

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

Luoyang Wanji Aluminium Processing Co.,Ltd

CERTIFICATE NUMBER

96

ASI STANDARD

PERFORMANCE
STANDARD
(V3.1 2023)

CERTIFICATION
LEVEL

FULL
CERTIFICATION

ASI ACCREDITED
AUDITING FIRM

SHANGHAI KYLIN
CERTIFICATION
SERVICE CO., LTD.

DATE OF ISSUE

29 SEPTEMBER 2026

DATE OF EXPIRY

28 SEPTEMBER 2029

CERTIFIED SINCE

29 SEPTEMBER 2020

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. He'.

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
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*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at
www.aluminium-stewardship.org*

CERTIFICATION SCOPE

Manufacture of products including
tank cover material, ring pulling
material, shutter, glass strip,
Aluminium foil blank, double zero
foil, battery soft packaging, and
medicine foil using rolling,
straightening, trimming, annealing,
slitting, and packaging processes,
at Luoyang Wanji Aluminium
Processing Co.,Ltd., located in
Luoyang City, Henan Province,
China.

AUDIT REPORT PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	Luoyang Wanji Aluminium Processing Co.,Ltd
ENTITY NAME	Luoyang Wanji Aluminium Processing Co.,Ltd
CERTIFICATION SCOPE	Manufacture of products including tank cover material, ring pulling material, shutter, glass strip, Aluminium foil blank, double zero foil, battery soft packaging, and medicine foil using rolling, straightening, trimming, annealing, slitting, and packaging processes, at Luoyang Wanji Aluminium Processing Co.,Ltd., located in Luoyang City, Henan Province, China.
SUPPLY CHAIN ACTIVITIES	Semi-Fabrication
ASI STANDARD	Performance Standard V3.1
AUDIT TYPE	- Initial Certification Audit (29 – 31 July 2020) - Surveillance Audit (15 – 16 March 2022) - Re-Certification and Scope Change Audit (21 – 22 August 2023) - Surveillance Audit (13 – 14 March 2025) - Re-Certification Audit (2 – 3 July 2026)
AUDIT FIRM	Shanghai Kylin Certification Service Co., Ltd.
AUDIT DATE	29 – 31 July 2020 (Initial Certification Audit) 15 – 16 March 2022 (Surveillance Audit) 21 – 22 August 2023 (Re-Certification and Scope Change Audit) 13 – 14 March 2025 (Surveillance Audit) 2 – 3 July 2026 (Re-Certification Audit)
AUDIT REPORT SUBMISSION	6 September 2020 (Initial Certification Audit) 25 April 2022 (Surveillance Audit) 8 October 2023 (Re-Certification and Scope Change Audit) 24 March 2025 (Surveillance Audit) 7 August 2026 (Re-Certification Audit)
AUDIT SCOPE	<u>Initial Certification Audit (29 – 31 July 2020)</u> The Audit Scope covered related activities and Facilities involved in the production process of Aluminium strip and Aluminium foil products. Supply chain activities included in the Audit Scope: Semi-Fabrication All relevant supply chain activities, and Criteria in the Performance Standard were included in the Audit Scope. <u>Surveillance Audit (15 – 16 March 2022)</u>

The Audit Scope covered related activities and Facilities involved in the production process of Aluminium strip and Aluminium foil products.

Supply chain activities included in the Audit Scope:

- Semi-Fabrication

All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.

Re-Certification and Scope Change Audit (21 – 22 August 2023)

The Audit Scope covered related activities and Facilities involved in the production process of Aluminium strip and Aluminium foil products. Supply chain activities included in the Audit Scope:

Supply Chain Activities included in the Audit Scope:

- Semi-Fabrication

All relevant criteria in the ASI Performance Standard were included in the Audit Scope.

Surveillance Audit (13 – 14 March 2025)

The Audit Scope covered related activities and Facilities involved in the production process of Aluminium strip and Aluminium foil products.

Supply chain activities included in the Audit Scope:

- Semi-Fabrication

Relevant Criteria and Criteria that were identified as non-conformities from the previous Audit were included in the Audit Scope.

Re-Certification Audit (2 – 3 July 2026)

The Audit Scope covered related activities and Facilities involved in the production process of Aluminium strip and Aluminium foil products.

Supply chain activities included in the Audit Scope:

- Semi-Fabrication

All relevant Criteria in the ASI Performance Standard were included in the Audit Scope

AUDIT OUTCOME	• Certification
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AUDIT METHODOLOGY DECLARATION	The Auditors confirm that: ☒ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report. ☒ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous. ☒ The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope. ☒ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
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CERTIFICATION PERIOD	29 September 2026 – 28 September 2029
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NEXT AUDIT TYPE	Surveillance Audit
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NEXT AUDIT DATE	28 March 2028
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CERTIFICATE NUMBER	96
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If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Luoyang Wanji Aluminium Processing Co., Ltd. (the 'Entity') is situated in the Xin'an Industrial Cluster District, thirty-five kilometres west of Luoyang city, which is recognised as the 'Millennium Imperial Capital, Peony Flower City'. The Entity was established in July 2008 with a registered capital of 1.5 billion CNY and commenced operations in 2012. It covers an area of approximately 900,000m² and has an annual capacity of 200,000 tonnes of Aluminium coils/sheets and 30,000 tonnes of Aluminium foil.

The Entity is a wholly owned subsidiary (wholly State-owned) of Wanji Holding Group, one of China's top five hundred enterprises. It has received numerous industry and government recognitions for its business operations, manufacturing capabilities, and sustainability performance, including recognition at national and provincial levels.

The Entity currently combines production, education and research and continues to develop products using alternative energy sources, lightweighting, new materials, Fast-Moving Consumer Goods (FMCG), and Aluminium to substitute plastic and steel. It has become an essential part of the lithium battery industry supply chain for the automobile industry and Aluminium foil for power battery Aluminium plastic film.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	Medium	Medium	Medium	MEDIUM
RISKS	Medium	Medium	Medium	MEDIUM
PERFORMANCE	Medium	Medium	Medium	MEDIUM
OVERALL	MEDIUM			

FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	The Entity has established the Compliance Evaluation Control Procedure, which requires Compliance evaluations to be conducted jointly by the Comprehensive Management and EHS Departments. The Entity has identified applicable EHS and labour-related laws and regulations, undertaken Compliance evaluations, and reported on the evaluations. The Entity has submitted business licenses, land certificates, and provided past environmental and safety assessment documents.
1.2 Anti-Corruption	Conformance	The Entity has established the Anti-Bribery Management Procedure; the affairs are managed by the Comprehensive Management Department. Personnel in management, human resources, procurement, finance, sales, and other key positions sign the Integrity and Self-Discipline Commitment Letter. The Entity holds annual special anti-Corruption meetings and maintains records of anti-Corruption training for key positions. Additionally, senior executives are required to sign the Integrity and Clean Governance Responsibility Letter.
1.3a-e Code of Conduct	Conformance	The Entity implements the Wanji Group Code of Conduct for Employees, which addresses self-discipline and ethical requirements and is published in internal newspapers and magazines. The Entity has established an Entity-level Code of Conduct which addresses environmental, social, and governance requirements, and is disclosed at: https://wanjigroup.com/Upload/file/20260701/1782865850995314.pdf The Entity has conducted annual ASI internal audits and management reviews to evaluate internal policies, procedures, and implementation performance.
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Conformance	The Entity has established a comprehensive Environmental, Social and Governance (ESG) Policy, which is evaluated during the annual management review. The Policy was revised following an organisational restructure and re-signed by the General Manager in April 2026. The Policy is posted on the company bulletin board, and is available at: https://wanjigroup.com/Upload/file/20260701/1782865848513109.pdf
2.2a-c Leadership	Conformance	The General Manager appointed the Vice President of Production and Equipment as the ASI Management Representative. The Appointment Letter of Management Representative addresses the role to promote the operation of the Entity's ASI Management System, achieve Conformance with the ASI Performance Standard, and coordinate relevant internal and external affairs.
2.3a Environmental and Social Management Systems – Environmental	Conformance	The Entity has established an ISO 14001 Environmental Management System, which is certified. The Environmental Management System covers the entire factory.

CRITERION	RATING	COMMENT
2.3b Environmental and Social Management Systems – Social	Conformance	The Entity has established an ISO 45001 Occupational Health and Safety (OH&S) Management System, which is certified. The Entity has also established management procedures regarding Child Labour and young Workers, wage management regulations, disciplinary measures, female Worker protections, and working time management. The Entity has also signed a special 'collective contract for the protection of special rights and interests of female employees' with the Trade Union.
2.4a-e Responsible Sourcing	Conformance	The Entity has established a Responsible Procurement Policy, requiring suppliers to comply with ASI guidelines and sign a Commitment Letter. The Entity has publicly disclosed the Policy and its objectives at: https://wanjigroup.com/Upload/file/20260703/1783062369106305.pdf
2.5a-g Environmental and Social Impact Assessments	Conformance	The Entity is currently constructing the Aluminium Foil Production Expansion and Renovation Project, which was approved by the Ecology and Environmental Protection Bureau of Luoyang City. The Entity has also conducted a Social Impact Assessment to investigate the construction period and operational lifecycle within one kilometre from the factory boundary. The assessment targeted all employees, construction Workers, surrounding communities, and the industrial park management committee. A survey questionnaire on the Human Rights protection of surrounding residents for the expansion project was undertaken, and the feedback concluded there was no significant impacts. The expansion is contained within the factory area and does not involve land acquisition or demolition. The assessment suggests that the social impact is low. The Entity has developed an Environmental and Social Management Plan, available at: https://wanjigroup.com/Upload/file/20260701/1782865846336393.pdf https://wanjigroup.com/Upload/file/20260701/1782865846951626.pdf https://wanjigroup.com/Upload/file/20260701/1782865849862312.pdf
2.6a-h Human Rights Impact Assessment	Conformance	The Entity conducted a Human Rights Impact Assessment on the Aluminium Foil Production Expansion and Renovation Project and has provided an evaluation report for internal employees. To implement this project, human resources are primarily allocated from within the Group, and external recruitment only carried out if additional Workers are required. The Entity has also conducted a Human Rights investigation on the surrounding residents. The Human Rights management plan will be reviewed during annual internal audits and management reviews. The Human Rights Impact Assessment is available at: https://wanjigroup.com/Upload/file/20260701/1782865844547106.pdf
2.7a-f Emergency Response Plan	Conformance	The Entity has developed an Environmental Emergency Plan, which includes details on the command centre and response to specific emergencies including oil spills. Annual drills are undertaken. The Environmental Emergency Plan is available at: https://wanjigroup.com/Upload/file/20260701/1782865848684794.pdf The Entity has also developed a Security Emergency Plan, which includes details on the command structure and comprehensive and specialised response plans. The Entity has developed a total of 19 exercise plans, many of which have been conducted. The Plan is

CRITERION	RATING	COMMENT
		available at: https://wanjigroup.com/Upload/file/20260701/1782865850280075.pdf
2.8a-d Suspended Operations	Conformance	The Entity has formulated the Emergency Measures (Plan) for Production Suspension and Resumption, which addresses the requirements related to the suspension and resumption of production in the event of natural disasters or epidemics.
2.9a-b Mergers and Acquisitions	Conformance	The Entity has established a Mergers and Acquisitions Procedure, which addresses how relevant departments can identify and prevent ESG risks during the implementation of mergers and acquisitions. The Entity currently has no merger or acquisition plans.
2.10a-b Closure, Decommissioning and Divestment	Conformance	The Entity has established a Closure, Retirement, and Divestment Control Procedure, which requires the Entity's Finance department to identify and take action on ESG risks in the event of closure, retirement, and divestment. The Entity currently has no relevant plans.
3. TRANSPARENCY		
3.1a-b Sustainability Reporting	Conformance	The Entity has disclosed its ESG performance and related governance concepts in the 2025 Sustainable Development Report, available at: https://wanjigroup.com/Upload/file/20260701/1782865845178423.pdf
3.2 Non-compliance and Liabilities	Conformance	The Entity's Sustainable Development Report indicates that there were no ESG violations in the past year. It was confirmed on the government's credit website, that no violation records were found. The 2025 Sustainable Development Report is available at: https://wanjigroup.com/Upload/file/20260701/1782865845178423.pdf
3.3a-c Payments to Governments	Conformance	The Entity has disclosed its payments to Governments, including social security, medical insurance, and taxes, without any other expenditures. The Entity's Sustainable Development Report is available at: https://wanjigroup.com/Upload/file/20260701/1782865845178423.pdf
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	The Entity has established a Complaint Resolution Mechanism, allowing employees, customers, and other relevant parties to file complaints with the Entity's Comprehensive Management Department through public email, phone, and other means. Quality-related complaints are handled by the Production workshop. In addition, relevant parties can also provide feedback on issues to the Entity's Group Comprehensive Management Department or Disciplinary Inspection and Supervision Office. A suggestion box and a QR code feedback channel is available on-site. The Entity has conducted an employee satisfaction survey and employees provided feedback on seven aspects including the work environment, leadership and management, and career development. The Complaints Resolution Mechanism is available at: https://wanjigroup.com/Upload/file/20260703/1783062370619787.pdf

4. MATERIAL STEWARDSHIP

CRITERION	RATING	COMMENT
4.1a Environmental Life Cycle Assessment	Conformance	The Entity has conducted a product life cycle impact analysis on its Aluminium sheet and strip and Aluminium foil products and has prepared a Life Cycle Assessment (LCA) for each product.
4.1b-c Environmental Life Cycle Assessment - Disclosure	Minor Non-Conformance	The Entity's LCA reports include a 'cradle-to-gate' approach to the environmental impact analysis of each product. The analysis however mainly focuses on the impact of climate change, without analysing other environmental factors such as acidification and eutrophication of water caused by the Entity's products. The LCA reports are available at: https://wanjigroup.com/Upload/file/20260701/1782865849947211.pdf https://wanjigroup.com/Upload/file/20260701/1782865849451429.pdf
4.2 Product Design	Conformance	The Entity has established design and development control procedures, which address the design responsibilities for each workshop. The Entity has also established a yield indicator for the product. The primary reason for the generation of Waste at the Entity is from edge cutting. When purchasing, the Entity will place orders to the suppliers according to product specifications. The Entity has also developed the Excessive Cutting Edge of Plate and Strip Products Management Procedure. Aluminium foil is cut after rolling, and the product design is generally based on the width after cutting before placing an order. The Entity conducts periodic non-conforming product analysis to improve the pass rate and minimise Waste generation as much as possible.
4.3a-b Aluminium Process Scrap	Conformance	The Aluminium Process Scrap generated during production is delivered to companies within and outside the Wanji Group that have smelting processes for recycling. The Scrap is labelled with part numbers according to alloy grades. The warehouse distinguishes and sells according to the part number and grade of the Scrap. Most of the Scrap is sold to the recycled Aluminium plants within the Group.
4.4a-c Collection and Recycling of Products at End of Life - Material Conversion and other Manufacturing	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.4d Collection and Recycling of Products at End of Life	Conformance	The Entity's products are mainly used in Aluminium retail markets such as cigarettes, beverage and food packaging, and blinds, and are predominantly consumed domestically. After products are used up, they generally enter the waste recycling systems in various regions. The Group has established a 600,000 tonne recycled Aluminium project, purchasing Aluminium Scrap from domestic and foreign sources, remelting it, and recycling the Aluminium.
5. GREENHOUSE GAS EMISSIONS		
5.1a-b Disclosure of GHG Emissions and Energy Use	Conformance	The Entity has accounts for its energy use and Greenhouse Gas (GHG) emissions from cradle-to-gate. The Entity's previous GHG emissions calculations were incorrect, and 2025 has been reset as the base year. The Entity's data is Third Party verified. The GHG Emissions Verification

CRITERION	RATING	COMMENT
		Report is available at: https://wanjigroup.com/Upload/file/20260703/1783046300858292.pdf
5.2a Aluminium Smelter GHG Emissions Intensity - Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity - In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a GHG Emissions Reduction Plans	Conformance	The Entity has developed a GHG Emission Reduction Plan and GHG Emissions Reduction Pathway using the ASI method and calculation tools, with a 2025 baseline year.
5.3b-e GHG Emissions Reduction Plans - Targets, review and disclosure	Conformance	The Entity has developed a GHG Emission Reduction Plan and GHG Emissions Reduction Pathway using the ASI method and calculation tools, with a 2025 baseline year. The Entity aims to reduce its GHG emissions by optimising production scheduling to reduce energy consumption per unit product, optimising annealing processes to reduce energy consumption per unit product, and using low-carbon Aluminium raw materials. In addition, the Entity's Group plans to establish a solar power plant with part allocation to the Entity. The Entity has disclosed its GHG Emissions Reduction Plan, available at: https://wanjigroup.com/Upload/file/20260703/1783062368799882.pdf
5.4 GHG Emissions Management	Conformance	The Entity has established a GHG Management and Control Procedure, which identifies the organisational structure and responsibilities for GHG management. Various departments of the Entity are carrying out corresponding work around the 1.5°C emission reduction target. The Entity has also established an ISO 50001 Energy Management System and obtained a certificate.
6. EMISSIONS, EFFLUENTS AND WASTE		
6.1a-f Emissions to Air	Minor Non-Conformance	The Entity's Environmental Impact Assessment and Pollutant Discharge Permit identify the Entity's primary atmospheric pollutants generated by the rolling mills and annealing furnaces as Non-Methane Total Hydrocarbons (VOCs). The Entity has installed Continuous Emission Monitoring Systems and commissioned a third-party to undertake quarterly inspections. Recent test results indicate that emissions are in compliance. The Entity has publicly disclosed its Air Pollutant Reduction Plan at: https://wanjigroup.com/Upload/file/20260701/1782865845592761.pdf Whilst the Entity has implemented and publicly disclosed its Air Pollutant Reduction Plan, the Plan focuses solely on GHG reduction and lacks specific emission data for VOCs and the associated reduction measures.
6.2a-g Discharges to Water	Conformance	The Entity's Environmental Impact Assessment and Pollutant Discharge Permit identify the Entity's primary water pollutants as Chemical

CRITERION	RATING	COMMENT
		Oxygen Demand (COD), Suspended Solids (SS), and Ammonia Nitrogen (NH₃-N). Production wastewater originates from the finishing cleaning and equipment cooling processes. After being treated by a Reverse Osmosis (RO) system, it is recycled and reused, achieving zero external discharge. Domestic sewage is treated in an on-site wastewater treatment station and is entirely reused for landscaping within the Facility. Currently, the Entity only discharges RO concentrated water (brine) externally to the wastewater treatment plant of the Xin'an County Industrial Park. The Entity has disclosed its Water Pollutant Reduction Plan, available at: https://wanjigroup.com/Upload/file/20260701/1782865850816147.pdf
6.3a-g Assessment and Management of Spills and Leakages	Conformance	The Entity has established and implemented management mechanisms for Spills/Leakages and emergency response to sudden environmental incidents. It has updated its Spill/Leakage Risk Identification and Control Plan, comprehensively identifying hazard sources and potential pollution accidents associated with activities such as electric/gas welding and cutting, rolling operations, chemical storage, and oil drum handling. Hazard level assessments have been completed and corresponding control measures developed. The Spill/Leakage Risk Identification and Control Plan is available at: https://wanjigroup.com/Upload/file/20260701/1782865850150222.pdf Additionally, the Entity has developed an Emergency Response Plan for Sudden Environmental Incidents and established an emergency response team with a clear organisational structure and well-defined responsibilities. An emergency drill system is in place, and annual drills are conducted as scheduled. Multiple drills were carried out in 2026, with comprehensive drill reports and visual evidence retained.
6.4a-b Public Disclosure of Spills and Leakages	Conformance	The Entity has conducted a comprehensive assessment of the potential environmental impacts of its operational activities and generated a Spill and Leakage Risk Assessment Report. The Report evaluates potential Spill and Leakage scenarios involving atmospheric pollutants, water pollutants, and Hazardous Waste. It explicitly states that the Entity has not experienced any Spill or Leakage incidents since 2025. The Entity has publicly disclosed its Spill and Leakage Risk Assessment Report, available at: https://wanjigroup.com/Upload/file/20260701/1782865844158593.pdf
6.5a-c Waste Management and Reporting	Minor Non-Conformance	The Entity has disclosed the 2025 Solid Waste Management Summary Table, which clearly specifies the disposal volumes and methods for various types of solid Waste. In 2025, all Hazardous Waste generated by the Entity, including waste diatomaceous earth, waste rolling oil, and waste filter cloths, were disposed via qualified third-party suppliers, with relevant qualification certificates retained. Meanwhile, Non-Hazardous Waste, such as Scrap were recycled by other subsidiaries within the Group. The Entity has publicly disclosed the 2025 Solid Waste Management Summary Table, available at: https://wanjigroup.com/Upload/file/20260701/1782865844382013.pdf The Entity has not yet however conducted a specific assessment regarding the substantive impacts of its Hazardous and Non Hazardous Waste on the surrounding environment and human well-

CRITERION	RATING	COMMENT
		being. Furthermore, it has not designed or planned its Waste management strategy based on the Waste Mitigation Hierarchy.
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7. WATER STEWARDSHIP		
7.1a-b Water Assessment and Disclosure	Conformance	The Entity has developed and publicly disclosed its Water Resources Management Objectives and Plan for 2026. The document systematically reviews water consumption from 2023 to 2025 and provides forecasts for 2026. It also outlines specific water-saving measures, including the retrofitting of the circulating water backwash system, domestic water usage control in restrooms, and condensate recovery from the ends of steam pipelines. The Entity's facility utilizes groundwater and has obtained a valid Water Abstraction Permit issued by the local government. Furthermore, the Entity has compiled a Water Resources Risk Assessment Report. This Report provides a comprehensive analysis of the regional groundwater hydrological environment across multiple dimensions, including groundwater quality, water environment risks, and impacts on surrounding flora, fauna, and local communities. The assessment concludes that the substantive risks associated with the Entity's water usage are low. The Water Resources Risk Assessment Report is available at: https://wanjigroup.com/Upload/file/20260703/1783062370732596.pdf The Water Resources Management Objectives and Plan for 2026 is available at: https://wanjigroup.com/Upload/file/20260701/1782865848191257.pdf
7.2a-e Water Management	Not Applicable	This Criterion is not applicable to the Entity, as the risk assessment and documentation determined the water-related risks to be low.
8. BIODIVERSITY AND ECOSYSTEM SERVICES		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Conformance	The Entity has established the 2026 Biodiversity and Alien Species Risk Assessment Report and Prevention Measures. The Report covers risk sources including air and water pollution and Alien Species. It clearly defines the potentially affected scope and conducts a comprehensive assessment across multiple dimensions, including the probability of occurrence, severity of harm, and risk level. The Entity has developed corresponding prevention and control measures, and the final assessment concludes that the risks and potential impacts on Biodiversity and Ecosystem Services are low. The 2026 Biodiversity and Alien Species Risk Assessment Report is available at: https://wanjigroup.com/Upload/file/20260703/1783062368602859.pdf

CRITERION	RATING	COMMENT
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity, as the risks and potential impacts identified for Biodiversity and Ecosystem Services are documented as low.
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable to the Entity, as the risks and potential impacts identified for Biodiversity and Ecosystem Services are documented as low.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity, as the risks and potential impacts identified for Biodiversity and Ecosystem Services are documented as low. No Priority Ecosystem Services were identified.
8.4 Alien Species	Conformance	The Entity analysed three potential sources of Alien Species, including intentional introduction, unintentional introduction, and natural transmission, in the Biodiversity and Alien Species Risk Assessment Report and Prevention Measures, and developed corresponding prevention and control measures for each. Based on on-site interviews and online research, no Alien Species have been introduced. Furthermore, the Entity has implemented ecological enhancement initiatives to actively improve on-site Biodiversity. These measures include advancing the ecological landscaping project, strengthening the protection of canopy trees, and optimising pest control methods for insects that may impact the ecosystem.
8.5a-b Commitment to "No Go" in World Heritage Properties	Conformance	The Entity has established the Procedure for Handling Cultural Heritage Sites and Sacred Religious Sites, which provides guidance for project initiation and the formulation of protection measures in the vicinity of cultural heritage sites and sacred religious sites. The Entity has developed a Commitment Letter Prohibiting Operations in World Heritage Sites, pledging not to initiate any new Aluminium processing operations in UNESCO, World Cultural, Natural, or Mixed Heritage Sites. The Entity Commitment Letter is available at: https://wanjigroup.com/Upload/file/20260701/1782865844468140.pdf
8.6a-d Protected Areas	Conformance	There are no Protected Areas within 10 kilometres of the Entity, as confirmed through surveys using mapping software and local government websites. Furthermore, the Entity's compliance evaluation report explicitly states that the safety distances between major hazard sources and scenic spots as well as nature reserves comply with statutory requirements.
8.6e Protected Areas - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9. HUMAN RIGHTS		
9.1a-d Human Rights Due Diligence	Conformance	The Entity has established a Human Rights Policy that also address gender equality requirements. The Policy is evaluated during internal audits and management reviews. The Policy is available at: https://wanjigroup.com/Upload/file/20260703/1783062367996805.pdf

CRITERION	RATING	COMMENT
		In March 2026, the Entity conducted a Human Rights investigation that involved randomly selected internal personnel and surrounding residents. The Entity provided a Risk Assessment Report on Human Rights, covering areas such as women's rights, Indigenous rights, non-employment of Child Labour, non-Discrimination, non-Forced Labour, and respect for Human Rights by security personnel. The results concluded that there are currently no Human Rights violations and the Entity's risk of contributing to Human Rights issues is low. The Entity has publicly released the List of Persons and Organizations Affected by Human Rights Due Diligence, which identifies Human Rights risks and corresponding management measures.
9.2a-e Gender Equity and Women's Empowerment	Conformance	The Entity has established a Female Employee Protection Management Procedure, which ensures all positions in the Entity are open to female Workers and that during the promotion and training review processes, the Entity shall not Discriminate against women. Various senior roles at the Entity are held by women. The Entity has reported on the protection of women's rights and interests, available at: https://wanjigroup.com/Upload/file/20260703/1783062369541400.pdf
9.3a-i Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity, as it is within an industrial zone with land obtained from the Government and there are no Indigenous Peoples present.
9.4a Free, Prior, and Informed Consent (FPIC) - New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples present where the Entity operates.
9.4b Free, Prior, and Informed Consent (FPIC) - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4c Free, Prior, and Informed Consent (FPIC) - Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples present where the Entity operates.
9.5a Cultural and Sacred Heritage - Identification	Conformance	The Entity has established a Procedure for Handling Cultural Heritage Sites and Religious Holy Sites and is not permitted to build factories on cultural heritage sites or religious holy sites. The Entity is located 14 kilometres away from the nearest cultural heritage site, Hangu Pass, and has no adverse impact on the cultural heritage site.
9.5b Cultural and Sacred Heritage - Impacts	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples present where the Entity operates and there are no cultural heritage sites.
9.6a-i Displacement	Conformance	The Entity has established a Relocation Procedure, which addresses the requirement to comply with regulatory obligations should the need arise. The Entity's current Phase 2 Expansion and Renovation Project did not require any additional land to that reserved in 2008.

CRITERION	RATING	COMMENT
9.7a-h Affected Populations and Organisations	Conformance	The Entity has developed a Stakeholder Improvement Plan, which evaluates the impact of the Entity on Stakeholders including the Government, surrounding communities, and employees in terms of environment, safety, and resource consumption. The Entity has developed corresponding preventive or improvement measures for the impact on relevant parties and has developed monitoring methods for the effectiveness of the measures. The Entity's Due Diligence report on the List of Affected Persons and Organizations is available at: https://wanjigroup.com/Upload/file/20260703/1783062370358841.pdf
9.8a Conflict-Affected and High-Risk Areas - Strong Management Systems	Conformance	The Entity has established a Supplier Investigation Procedure and conducts investigations on its supplier, resulting in a supplier investigation report.
9.8b Conflict-Affected and High-Risk Areas - Identify and assess risks	Minor Non-Conformance	The Entity has established a list of qualified suppliers and requires each supplier to sign a Non-Conflict Mineral Commitment Letter. The Entity has developed a Supplier ASI Performance Survey Form for major Aluminium suppliers and surveys are conducted. The investigation report includes social content such as Child Labour and women's rights. The Entity also requires its supplier to conduct a traceability investigation of the product source, and the survey questionnaire includes whether four high-value metals come from high-risk areas. The survey did not however include an explanation of the source of Aluminium materials.
9.8c Conflict-Affected and High-Risk Areas - Strategy to respond to risks	Conformance	The current suppliers' investigation report of the Entity did not find any sources of Aluminium related to Conflict-Affected and High-Risk Areas.
9.8d Conflict-Affected and High-Risk Areas - Audit of due diligence	Conformance	The Entity's Due Diligence practices are included in this ASI Audits, which meets the requirements of this Criterion.
9.8e Conflict-Affected and High-Risk Areas - Report annually	Conformance	The Entity has disclosed the Supplier Due Diligence Report, available at: https://wanjigroup.com/Upload/file/20260806/1785984281653997.pdf
9.9 Security practice	Conformance	The Entity's security system is managed by the Group, and security personnel are sourced from the Group. The Entity has established a Security Procedure, which addresses the main responsibilities of security personnel to prevent unfamiliar visits and ensure property safety.
10. LABOUR RIGHTS		
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	This Criterion is not applicable to the Entity, as it complies with Applicable Law related to Freedom of Association and Collective Bargaining in China.
10.1d Freedom of Association and Right to Collective Bargaining - Alternative means in context of Applicable Law	Conformance	The Entity has developed the Freedom of Association and Collective Bargaining Rights Management Procedure, which supports employees to exercise their rights to Freedom of Association and Collective Bargaining. The Entity serves as the Sixth Delegation under the Group's Trade Union. Employee representatives are democratically elected

CRITERION	RATING	COMMENT
		and serve five-year terms. The scope of authority for these representatives is clearly defined in internal documents to ensure they can fully represent the entire workforce. Furthermore, the Entity holds an annual Staff Representatives Congress on a regular basis, with agendas covering the recognition of outstanding employees, integrity building, and the achievement of safety and environmental protection targets.
10.2a Child Labour	Conformance	The Entity has established and implemented the Child Labor and Juvenile Workers Management Procedure. This Procedure clearly articulates a Policy prohibiting the use of Child Labour and provides standardized guidance on remedial measures for any potential juvenile Workers or Child Labour, ensuring that the Entity fully complies with local laws and regulations. Currently, the youngest employee on the Entity's payroll is 23 years old. Interviews and confirmation from relevant management as well as site observations during the Audit confirmed that the Entity neither uses nor supports any form of Child Labour.
10.3a-c Forced Labour	Conformance	The Entity has developed the Forced Labor Management Procedure for, explicitly prohibiting any form of Forced Labour. The Entity signs labour contracts directly with its employees and does not use external employment arrangements such as labour dispatch. Employees are not required to pay unreasonable fees upon hiring and there is no debt bondage. Furthermore, employees enjoy full freedom of movement in both their workplace and living quarters, as well as the freedom to resign without the risk of retaliation. Currently, there have been no incidents of Forced Labour violations at the Entity. The Entity has disclosed a Modern Slavery Statement, available at: https://wanjigroup.com/upload/file/20250304/1741048105633941.pdf
10.4a-c Non-Discrimination	Conformance	The Entity has developed the Prohibition of Discrimination Management Procedure, which explicitly prohibits Discrimination and safeguards employees' right to equal employment, supports gender equality, and ensures equal pay for equal work. Through its Employee Handbook and the Effectiveness of Gender Equality and Protective Measures for Female Employees, the Entity clearly defines protective measures for female employees, particularly for pregnant and nursing female Workers. Interviews during the Audit confirmed that the Entity maintains a positive working environment and has a supportive workplace culture, with no employee reporting any form of Discrimination. A review of on-site payroll records indicated that the Entity achieves equal pay for equal work, with no abnormal discrepancies found.
10.5 Communication and engagement	Conformance	The Entity has developed the Consultation, Communication, and Information Exchange Control Procedure, which defines the methods and management requirements for internal and external information transmission and communication. The Entity has established an employee survey system and set up multiple communication channels, including suggestion boxes in workshop and canteen areas, as well as a dedicated hotline. Additionally, employee representatives can facilitate direct dialogue between employees and management regarding issues concerning their interests. Interviews during the Audit confirmed that all employees are aware of these communication channels and expressed no concerns about facing retaliation for exercising their right to file grievances.

CRITERION	RATING	COMMENT
10.6a-g Violence and Harassment	Conformance	The Entity has developed the Disciplinary Measures Management Procedure, which prohibits any form of Violence or Harassment in the workplace. The Entity's social responsibility Policies are posted in the workshops to ensure that employees are fully aware. No incidents of Violence or Harassment have occurred within the Entity, and all employees interviewed during the Audit confirmed that such behaviour has not been witnessed. Management confirmed that although the relevant Policies are currently within their review cycle, ASI-related Policies are reviewed annually during internal audits to maintain their suitability and effectiveness.
10.7a-c Remuneration	Conformance	The Entity has developed the Wage Management Procedure and employee records are managed centrally under the Wanji Group. The labour contracts clearly specify the terms and conditions of employment in Chinese. A review of sampled payroll details revealed that employees' net wages all exceed the local minimum wage, are sufficient to meet basic living needs, and leave a surplus. The Entity enforces the control of Overtime hours, and Overtime compensation rates are clearly defined and fully comply with statutory requirements (1.5 times on weekdays, 2 times on weekends, and 3 times for statutory holidays). Review of sampled attendance records during the Audit confirmed that the Entity operates on a 7.5-hour workday, with the average daily working hours over the past six months remaining under 8 hours and rare instances of Overtime. The Entity pays the previous month's wages via bank transfer between the 12th - 15th of each month, making advance payments if the due date falls on a public holiday. Complete pay slips and bank statements are retained, and no instances of delayed payments were observed.
10.8a-c Working Time	Minor Non-Conformance	The Entity has developed the Working Hours Management Procedure and implements a standard working hour system of five days per week, eight hours per day, and 40 hours per week. Overtime is strictly limited to no more than three hours per day and 36 hours per month. Office staff work a standard day shift with actual working hours of less than eight hours per day; any Overtime is compensated with time off in lieu, which complies with regulatory requirements. Frontline workshop employees operate under a four-crew, three-shift rotation system (08:00-16:00, 16:00-24:00, and 00:00-08:00), working eight hours per shift followed by a 24-hour rest period. The Entity's workshop is equipped with dedicated dispatchers responsible for attendance sign-ins, using an attendance sheet to record monthly attendance. On-site interviews confirmed that the working hour arrangements and attendance management comply with relevant laws and regulations. The on-site Audit however identified that the Entity's implementation of the annual leave system does not comply with relevant regulatory requirements.
10.9a-b Informing Workers of Rights	Conformance	The Entity has jointly established a Trade Union with the Group and developed the Freedom of Association and Collective Bargaining Rights Management Procedure. This guarantees employees' rights to Freedom of Association and Collective Bargaining at the institutional level. Through the publication of ASI Policies, the Entity clearly informs employees that their legitimate rights and interests are protected by the company and that they have the right to safeguard their own interests. There are no independent employee associations at the Entity.

CRITERION	RATING	COMMENT
		Employee representatives are democratically elected by frontline employees, and there are currently 43 representatives. Representative primarily assist in handling various interest-related affairs, including labour relations, occupational health and safety (OHS), and grievances. Interviews conducted during the Audit confirmed that all employees are aware of the identities of the employee representatives in their respective departments and fully understand how to safeguard their rights through legitimate channels.
11. OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Management System	Conformance	The Entity has established an Occupational Health and Safety (OH&S) Management System that includes the management manual, procedural documents, work instructions, and records. The Entity's OH&S Management System is ISO 45001 certified (current certificate is valid until September 8, 2027). During the on-site Audit, the Entity's OH&S performance was observed to be favourable, with facilities and equipment adequately equipped and in good operating condition, safety passageways unobstructed, and safety signage is fully configured, all of which comply with relevant regulatory requirements.
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Conformance	In accordance with its Management System requirements, the Entity conducts internal audits and management reviews on a regular annual basis, comprehensively evaluating OH&S risks during these processes. The Entity has established clear OH&S objectives and targets, tracking their achievement on a monthly basis. Records indicate that all major objectives and targets have been achieved. Furthermore, the Entity has compiled the OH&S Performance Report, covering 17 core indicators including safety accidents, fire accidents, and emergency response plan completion rates. Among these, two indicators are at the leading level amongst industry peers, while the remaining 15 are on par with the industry average.
11.2 Employee engagement on Health and Safety	Conformance	The Entity has established a Trade Union and elected employee representatives to assist in engaging Workers on Health and Safety matters. Furthermore, the Entity has set up multiple communication channels, including suggestion boxes, emails, telephone hotlines, WeChat groups, and direct reporting to management. Interviews conducted during the Audit confirmed that employees are generally aware of the company's OH&S Policies and grievance channels, are willing to actively utilise these channels, and have full confidence in being protected from retaliation.

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DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	29 September 2020	Initial Certification Audit – Full Certification
1	12 May 2022	Surveillance Audit
2	21 December 2023	Re-Certification and Scope Change Audit from Performance Standard V2 to V3.
3	19 September 2025	Surveillance Audit
4	30 September 2026	Re-Certification Audit – Full Certification Change of Auditing Firm