the event of closure, decommissioning or divestment and developing a plan to monitor associated ESG impacts. No such activities have occurred in the previous three years.
3. TRANSPARENCY		
3.1a-b Sustainability Reporting	Conformance	The Entity has implemented a materiality assessment process to identify key Stakeholder concerns, including air pollution and Hazardous Waste management. The Entity's management approach and performance information for Material issues have been disclosed in its annual Sustainability and Social Responsibility Report, available at: https://www.dubex.top/upload/202608061044176214.pdf
3.2 Non-compliance and Liabilities	Conformance	The Entity has established an information disclosure process based on the principle of transparency. It is committed to compliant operations and to the open and transparent disclosure of corporate compliance information. This information is available in its annual Sustainability Report at: https://www.dubex.top/upload/202608061044176214.pdf Under the "Compliance" section on page 5, the Entity has disclosed that, during 2025, it did not incur any Material fines, judgments, penalties or non-monetary sanctions arising from non-Compliance with Applicable Law.
3.3a-c Payments to Governments	Minor Non-Conformance	The Entity has established 'compliant operations' principles and corresponding management processes, committing to the full and lawful payment of all applicable taxes and fees. It also declares that it does not make political contributions, funding or sponsorships to political parties, organisations or candidates. Based on third-party audited financial statements, the Entity's payments are limited to statutory obligations, including Business-related taxes, employee social insurance and housing provident fund contributions, with no evidence of unlawful or politically related donations.

CRITERION	RATING	COMMENT
		The Entity, however, has not sufficiently disclosed information on payments to Governments in its Annual Sustainability Report.
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	The Entity has implemented a Complaints/Grievance Mechanism, with the complaint resolution process specified in the Management Procedure. No significant complaints have been received to date. Complaints, grievances, Stakeholder concerns and resolution approaches are reviewed annually during the Entity's ASI Management Review meetings. The Entity is committed to reviewing complaint resolution following any changes to the Business that affect Material ESG risks or indicate a control gap. The latest version of the Complaints Mechanism is available at: https://www.dubex.top/upload/202405271622315428.pdf
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Minor Non-Conformance	The Entity applies the principles of the ASI Performance Standard to conduct Life Cycle Assessments (LCA) for its key Product lines. In conducting the LCA, the Entity follows ISO 14040 requirements and performs a 'cradle-to-gate' assessment of its main Product, automotive extrusion profiles, covering upstream raw material extraction, transportation and core manufacturing processes. The assessment, however, did not incorporate available supplier-specific carbon footprint data for raw materials when calculating Global Warming Potential (GWP).
4.1b-c Environmental Life Cycle Assessment - Disclosure	Conformance	Based on the results of its Product Life Cycle Assessment, the Entity has developed a Product Life Cycle Environmental Impact Assessment Report and is committed to transparently communicating key environmental impact information to customers through established Stakeholder engagement channels. The disclosure covers the 'cradle-to-gate' life cycle and includes indicators such as material consumption, energy use and Waste generation per unit of Product, together with details of data collection and calculation methods. Stakeholders may access this information through the Entity's designated communication channels, including its hotline and email.
4.2 Product Design	Conformance	While the Entity is not involved in Product design and development, it has taken steps to improve Product environmental performance by increasing Recycled Aluminium content, enhancing production efficiency and reducing Scrap rates.
4.3a-b Aluminium Process Scrap	Conformance	The Entity has established and implemented procedures for the collection, recycling and reuse of Aluminium Process Scrap, with a target of achieving 100% collection, recycling and/or reuse of Process Scrap generated from its operations. Technical and management measures have been implemented to minimise Process Scrap generation and maximise material recovery throughout the production process. Aluminium Process Scrap has been collected and segregated by alloy type and grade to support efficient recycling and maintain material quality. All recovered Process Scrap is directed to the remelting process for reuse, supporting the Entity's recycling objectives and the circular use of Aluminium resources.
4.4a-c Collection and Recycling of Products at	Conformance	The Entity has established and implemented a recycling strategy with defined targets, timelines and actions to increase the use of Recycled

CRITERION	RATING	COMMENT
End of Life – Material Conversion and other Manufacturing		Aluminium in its Products. The strategy includes progressive annual targets and a long-term objective to increase the proportion of Recycled Aluminium in total raw material consumption. Progress is reviewed annually through Management Review processes, and the strategy, targets and associated actions are updated as necessary to support continual improvement in recycled material use and circular economy outcomes. The recycling strategy is available at: https://www.dubex.top/upload/202405271622316524.pdf
4.4d Collection and Recycling of Products at End of Life	Conformance	The Entity works closely with suppliers to increase the use of Post-Consumer Recycled Aluminium while maintaining Product quality requirements. The Entity also actively participates in industry initiatives and research activities related to the collection, recycling and use of Post-Consumer Aluminium Scrap. These efforts support improved recycling rates and promote the circular use of Aluminium resources across the value chain.
5. GREENHOUSE GAS EMISSIONS		
5.1a–b Disclosure of GHG Emissions and Energy Use	Conformance	The Entity has established and implemented a procedure for quantifying and reporting Greenhouse Gas (GHG) emissions and calculates its GHG emissions annually in accordance with the selected methodology. The GHG inventory covers Scope 1 and Scope 2 emissions, as well as relevant and significant Scope 3 emission sources, providing a comprehensive account of emissions associated with the Entity's operations. The GHG emissions data have been independently verified by a qualified third party, and the GHG emissions report, verification statement and certificate have been publicly disclosed at: https://www.dubex.top/upload/202604111343397076.pdf and https://www.dubex.top/upload/202604141444592956.pdf
5.2a Aluminium Smelter GHG Emissions Intensity – Started Production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity – In Production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a GHG Emissions Reduction Plans	Conformance	The Entity has established a documented GHG reduction strategy and plan, with a target to reduce 'cradle-to-gate' Product GHG emissions intensity to below 5.0 t CO ₂ e/t by 2027. The reduction pathway has been assessed using the ASI 1.5°C Scenario Requirement Tool and demonstrates alignment with the 1.5°C warming scenario. The strategy provides a structured framework for implementing emissions reduction measures and supporting continual improvement in climate-related performance.
5.3b–e GHG Emissions Reduction Plans – Targets, review and disclosure	Conformance	The Entity has completed a full Life Cycle Assessment of its GHG emissions, using 2024 as the baseline year to develop a 2025–2030 reduction plan aligned with the ASI 1.5°C Warming Scenario Tool. The plan addresses Scope 1 and Scope 2 emissions and Scope 3 Category

CRITERION	RATING	COMMENT
		1 emissions. To achieve its defined emissions intensity reduction targets, the Entity is implementing technological and management measures, including energy efficiency improvements, process optimisation and the procurement of low-carbon footprint materials. Detailed targets and actions for 2023–2030 have been disclosed in its 2023–2030 GHG Emission Reduction Action Plan, available at: https://www.dubex.top/upload/202608051749476443.pdf The Entity commits to reviewing progress annually and transparently disclosing updates and improvement measures to support accountability in achieving its GHG reduction targets.
5.4 GHG Emissions Management	Conformance	The Entity has developed a GHG Emission Reduction Plan that defines its approach to managing GHG emissions, with a primary focus on energy management. Site observations, document reviews and interviews indicate that the Entity manages energy consumption and monitors Management System performance using the 'Plan-Do-Check-Act' approach to achieve the objectives of its GHG Emission Reduction Plan.
6. EMISSIONS, EFFLUENTS AND WASTE		
6.1a-f Emissions to Air	Conformance	The Entity has identified, assessed and quantified Material air emissions arising from its operations. Annual air pollutant monitoring results indicate that emissions remain below national regulatory limits. Considering monitoring data, emission reduction technologies and associated management costs, the Entity's approach to air pollution control focuses on maintaining current emission levels to minimise exposure risks and environmental impacts. The effectiveness of these measures is regularly monitored, and the Entity is committed to implementing timely corrective actions in response to significant changes or identified non-conformities. Information on air pollutant types, emission limits and emission control plans has been disclosed on the government website, available at: https://permit.mee.gov.cn/perxxgkinfo/xkgkAction!xkgk.action?xkgk=ge txgkContent&dataid=4c0d96a448be46d8bf352cb742e9ddc1 and on the Entity's website at: https://www.dubex.top/upload/202608051746449903.pdf
6.2a-g Discharges to Water	Conformance	The Entity has established water reduction targets and implemented a comprehensive plan to mitigate adverse environmental impacts. Wastewater discharges are managed under its Environmental Management System. Monitoring reports for 2024 and 2025 confirm that all major pollutants were monitored and remained within applicable local legal discharge limits. The Entity has also disclosed its wastewater reduction plan, available at: https://www.dubex.top/upload/202406151302268043.pdf
6.3a-g Assessment and Management of Spills and Leakages	Conformance	The Entity has systematically identified key risk areas where Spills and Leakages may result in contamination of air, water and soil. Relevant management plans have been developed and implemented, with periodic reviews and updates as necessary, including following Spill and Leakage incidents or significant changes in operations. The Spill and Leakage Risk Assessment Report is available at: https://www.dubex.top/upload/202405211519462824.pdf

CRITERION	RATING	COMMENT
		The most recent Entity's Environmental Incident Emergency Response Plan is also available at: https://www.dubex.top/upload/202405211514356049.pdf
6.4a-b Public Disclosure of Spills and Leakages	Conformance	The Entity has established clear reporting requirements for Spills and Leakages in its Environmental Protection Management Procedure, requiring all sites to promptly report such incidents to relevant local Government authorities and affected parties. No Spill or Leakage incidents have been recorded in the previous three years. The most recent relevant Management Plan is available at: https://www.dubex.top/upload/202405211514356049.pdf
6.5a-c Waste Management and Reporting	Conformance	Waste management has been integrated into the Entity's Environmental Management System and guided by the Waste Mitigation Hierarchy. The Entity has prioritised Waste reduction through reuse and recycling, reducing the amount of Waste generated, particularly Hazardous Waste, per unit of Product. Hazardous Waste disposal complies with all applicable legal requirements. The Entity has disclosed the quantities of Hazardous Waste and Non-Hazardous Waste generated from its activities in 2025 at: https://www.dubex.top/upload/202608061052518276.pdf
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7. WATER STEWARDSHIP		
7.1a-b Water Assessment and Disclosure	Conformance	In its Environmental Impact Assessment, the Entity has identified and recorded water withdrawal and use by source and category and assessed water-related risks, considering the surrounding water environment, water withdrawal and discharge practices, and the effectiveness of existing management measures. Based on this assessment, the overall risk level is considered low, with no Material water-related risks identified within the Entity's Area of Influence. The Assessment Report on Water-Related Risks and Water Inventory Map available at: https://www.dubex.top/upload/202607301457047289.pdf
7.2a-e Water Management	Not Applicable	This Criterion is not applicable to the Entity, as it has identified no significant water-related risks within its Area of Influence. The Entity holds a valid ISO 14001:2015 Certificate and complies with applicable local legal requirements. Control measures for Wastewater discharge have been established and implemented.
8. BIODIVERSITY AND ECOSYSTEM SERVICES		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Conformance	The Entity has operated within an industrial zone designated and planned by the local Government and has established and maintained an effective Environmental Management System. A qualified independent third party has conducted an Environmental Impact Assessment (EIA), which concluded that the Entity's impacts and risks related to Biodiversity and Ecosystem Services are low. The

CRITERION	RATING	COMMENT
		EIA reports have been reviewed and approved by the local Environmental Protection Agency, confirming that no Biodiversity-sensitive areas are present within the Entity's Area of Influence.
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity, as the risks and potential impacts on Biodiversity have been assessed and documented as low in the Environmental Impact Assessment report approved by the local environmental protection authority. No Priority Ecosystem Services have been identified.
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable to the Entity, as the risks and potential impacts to Biodiversity identified have been assessed as low.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity, as no Priority Ecosystem Services have been identified.
8.4 Alien Species	Conformance	The Entity has established Policies and procedures to prevent the introduction of Alien Species and has systematically identified and assessed potential risks arising from its operations and logistics activities that may affect Biodiversity and Ecosystem Services. The Biodiversity assessment indicates that the likelihood of the Entity's activities resulting in the introduction of Alien Species has been determined to be extremely low.
8.5a-b Commitment to 'No Go' in World Heritage Properties	Conformance	The Entity's Management Manual commits to refraining from exploration, initiating New Projects or implementing significant changes within World Heritage Properties. This commitment is further supported by the absence of World Heritage Properties within the Entity's Area of Influence, ensuring alignment with the stated Policy.
8.6a-d Protected Areas	Conformance	The Entity's Management Manual has outlined its commitment to environmental protection. The Entity's Environmental Impact Assessment Report, which has been approved by the local Environmental Protection Agency, indicates that there are no Protected Areas within the Entity's Area of Influence.
8.6e Protected Areas – Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9. HUMAN RIGHTS		
9.1a-d Human Rights Due Diligence	Conformance	The Entity has established a Business Code of Conduct, demonstrating its commitment to respecting Human Rights, promoting gender equality and aligning with the UN Guiding Principles on Business and Human Rights. It regularly publishes a Human Rights Impact Assessment Report outlining its methodologies for engaging with Affected Peoples and Organisations and the grievance mechanisms established to address concerns. The findings of the annual Human Rights Impact Assessment Reports, Management Review meeting records and Stakeholder grievance logs indicate that there is no evidence that the Entity's operations have caused or contributed to significant adverse Human Rights impacts. Nevertheless, the Entity remains committed to taking appropriate

CRITERION	RATING	COMMENT
		remedial action or participating in legitimate processes if potential Human Rights issues are identified or reported. The Business Code of Conduct and Human Rights Impact Assessment Reports are available at: https://www.dubex.top/upload/202405271622311531.pdf and https://www.dubex.top/upload/202406121307511975.pdf
9.2a-e Gender Equity and Women's Empowerment	Conformance	The Entity has established and implemented a management procedure for protecting female employees, demonstrating its commitment to promoting gender equality and supporting women's empowerment. The Entity has also disclosed its gender equality Policy and its performance in protecting the rights and interests of female Workers. The Report on Gender Equality and the Effectiveness of Measures to Protect the Rights and Interests of Female Employees is available at: https://www.dubex.top/upload/202608061109424737.pdf
9.3a-i Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity, as no Indigenous Peoples have been identified within the Entity's Area of Influence. The Entity has, however, established and implemented relevant Policies and procedures to respect the rights and interests of Indigenous Peoples.
9.4a Free, Prior, and Informed Consent (FPIC) - New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples within the Entity's Area of Influence. The Entity has, however, established a Stakeholder engagement and communication process to address potential impacts on Indigenous Peoples arising from New Projects and significant modifications to existing projects. Through this process, the Entity is committed to meaningful consultation and cooperation with Indigenous Peoples, respecting their representative institutions and seeking their Free, Prior and Informed Consent (FPIC).
9.4b Free, Prior, and Informed Consent (FPIC) - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4c Free, Prior, and Informed Consent (FPIC) - Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples within its Area of Influence.
9.5a Cultural and Sacred Heritage - Identification	Conformance	The Entity has established a formal procedure for identifying cultural and sacred heritage sites and conducting corresponding risk assessments to minimise potential impacts on such sites. To date, all existing and New Projects have undergone Environmental Impact Assessments (EIAs) and Social Assessments, which have confirmed that no cultural or sacred heritage sites are located within the Entity's Area of Influence.
9.5b Cultural and Sacred Heritage - Impacts	Not Applicable	This Criterion is not applicable to the Entity as there are no sacred or cultural heritage sites within the Entity's Area of Influence.
9.6a-i Displacement	Conformance	The Entity has established procedures for managing New Project investment and development, requiring all projects to be approved by the relevant local authorities. All existing projects are located within industrial zones developed by the local Government. There are no

CRITERION	RATING	COMMENT
		Indigenous Peoples within the Entity's Area of Influence, no resettlement is required, and no New Projects have been developed since the Entity joined ASI.
9.7a-h Affected Populations and Organisations	Conformance	The Entity has established and implemented a structured Stakeholder engagement process to proactively identify and address the key concerns of Affected Peoples and Organisations. To respond effectively to these concerns, the Entity has developed corresponding plans, which are reviewed annually and enhanced as necessary. The Entity's performance in implementing these plans and related actions have been disclosed in its 2025 Sustainability Report, available at: https://www.dubex.top/upload/202608061044176214.pdf
9.8a Conflict-Affected and High-Risk Areas – Strong Management Systems	Conformance	The Entity has established a Policy to avoid conflict minerals and developed a corresponding Management System incorporating supply chain mapping, risk assessment procedures, control measures, Due Diligence audits and reporting mechanisms. Relevant employees have received appropriate training to support implementation. These requirements have been communicated throughout the supply chain, and all suppliers are required to sign a commitment letter confirming that no conflict minerals are used.
9.8b Conflict-Affected and High-Risk Areas – Identify and assess risks	Conformance	The Entity has conducted supply chain risk assessments to determine whether any materials originate from Conflict-Affected and High-Risk Areas (CAHRAs), based on information obtained through supplier communications. The assessment identified no materials sourced from CAHRAs. This conclusion is supported by all suppliers being located in China and no significant Human Rights risks, including Child Labour or Forced Labour, having been identified.
9.8c Conflict-Affected and High-Risk Areas – Strategy to respond to risks	Conformance	The Entity has established a conflict minerals and supply chain management programme and is committed to taking measures such as suspending cooperation, returning goods and imposing penalties to eliminate the risk of conflict minerals within its supply chain. No conflict minerals are used, and no materials are sourced from CAHRAs.
9.8d Conflict-Affected and High-Risk Areas – Audit of due diligence	Conformance	The Entity's Due Diligence processes were included in the scope of this ASI Performance Standard Certification Audit, which fully addresses this requirement.
9.8e Conflict-Affected and High-Risk Areas – Report annually	Conformance	The Entity has disclosed the results of its annual supply chain Due Diligence on its website. The Entity has traced the sources of materials for all metal suppliers and confirmed that none of the raw materials originate from CAHRAs. The Responsible Mineral Supply Chain Supplier Due Diligence Report is available at: https://www.dubex.top/upload/202608061115352595.pdf
9.9 Security practice	Conformance	The Entity directly employs its security personnel and is committed to respecting Human Rights in all security-related activities. Body searches are prohibited, and security personnel are required to perform their duties humanely. All security personnel receive training on their responsibilities and Human Rights standards. To date, no grievances or complaints related to security practices have been reported.

CRITERION	RATING	COMMENT
10. LABOUR RIGHTS		
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	This Criterion is not applicable, as the Entity adheres to Applicable Law in China regarding Freedom of Association and Right to Collective Bargaining.
10.1d Freedom of Association and Right to Collective Bargaining - Alternative means in context of Applicable Law	Conformance	The Entity's top management has formally committed to upholding the rights to Freedom of Association and Collective Bargaining. Worker representatives are freely elected by employees every five years, and a Workers' Representative Congress is convened annually. These meetings provide a platform for Workers to raise concerns and engage directly with top management.
10.2a-c Child Labour	Conformance	In accordance with Chinese legislation, the employment of Child Labour is strictly prohibited, with the legal minimum working age set at 16. The Entity has established and implemented Policies that explicitly prohibit Child Labour and ensure the protection of young Workers. The Entity does not employ any individuals classified as Child Labour or young Workers.
10.3a-c Forced Labour	Conformance	The Entity has established and implemented a Policy prohibiting all forms of Forced Labour, including Human Trafficking, covering both its operations and suppliers. This reinforces compliance with relevant labour standards throughout the supply chain. No instances of unlawful wage deductions, debt bondage, repayment through Labour or other forms of Forced Labour have been identified or reported, demonstrating the Entity's commitment to fair and ethical labour practices. The Entity has also disclosed its Anti-Slavery and Anti-Trafficking Statement, outlining its actions to address modern slavery risks, available at: https://www.dubex.top/upload/202608061120366973.pdf
10.4a-c Non-Discrimination	Conformance	The Entity is committed to Non-Discrimination. Recruitment advertisements and training plans indicate that employment decisions are based solely on candidates' ability to meet job requirements, rather than personal characteristics. Interviewed Workers confirmed that they are treated equally within the Entity. No instances of Discrimination have been reported to date.
10.5 Communication and engagement	Conformance	The Entity maintains direct and regular communication with Workers and their representatives. Communication channels are clearly communicated, and Workers are aware of how to raise complaints or concerns regarding working conditions. Mechanisms have been developed to ensure that workplace and compensation issues are addressed effectively without risk of reprisal, intimidation or Harassment.
10.6a-g Violence and Harassment	Conformance	The Entity has implemented Policies prohibiting Harassment and bullying. Disciplinary measures have been approved through the Worker representative meeting, comply with legal requirements and require confirmation by the Worker concerned. An information brochure has been developed and distributed to all employees. The Entity's Code of Conduct has addressed these issues, and regular employee training is conducted. The Code of Conduct is available at: https://www.dubex.top/upload/202405271622311531.pdf

CRITERION	RATING	COMMENT
		The Human Rights and Business Ethics Policy is available at: https://www.dubex.top/Upload/ASIE5%8AB3%E5%B7%A5%E5%92%8C%E5%95%86%E4%B8%9AE9%81%93%E5%BE%B7%E6%96%B9%E9%92%88.pdf
10.7a-d Remuneration	Conformance	The Entity has issued labour contracts to all employees, defining the terms and conditions of employment, including probationary periods, contract duration, basic salary and Overtime pay calculations. The wage structure is clearly defined, and basic wages exceed the local legal minimum wage. Overtime compensation complies with legal requirements, and all mandatory allowances are provided to Workers. All employees have been enrolled in the mandatory social insurance scheme. Wage payments are documented and made on time by bank transfer on the 25th of the following month.
10.8a-c Working Time	Conformance	Working hours have been recorded and controlled. Office staff follow a standard schedule of eight hours per day, five days per week. Production Workers operate under a four-group, three-shift system, with eight-hour shifts, working six consecutive days followed by two rest days. Shifts rotate every two days. All working hours comply with legal requirements, including monthly Overtime limits, at least one rest day in every seven-day period, and an average of no more than eight working hours per day over a six-month period.
10.9a-b Informing Workers of Rights	Conformance	The Entity has informed Workers of their rights under this Principle and has established communication and cooperation with Workers across all production plants. The Entity respects and complies with applicable national laws and regulations in China.
11. OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Management System	Conformance	The Entity has established, implemented and maintained an Occupational Health and Safety (OH&S) Management System certified to ISO 45001:2018. The system includes processes for identifying and controlling OH&S hazards and risks, establishing objectives and programmes, monitoring performance, managing incidents and supporting continual improvement. An OH&S Policy has been approved by senior management, implemented across the organisation, communicated to relevant Stakeholders and periodically reviewed to ensure its ongoing suitability and effectiveness. Document reviews, site observations and Worker interviews confirmed that the OH&S Management System has been implemented and maintained throughout the Entity's operations.
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Conformance	The Entity has conducted regular and systematic reviews of its OH&S Management System to ensure its effectiveness and support continual improvement. These reviews include monthly safety meetings, annual legal compliance evaluations, internal audits in accordance with ISO 45001:2018 and Management Reviews. Identified gaps are promptly addressed through corrective and preventive actions. The Entity also promotes transparency by disclosing its OH&S objectives, 2025 targets and performance benchmarks in its annual OH&S report, available at: http://www.dubex.top:81/upload/202604111456384008.pdf

CRITERION	RATING	COMMENT
11.2 Employee engagement on Health and Safety	Conformance	Complaint and suggestion records indicate that all Worker concerns and feedback related to OH&S have been systematically reviewed, investigated and addressed as necessary. The Health and Safety Committee, which includes Worker representatives, meets quarterly to discuss OH&S issues raised by Workers and implement appropriate actions where required.

ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable Law, or will avoid any Breach from occurring.

DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	14 November 2024	Initial Certification Audit – Full Certification
1	30 September 2026	Surveillance Audit Correction to the conformance rating for Criterion 4.4d to Minor Non-Conformance and Criterion 9.4a to Not Applicable in Rev 0